



2011 & 2010 Annual Report

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“Philanthropy is involved with basic innovations that transform society, not simply maintaining the status quo or filling basic social needs that were formerly the province of the private sector.”

- David Rockefeller

ABOUT

The David Rockefeller Fund was established in 1989 by David Rockefeller and his wife Peggy to carry out their annual charitable giving in communities where they had homes outside New York City. In 2001, David Rockefeller expanded the Fund and invited his children and grandchildren and their spouses, to take a more active role in the Fund with the idea of transferring to them his philanthropic legacy.

GUIDING PRINCIPLES

In its work, the Fund seeks to address the root causes of problems, working both locally and on a broader policy level guided by the following principles:

FAMILY LEGACY

We seek to honor the philanthropic traditions and values exemplified by David and Peggy Rockefeller, recognizing the responsibility that we have to be active and engaged participants in our communities, as well as in the larger world.

RISK TAKING

We will not shy away from difficult social issues; indeed we believe that our greatest impact often results from taking on exactly those problems which more traditional funders tend to avoid.

LEVERAGE

As a small foundation committed to tackling big issues, we value collaboration and work to identify those grantmaking opportunities where our family's tradition of philanthropy will help bring attention to difficult problems. We are committed to doing the greatest possible good with the resources we have.

RESPECT

We respect our grantees as equal partners in the work we do. Our general approach is to identify people and institutions doing important work and then support them in ways they will find most meaningful, without imposing undue burdens on them.

FLEXIBILITY

We recognize that one of our particular strengths as a family foundation is the ability to act quickly and be responsive to the real-time needs of our grantees.

SELF-EXAMINATION

Since we are committed both to taking risks and to achieving the greatest possible impact, we understand the importance of assessing our activities on a regular basis in the hope that our funding can make a demonstrable difference, both for the issues we care about and the non-profit organizations we support.

GRANTMAKING PROGRAMS

The David Rockefeller Fund currently has four primary program areas: Arts, Criminal Justice, Environment, and Community. The Community grants program continues to support more than 80 local non-profit organizations annually in Maine, Westchester and Columbia Counties, New York with grants ranging from \$500 to \$10,000. Since its inception, the Community Program has awarded grants totaling over \$3.5 million.

The Arts program focuses on access and engagement; Criminal Justice promotes a more humane and fair criminal justice system; and Environment addresses climate change and local sustainability efforts. Between 2001 and 2010 the Fund awarded grants totaling over \$4 million to more than 80 organizations in the Arts, Criminal Justice, and Environment. In 2011, 29 grants were awarded to 29 organizations in Arts, Criminal Justice, and Environment totaling \$865,000.

In addition to the program areas outlined above, the Fund underwrites a number of initiatives designed to encourage family members' individual philanthropic involvement and interests, as well as collaborative grantmaking by the family.

Grants listed in this report reflect awarded, not paid gifts.

2011

**BOARD OF
DIRECTORS &
STAFF**

DIRECTORS & OFFICERS

The David Rockefeller Fund's Board membership rotates every year and also includes non-family members

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ARTS

EMPHASIS

Arts participation and access

GEOGRAPHIC FOCUS

New York City

“Art is important because it expands how we look at and understand the world. It can make us think beyond our own experience, and often, in our appreciation, we find things that link us in a common humanity.”

-David Rockefeller

The arts are an indispensable part of human experience, building self-awareness, fostering creativity, and encouraging new ways of thinking. The goal of the Arts Program is to enrich individual human experience and help strengthen communities by providing meaningful engagement with and access to the arts. The Fund provides support for organizations that give individuals, who might not otherwise have the opportunity, a chance to participate in the arts in a sustained and substantive way.

The Fund does not accept unsolicited proposals for this program.

Apple Arts NYC **New York, NY** **\$15,000**

Apple Arts NYC provides free, weekly creative arts education workshops during after-school hours for children in two homeless shelters in New York City. Programs range in disciplines from visual to performing arts and other creative mediums. Led by volunteer teaching artists, who are trained to nurture and encourage each participant's creative spirit, Apple Arts NYC gives youth living in challenging conditions individualized attention and an opportunity to engage in the arts.

Artists Striving to End Poverty **New York, NY** **\$15,000**

ASTEP uses the arts as a tool to foster creativity and empower underserved youth. In New York City, ASTEP partners with the International Rescue Committee (IRC) Refugee Youth Program (RYP) providing arts programming to refugee children under the age of 18, many of whom struggle with poverty, discrimination, disruption in or lack of education, and separation from family and peers. ASTEP's arts programs are designed to help break down communication barriers to enable refugee youth to improve English language skills, academic abilities, social and emotional behaviors. Programs are taught by a volunteer base of established and emerging artists.

