

THE DAVID ROCKEFELLER FUND

2007 Annual Report

The David Rockefeller Fund

About

Established in 1989 by David Rockefeller and his late wife, Peggy, The David Rockefeller Fund has two program areas: The *Citizenship Program*, which provides support for charitable and public organizations in communities where David Rockefeller lives outside of New York City and the *Family Interest Program*, which focuses on grantmaking in the Arts & Education, Criminal Justice, and Environment.

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PROGRAM STATEMENT

Grants in the Fund's **Citizenship** program are generally restricted to organizations serving the communities of Mt. Desert Island, Maine, the Tarrytown area of Westchester County, New York and the Livingston area of Columbia County, New York.

Directors of the Fund are charged with ensuring that these grants are consistent with the general philosophy and specific interests of David Rockefeller and his late wife, Peggy. Focus is on education; health and social service agencies; community groups; and environmental and preservation organizations. Special emphasis is placed on opportunities to help preserve the character and integrity of these regions.

In addition to the Fund's Citizenship program described above, a separate, **Family Interest** grantmaking program was established in 2001. Guided by the theme of shared family interests, current funding areas are: Arts and Education, Criminal Justice, and the Environment. Unsolicited applications are not currently accepted for this program.

APPLICATION INFORMATION

The Board of Directors meets to consider applications in the spring and fall. There are no formal deadlines by which applications must be submitted. The review and decision process depends upon the number and nature of all received applications and the availability of funds

Citizenship Program

Applicants for the Citizenship program may submit a letter of inquiry addressed to the Executive Director that describes the organization and the program for which it seeks support. Included with the letter should be a copy of the organization's mission statement, annual operating budget, and its IRS tax-exempt status determination letter.

Family Interest Program

Unsolicited applications are not accepted for this program.

2007 CITIZENSHIP GRANTS PROGRAM

All grants in the Citizenship program are for general operating support unless otherwise noted.

* increased grant from 2006

In 2007, 85 grants were awarded to 82 organizations totaling \$225,750.

MAINE

47 grants to 45 organizations totaling \$135,250.

Abbe Museum **\$ 1,000**

Exhibitions and programs focus on the Native American tradition in Maine and the broader Native American experience, past and present.

Abnaki Girl Scout Council **1,000**

One of two Councils in Maine serving more than 4,500 girls.

Arcady Music Festival ***1,500**

Provides a concert series throughout the year and music programs for youth.

Bar Harbor Fire Department **750**

Bar Harbor Music Festival ***1,500**

Presents a summer concert series and provides performance opportunities for young musicians.

The Boy Scouts of America - Katahdin Area Council **1,000**

Serves 9,000 scouts and leaders in six counties in Northeastern Maine.

College of the Atlantic	5,000
A small liberal arts college offering an interdisciplinary program in Human Ecology.	
College of the Atlantic - Field Studies	*1,000
A summer experiential educational program.	
Community Health & Counseling Services	*2,500
Provides home health care and mental health services.	
Downeast Horizons	*2,500
Serves people with developmental disabilities with assisted living and employment training programs.	
Farnsworth Art Museum & Wyeth Center	2,500
Rockport, Maine museum dedicated to telling the story of Maine's role in the history of American art.	
Friends of Acadia	10,000
Works to protect the environmental, cultural and historical heritage of Acadia National Park.	
Friends of Acadia - Youth Conservation Corps	1,000
Summer work program for local teens and college students to restore trails and monitor park conditions.	
Wendell Gilley Museum	*1,500
Established to preserve and display woodcarvings of birds by Wendell Gilley.	
Great Harbor Maritime Museum	1,000
Offers exhibits on Maine wooden boats and boat builders and provides programs for local youth.	
H.O.M.E.	*3,000
Provides shelter, counseling, education, job, and home ownership opportunities for residents of rural Maine.	

