

"Philanthropy is involved with basic innovations that transform society, not simply maintaining the status quo or filling basic social needs that were formerly the province of the private sector."

- David Rockefeller

#### **ABOUT**

The David Rockefeller Fund was established in 1989 by David Rockefeller and his wife Peggy to carry out their annual charitable giving in communities where they had homes outside New York City. In 2001, David Rockefeller expanded the Fund and invited his children and grandchildren and their spouses to take a more active role in the Fund, with the idea of transferring to them his philanthropic legacy.

# GUIDING PRINCIPLES

In its work, the Fund seeks to address the root causes of problems, working both locally and on a broader policy level guided by the following principles:

#### FAMILY LEGACY

We seek to honor the philanthropic traditions and values exemplified by David and Peggy Rockefeller, recognizing the responsibility that we have to be active and engaged participants in our communities, as well as in the larger world.

#### RISK TAKING

We will not shy away from difficult social issues; indeed we believe that our greatest impact often results from taking on exactly those problems that more traditional funders tend to avoid.

#### LEVERAGE

As a small foundation committed to tackling big issues, we value collaboration, and work to identify those grantmaking opportunities where our family's tradition of philanthropy will help bring attention to difficult problems. We are committed to doing the greatest possible good with the resources we have.

#### RESPECT

We respect our grantees as equal partners in the work we do. Our general approach is to identify people and institutions doing important work and then support them in ways they will find most meaningful, without imposing undue burdens on them.

#### FLEXIBILITY

We recognize that one of our particular strengths as a family foundation is the ability to act quickly and be responsive to the real-time needs of our grantees.

#### SELF-EXAMINATION

Since we are committed both to taking risks and to achieving the greatest possible impact, we understand the importance of assessing our activities on a regular basis in the hope that our funding can make a demonstrable difference, both for the issues we care about and the nonprofit organizations we support.

## **GRANTMAKING PROGRAMS**

The David Rockefeller Fund currently has four primary program areas: Arts, Criminal Justice, Environment, and Community. The Community program supports more than 80 local nonprofit organizations in Maine and Westchester and Columbia Counties, New York, with grants ranging from \$500 to \$10,000.

The Arts program focuses on access and engagement; Criminal Justice promotes a more humane and fair criminal justice system; and Environment addresses climate change and local sustainability efforts. In 2012, 31 grants, totaling \$965,000, were awarded 31 organizations in these areas.

In addition to the program areas outlined above, the Fund underwrites a number of initiatives designed to encourage family members' individual philanthropic involvement and interests, as well as collaborative grantmaking by the family.

Grants listed in this report reflect awarded, not paid, gifts.

#### 2012

# BOARD OF DIRECTORS & STAFF

## **DIRECTORS & OFFICERS**

The David Rockefeller Fund's Board membership rotates every year and also includes non-family members.

Neva Goodwin Danny Growald Eileen Growald Lee Halprin Stephen Heintz David Kaiser Miranda Kaiser Rebecca Lambert Christopher Lindstrom Marnie Pillsbury Michael Quattrone Camilla Rockefeller Richard Rockefeller Susan Rockefeller James Sligar Krista Smith

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#### STAFF

Marnie Pillsbury, Executive Director Marianna Schaffer, Director of Programs Ayo Roach, Grants Manager

#### **ARTS**

#### **EMPHASIS**

Arts participation and access

#### GEOGRAPHIC FOCUS

#### New York City

"Art is important because it expands how we look at and understand the world. It can make us think beyond our own experience, and often, in our appreciation, we find things that link us in a common humanity."

-David Rockefeller

The arts are an indispensable part of human experience, building self-awareness, fostering creativity, and encouraging new ways of thinking. The goal of the Arts program is to enrich individual human experience and help strengthen communities by providing meaningful engagement with and access to the arts. The Fund provides support for organizations that give individuals who might not otherwise have the opportunity a chance to participate in the arts in a sustained and substantive way.

All grants are located in one of the five boroughs of New York City unless otherwise indicated.

The Fund does not accept unsolicited proposals for this program.

#### **Apple Arts NYC**

#### New York, NY

\$15,000

Apple Arts NYC provides free, weekly creative arts education workshops during after-school hours for children in two homeless shelters in New York City. Programs range in disciplines from visual to performing arts and other creative mediums. Led by volunteer teaching artists, who are trained to nurture and encourage each participant's creative spirit, Apple Arts NYC gives individualized attention and an opportunity to engage in the arts to youth living in challenging conditions.

