



DAVID
ROCKEFELLER
FUND

2017 Annual Report

“It is my sincere hope that The David Rockefeller Fund will become an effective vehicle to further the philanthropic goals of my family...Our family continues to be united in the belief that those who have benefited the most from our nation’s economic system have a special responsibility to give back to our society in meaningful ways.”

- David Rockefeller

June 12, 1915 – March 20, 2017

ABOUT

The David Rockefeller Fund was established in 1989 by David Rockefeller and his wife, Peggy, to carry out their annual charitable giving in communities where they had homes outside New York City. In 2001, David Rockefeller expanded the Fund and invited his children, grandchildren, and their spouses to take a more active role in the Fund, with the idea of transferring to them the family's philanthropic legacy.

GUIDING PRINCIPLES

In its work, the Fund seeks to address the root causes of problems, working both locally and on a broader policy level guided by the following principles:

FAMILY LEGACY

We seek to honor the philanthropic traditions and values exemplified by David and Peggy Rockefeller, recognizing the responsibility that we have to be active and engaged participants in our communities, as well as in the larger world.

RISK TAKING

We will not shy away from difficult social issues; indeed we believe that our greatest impact often results from taking on exactly those problems that more traditional funders tend to avoid.

LEVERAGE

As a small foundation committed to tackling big issues, we value collaboration and work to identify those grant-making opportunities where our family's tradition of philanthropy will help bring attention to difficult problems. We are committed to doing the greatest possible good with the resources we have.

RESPECT

We respect our grantees as equal partners in the work we do. Our general approach is to identify people and institutions doing important work and then support them in ways they will find most meaningful, without imposing undue burdens on them.

FLEXIBILITY

We recognize that one of our particular strengths as a family foundation is the ability to act quickly and be responsive to the real-time needs of our grantees.

SELF-EXAMINATION

Since we are committed both to taking risks and to achieving the greatest possible impact, we understand the importance of assessing our activities on a regular basis in the hope that our funding can make a demonstrable difference, both for the issues we care about and the nonprofit organizations we support.

GRANTMAKING PROGRAMS

In 2017, the David Rockefeller Fund had three primary program areas: Arts, Criminal Justice, and Environment.

The Arts Program focuses on cultivating, showcasing and disseminating the stories of innovative art for social change; Criminal Justice supports bold new ideas and policy initiatives for transforming U.S. detention and incarceration policy; and Environment supports new ideas, initiatives and policy breakthroughs in support of bipartisan U.S. climate leadership. In 2017, 33 grants, totaling \$953,500 were awarded to 32 organizations in those areas.

In addition to the program areas outlined above, the Fund underwrote a number of initiatives designed to encourage family members' individual philanthropic involvement and interests.

Grants listed in this report reflect grants awarded in 2017 even if some payments may have been made in 2018.

MISSION

We invest in catalytic ideas, people, efforts, and institutions working strategically toward ecological regeneration, justice system reform, and art for social impact.

VISION

The David Rockefeller Fund is a family foundation inspired by the vision and generosity of our founders, David and Peggy Rockefeller, to foster and embody a more just, creative, and flourishing world.

2017

BOARD OF DIRECTORS & STAFF

DIRECTORS

The David Rockefeller Fund's Board membership changes every year and also includes non-family members.

Rosemary Corbett
Neva Goodwin
Adam Growald
Eileen Growald
Stephen Heintz
Miranda Kaiser
Chris Lindstrom
Michael Quattrone
Ariana Rockefeller
Camilla Rockefeller
Clay Rockefeller
David Rockefeller Jr.
Susan Rockefeller
James Sligar

OFFICERS

Michael Quattrone, Chair
James Sligar, Treasurer
Camilla Rockefeller, Secretary
Lukas Haynes, Executive Director

STAFF

Lukas Haynes, Executive Director
Lexi Fisher, Program Manager

ARTS

EMPHASIS

Cultivating, showcasing and disseminating innovative stories of art for social change.