Island Institute	*2,500
Programs to support Maine's year-round island communities and protect its coastal environment.	
The Jackson Laboratory	5,000
A leading genetic research facility using mouse models to study cancer, diabetes, and other diseases.	
Kids' Corner Community Child Care	*1,500
Provides infant and childcare services.	
Maine Coast Heritage Trust	15,000
Protects coastal and other lands important to Maine's distinct character, landscape, and environment.	
Maine Medical Center	2,000
The largest teaching hospital in Maine.	
Maine Public Broadcasting	2,500
Provides national radio and television programming for Maine residents.	
M.D.I. Alcohol & Drug Abuse Group	1,000
Provides education, prevention and treatment programs through the Acadia Family Center.	
Mt. Desert Festival of Chamber Music	*1,000
Summer music festival offering a series of concerts on Mt. Desert Island.	
Mt. Desert Fire and Rescue Association	3,000
Mt. Desert Island Biological Laboratory	2,500
Studies marine organisms for biomedical research and offers programs for young scientists.	
Mt. Desert Island Hospital	5,000
The primary health care facility on Mt. Desert Island.	

Mt. Desert Island YMCA	3,500
Offers a wide range of community services, recreation, and education programs.	
Mt. Desert Medical Center	1,500
Provides health care on Mt. Desert Island during busy summer months.	
Mt. Desert Nursery School	1,000
Year-round day-care services.	
Mt. Desert Nursing Association	*3,500
Provides home health care for residents of Mt. Desert.	
Mt. Desert Summer Chorale	1,000
Music performance group open to all residents of the Island.	
Natural Resources Council of Maine	2,500
Develops public support for the preservation and restoration of Maine's natural environment.	
Nature Conservancy - Maine Chapter	2,500
Through land conservation and science, works to protect Maine's extensive natural resources.	
The Neighborhood House	1,500
Provides year-round recreational and educational programs for adults and young people ages 8-14.	
Northeast Harbor Ambulance Service	500
Northeast Harbor Library	1,000
Serves residents of Northeast Harbor and many of the surrounding areas.	
Northeast Harbor Library Scholarship Program	*2,500
Awards financial aid to Mt. Desert high school students for college and vocational school.	

Portland Museum of Art 1,000
Major regional museum with collections that highlight
Maine's unique artistic heritage.

Sea Coast Mission 2,500
Brings religious and counseling services, as well as food
and supplies, to isolated island communities.

Seal Harbor Library Association *1,000
Small historic library sponsors community events
as well as providing library services.

Seal Harbor Village Improvement Society *3,500
Maintains local trails, the village green and beach,
and small parks in Seal Harbor, Maine.

Town Hill Fire Company 500

**United Church of Christ Northeast Harbor
& Seal Harbor** 5,000

Willowind Therapeutic Riding Center 1,000
Offers therapeutic horseback riding programs for
physically and developmentally disabled individuals.

SPECIAL ONE-TIME GRANT

Islands Challenge Fund \$5,000
Trust for affordable housing to meet the needs of the
Maine's small year-round communities particularly in
the Mount Desert area.

Maine Lighthouse Corporation \$5,000
Support for addiction services in Mount Desert
Communities.

MAINE TOTAL \$ 135,250

WESTCHESTER COUNTY, NEW YORK

26 grants to 25 organizations totaling \$89,000.

Boy Scouts of America, Westchester-Putnam Council **\$ 1,500**

Offers year-round scouting programs and summer camp facilities.

Boys & Girls Club of Northern Westchester ***2,500**

Serves children in northern Westchester with a wide range of activities including after-school programs.

Caramoor ***2,000**

Sponsors summer music festivals with leading artists and offers educational programs for children.

Family Service of Westchester ***5,000**

Provides a broad range of counseling and support services for families and individuals.

Family YMCA at Tarrytown **5,000**

Provides a residence and services including childcare, recreation, and computer classes.

Fordham College of Liberal Studies ***2,500**

Designated to the Marymount College Legacy Fund.

Friends of the Rockefeller **1,500**

State Park Preserve

Builds support for the Preserve's programs and usage, and raises funds to help maintain the Park.

Girl Scouts of Westchester * Putnam ***2,500**

Offers year-round scouting programs and operates resident and day camps.