#### **Artists Striving to End Poverty**

New York, NY

\$15,000

ASTEP uses the arts as a tool to foster creativity and empower underserved youth. In New York City, ASTEP partners with the International Rescue Committee (IRC) Refugee Youth Program (RYP) to provide arts programming to refugee children under the age of 18, many of whom struggle with poverty, discrimination, disruption in or lack of education, and separation from family and peers. ASTEP's arts programs are designed to help break down communication barriers to enable refugee youth to improve English language skills, academic abilities, and social and emotional behaviors. Programs are taught by a volunteer base of established and emerging artists.

#### **Bronx Museum**

Bronx, NY

\$25,000

**Education Programs** 

The Bronx Museum of the Arts (BxMA) is a contemporary art museum located in the South Bronx. An important anchor in the community, the Museum is committed to providing opportunities for arts training and programming for children, youth, families, and teachers that are otherwise unavailable in the Bronx. The DR Fund provides support for the Museum's Arts Education programming, including two new programs: the Community Advisory Council (CAC), a 29-member volunteer group of Bronx residents; and Bronx Stories, a public performing arts program that features professional artists and local residents.

# Brooklyn Academy of Music (BAM) Brooklyn, NY

\$50,000 over 2 years

BAM is a performing and cinema arts center that offers a broad range of cultural programs in theater, dance, music, opera, and cinema. In addition to its regular arts and cultural schedule, BAM provides extensive in-school workshops, school-time performances, and after-school and family programs. The DR Fund supports BAM's arts education programming that serves (along with their teachers and families) 25,000 underserved K-12th grade students from approximately 200 New York City schools. This grant supports the expanded programming in the new Richard B. Fisher building.

# Carter Burden Center for the Aging New York, NY Gallery 307

\$10,000

Executive Director Discretionary Grant

The Carter Burden Center for the Aging (CBCA) promotes the well-being and quality of life of seniors 60 and older through a broad continuum of services, advocacy and volunteer programs for them, their families and communities. Gallery 307 is located in Chelsea and is a special initiative of the Center aimed at making seniors aware of creative possibilities and potential in later life. Since 2007, the gallery has provided re-emerging older professional artists with studio and exhibition space where their art is showcased for the general public and broader arts community.

#### **Fund for Public Schools**

New York, NY

\$20,000

Summer Arts Institute

The Summer Arts Institute serves 300 New York City public school students, in grades 8 through 12, who demonstrate promise in one of six art forms (dance, drama, instrumental music, vocal music, photography or visual arts). Students, who are selected through a formal application and audition process, are instructed by master arts teachers and professional teaching artists in an extensive fourweek program. Participants come from all five boroughs and represent the City's diverse population. The Institute is administered by the New York Department of Education and is designed in partnership with the American Ballet Theatre, Theatre for a New Audience, Tribeca Film Institute, and Young Audiences New York.

# Groove With Me New York, NY \$15,000

Groove With Me is a free dance school in East Harlem serving 270 girls ages 7-18 with more than 30 weekly classes in Hip Hop, Tap, Ballet, Funk, Jazz, and Nia (a blend of dance, martial, and healing arts). There are no registration criteria except living in the community and committing to regular class attendance. The program engages girls in a non-judgmental environment where they can be valued for their abilities. Children do not have to pay for class, shoes, trips, costumes, and tickets. Groove With Me also provides space for participants to spend after-school time before and after class.

# **Groundswell Community Mural Project**

New York, NY

\$40,000 over 2 years

Using art as a tool for social change, Groundswell Community Mural Project brings together young people, professional artists, and communities to create public mural art that revitalizes communities, addresses social justice issues, and creates awareness about community problems in under-represented neighborhoods of New York City. The Fund supports Groundswell's Summer Leadership Institute as well as its Making His'tory program, which is designed for young men ages 14-21 (half of whom are court-involved) who work for nine months to develop and implement a large-scale mural project with a theme of their choosing.

# **Laundromat Project**

New York, NY

\$15,000

The Laundromat Project (LP) organizes arts programs in and around laundromats throughout New York City, engaging people in formal art-making activities by bringing art to where communities living on low incomes already gather the laundromat. Since 2006, LP has produced 16 public art projects in 25 laundromats through its two principal programs, Works in Progress and Create Change.