GEOGRAPHIC FOCUS

National

“Art is important because it expands how we look at and understand the world. It can make us think beyond our own experience, and often, in our appreciation, we find things that link us in a common humanity.”

-David Rockefeller

The Arts funding strategy is to help cultivate, showcase, and disseminate the most compelling examples and stories of innovative art for social change. In doing so, the Fund aims to have a positive impact on a range of social problems while influencing the broader field of arts and culture philanthropy to try and draw greater attention and resources to “arts for social impact” from both private funding sources as well as public funds.

Amplifier Foundation **Seattle, WA** **\$25,000**

Amplifier is a nonpartisan art machine for social change that aims to turn artists into activists and observers into participants. Amplifier partners with movements and campaigns to host design thinking sessions with experts and influencers around a specific challenge, synthesizing their perspectives to identify key messages that galvanize their core audience, get bystanders off the sidelines and into action, and bring opponents to the table for dialogue. Funding supports #MyClimateHero, a multi-month storytelling campaign around real-life environmental heroes spanning social, political, and ideological lines.

Center for Artistic Activism **Beacon, NY** **\$25,000**

The Center for Artistic Activism (C4AA) is a field-building and sustaining resource for artistic activism. With the belief that art and social change are inseparable, C4AA was founded as an entire approach – a perspective, a practice, and a philosophy – rather than a simple blending of two practices. C4AA works with artists and other social change activists to foster their creativity and refine their art. The organization uses art to imagine a richer vision of what could be, as well as “make more creative activists and more effective artists.”

Fountain House **New York, NY** **\$50,000 over 2 years**

Fountain House is a cooperative of artist-members who are living with serious mental illness. Fountain House showcases and sells their artwork, which encourages artistic growth and self-sufficiency while simultaneously generating public dialogue challenging the stigma around mental illness. Fountain House’s newest project is the Studio Program, which provides open studio space, supplies, art workshops and other resources to artist-members. Funding helps scale and replicate a national model for social service organizations looking to support artists with mental illnesses and other disabilities.

Girl Be Heard **Brooklyn, NY** **\$60,000 over 2 years**

Girl Be Heard is a nonprofit theater company that uses performance as a platform for girls to elevate their experiences and share them in a way that has local and global policy impacts. The organization takes performances and workshops beyond the traditional art space to community-based settings and policymaking centers, engaging young people and their shared communities in positive dialogues. The organization has performed for the New York City Council, the White House, and the United Nations and hopes to continue to expand high-level performances and partnerships that lead to policy change.

Grantmakers in the Arts **Bronx, NY** **\$1,000**

The mission of Grantmakers in the Arts (GIA) is to provide leadership and service to advance the use of philanthropic and governmental resources on behalf of arts and culture. Incorporated in 1989 as one of the first funder affinity groups, GIA is the only national association of private and public funders making grants to artists and arts-supporting organizations in America.

The Listen Charity USA **Los Angeles, CA** **\$50,000 over 2 years**

The Listen Charity began as a UK-registered charity to engage creative artists in the plight

of disadvantaged children around the world. Its primary vehicle is The Listen Campaign, the world’s first annual global cause-specific media campaign. The campaign will harness the power of creative artists, the media and creative industries to advocate for the needs and rights of the world’s disadvantaged children to keep these issues high on the media’s agenda. The Listen Charity hopes to leverage the power of its media expertise and network of creative artists to seed the world with stories of what can be achieved—evidence-based success stories that change the lives of children.

Pros and Cons (a project of the John Howard Society of Canada)
Ontario, Canada **\$50,000 over 2 years**

Pros and Cons is a music program that brings arts professionals into prisons to develop creative ideas in deep partnership with people who are incarcerated. The organization brings writing sessions, question-and-answer gatherings with artists, and recording sessions into prisons. Not only do participants learn the art of recording and engineering, but their music is then disseminated to the public, which humanizes their experiences and broadens societal consciousness surrounding conditions of incarceration. Funding supports the replication and scaling of this programming.