Bronx Museum **Bronx, NY** **\$25,000**
Education Programs

The Bronx Museum of the Arts (BxMA) is a contemporary art museum located in the South Bronx. An important anchor in the community, the Museum is committed to providing opportunities for arts training and programming for children, youth, families, and teachers that are otherwise unavailable in the Bronx. The DR Fund provides support for the Museum's arts education programming that includes: Family Affair, a weekly gathering with hands-on activities for children and their parents/guardians; Group Visits, a single-session museum tour and art workshop; Teen Council, a sequential 10-month program for high school juniors and seniors; and School Partnerships, a full academic year of in-school arts instruction in three Bronx public schools.

Brooklyn Academy of Music **Brooklyn, NY** **\$25,000**

BAM is a performing and cinema arts center that offers a broad range of cultural programs in theater, dance, music, opera, and cinema. In addition to BAM's regular arts and cultural schedule, it provides extensive in-school workshops; school-time performances; after-school and family programs. The DR Fund supports BAM's arts education programming that serves (along with their teachers and families) 25,000 underserved students from K-12th grade in approximately 200 New York City schools.

Fund for Public Schools
Summer Arts Institute

New York, NY

\$20,000

The *Summer Arts Institute* serves 300 New York City public school students in grades 8 through 12, who demonstrate promise in one of seven art forms (dance, drama, instrumental music, vocal music, photography or visual arts). Students, who are selected through a formal application and audition process, participate in an intensive four-week program, instructed by master arts teachers and professional teaching artists. Participants come from all five boroughs and represent the City's diverse population. The Institute is administered by the New York Department of Education and is designed in partnership with the American Ballet Theater, Theater for a New Audience, Tribeca Film Institute, and Young Audiences New York.

Groove With Me

New York, NY

\$20,000

Groove With Me is a free dance school for girls in East Harlem serving 270 girls ages 7-18 with more than 30 weekly classes in hip-hop, tap, ballet, jazz, and NIA (a blend of dance, martial, and healing arts). There are no registration criteria except living in the community and committing to regular class attendance. The program engages girls in a non-judgmental environment where they can be valued for their abilities. Children do not have to pay for class, shoes, trips, costumes, and tickets. Groove With Me also provides space for participants to spend afterschool time before and after class.

Groundswell Community
Mural Project

New York, NY

\$40,000

Using art as a tool for social change, Groundswell Community Mural Project brings together young people, professional artists, and communities to create public mural art that revitalizes communities, addresses social justice issues, and creates awareness about community problems in under-represented neighborhoods of New York City. Through Groundswell's *Summer Leadership Institute*, approximately 80 young people ages 12-20, team with professional artists and work in collaboration with a community partner to undertake outdoor public art projects during summer months.

Laundromat Project

New York, NY

\$10,000

The Laundromat Project (LP) organizes arts programs in and around Laundromats throughout New York City, engaging people in formal art making activities by bringing art to where communities living on low incomes already gather, the Laundromat. Since 2006, LP has produced 16 public art projects in 25 Laundromats through its two principle programs Works in Progress and Create Change. *This grant was made through the Executive Director's spending authority.*

Mayor's Fund to**Advance New York City****New York, NY****\$80,000***Spaceworks***over 2 years**

Spaceworks is a non-profit intermediary organization that will repurpose existing, underutilized public and private property, and sublease it affordably to artists in NYC as studio and rehearsal space. The idea for establishing this unique entity was conceived by the New York City Department of Cultural Affairs as a response to the ongoing lack of work space for professional and emerging artists in New York City. By creating a permanent network of space for artists embedded in under-resourced neighborhoods, Spaceworks will generate new economic activity, and establish long-term resources for artists and their local communities.

New York University**Tisch School of the Arts****New York, NY****\$15,000***Talent Identification Program*

The DR Fund provides support for Tisch's Talent Identification Program (TIP), which seeks to identify and improve the candidacy of high-need and high-achieving students, who may not have considered Tisch due to its high tuition costs. High school students in this program work with Tisch faculty and participate in an intensive, professional-level training program either in the summer or spring semester.

Queens Museum of Art**Queens, NY****\$40,000**

The Queens Museum of Art presents artistic and educational programs and exhibitions that directly relate to the contemporary urban life of its constituents. One in two of the Museum's visitors and/or program participants are foreign-born and many are low-income. The DR Fund provides support for the museum's education programs, which are at the center of their outreach efforts to be an accessible resource for the surrounding neighborhoods. They provide a large number of innovative public programs for children, teens, families, and adults of varying, backgrounds, and abilities. The majority of their programs are free and are offered in multiple languages.

Studio Museum in Harlem**New York, NY****\$25,000***Expanding the Walls:**Making Connections Between Photography, History and Community*

The Studio Museum in Harlem is a contemporary art museum that focuses on the work of artists of African descent as well as work that has been inspired and influenced by African-American culture. The DR Fund's grant supports *Expanding the Walls: Making Connections Between Photography, History and Community (ETW)*, a nine-month photography class for high school students that uses the Museum's James Vander Zee Collection of Harlem photographs as the point of entry for students to share their perspectives.

CRIMINAL JUSTICE

EMPHASIS

Criminal justice reform and re-entry services

GEOGRAPHIC FOCUS

National & New York City

“The mood and temper of the public in regard to the treatment of crime and criminals is one of the most unfailing tests of the civilisation of any country.”