Historic Hudson Valley	10,000
A leader in historic preservation and education, HHV operates six historic properties in the Hudson River Valley.	
Historic Hudson Valley, Summerweek	1,000
Offers a “living history” day camp program for children.	
Historical Society of the Tarrytowns	*750
Preserves the history of the Tarrytown area through collections, publications, and displays.	
Elizabeth Mascia Child Care Center	1,000
Provides day-care, after-school services, and an infant center with parenting classes for teen mothers.	
Open Door Family Medical Center North Tarrytown	5,000
Provides a broad range of health care services.	
Phelps Memorial Hospital Center	7,500
Acute care hospital providing medical and mental care.	
Planned Parenthood Hudson Peconic	5,000
With 13 medical centers in four counties, provides a full range of reproductive health care services.	
Puppies Behind Bars	*2,500
Teaches prison inmates to train puppies that will become law enforcement and guide dogs.	
Salvation Army	2,500
Operates soup kitchens and food pantries for needy families at six community centers in Westchester.	
Scenic Hudson	5,000
Works to protect the Hudson River’s scenic landscapes and cultural and historic resources.	

Teatown Lake Reservation	*1,500
The largest private preserve in Westchester, offering hiking trails, exhibits, and family programs.	
Union Church of Pocantico Hills	5,000
Beautiful church known for its windows by Chagall and Matisse.	
United Way of the Tarrytowns	10,000
Supports affordable housing, child-care, job training, and youth development programs at local agencies.	
Warner Library of the Tarrytowns	*1,500
Provides traditional library and community programs.	
Westchester Land Trust	*1,500
Preserves open space in Westchester County through community education, zoning, and easements.	
Hilltop Engine Co., No. 1	1,000
Volunteer and Exempt Firemen's Association of Sleepy Hollow, NY	750
SPECIAL ONE-TIME GRANT	
Common Ground	5,000
Affordable housing project to support veterans returning to Westchester County.	
WESTCHESTER COUNTY TOTAL	\$ 89,000

COLUMBIA COUNTY, NEW YORK

11 grants to 11 organizations totaling \$16,500.

Churchtown Fire Company # 1 \$ 500

Columbia-Greene Hospital Foundation 2,500

Supports the hospital and its ten family care centers.

Columbia Land Conservancy 5,000

Protects and preserves farmland, wildlife habitat, scenic lands, and open space.

Germantown Library 1,000

Serves Germantown and the surrounding communities.

Germantown Hose Company # 1 500

Livingston Free Library *1,000

Serves the Livingston community.

Livingston Pumper Co., No. 1 1,000

Olana Partnership 1,000

Maintains the residence and studio of Hudson River School artist, Frederic Church.

Southern Columbia Ambulance Squad 1,000

Taghkanic Volunteer Fire Company No. 1 500

United Way of Columbia & Greene *2,500

Supports a wide range of programs and services.

COLUMBIA COUNTY TOTAL \$ 16,500

CITIZENSHIP PROGRAM TOTAL \$ 225,750

2007 FAMILY INTEREST GRANTS PROGRAM

Grants cycles in the Family Interest program typically are 1-3 years in length. Unsolicited proposals are not accepted for this program.

In 2007, 24 grants were made to 24 organizations totaling \$460,000.

ARTS AND EDUCATION

American Ballroom Theater \$ 15,000

American Ballroom Theatre (ABrT) was founded in 1984, by ballroom dancers Pierre Dulaine and Yvonne Marceau. In 1994, Pierre Dulaine developed an in-school ballroom dancing curriculum called *Dancing Classrooms* as a response to the severe reduction of arts programs in New York City's Public Schools. Students in the *Dancing Classrooms* program receive ballroom dancing instruction from a teaching artist in Merengue, Rumba, Foxtrot, Waltz, and Tango for 50 minutes twice weekly for 10 weeks.

ArtsConnection \$ 20,000

ArtsConnection provides dance, theater, music, and visual arts instruction to students in grades K-12, in public schools throughout New York City. Artists-in-residence program coordinators and in-school teachers collaborate to design 10-20 week in-school-time programs that meet educational goals for individual classrooms and grade levels.

Education Through Music**25,000**

Education Through Music was founded in 1991 to promote the use of music as a means of enhancing students' academic performance and general development. ETM partners with New York City schools serving low-income students, providing weekly year-long music instruction for entire schools in grades K-8.