The Public Theater **New York, NY** **\$20,000**

The Public Theater is dedicated to achieving artistic excellence in American theater that is also accessible and relevant for contemporary society. The Public is the only theater in New York that produces Shakespeare and contemporary pieces in equal measure, while also framing dialogue on important social issues. The annual Public Works program represents The Public Theater’s founding principle of “radical access” by blurring the distinction between non-professional and professional artists. Funding supports the replication of this model in Dallas, Seattle and Detroit.

Theatre of the Oppressed NYC
Brooklyn, NY **\$50,000**

Theatre of the Oppressed NYC (TONYC) offers an interactive form of theater created by communities facing oppression. Funding supports the Legislative Forum, which brings citizens and policymakers together in creative dialogue. Audience members are able to witness original plays based on the actors’ lived experiences of discrimination in New York City. Audience members, including advocates, elected officials and policymakers, as well as communities affected by the issues, participate onstage alongside the actors. They are invited to offer alternative responses to systemic problems at the individual, institutional and policy level. TONYC then collaborates with community groups to implement the policy suggestions.

Victory Gardens Theatre **Chicago, IL** **\$30,000**

Victory Gardens Theater (VG) is a Tony-Award winning regional theater in Chicago, specializing in plays that address social justice and social change. VG has produced more than 200 world premieres, and operates under the leadership of one of only five artistic directors of color at a major U.S. nonprofit theater company. The 2017/2018 season brings together five powerful stories that reflect on current issues surrounding the mass incarceration crisis and amplify some of the most diverse voices in theater today. All five plays are written by women. Funding supports community-based programming around

these five theater productions that will give a major Midwest platform to mass incarceration issues.

**United Workers Association
Baltimore, MD**

\$30,000

The United Workers Association develops membership and leadership across Maryland to transform economic development in Baltimore from a trickle-down approach to a fair development model. United Workers strives to bring together low-income communities to achieve work with dignity, permanently affordable housing, and environmental justice. Funding supports artistic elements of the Development without Displacement project, which utilizes a partnership with arts-based institutions to highlight the causes of displacement and elevate alternatives that envision equitable development.

CRIMINAL JUSTICE

EMPHASIS

Supporting bold new policy proposals and initiatives for transforming U.S. detention/incarceration policies.

GEOGRAPHIC FOCUS

National

“The mood and temper of the public in regard to the treatment of crime and criminals is one of the most unfailing tests of the civilization of any country.”

-Winston Churchill

The Criminal Justice program funding priority is to support bold new policy proposals and initiatives for transforming U.S. detention/incarceration policies. The goals of transformative grants and advocacy are to ensure more humane and effective policies and dramatically reduce the numbers of those incarcerated in favor of alternatives for restorative, community justice that provide real healing to crime victims’ families and truly safer communities. This will take patient capital, creative risks, imaginative grants criteria, and compassion for all stakeholders in the justice system. It also requires data-driven analysis and investments in leadership development, grassroots empowerment, and smart organizing for effective policy advocacy.

Abolitionist Law Center Pittsburgh, PA \$25,000

The Abolitionist Law Center (ALC) is a public interest law firm dedicated to abolishing class and race-based mass incarceration. To accomplish this goal, ALC engages in litigation on behalf of people whose human rights have been violated in prison. ALC is embarking on a bold effort, using both legal casework as well as compelling new research, to ensure that 18 – 21 year-olds cannot be sentenced to life without the possibility of parole. Funding supports casework and research in support of policy that would apply juvenile life without parole sentencing reforms to 18-21 year-olds.

**Campaign for the Fair Sentencing of Youth
Washington, DC \$100,000 over 2 years**

The Campaign for the Fair Sentencing of Youth is a national coalition that coordinates and leads efforts to implement just alternatives to the extreme sentencing of America's youth with a focus on abolishing life without parole sentences for all young people. Funding supports the Incarcerated Children's Advocacy Network (ICAN), a first-of-its-kind national network of individuals incarcerated for serious crimes as youth. DR Fund support enables ICAN members to influence and advance state- and national-level reforms to the extreme sentencing of youth in the United States.