-Winston Churchill

The U.S. incarceration rate is the highest in the world, either as a percentage of population or in absolute numbers. Approximately 2.4 million people are now behind bars, and there are approximately 17.5 million admissions to jail or prison annually. African-Americans, for example, represent just 13% of the general population but account for 49% of the prison and jail population. The recidivism rate is equally alarming, with two-thirds of those released from U.S. jails and prisons sent back within three years.

The goal of the Criminal Justice Program is to promote a more fair and humane criminal justice system in the United States and New York City. With an emphasis on criminal justice reform and re-entry services, the Fund supports organizations that advocate on behalf of prison reform and a rethinking of our current incarceration philosophy. It also supports non-profits that provide pre- and post-release services, with a focus on family and community re-integration.

The Fund does not accept unsolicited proposals for this program.

Center for Court Innovation
Brownsville Youth Court

Brooklyn, NY

\$30,000

The Center for Court Innovation (CCI) was founded as a public/private partnership between the New York State Unified Court System and the Fund for the City of New York . CCI helps the justice system aid victims, reduce crime, strengthen neighborhoods, and improve public trust in justice. The Brownsville Youth Court is CCI’s newest program. Modeled after CCI’s successful youth courts in Harlem and Red Hook the Youth Court aims to empower young people to effect change in their community. Using positive peer pressure, the Youth Court trains local teenagers to serve as jurors, judges, and advocates and to hear real cases involving their peers. Youth Court participants “members” are recruited to address two primary needs: 1) the absence of productive after-school youth leadership opportunities ; and 2) the need for meaningful alternatives to the justice system for low-level offenders.

Fortune Society
Better Living Center

Queens, NY

\$30,000

A leading re-entry service organization, the Fortune Society serves as a primary resource for over 3,000 formerly incarcerated New York City men and women annually. Fortune provides a holistic “one-stop” model of comprehensive supportive services including housing; substance abuse treatment; HIV-specific health services; employment services; education; discharge planning; benefits enrollment; case management; and lifetime aftercare. The DR Fund supports Fortunes’ s “Better Living Center,” an initiative that provides licensed professional outpatient mental health services on-site at their Queens headquarters.

Getting Out & Staying Out

New York, NY

\$25,000

Getting Out & Staying Out is as a mentoring program that offers a distinct group of services for young men between the ages of 18 and 24, who are transitioning from incarceration back into daily life.The GO&SO program model has three goals: 1) Further participants’ education in GED programs, colleges, and trade schools; 2) Secure directed employment which will lead to a satisfying career; and 3) Achieve early release from parole and probation when appropriate.

Mayor's Fund to

Advance New York City

New York, NY \$25,000

New York City Department of Probation

The New York City Department of Probation (DOP) handles sentences that are imposed by a criminal court or family court. In general, probationers are released in the community without serving a period of local incarceration, although in certain circumstances they may be sentenced to both imprisonment (local) and probation. The DR Fund provides support for Department of Probation staff trainings for probation officers assigned with separate adolescent case-loads, (historically adolescents have been mixed with adult caseloads). This will allow probation officers to better understand the specific needs of this age-group, particularly since they are at the highest risk of re-offending, dropping out of school, and engaging in high-risk behaviors.

Sentencing Project

Washington, D.C. \$30,000

The Sentencing Project (SP), works throughout the United States for a fair and effective criminal justice system by promoting reforms in sentencing policy, addressing unjust racial disparities, and advocating for alternatives to incarceration. The DR Fund provides general operating support for the SP's work reducing the number of people in prison in the US; racial injustice and disparities; the elimination of sentences of juvenile life-without-parole, and felony disenfranchisement leading up to the 2012 election.

Vera institute of Justice

New York, NY \$50,000

Center on Youth Justice-Brooklyn Initiative

In an effort to reduce recidivism and develop a more humane and cost-effective approach to its treatment of juvenile offenders, the New York State Office of Children and Family Services (OCFS) enlisted the help of the Vera Institute of Justice (Vera) and the Missouri Youth Services Institute (MYSI) to develop the Brooklyn for Brooklyn Initiative. This program is a pilot initiative that allows young people placed in state custody to remain close to home with appropriate services and supports, instead of being sent to a detention facility several hundred miles away.

ENVIRONMENT

EMPHASIS

Reduction of greenhouse gas emissions, energy conservation, and sustainability

GEOGRAPHIC FOCUS

National and local Northeastern communities

“The care of the Earth is our most ancient and most worthy, and after all our most pleasing responsibility. To cherish what remains of it and to foster its renewal is our only hope.”

-Wendell Berry

With just 5% of the world’s population, the U.S. consumes 25% of all global energy resources. On average, each American home emits 23,000 pounds of carbon dioxide annually. Concentrations of carbon dioxide are now higher than at any time in the past 700,000 years. Clearly, this is not sustainable.