# Mayor's Fund to

**Advance New York City** 

New York, NY

\$20,000

New York City Housing Authority (NYCHA) Youth Chorus

NYCHA is the nation's largest and oldest public housing organization, providing affordable housing to over 600,000 low-and moderate-income residents in all five boroughs of New York City. The NYCHA Youth Chorus, which was established in 1997 for NYCHA residents ages 9 to 21, offers free after-school vocal training, music instruction and choreography.

# **Metropolis Ensemble**

New York, NY

\$20,000

Youth Works

over 2 years

The Metropolis Ensemble is a flexible-format chamber orchestra focusing on commissioning and performing new works by emerging composers. The Fund supports the Ensemble's Youth Works program, which enlists ten ensemble composers and performers to teach children in the upper elementary grades and middle school about music, helping them to compose their own works.

# New York Community Trust Hive Digital Media Learning Fund

New York, NY

\$25,000

The Hive Digital Media Learning Fund is a donor collaborative, created in December 2010, to bring together grantmaking organizations in support of New York City cultural institutions that are developing high-quality digital media literacy and learning programs for youth. Projects are awarded funding from the collaborative based on their ability to demonstrate effective digital media learning strategies that can be adopted by others so that more youth may benefit; to promote collaboration among organizations so that resources and expertise are shared; and to increase awareness of the benefits of digital media and learning among leaders in cultural and educational organizations and philanthropy.

#### Queens Museum of Art

Queens, NY

\$30,000

The Queens Museum of Art presents artistic and educational programs and exhibitions that directly relate to the contemporary urban life of its constituents. One in two of the Museum's visitors and/or program participants is foreign-born, and many are low-income. The DR Fund provides support for the Museum's education programs, which are at the center of their outreach efforts to be an accessible resource for the surrounding neighborhoods. This grant specifically supports Inviting Institutions, a program that applies art and behavior therapy approaches to a set of community-based activities and services for families with children who have an Autism Spectrum Disorder.

# CRIMINAL JUSTICE

#### **EMPHASIS**

Criminal justice reform and re-entry services

# GEOGRAPHIC FOCUS

# National & New York City

"The mood and temper of the public in regard to the treatment of crime and criminals is one of the most unfailing tests of the civilisation of any country."

-Winston Churchill

The U.S. incarceration rate is the highest in the world, either as a percentage of population or in absolute numbers. Approximately 3 million people are now behind bars, and there are approximately 17.5 million admissions to jail or prison annually. African-Americans represent just 13% of the general population, but account for 49% of the prison and jail population. The recidivism rate is equally alarming, with two-thirds of those released from U.S. jails and prisons sent back within three years.

The goal of the Criminal Justice program is to promote a more fair and humane criminal justice system in the United States and New York City. With an emphasis on criminal justice reform and reentry services, the Fund supports organizations that advocate on behalf of prison reform and a rethinking of our current incarceration philosophy. It also supports nonprofits that provide pre- and post-release services, with a focus on family and community reintegration.

The Fund does not accept unsolicited proposals for this program.

# Advocates for Children of New York Brooklyn, NY School Justice Project

\$20,000

Founded in 1971, Advocates for Children (AFC) is one of the only agencies in New York City wholly dedicated to ensuring equal access to quality public education for the City's most impoverished and vulnerable families. AFC's staff of attorneys and education specialists help children and youth, from birth to age 21, and their families advocate for their educational rights by providing free legal and advocacy services, including individual representation at school-related hearings and appeals. This grant supports the School Justice Project, an initiative that provides services for youth involved in the criminal justice system.

#### **Center for Court Innovation**

Brooklyn, NY

\$30,000

Brownsville Youth Court

The Center for Court Innovation (CCI) was founded as a public/private partnership between the New York State Unified Court System and the Fund for the City of New York. CCI helps the justice system aid victims, reduce crime, strengthen neighborhoods, and improve public trust in justice. The Brownsville Youth Court is CCI's newest program. Modeled after CCI's successful youth courts in Harlem and Red Hook, the Youth Court aims to empower young people to effect change in their community. Using positive peer pressure, the Youth Court trains local teenagers to serve as jurors, judges, and advocates, and to hear real cases involving their peers. Youth Court participants are recruited to address two primary problems: the absence of productive after-school youth leadership opportunities; and the lack of meaningful alternatives to the justice system for low-level offenders.