College & Community Fellowship New York, NY \$7,500

College & Community Fellowship (CCF) is dedicated to helping women with criminal convictions earn college degrees, so that they, their families, and their communities can thrive. CCF and the Education from the Inside Out Coalition are embarking on a Pell Grant Restoration project, which mobilizes grassroots activists nationwide to reinstate incarcerated persons' eligibility for the federal Pell Grant program. Funding supports the design of a new advocacy campaign to restore Pell grants to incarcerated individuals and reduce recidivism rates.

Grassroots Leadership Austin, TX \$30,000

Grassroots Leadership is a nationally recognized civil and human rights organization based in Austin, Texas working for a more just society where prison profiteering, mass incarceration, deportation, and criminalization are things of the past. Grassroots Leadership works to transform the criminal justice and immigration systems through organizing, research, advocacy, and public education, as well as mobilizing coalitions of those most impacted by incarceration and deportation, including people in jails and immigrant detention centers.

**Prisoner Reentry Institute at John Jay College of Criminal Justice (a project
of the Research Foundation of CUNY)
New York, NY \$50,000**

Established in 2005 as part of John Jay College of Criminal Justice, the Prisoner Reentry Institute works to spur innovation and improve practices in the field of prisoner reentry. Funding supports policy research and advocacy efforts to design a better-integrated statewide educational system in state correctional facilities. The goal of this "action research" is to expand public funding policies for these programs and increase the number of beneficiaries. Funding also supports a Criminal Justice

Fellowship to expose formerly incarcerated individuals to the field of philanthropy as well as to serve as a model for other foundations to create similar programs.

Just Leadership USA New York, NY \$40,000

Just Leadership USA (JLUSA) is a national nonpartisan membership organization that has a clear and deliberately ambitious long-term goal to reduce the number of people in prison in half by the year 2030. JLUSA's broader mission is to strengthen and elevate the voices of individuals and communities directly impacted both by crime and the criminal justice system, by enabling them to become more powerful reform partners on the local, state, and national levels. Funding supports the formal launch of a national advocacy strategy that will build upon the successful execution of the #CLOSErikers campaign.

**New York Youth Justice Initiative (a project of FJC)
New York, NY \$5,000**

The New York Youth Justice Initiative (NYJJI), formerly the New York Juvenile Justice Initiative, is a coalition of philanthropic organizations and donors seeking to improve the youth justice system in New York and outcomes for justice-involved youth. Through coordination, collaboration, and information-sharing, NYJJI increases the ability of its member organizations to strategically and effectively support this goal. The coalition also creates opportunities for funders to network, learn and engage in deeper conversation about strategy and pool funds for deeper impact.

**Working Narratives (a project of Fractured Atlas)
Wilmington, NC \$20,000**

Working at the intersection of arts, technology, and policy, Working Narratives is a collaborative umbrella organization that helps social movements effectively convey their message. Funding supports the Nation Inside program, one of the largest and fastest-growing national networks of grassroots groups working on mass incarceration. Nation Inside's rural/urban grassroots coalition addresses a gap in current mobilization efforts and promotes broad reforms to the prison system by advocating for redirection of state and local resources toward investments in community-based solutions.

ENVIRONMENT

EMPHASIS

Generating bipartisan leadership on climate change at a federal level by supporting new ideas, initiatives, and policy breakthroughs.

GEOGRAPHIC FOCUS

National

“The care of the Earth is our most ancient and most worthy, and after all our most pleasing responsibility. To cherish what remains of it and to foster its renewal is our only hope.”

-Wendell Berry

The Environment program funding priority is to generate bipartisan leadership on climate change at a federal level by supporting new ideas, initiatives, and policy breakthroughs. Staff are especially interested in opportunities to test a bold new idea, concept, or approach; to add value to a pilot or demonstration project; and to help an idea or innovation find new audiences. Staff activity is intended to collaborate in grantmaking with other funders, leverage additional funds, advocate on behalf of grantees with other funders, and capitalize on timely opportunities.