The goal of the Environment Program is to address issues related to greenhouse gas emissions and climate change in order to promote a healthier, more sustainable planet. Working at the national, state, and local levels the Fund supports organizations that advocate for the reduction of greenhouse gas emissions and highlight the negative effects of excessive carbon consumption in the U.S. The fund is currently supporting advocacy efforts that seek to reduce and/or eliminate the use of coal and related industries in the Southeastern United States as well as work that promotes energy efficiency measures in the Northeastern United States.

The Fund also supports locally-based activities in the Northeast focusing on relocalization (strengthening and increasing access to local resources such as food and energy) and reskilling (promoting traditional self-reliance tools such as food preservation and gardening).

The Fund does not accept unsolicited proposals for this program.

Added Value**Brooklyn, NY****\$25,000**

Added Value promotes the sustainable development of Red Hook in South Brooklyn by mentoring a new generation of young leaders. Founded in 2001 to address the lack of meaningful educational and employment opportunities in the area, Added Value provides teenagers living in this largely industrial community the opportunity to help operate its 2.75 acre working farm. Each year, 40 young people between the ages of 14 and 19 to work on the farm, trained to develop related business ventures, and do community advocacy and outreach on environmental and food justice issues. Participants are paid a stipend that for many families represents a meaningful increase in income.

Center for Working Families**New York, NY****\$25,000***Green Jobs/Green NY*

The Center for Working Families (CWF) collaborates with community-based organizations, policy advocates, labor unions, environmental organizations, and elected officials across New York State. The DR Fund's grant supports CWF's involvement in the implementation of Green Jobs/Green NY (GJGNY), the largest residential retrofit program ever initiated in the United States. Fully implemented, the program will reduce energy consumption in one million homes over five years by 30% to 40 and save New York households more than \$1 billion annually in energy costs.

Community Labor United (CLU)**Boston, MA****\$50,000***Green Justice Coalition Campaign*

Community Labor United (CLU) is a non-profit community-based organization that works with other organizations and labor unions to promote the interests of low- and middle-income working families in the greater Boston area and the state of Massachusetts. The DR Fund's grant supports the CLU's *Green Justice Coalition Campaign*. Since 2008, the Campaign has been working to expand energy efficiency and weatherization programs for working class and lower-income communities that have traditionally been left out of the green market economy. By insisting on job standards and local hiring requirements, the program will create living wage jobs for new and existing workers, as well as establish career ladder training programs to connect new workers from lower-income communities and communities of color.

DC Project**Washington, DC****\$40,000**

The DC Project recruits community organizations into collective purchasing groups as a way to leverage their purchasing power. The DR Fund supports the DC Project’s Community Energy Purchase initiative and the Neighborhood Weatherization Project “Weatherize DC.” The Community Energy Purchase initiative organizes faith and mission-based institutions to purchase renewably sourced energy together. By buying together institutions in the collective are able to pay significantly lower rates for electricity while also creating benefits for the community and environment. In its first purchase, the DC Project was able to mobilize 11 local churches to solicit reduced-rate bids for electricity, most of it from renewable energy sources and local suppliers.

Design Trust for Public Space**New York, NY****\$5,000***Five Borough Farm*

The Design Trust is committed to improving the design, utility and understanding of New York City’s public spaces. The Trust’s *Five Borough Farm* project is the first-ever citywide plan for urban agriculture in New York City. Through an extensive examination of New York City’s existing agricultural programs, the Project develops policy recommendations for city officials, as well as establish a communications and outreach program. The DR Fund provided matching funds for a film component to be added to the project website that will serve as a virtual town square for urban farmers, advocates, and city officials while collecting meaningful data for further policy analysis. *This grant was made through the Executive Director’s spending authority.*

El Puente**Brooklyn, NY****\$15,000***Greenlight District Initiative*

Working with 2,000 young people each year, El Puente is a community and youth development organization. For the past 28 years, El Puente has been working with the residents of Williamsburg and Bushwick, Brooklyn to address lack of open space and high levels of pollution. The DR Fund provided early support to the *Green Light District* initiative, a proposed neighborhood improvement project aimed at significantly reducing the community’s carbon footprint and substantially lowering pollution and toxicity levels through projects ranging from community education to retrofitting homes for greater energy efficiency.

Food Corps**New York, NY****\$60,000
over 2 years**

Food Corps is a national school garden and farm-to-school service program based on the Teach for America and AmeriCorps model. Food Corps places young leaders in limited-resourced communities to foster healthy school food environments for one year. Food Corps members works in public schools in 10 states throughout the US, developing programs that provide food education; establish school gardens; and advocate for sourcing local foods in school cafeterias.

Kentucky Coalition**London, KY****\$50,000**

The Kentucky Coalition is the 501(c)3 arm of Kentuckians for the Commonwealth, a non-partisan statewide citizens' organization. The Coalition uses direct action to challenge unfair political, economic and social systems in Kentucky. It does this through a number of initiatives including running advocacy programs that promote energy-efficiency and renewable energy, opposing the construction of new coal-burning power plants; and addressing the region's over-reliance on coal to drive its economy.