Lincoln Center Institute**20,000***Focus Schools Collaborative*

The Institute brings dance, music, theater, visual arts, and architecture into pre-K-12 classrooms across the New York Area to help students and teachers learn about and through the arts. Using an innovative model known as "aesthetic education," young people are given in depth experiences with works of art, understanding them through a process of inquiry, reflection, and research.

Manhattan Theatre Club**20,000**

Founded in 1970, Manhattan Theatre Club (MTC), is a leading production company dedicated to producing new plays and musicals by emerging American and international playwrights. Through 60 school partnerships MTC's Education Programs serve more than 5,000 students, teachers, and family members in New York City each year. Each educational unit consists of eight intensive in-class theater workshops and attendance at an MTC production.

Studio in a School**20,000**

Studio's professional teaching artists bring studio arts instruction to New York City's K-12 public schools helping teachers integrate art with other academic subjects. In addition to regular lessons for students, Studio's programs include development workshops for teachers, and a workshop designed to involve parents in their children's creative learning experiences.

Theater for a New Audience**15,000**

TFANA is a New York-City based classical theater company that promotes the performance and study of Shakespeare and classical drama in America. TFANA's principal arts and education program is its World Theatre Project, a 12-week "actor-in-residence" program designed to introduce children in grades 5-8 to Shakespeare. Each year, in-school theatre programs are built around a play that the TFANA off-Broadway company is producing.

Working Playground**15,000**

Founded in 1991 to bring arts into New York City classrooms, Working Playground provides underserved schools and communities with a range of programs including, theater, video, documentary film, visual arts, dance, poetry, and fiction writing programs for young people in grades K-12. By integrating arts into the academic school day, Working Playground seeks to engage non-traditional learners by helping them to understand curricular content as well as providing teachers with alternative ways of presenting academic subject matter.

ARTS AND EDUCATION TOTAL**\$ 150,000**

CRIMINAL JUSTICE

Abraham House

\$ 20,000

Founded in 1993 by chaplains at Rikers Island detention facilities, Abraham House operates a small residence that offers a rigorous alternative-to-incarceration program for men as well a similar off-site program for women. Services include education, drug treatment, job training, and family relations counseling. Abraham House also supports families of prisoners with counseling, legal advice and housing referrals, as well as after-school programs for children.

Advocates for Children

25,000

The Family Care Education Advocacy Project

Funded in 1971, AFC provides educational support, advocacy, and case management services, for low-income families. The Family Care Education Advocacy Project, a joint program between AFC and The Probation Department, assists court-involved young people access appropriate educational services to which they are entitled.

College and Community Fellowship

25,000

Founded in 2000, and housed at the CUNY Graduate Center's Center for the Study of Women and Society, CCF provides academic and financial counseling, tuition assistance, mentoring, and support services for formerly incarcerated women and some men seeking to complete college and/or graduate school. CCF has a zero recidivism rate.

Correctional Association of New York (CA) 30,000

Founded in 1844, the CA is a private, non-profit criminal justice policy and advocacy group focusing on prison conditions, sentencing reform, women in prison, and juvenile justice. Its mission is to create a more fair, efficient and humane criminal justice system. The CA is the only non-profit group with legislative authority to visit prisons and report its findings to the public.

The Dome Project 15,000

The DOME (Developing Opportunities through Meaningful Education) Project, was founded in 1973 as an alternative after school program. Widely respected in the criminal justice system, DOME assists young people who are economically, socially, and academically challenged focus on their education as a means to success through three programs: The Academic Tutoring Program, The College Prep Program, and the Juvenile Justice Program.

Episcopal Social Services 15,000
Network in Prisons/Communities

Founded in 1831, Episcopal Social Services (ESS) is one of the oldest continuously operating social service agencies in New York City. ESS's *Network in the Prisons* and *Network in the Community* Programs serve more than 900 prisoners incarcerated in seven New York State correctional facilities and with those released or paroled to the New York City area. Programs help participants reintegrate successfully into mainstream life through group and individual counseling and a range of support services.