# College and Community Fellowship Brooklyn, NY Education from the Inside Out Coalition

\$70,000 over 2 years

The Education from the Inside Out Coalition seeks to remove barriers to higher education for men and women in prison. Established in 2008, the Coalition is led by College and Community Fellowship and the Fortune Society, and includes representatives from over 15 organizations working in the field of education and criminal justice, as well as academics and other important stakeholders. Members of the Coalition work collaboratively to encourage key policymakers to reinstate eligibility for Pell Grants to individuals who are currently incarcerated.

#### **Detention Watch Network**

# **Washington DC**

\$20,000

The Detention Watch Network (DWN) is the only national coalition that focuses exclusively on immigration detention and enforcement issues. Its 90 organizational members and over 100 individual members from around the country work together to educate the public and policymakers about the injustices of the U.S. immigration detention and deportation system. They do this through collaborative advocacy, public education, communications, and field and network building. DWN members rely on the Network to support collaborative activities, create tools to amplify their impact, and help bring detention and enforcement realities to policymakers in Washington.

## **Fortune Society**

Queens, NY

\$40,000

Better Living Center

A leading re-entry service organization, the Fortune Society serves as a primary resource for over 3,000 formerly incarcerated New York City men and women annually. Fortune provides a holistic "one-stop" model of comprehensive supportive services including housing; substance abuse treatment; HIV-specific health services; employment services; education; discharge planning; benefits enrollment; case management; and lifetime aftercare. The DR Fund supports Fortunes's "Better Living Center," an initiative that provides licensed professional outpatient mental health services on-site at their Queens headquarters.

# **Getting Out & Staying Out**

New York, NY

\$25,000

Getting Out & Staying Out is a mentoring program that offers a distinct group of services for young men between the ages of 18 and 24 who are transitioning from incarceration back into daily life. The GO&SO program model has three goals: to further participants' education in GED programs, colleges, and trade schools; to secure directed employment which will lead to a satisfying career; and to achieve early release from parole and probation when appropriate.

# John Jay College of Criminal Justice Queens, NY Prisoner Reentry Institute

\$100,000 over 2 years

Established in 2005, as part of John Jay College of Criminal Justice, the Prisoner Reentry Institute works to spur innovation and improve practices in the field of prisoner reentry. The DR Fund provides support for the Prison to College Pipeline program, a multi-year demonstration initiative that offers college courses at state prisons, credit for which can be transferred to any CUNY school upon a prisoner's release. The initiative seeks to increase the number of incarcerated and formerly incarcerated people who go to college and succeed there. It also serves as a model for the vital role that public universities can play in using higher education to promote successful prisoner reentry.

## **Sentencing Project**

# Washington, D.C.

\$30,000

The Sentencing Project (SP), works throughout the United States for a fair and effective criminal justice system by promoting reforms in sentencing policy, addressing unjust racial disparities, and advocating for alternatives to incarceration. The DR Fund provides general opearating support for the SP's work reducing the number of people in prison in the US; racial injustice and disparities; the elimination of sentences of juvenile life-without-parole, and felony disenfranchisement leading up to the 2012 election.

# **Youth Represent**

# New York, NY

\$70,000 over 2 years

Youth Represent provides juvenile defender representation and advocacy for young people age 24 and under in New York City. Youth Represent also addresses other problems that may stem from involvement in the criminal justice system, such as a young person's ability to find housing or employment. Because Youth Represent defines youth by age rather than by statute or jurisdiction, it is able to cross jurisdictional boundaries to represent youth in both the family and adult criminal justice systems. Youth Represent's Community Youth Reentry Project integrates reentry legal services at other social service organizations in their communities. Through these partnerships, Youth Represent has been able to provide legal services to over 400 participants in the past year.

#### **ENVIRONMENT**

#### **EMPHASIS**

Reduction of greenhouse gas emissions, energy conservation, and sustainability

# GEOGRAPHIC FOCUS

# National and local Northeastern communities

"The care of the Earth is our most ancient and most worthy, and after all our most pleasing responsibility.
To cherish what remains of it and to foster its renewal is our only hope."

-Wendell Berry

With just 5% of the world's population, the U.S. consumes 25% of all global energy resources. On average, each American home emits 23,000 pounds of carbon dioxide annually. Concentrations of carbon dioxide are now higher than at any time in the past 700,000 years. Clearly, this is not sustainable.