Center for Climate & Security (a project of Sustainable Markets Foundation) Washington, DC

\$21,000

The Center for Climate & Security (CCS) is a policy research convener and coordinator that supports U.S. policy development, conducts original research, and acts as a resource hub in the climate and security field. Utilizing an advisory board of military, security, and foreign policy experts, CCS produces expert non-partisan recommendations for government, academics, non-profits, and the private sector. This funding supports a targeted social media campaign designed to promote bipartisan public understanding of Defense Secretary James Mattis’ views on climate change, as well as collaborative events about national security implications of climate change.

Climate Parents (a project of Sierra Club Foundation) Oakland, CA

\$40,000

Climate Parents is a national organization of diverse parents and families organizing for clean energy and climate solutions. The group elevates parents as persuasive advocates because they cut across all demographics and are compelling moral messengers. Climate Parents is part of Sierra Club’s “Resist, Build, and Win” efforts to guard against regulatory rollbacks and executive orders that are posing risks to child health and safety as it relates to fossil fuel pollution and destabilizing climate impacts. The organization engages parents and families in strategic campaigns to win municipal clean energy victories, and engages leaders on climate from the perspective of parents.

Confluence Philanthropy New York, NY

\$1,000

Confluence Philanthropy is a non-profit, membership-based association of foundations, high net worth investors, and their investment advisors who collaborate in Mission-Related Investing (MRI), the process of integrating investment strategy with social and/or environmental goals. Confluence’s programs translate education and connection into concrete action, by promoting mission alignment through proxy voting, shareholder engagement, program-related investment, screening public equities, and private equity investments.

Consultative Group on Biological Diversity San Francisco, CA

\$3,000

The Climate and Energy Funders Group (CEFG) is a program of the Consultative Group on Biological Diversity and a network supporting a wide array of climate and energy philanthropists. With the vision to achieve a global transition to a clean energy economy, CEFG creates a strong and effective funding community committed to combating climate change and building a global clean energy economy. CEFG is the only national forum of funders focused exclusively on climate change.

Environmental and Energy Study Institute Washington, DC

\$25,000

The Environmental and Energy Study Institute (EESI) was founded by a bipartisan Congressional caucus in 1984, and is now an independent organization. EESI maintains its strong relationship with Congress and serves as a trusted source of credible, non-

partisan information on energy and environment solutions. Funding supports efforts to build bipartisan support for climate change solutions using a national security lens, in part through collaborative events with the Center for Climate & Security. The events are strengthened by EESI's expertise as a trusted convener and communicator of nonpartisan expert information.

Environmental Grantmakers Association New York, NY \$4,000

The Environmental Grantmakers Association (EGA) works with foundation members and partners to promote effective environmental philanthropy through knowledge sharing, sparking debate, cultivating leadership, and increasing collaboration among funders. Organizational goals include addressing climate change, reducing coal use, increasing energy efficiency, and promoting effective climate solutions. EGA creates opportunities for funders and grantees to network, learn, engage in deeper conversations about approach and strategy, and pool funds for deeper impact.

Issue One Washington, DC \$12,500

Issue One is a nonprofit organization dedicated to reducing the power of money in politics by generating a bipartisan reform movement that repositions the problem as the core civic cause of this era. The Fund participates in a "One Percent for Democracy" tithe, since all three program areas benefit from a more representative, accountable democracy. Issue One believes that such a tithe has the potential for a considerable multiplier effect: every dollar spent on good governance could increase returns on social philanthropy by promoting rational, evidence-based policymaking and public expenditures.