Mountain Association for**Community Development (MACED) Berea, KY****\$25,000**

Established in 1976, MACED seeks to establish a sustainable regional economy that meets the needs of low-income people and protects natural resources in Central Appalachia. MACED's hybrid model combines enterprise development, research and policy, and demonstration projects to model alternatives to the region's current approaches to energy and economy. As part of its programs, MACED operates a revolving loan fund for energy efficiency and economic development projects in the region.

Rockefeller Family Fund**New York, NY****\$25,000***National Coal Program*

Created in 1967, The Rockefeller Family Fund (RFF) is a public foundation supporting advocacy-based programs in the areas of Citizen Participation and Government Accountability, Economic Justice for Women, Environment, and Institutional Responsiveness. The DR Fund supports the RFF's National Coal Program (NCP), created in 2008 to combat climate change, to promote the transition to a clean energy economy in the United States, and to encourage other foundations to join in the effort to halt the development of new coal-fired power plants.

Sustainable Endowments Institute Cambridge, MA \$25,000
Billion Dollar Green Challenge

Founded in 2005, The Sustainable Endowments Institute (SEI) seeks to harness the power of energy efficiency as a low-cost energy resource. Through its annual Sustainability Report Card, which researches and evaluates sustainability programs and policies at more than 322 schools in the US and Canada, SEI has learned that less than 5% of the surveyed schools' building space—45,000 buildings—have been retrofitted to achieve significant energy and water savings. As a result, SEI has initiated “The Billion Dollar Green Challenge” that focuses on the benefits of energy efficiency as a smart investment strategy for Universities. The Initiative will advocate for colleges and universities to invest a combined total of one billion dollars, within two years, in self-managed “Green Revolving Funds” (GRFs) that will allow schools to achieve sizable energy savings and reduce greenhouse gas emissions.

COMMUNITY

The Community Program (previously the Citizenship Program) provides support for over 80 charitable and public organizations in communities where David Rockefeller lives outside of New York City: principally Mt. Desert Island, Maine; the Tarrytown area of Westchester County; New York, and the Livingston area of Columbia County, New York. All grants in the Citizenship program are for general operating support unless otherwise noted.

In 2011, 86 grants were awarded to 82 organizations totaling \$255,250

The Fund does not accept unsolicited proposals for this program.

MAINE

46 grants to 43 organizations totaling \$119,500

Abbe Museum	\$ 1,000
Exhibitions and programs focus on the Native American tradition in Maine and the broader Native American experience, past and present.	
Acadia Family Center	2,500
Provides education, prevention and treatment programs through the Acadia Family Center.	
Bar Harbor Fire Department	1,500
Bar Harbor Food Pantry	1,000
Provides food and other household products for an average of 120 families and individuals per month.	
Bar Harbor Music Festival	1,500
Presents a summer concert series and provides performance opportunities for young musicians.	
The Boy Scouts of America - Katahdin Area Council	1,000
Serves 9,000 scouts and leaders in six counties in Northeastern Maine.	
College of the Atlantic	5,000
A small liberal arts college offering an interdisciplinary program in Human Ecology.	

College of the Atlantic - Field Studies	1,000
A summer experiential educational program.	
Community Health & Counseling Services	2,500
Provides home health care and mental health services.	
Downeast Horizons	2,500
Serves people with developmental disabilities with assisted living and employment training programs.	
Farnsworth Art Museum & Wyeth Center	2,500
A Rockport, Maine museum dedicated to telling the story of Maine's role in the history of American art.	
Friends of Acadia	10,000
Works to protect the environmental, cultural, and historical heritage of Acadia National Park.	
Friends of Acadia - Youth Conservation Corps	1,000
Summer work program for local teens and college students to restore trails and monitor park conditions.	
Wendell Gilley Museum	1,500
Established to preserve and display woodcarvings of birds by Wendell Gilley.	
Girls Scouts of Maine	1,000
Serves more than 12,000 girls between the ages of 5 and 17 throughout the state of Maine.	
Great Harbor Maritime Museum	1,000
Offers exhibits on Maine, wooden boats and boat builders, and provides programs for local youth.	
H.O.M.E.	3,000
Provides shelter, counseling, education, job, and home ownership opportunities for residents of rural Maine.	
Island Institute	2,500
Programs support Maine's year-round island communities and protect its coastal environment.	