The goal of the Environment program is to promote a healthier, more sustainable planet by addressing issues related to climate change. The Fund supports organizations that advocate for the reduction of greenhouse gas emissions and highlight the negative effects of excessive carbon consumption in the U.S. Grantmaking is focused on combating the use of coal and its related community impacts and promoting energy efficiency measures. The Fund also supports community-based activities that help strengthen self-reliance and increase access to local food and energy resources.

The Fund does not accept unsolicited proposals for this program.

# Added Value Brooklyn, NY \$20,000

Added Value promotes the sustainable development of Red Hook in South Brooklyn. Founded in 2001 to address the lack of meaningful educational and employment opportunities in the area, Added Value provides teenagers living in this largely industrial community the opportunity to help operate its 2.75 acre working farm. Each year, 40 young people between the ages of 14 and 19 work on the farm, are trained to develop related business ventures, and do community advocacy and outreach on environmental and food justice issues. Participants are paid a stipend that for many families represents a meaningful increase in income.

# **Center for Working Families**

New York, NY

\$25,000

Green Jobs/Green NY

The Center for Working Families (CWF) collaborates with community-based organizations, policy advocates, labor unions, environmental organizations, and elected officials across New York State. The DR Fund's grant supports CWF's involvement in the implementation of Green Jobs/Green NY (GJGNY), the largest residential retrofit program ever initiated in the United States. Fully implemented, the program will reduce energy consumption in one million homes over five years by 30% to 40 and save New York households more than \$1 billion annually in energy costs.

## **Community Labor United (CLU)**

Boston, MA

\$25,000

Green Justice Coalition Campaign

Community Labor United (CLU) is a non-profit community-based organization that works with other organizations and labor unions to promote the interests of low- and middle-income working families in the greater Boston area and the state of Massachusetts. The DR Fund's grant supports the CLU's *Green Justice Coalition Campaign*. Since 2008, the Campaign has been working to expand energy efficiency and weatherization programs for working class and lower-income communities that have traditionally been left out of the green market economy. By insisting on job standards and local hiring requirements, the program will create living wage jobs for new and existing workers, as well as establish career ladder training programs to connect new workers from lower-income communities and communities of color.

# Environmental Integrity Project Washington, DC \$25,000

The Environmental Integrity Project is a non-partisan organization that works to promote more effective enforcement of federal environmental laws in three key areas: coal-fired power plants, factory farms, and petroleum refineries. The Fund supports EIPs work to assess options for challenging coal export expansions planned for the Gulf Coast and the Port of Baltimore in partnership with the Sierra Club, Gulf Restoration Network, Air Alliance Houston, Public Citizen and Southern Environmental Law Center.

#### **Green Guerillas**

## Brooklyn, NY

\$15,000

Harvest for Neighborhoods Campaign

Green Guerillas (GG) is a community-based organization that provides targeted services to 300 community garden groups in New York City. The DR Fund supports the Harvest for Neighborhoods Campaign, an initiative to strengthen food-growing community gardens in Central Brooklyn and the Bronx, where more than a third of residents live in poverty in areas known as "food deserts."

# Groundswell Washington, D \$50,000

Greenlight District Initiative

Groundswell recruits community organizations into collective purchasing groups as a way to leverage their energy purchasing power. The DR Fund supports Groundswell's Community Energy Purchase initiative and Weatherize DC, a neighborhood weatherization project. The Community Energy Purchase initiative organizes-faith and mission-based institutions to purchase renewably sourced energy together. By buying together, institutions in the collective are able to pay significantly lower rates for electricity while also creating benefits for the community and environment.

# **Kentucky Coalition**

#### London, KY

\$50,000

The Kentucky Coalition is the 501(c)3 arm of Kentuckians for the Commonwealth, a non-partisan statewide citizens' organization. The Coalition uses direct action to challenge unfair political, economic and social systems in Kentucky. It does this through a number of initiatives including running advocacy programs that promote energy-efficiency and renewable energy, opposing the construction of new coal-burning power plants; and addressing the region's over-reliance on coal to drive its economy.