**Jackson Hole Center for Global Affairs
Jackson, WY \$30,000**

The Jackson Hole Center for Global Affairs (JHCGA) is a nonpartisan, regional "think and do tank" based in Wyoming. Through a flagship program, the U.S. - China Clean Energy Initiative, it has established a track record of engaging coal communities in strategies to scale clean energy and combat global climate change. The topline organizational goal is to facilitate processes that help yield economic diversification, clean energy, and climate change leadership opportunities for coal communities in the U.S. and China. Funding supports the Wyoming Security and Climate Summer Forum's goals of framing climate change as a national security and economic issue and educating audiences.

**Peace & Security Funders Group (a project of Fund for Constitutional Government)
Washington, DC \$3,500**

The Peace and Security Funders Group (PSFG) was founded in 1999 by several foundations in response to a need for greater communication and collaboration among funders working in the peace and security field. Today, PSFG's network of public, private and family foundations, and individual philanthropists, includes a number of funders working at the nexus of climate, human security, and conflict. With its deep and vast network, PSFG is uniquely placed at the intersection of several critical sectors influential on the 21st century peace and security debate.

**People's Climate Movement (a project of GreenFaith)
Highland Park, NJ \$40,000**

People's Climate Movement (PCM) pursues a powerful, diverse movement, with common values, as a way to communicate to world leaders who signed on to the Paris Global Climate Agreement that Americans demand action on climate. PCM created a mobilization plan for the first 100 days of the new administration's term. The goal was to resist attempts to dismantle progress made during the last eight years to address climate change and a just energy transition for workers and communities across the country. The project also strengthened and built the capacity of the overall movement to identify opportunities to advance a climate agenda.

Protect Our Winters Boulder, CO \$40,000

Protect Our Winters (POW) brings together professional winter sports athletes, individuals, and winter sports companies to create a social movement on climate that is designed to activate a passionate community and create political will for meaningful action by state and federal policymakers. Winter sports and tourism are multi-billion dollar industries in many of the conservative mountain states, where climate change is having a direct and visible impact. Funding supports efforts to unite and mobilize the outdoor sports community against climate change, leveraging this community's unique ability to open bipartisan dialogue.

Sixteen Thirty Fund Washington, DC \$30,000

The Sixteen Thirty Fund has launched a Climate Education Fund project to fill a critical gap in public efforts to address the climate crisis: building the public and political will to act. To build this will, the Climate Education Fund is working with state organizations, which are recognized leaders in their communities, and especially effective in reaching New American Majority constituents--single women, people of color, and millennials. This grant supports the strictly charitable activities of Sixteen Thirty Fund's 501c4.

Virgin Unite USA New York, NY \$25,000

Virgin Unite USA unites people and entrepreneurial ideas to create opportunities for a better world. Funding supports a "Planetary Boundaries" convening with the goal of placing climate change in a more holistic, global ecological context and produce concrete, actionable ways that polluting industries can help reverse unsustainable trends. The convening utilizes the "planetary boundaries" framework, which presents a set of nine ecological boundary conditions within which human civilization has thrived and beyond which civilization puts humanity and the broader ecology at great risk.

EXECUTIVE DIRECTOR DISCRETIONARY GRANTS

The Executive Director Discretionary Grants program is a flexible pool of funding made available by the Board to respond to urgent requests, unanticipated opportunities, or special needs. These grants may be used to respond, but are not limited, to: the emergence of a singular opportunity to influence public debate or action on a public policy issue relevant to the Fund's program areas; an unforeseen emergency need experienced by a Fund grantee; or an extraordinary funding opportunity identified by the Board or staff.

Center for Climate & Security (a project of Sustainable Markets Foundation) Washington, DC

\$3,500

Funding supports the Center for Climate & Security in responding to information requests in conjunction with a collaborative event with the Energy and Environmental Study Institute. The event, which took place on Capitol Hill, explored the intersection of climate change and national security. Speakers included a former deputy undersecretary of defense, retired air force commander, and retired navy commander. The event led to multiple requests from congressional offices for more information, and nonpartisan advice/recommendations.