The Jackson Laboratory	5,000
A leading genetic research facility using mouse models to study cancer, diabetes, and other diseases.	
Kids' Corner Community Child Care	1,500
Provides infant and childcare services.	
Maine Coast Heritage Trust	15,000
Protects coastal and other lands important to Maine's distinct character, landscape, and environment.	
Maine Lighthouse Corporation	1,000
Support for addiction services in Mount Desert Communities.	
Maine Medical Center	2,000
The largest teaching hospital in Maine.	
Maine Public Broadcasting	5,000
Provides national radio and television programming for Maine residents.	
Maine Sea Coast Mission	2,500
Brings religious and counseling services, as well as food and supplies, to isolated island communities.	
Mt. Desert Festival of Chamber Music	1,000
Summer music festival offering a series of concerts on Mt. Desert Island.	
Mt. Desert Fire and Rescue Association	3,000
Mt. Desert Island Biological Laboratory	2,500
Studies marine organisms for biomedical research and offers programs for young scientists.	
Mt. Desert Island Hospital	5,000
The primary health care facility on Mt. Desert Island.	
Mt. Desert Island YMCA	3,500
Offers a wide range of community services, recreation, and education programs.	
Mt. Desert Medical Center	1,500
Provides health care on Mt. Desert Island during busy summer months.	

Mt. Desert Nursery School & Daycare Year-round day-care services.	1,000
Mt. Desert Nursing Association Provides home health care for residents of Mt. Desert.	3,500
Mt. Desert Summer Chorale Music performance group open to all residents of the Island.	1,000
Natural Resources Council of Maine Develops public support for the preservation and restoration of Maine's natural environment.	2,500
Nature Conservancy - Maine Chapter Through land conservation and science, works to protect Maine's extensive natural resources.	2,500
The Neighborhood House Provides year-round recreational and educational programs for adults and young people ages 8-14.	1,500
Northeast Harbor Ambulance Service	500
Northeast Harbor Library Serves residents of Northeast Harbor and many of the surrounding areas.	1,500
Northeast Harbor Library Scholarship Program Awards financial aid to Mt. Desert high school students for college and vocational school.	2,500
Portland Museum of Art Major regional museum with collections that highlight Maine's unique artistic heritage.	1,000
Seal Harbor Library Association Small historic library sponsors community events as well as providing library services.	1,000
Seal Harbor Village Improvement Society Maintains local trails, the village green and beach, and small parks in Seal Harbor, Maine.	3,500
United Church of Christ Northeast Harbor & Seal Harbor; Union Church and Abby Chapel	5,000

Westside Food Pantry **1,000**

Provides families from Southwest Harbor, Tremont, and Mount Desert with vouchers that can be redeemed at local grocery stores.

Willowind Therapeutic Riding Center **1,000**

Offers therapeutic horseback riding programs for physically and developmentally disabled individuals.

MAINE TOTAL **\$ 119, 500**

WESTCHESTER

25 grants to 24 organizations totaling \$83,500

Boy Scouts of America Westchester-Putnam Council	\$1,000
Offers year-round scouting programs and summer camp facilities.	
Caramoor	2,000
Sponsors summer music festivals with leading artists and offers educational programs for children.	
Family Services of Westchester	5,000
Provides a broad range of counseling and support services for families and individuals.	
Family YMCA at Tarrytown	5,000
Provides a residence and services including childcare, recreation, and computer classes.	
Food Bank for Westchester	2,500
Distributes over 5 million pounds of donated, surplus, purchased food, and groceries annually.	
Friends of the Rockefeller State Park Preserve	2,500
Builds support for the Preserve’s programs and usage, and raises funds to help maintain the Park.	
Girl Scouts Heart of the Hudson	2,500
Offers year-round scouting programs and operates resident and day camps.	
Hilltop Engine Co., No. 1	1,000
Historic Hudson Valley	10,000
A leader in historic preservation and education, HHV operates six historic properties in the Hudson River Valley.	
Historic Hudson Valley, Summerweek	1,000
Offers a “living history” day camp program for children.	
Historical Society of the Tarrytowns	750
Preserves the history of the Tarrytown area through collections, publications, and displays.	

Kids' Club of Tarrytown & Sleepy Hollow	1,000
Offers a broad range of activities and services to at-risk kids in middle and high-school, including prepared lunches and after-school snacks, mentoring and leadership programs and a summer camp.	
Elizabeth Mascia Child Care Center	1,000
Provides day-care, after-school services, and an infant center with parenting classes for teen mothers.	
Open Door Family Medical Center North Tarrytown	5,000
Provides a broad range of health care services.	
Phelps Memorial Hospital Center	7,500
Acute care hospital providing medical and mental care.	
Planned Parenthood Hudson Peconic	5,000
With 13 medical centers in four counties, provides a full range of reproductive health care services.	
Puppies Behind Bars	2,500
Teaches prison inmates to train puppies that will become law enforcement and guide dogs.	
Salvation Army	2,500
Operates soups kitchens and food pantries for needy families at six community centers in Westchester.	
Scenic Hudson	5,000
Works to protect the Hudson River's scenic landscapes and cultural and historic resources.	
Teatown Lake Reservation	1,500
The largest private preserve in Westchester, offering hiking trails, exhibits, and family programs.	
Union Church of Pocantico Hills	5,000
Beautiful church known for its windows by Chagall and Matisse.	
United Way of the Tarrytowns	10,000
Supports affordable housing, child-care, job training, and youth development programs at local agencies.	