# Mountain Association for Community Development (MACED) Berea, KY

\$25,000

Established in 1976, MACED seeks to establish a sustainable regional economy that meets the needs of low-income people and protects natural resources in Central Appalachia. MACED's hybrid model combines enterprise development, research and policy, and demonstration projects to model alternatives to the region's current approaches to energy and economy. As part of its programs, MACED operates a revolving loan fund for energy efficiency and economic development projects in the region.

# Sustainable Endowments Institute Cambrdige, MA \$25,000 Billion Dollar Green Challage

Founded in 2005, The Sustainable Endowments Institute (SEI) seeks to harness the power of energy efficiency as a low-cost energy resource. Through its annual Sustainability Report Card, which researches and evaluates sustainability programs and policies at more than 322 schools in the US and Canada, SEI has learned that less than 5% of the surveyed schools' building space—45,000 buildings—have been retrofitted to achieve significant energy and water savings. As a result, SEI has initiated "The Billion Dollar Green Challenge" that focuses on the benefits of energy efficiency as a smart investment strategy for Universities. The Initiative will advocate for colleges and universities to invest a combined total of one billion dollars, within two years, in self-managed "Green Revolving Funds" (GRFs) that will allow schools to achieve sizable energy savings and reduce greenhouse gas emissions.

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#### **EMERGENCY GRANTS**

#### HURRICANE SANDY SUPPORT

Hurricane Sandy took a huge toll on New York City and surrounding areas. More than 650,000 people lost power and the surge from the hurricane pushed water levels to record highs, causing flooding that quickly devastated ocean side communities. In response to the storm's impact, the David Rockefeller Fund made four emergency grants totaling \$20,000 to current and former grantees.

Added Value | \$7,500 Center for Court Innovation, Red Hook Justice Center | \$5,000 Solar One | \$2,500 New York Foundation for the Arts | \$5,000

## JUDICIOUS EXCEPTION GRANTS

# ADIRONDACK COMMUNITY TRUST | \$10,000

North Country Public Radio Prison Time Series

North Country Public Radio (NCPR) has been on the air since 1968 and now serves one-third of New York State, including the Adirondack North Country, as well as northwestern Vermont and southeastern Ontario. This grant supports a yearlong series called Prison Time led by journalist Brian Mann. The series will focus on New York State's forty-year history of mass-incarceration, specifically exploring how those policies have affected communities, families, and individuals from New York City to Buffalo. Prison Time will also examine the moral, economic, racial, cultural, and criminal justice implications of this period of the State's prison policy history.

# New York Juvenile Justice Initiative (NYJJI) | \$2,500

The New York Juvenile Justice Initiative (NYJJI) is a network of philanthropic organizations and donors, founded in 2010, interested in transforming juvenile justice policies and practices in New York for justice-involved youth. Through coordination, collaboration and information-sharing, NYJJI helps its member organizations to strategically and effectively support this goal.

# North Star Fund | \$5,000

Community Food Funders Network

Community Food Funders (CFF) Network is a philanthropic collaborative coordinated by the North Star Fund. Formed in 2011, CFF provides resources and networking opportunities for funders in the New York, New Jersey, and southern Connecticut region to invest in the transition to an equitable, ecologically sound, and sustainable regional food system.

#### **COMMUNITY**

The Community program provides support for over 80 charitable and public organizations in communities where David Rockefeller lives outside of New York City: principally Mt. Desert Island, Maine; the Tarrytown area of Westchester County; New York, and the Livingston area of Columbia County, New York. All grants in the Community program are for general operating support unless otherwise noted.

*In 2012, 83 grants were awarded to 80 organizations totaling \$220,500.* 

The Fund does not accent uncolicited proposals for this program

MAINE		1,000
	Exhibitions and programs focus on the Native	
47 grants to 44 organizations	American tradition in Maine and the broader Native American experience, past and present.	
totaling \$120, 500	Acadia Family Center	2,500
	Provides education, prevention and treatment programs through the Acadia Family Center.	,0
	Bar Harbor Fire Department	1,500
	<b>Bar Harbor Food Pantry</b> Provides food and other household products for an average of 120 families and individuals per month.	1,000
	<b>Bar Harbor Music Festival</b> Presents a summer concert series and provides performance opportunities for young musicians.	1,500
	The Boy Scouts of America - Katahdin Area Council	1,000
	Serves 9,000 scouts and leaders in six counties in Northeastern Maine.	
	College of the Atlantic	5,000

A small liberal arts college offering an interdisciplinary program in Human Ecology.