Hour Children **20,000**

Hour Children’s mission is to support imprisoned mothers and their children, both during and after incarceration through job training and other support services. Hour Children manages prison- and community-based programs, five residences, an early childhood center, food pantry, and two thrift stores for incarcerated mothers and their children in the Long Island community where its facilities are located.

Voices UnBroken **10,000**

Voices Behind Bars

The *Voices Beyond Bars* program facilitates creative writing workshops in jails, prisons, group homes and other non-traditional settings for an average of 300 participants per year, 70% of whom are incarcerated. Through VUB programs, creative writing has become a tool for introspection, expression, and a link to the greater community for otherwise disenfranchised people. Through weekly workshops participants learn to communicate more effectively and articulate their needs through writing and creative expression.

CRIMINAL JUSTICE TOTAL **\$ 160,000**

ENVIRONMENT

City Harvest

20,000

Harvest Works Project

The Harvest Works program focuses on increasing access to fresh produce in low-income neighborhoods while supporting local agriculture. Harvest Works procures un-harvested local crops from New York State farmers and redistributes them along with educational materials on nutrition to community feeding programs in low-income areas in New York City.

Clean Air-Cool Planet

15,000

Founded in 1999, with offices in New Canaan, CT and Portsmouth, NH, Clean Air-Cool Planet (CA-CP) creates partnerships in the Northeast to implement solutions to climate change. CA-CP builds constituencies for effective climate policies and actions through partnerships with college campuses, communities, corporations, and science centers in the Northeastern United States. The organization's strategies include constituency and alliance building, public education and outreach, grass roots organizing, technical assistance, and policy analysis.

Greenworker Cooperatives

\$ 15,000

Founded in 2003, by Omar Freilla, Greenworker Cooperatives (GWC) is a South Bronx-based community organization that is dedicated to incubating worker-owned and environmentally friendly business cooperatives in the South Bronx, as a response to high unemployment and decades of environmental degeneration in the area.

Hawthorne Valley Association

Farmscape Ecology Program

15,000

The Farmscape Ecology Program (FEP) operates as part of the Hawthorne Valley Association, a 400-acre biodynamic and organic farm in Columbia County. FEP explores the ecological interactions between nature and farming as a way to conserve farmland and natural habitats in the Hudson Valley.

Hudsonia Limited

20,000

Hudsonia, founded in 1981, conducts environmental research, provides education, training, and technical assistance to protect the Hudson River Valley's natural heritage. Nonpartisan and non-ideological, Hudsonia serves as an advisor in the process of land use decision-making.

ICLEI-Local Governments for Sustainability

25,000

Northeast Regional Capacity Center

ICLEI is an international membership organization that includes more than 475 local governments, and governmental organizations committed to achieving sustainable development and improving environmental conditions. ICLEI's Cities for Climate Protection Campaign explicitly address the issue of Global Warming. This grant supports the Northeast Regional Capacity Center.

Resource Media **15,000**

Since 1998, Resource Media has provided communications strategy and media outreach services to non-profit organization and coalitions focused on environmental and health issues. Serving as a resource for journalists as well as environmental organizations, Resource Media seeks to improve the breadth and framing of news coverage on environmental issues.

Scenic Hudson **25,000**

Scenic Hudson is an environmental organization that safeguards landscapes, protects productive farmland, reclaims and transforms neglected industrial waterfront sites, and purchases strategic properties to revive towns and community centers in and around the Hudson River Valley. This grant supports Scenic Hudson’s work on the development of the five-acre river front park development in Tarrytown, NY known as the *Scenic Hudson RiverWalk Park at Tarrytown*.

ENVIRONMENT TOTAL **\$ 150,000**

FAMILY INTEREST

GRANTS TOTAL **\$ 460,000**

2007 GRANTMAKING

Family Interest Grants	\$460,000
Citizenship Grants	225,750
Discretionary Grants	60,000
Matching Grants (est.)	<u>16,500</u>
TOTAL GRANTS	\$762,250

As of December 31, 2007, the unaudited asset value of The David Rockefeller Fund was \$6,431,290. Grants paid during 2007, including Discretionary grants awarded by individual directors, totaled \$684,500 and were made to 121 organizations for 118 activities. In addition, a matching grants program for the grandchildren of David Rockefeller paid out \$16,500.

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