Volunteer and Exempt Firemen's Association of Sleepy Hollow, NY	750
Warner Library of the Tarrytowns Provides traditional library and community programs.	1,500
Westchester Land Trust Preserves open space in Westchester County through community education, zoning, and easements.	1,500
WESTCHESTER COUNTY TOTAL	\$ 83,500

COLUMBIA
COUNTY

*12 grants to 12
organizations
totaling \$17,500*

Churchtown Fire Company # 1	\$ 500
Columbia-Greene Hospital Foundation Supports the hospital and its ten family care centers.	2,500
Columbia Land Conservancy Protects and preserves farmland, wildlife habitat, scenic lands, and open space.	5,000
Germantown Library Serves Germantown and the surrounding communities.	1,000
Germantown Hose Company # 1	500
Livingston Free Library Serves the Livingston community.	1,000
Livingston Pumper Co., No. 1	1,000
Mission Control Traveling Pantry A small Church-based food bank that operates six days a week in Hudson, New York.	1,000
Olana Partnership Maintains the residence and studio of Hudson River School artist, Frederic Church.	1,000
Taghkanic Volunteer Fire Company	500
United Way of Columbia & Greene Supports a wide range of programs and services.	2,500
Zion Community Food Pantry Open twice monthly at Bliss Towers, serving 275 families at each opening.	1,000
COLUMBIA COUNTY TOTAL	\$ 17,500

FINANCIAL SUMMARY 2011

As of December 31, 2011, the unaudited asset value of The David Rockefeller Fund was \$4,294,661. Grants paid during 2011, including discretionary grants awarded by individual trustees, totaled \$1,426,000.

Arts	\$ 290,000
Criminal Justice	340,000
Environment	315,000
Community	118,500
Trustee & Family Giving	<u>250,500</u>
TOTAL GIVING	\$1,314,000

**BOARD OF
DIRECTORS &
STAFF**

DIRECTORS & OFFICERS

The David Rockefeller Fund's Board membership rotates every year and also includes non-family members

Ariana Rockefeller Bucklin
Eileen Growald
Stephen Heintz
David Kaiser, Chair
Chris Lindstrom
Marnie Pillsbury
Michael Quattrone
Abby Rockefeller
Clayton Rockefeller
David Rockefeller, Jr.
Nancy Rockefeller
Susan Rockefeller
Richard Salomon, Treasurer
James Sligar

STAFF

Marnie S. Pillsbury, Executive Director
Marianna S. Schaffer, Director of Programs
Ayo Roach, Grants Manager

ARTS

Apple Arts NYC	New York, NY	\$10,000
Artspace <i>El Barrio PS109 Project</i>	New York, NY	25,000
Casita Maria	Bronx, NY	15,000
Fund for Public Schools <i>Summer Arts Institute</i>	New York, NY	\$25,000
Groove With Me	New York, NY	\$15,000
Groundswell Community Mural Project	New York, NY	\$20,000
Hip Hop Theater Festival	New York, NY	\$15,000
Joyce Theater	New York, NY	\$15,000*
Queens Museum of Art	Queens, NY	\$40,000
New York University Tisch School of the Arts <i>Talent Identification Program</i>	New York, NY	\$15,000
The Public Theater <i>Mobile Shakespeare Program</i>	New York, NY	\$10,000
Studio Museum in Harlem <i>Expanding the Walls: Making Connections Between Photography, History and Community</i>	New York, NY	\$20,000
TOTAL AWARDED		\$225,000

* Indicates grants awarded under the previous program structure in place from 2001-2009

CRIMINAL JUSTICE

College and Community Fellowship The Fortune Society <i>Education from the Inside Out Coalition</i>	New York, NY	\$100,000 over 2 years
Common Ground <i>Brownsville Partnership – Youth Court</i>	Brooklyn, NY	\$50,000
The Dome Project	New York, NY	\$15,000*
Episcopal Social Services	New York, NY	\$15,000*
FSG Social Impact Advisors <i>Plan for New York State Juvenile Justice System</i>	Boston, MA	\$10,000
Horticultural Society of New York <i>GreenHouse/GreenTeam</i>	New York, NY	\$15,000*
John Jay College of Criminal Justice <i>Prison to College Pipeline</i>	New York, NY	\$100,000 over 2 years
Peace Alliance Educational Institute <i>Youth PROMISE Act</i>	Washington, DC	\$25,000
Vera institute of Justice <i>Center on Youth Justice-Brooklyn Initiative</i>	New York, NY	\$50,000
Voices UnBroken <i>Voices Behind Bars</i>	Bronx, NY	\$10,000*
WORTH Women on the Rise Telling Her Story	Bronx, NY	\$20,000
Youth Represent	New York, NY	\$50,000 over 2 years
TOTAL AWARDED		\$510,000