College of the Atlantic - Field Studies A summer experiential educational program.	1,000
Community Health & Counseling Services Provides home health care and mental health services.	2,500
<b>Downeast Horizons</b> Serves people with developmental disabilities with assisted living and employment training programs.	2,500
<b>Farnsworth Art Museum &amp; Wyeth Center</b> A Rockport, Maine museum dedicated to telling the story of Maine's role in the history of American art.	2,500
<b>Friends of Acadia</b> Works to protect the environmental, cultural, and historical heritage of Acadia National Park.	10,000
Friends of Acadia - Youth Conservation Corps Summer work program for local teens and college students to restore trails and monitor park conditions.	1,000
Wendell Gilley Museum Established to preserve and display woodcarvings of birds by Wendell Gilley.	1,500
<b>Girls Scouts of Maine</b> Serves more than 12,000 girls between the ages of 5 and 17 throughout the state of Maine.	1,000
<b>Great Harbor Maritime Museum</b> Offers exhibits on Maine, wooden boats and boat builders, and provides programs for local youth.	1,000
<b>H.O.M.E.</b> Provides shelter, counseling, education, job, and home ownership opportunities for residents of rural Maine.	3,000
<b>Island Institute</b> Programs support Maine's year-round island communities and protect its coastal environment.	2,500
Island Readers & Writers (one-time) Reading-centered programs for children living on Maine's coastal islands.	1,000

The Jackson Laboratory A leading genetic research facility using mouse models to study cancer, diabetes, and other diseases.	5,000
<b>Kids' Corner Community Child Care</b> Provides infant and childcare services.	1,500
Maine Coast Heritage Trust Protects coastal and other lands important to Maine's distinct character, landscape, and environment.	5,000
MDI Community Sailing Center (one-time) Provides community access to sailing instruction programs for year-round students of all ages.	1,000
Maine Medical Center The largest teaching hospital in Maine.	2,000
Maine Public Broadcasting Provides national radio and television programming for Maine residents.	5,000
Maine Sea Coast Mission Brings religious and counseling services, as well as food and supplies, to isolated island communities.	2,500
Mt. Desert Festival of Chamber Music Summer music festival offering a series of concerts on Mt. Desert Island.	1,000
Mt. Desert Fire and Rescue Association	3,000
Mt. Desert Island Biological Laboratory Studies marine organisms for biomedical research and offers programs for young scientists.	2,500
Mt. Desert Island Hospital The primary health care facility on Mt. Desert Island.	5,000
Mt. Desert Island YMCA Offers a wide range of community services, recreation, and education programs.	3,500
Mt. Desert Medical Center Provides health care on Mt. Desert Island during busy summer months.	1,500

Mt. Desert Nursery School & Daycare Year-round day-care services.	1,000
Mt. Desert Nursing Association Provides home health care for residents of Mt. Desert.	3,500
Mt. Desert Summer Chorale Music performance group open to all residents of the Island.	1,000
Natural Resources Council of Maine Develops public support for the preservation and restoration of Maine's natural environment.	2,500
Nature Conservancy - Maine Chapter Through land conservation and science, works to protect Maine's extensive natural resources.	2,500
The Neighborhood House Provides year-round recreational and educational programs for adults and young people ages 8-14.	1,500
Northeast Harbor Ambulance Service	500
Northeast Harbor Library Serves residents of Northeast Harbor and many of the surrounding areas.	1,500
Northeast Harbor Library Scholarship Program Awards financial aid to Mt. Desert high school students for college and vocational school.	2,500
<b>Portland Museum of Art</b> Major regional museum with collections that highlight Maine's unique artistic heritage.	1,000
<b>Seal Harbor Library Association</b> Small historic library sponsors community events as well as providing library services.	1,000
<b>Seal Harbor Village Improvement Society</b> Maintains local trails, the village green and beach, and small parks in Seal Harbor, Maine.	3,500
United Church of Christ Northeast Harbor & Seal Harbor; Union Church and Abby Chapel	5,000

# **Westside Food Pantry**

1,000

Provides families from Southwest Harbor, Tremont, and Mount Desert with vouchers that can be redeemed at local grocery stores.

# Willowind Therapeutic Riding Center

1,000

Offers therapeutic horseback riding programs for physically and developmentally disabled individuals.

MAINE TOTAL

\$120, 500

#### WESTCHESTER

24 grants made to **Boy Scouts of America** \$ 1,500 24 organizations Westchester-Putnam Council totaling \$82,500 Offers year-round scouting programs and summer camp facilities. Caramoor 2,000 Sponsors summer music festivals with leading artists and offers educational programs for children. **Family Service of Westchester** 5,000 Provides a broad range of counseling and support services for families and individuals. Family YMCA at Tarrytown 5,000 Provides a residence and services including childcare, recreation, and computer classes. Food Bank of Westchester 2,500 Distributes over 5 million pounds of donated, surplus, purchased food, and groceries annually. Friends of the Rockefeller 1,500 State Park Preserve Builds support for the Preserve's programs and usage, and raises funds to help maintain the Park. Girl Scouts of Heart of the Hudson 2,500 Offers year-round scouting programs and operates resident and day camps.

Hilltop Engine Co., No. 1

**Historic Hudson Valley** 

# Hudson River Valley. Historical Society of the Tarrytowns Preserves the history of the Tarrytown area

A leader in historic preservation and education, HHV operates six historic properties in the

through collections, publications, and displays.

1,000

10,000

Elizabeth Mascia Child Care Center Provides day-care, after-school services, and an infant center with parenting classes for teen mothers.	\$1,000
Open Door Family Medical Center North Tarrytown Provides a broad range of health care services.	5,000
Phelps Memorial Hospital Center Acute care hospital providing medical and mental care.	7,500
<b>Planned Parenthood Hudson Peconic</b> With 13 medical centers in four counties, provides a full range of reproductive health care services.	5,000
<b>Puppies Behind Bars</b> Teaches prison inmates to train puppies that will become law enforcement and guide dogs.	2,500
Salvation Army Operates soups kitchens and food pantries for needy families at six community centers in Westchester.	2,500
Scenic Hudson Works to protect the Hudson River's scenic landscapes and cultural and historic resources.	5,000
<b>Teatown Lake Reservation</b> The largest private preserve in Westchester, offering hiking trails, exhibits, and family programs.	1,500
Union Church of Pocantico Hills Beautiful church known for its windows by Chagall and Matisse.	5,000
United Way of the Tarrytowns	10,000
Volunteer and Exempt Firemen's Association of Sleepy Hollow, NY	750
Warner Library of the Tarrytowns Provides traditional library and community programs.	1,500

# **Westchester Land Trust**

1,500

Preserves open space in Westchester County through community education, zoning, and easements.

# WESTCHESTER COUNTY TOTAL

\$ 82,500

# COLUMBIA COUNTY

12 grants to 12 organizations totaling \$17,500

Churchtown Fire Company	\$500
Columbia-Greene Hospital Foundation Supports the hospital and its ten family care centers.	2,500
Columbia Land Conservancy Protects and preserves farmland, wildlife habitat, scenic lands, and open space.	5,000
<b>Germantown Library</b> Serves Germantown and the surrounding communities.	1,000
Germantown Hose Company # 1	500
<b>Livingston Free Library</b> Serves the Livingston community.	1,000
Livingston Pumper Co., No. 1	1,000
Mission Control Traveling Pantry A small Church-based food bank that operate six days a week in Hudson, New York.	<b>1,000</b>
Olana Partnership Maintains the residence and studio of Hudson River School artist, Frederic Church.	<b>1,000</b>
Taghkanic Volunteer Fire Company	500
United Way of Columbia & Greene Supports a wide range of programs and services.	2,500
<b>Zion Community Food Pantry</b> Open twice monthly at Bliss Towers, serving 275 families at each opening.	1,000
COLUMBIA COUNTY TOTAL	\$ 17,500

# FINANCIAL SUMMARY 2012

As of December 31, 2012, the unaudited asset value of The David Rockefeller Fund was \$4,851,092.

Grants paid during 2012, including discretionary grants awarded by individual trustees, totaled \$1,312,500.

		AWARDED	PAID
Arts	13	300,000	245,000
Criminal Justice	9	405,000	285,000
Environment	9	260,000	260,000
Judicious Exception	7	37,500	37,500
Team	3	35,000	35,000
Community			
Maine	47	120,500	120,500
Westchester County	24	82,500	82,500
Columbia County	12	17,500	17,000
TOTALS	124	\$1,258,000	\$1,082,500

Audited Financial Statements available upon request.