ENVIRONMENT

Added Value	Brooklyn, NY	\$25,000
Center for Working Families <i>Green Jobs/Green NY</i>	New York, NY	\$25,000
Community Labor United <i>Green Justice Coalition Campaign</i>	Boston, MA	\$40,000
Design Trust for Public Space <i>Five Borough Farm</i>	New York, NY	\$50,000
El Puente <i>Greenlight District Initiative</i>	Brooklyn, NY	\$25,000
Kentucky Coalition	London, KY	\$50,000
Rockefeller Family Fund <i>National Coal Program</i>	National	\$50,000
TOTAL AWARDED		\$265,000

COMMUNITY GRANTS

MAINE

Abbe Museum	\$ 1,000
Bar Harbor Fire Department	750
Bar Harbor Food Pantry	1,000
Bar Harbor Music Festival	1,500
The Boy Scouts of America - Katahdin Area Council	1,000
College of the Atlantic	5,000
College of the Atlantic - Field Studies	1,000
Community Health & Counseling Services	2,500
Downeast Horizons	2,500
Farnsworth Art Museum & Wyeth Center	2,500
Friends of Acadia	10,000
Friends of Acadia -Youth Conservation Corps	1,000
Wendell Gilley Museum	1,500
Girls Scouts of Maine	1,000
Great Harbor Maritime Museum	1,000
H.O.M.E.	3,000
Island Housing Trust	25,000
Island Institute	2,500
The Jackson Laboratory	5,000
Kids' Corner Community Child Care	1,500
Maine Coast Heritage Trust	15,000
Maine Lighthouse Corporation	1,000
Maine Medical Center	2,000
Maine Public Broadcasting	5,000
Maine Sea Coast Mission	2,500
M.D.I. Alcohol & Drug Abuse Group	2,500
M.D.I. Community Sailing Center	10,000
Mt. Desert Festival of Chamber Music	1,000
Mt. Desert Fire and Rescue Association	3,000
Mt. Desert Island Biological Laboratory	2,500
Mt. Desert Island Hospital	5,000
Mt. Desert Island YMCA	3,500
Mt. Desert Medical Center	1,500
Mt. Desert Nursery School & Daycare	1,000

Mt. Desert Nursing Association	3,500
Mt. Desert Summer Chorale	1,000
Natural Resources Council of Maine	2,500
Nature Conservancy - Maine Chapter	2,500
The Neighborhood House	1,500
Northeast Harbor Ambulance Service	500
Northeast Harbor Library	1,500
Northeast Harbor Library Scholarship Program	2,500
Portland Museum of Art	1,000
Seal Harbor Library Association	1,000
Seal Harbor Village Improvement Society	3,500
Town Hill Fire Company	500
United Church of Christ Northeast Harbor & Seal Harbor; Union Church and Abby Chapel	5,000
Westside Food Pantry	1,000
Willowind Therapeutic Riding Center	1,000
TOTAL	<u>\$ 154, 250</u>

WESTCHESTER COUNTY, NY

Boy Scouts of America, Westchester-Putnam Council	\$ 1,500
Caramoor	2,000
Family Services of Westchester	5,000
Family YMCA at Tarrytown	5,000
Food Bank for Westchester	2,500
Friends of the Rockefeller State Park Preserve	2,500
Girl Scouts Heart of the Hudson	2,500
Hilltop Engine Co., No. 1	1,000
Historic Hudson Valley	10,000
Historic Hudson Valley, Summerweek	1,000
Historical Society of the Tarrytowns	750
Kids' Club of Tarrytown & Sleepy Hollow	1,000
Elizabeth Mascia Child Care Center	1,000
Open Door Family Medical Center North Tarrytown	5,000
Phelps Memorial Hospital Center	7,500
Planned Parenthood Hudson Peconic	5,000
Puppies Behind Bars	2,500
Salvation Army	2,500
Scenic Hudson	5,000
Teatown Lake Reservation	1,500
Union Church of Pocantico Hills	5,000
United Way of the Tarrytowns	10,000
Volunteer and Exempt Firemen's Association of Sleepy Hollow	750
Warner Library of the Tarrytowns	1,500
Westchester Land Trust	1,500
TOTAL	<u>\$ 83,500</u>

COLUMBIA COUNTY, NY

Churchtown Fire Company # 1	\$ 500
Columbia-Greene Hospital Foundation	2,500
Columbia Land Conservancy	5,000
Germantown Library	1,000
Germantown Hose Company # 1	500
Livingston Free Library	1,000
Livingston Pumper Co., No. 1	1,000
Mission Control Traveling Pantry	1,000
Olana Partnership	1,000
Taghkanic Volunteer Fire Company No. 1	500
United Way of Columbia & Greene	2,500
Zion Community Food Pantry	1,000
TOTAL	<u>\$ 17,500</u>

FINANCIAL SUMMARY 2010

The unaudited asset value of The David Rockefeller Fund was \$4,727,715. Grants paid during 2010, including discretionary grants awarded by individual trustees and family team giving, totaled \$1,484,875.

Arts	\$ 225,000
Criminal Justice	460,000
Environment	300,000
Community	255, 250
Trustee & Family Giving	<u>247,625</u>
TOTAL	\$1,487,875

Audited Financial Statements available upon request.