College & Community Fellowship New York, NY

\$12,500

College & Community Fellowship (CCF) is dedicated to helping women with criminal convictions earn college degrees, so that they, their families, and their communities can thrive. Funding supports the launch of an advocacy training program for women affected by mass incarceration, with the goal of injecting women's voices into various types of criminal justice reform campaigns. Although almost every issue that intersects with incarceration affects women, their voices are poorly represented in the development of those campaigns. CCF hopes to make this work more intersectional by training women to advocate for the criminal justice issues that matter most to them.

In Our Backyards Brooklyn, NY

\$3,000

The vision of In Our Backyards (ioby) is to create a future in which neighborhoods are shaped by the powerful good ideas of neighbors. The organization's mission is to mobilize neighbors who have good ideas to become powerful citizen leaders who plan, fund and make positive change in their own neighborhoods. ioby's "crowd-resourcing" platform connects leaders with funding and support to make neighborhoods more sustainable, greener, more just, and more livable. Funding supports the development of a performance indicator dashboard to visualize ioby's data and advance its long-term exponential growth.

Institute for Energy Economics & Financial Analysis Cleveland, OH

\$5,000

The Institute for Energy Economics and Financial Analysis (IEEFA) conducts research and analysis on financial and economic issues related to energy and the environment. IEEFA and partner organizations in Puerto Rico have been advocating for reform of the island's electricity sector. Funding supports a paper outlining the economic, fiscal, and

political crisis in Puerto Rico, as well as a menu of philanthropic intervention options.

KidSpirit Brooklyn, NY

\$5,000

KidSpirit is a nonprofit online magazine and community by and for youth to engage each other about life's big questions in an open and inclusive spirit. Its mission is to promote mutual understanding among 11- to 17-year-olds of diverse backgrounds and support their development into world citizens with strong inner grounding. KidSpirit's unique process has empowered teens through creative expression and youth-led collaboration to raise their voices about issues that are important to them.

National Center for Family Philanthropy Washington, DC

\$2,000

The National Center for Family Philanthropy (NCFP) is the only national nonprofit dedicated exclusively to families who give. This organization provides resources and expertise that support families in transforming their values into effective giving. NCFP has a proven track record of producing trailblazing research and facilitating important conversations on a variety of topics relevant to organization leaders, staff, and board members.

Pros and Cons (a project of John Howard Society of Canada) Ontario, Canada

\$25,000

Pros and Cons is a music program that brings arts professionals into prisons to develop creative ideas in deep partnership with people who are incarcerated. The organization brings writing sessions, question-and-answer gatherings with artists, and recording sessions into prisons. Not only do participants learn the art of recording and engineering, but their music is then disseminated to the public, which humanizes their experiences and broadens societal consciousness surrounding conditions of incarceration. Executive Director Discretionary funds complement Arts program funding, which together support the replication and scaling of this programming.

Rockefeller Family Fund New York, NY

\$20,000

Rockefeller Family Fund (RFF) is a family-led public charity that initiates, cultivates, and funds strategic efforts to promote a sustainable, just, free, and participatory society. Funding supports RFF's work on possible debt relief advocacy strategies in Puerto Rico, as part of a special collaboration with other Rockefeller institutions. An additional grant was made in memory of Leslie Lowe, with special appreciation for her work scoping a new strategic initiative in criminal justice reform.

Salzburg Global Seminar Washington, DC

\$4,000

Salzburg Global Seminar exists to challenge current and future leaders to solve issues of global concern. It hosts international strategic convenings to tackle systems challenges critical for the next generation. Salzburg has an excellent track record of producing new ideas and initiatives for practitioners, policymakers, and emerging leaders to act upon.

Funding supports Session 593, which aims to reimagine the nexus between the arts, technology, and human futures.

MICRO-GRANTS

The Micro-Grants Committee consists of rotating family trustees on the board of the DR Fund. Staff distribute time-sensitive grant proposals in between full board meetings to discuss and decide on the approval of small grant recommendations. Grants are then disbursed promptly and administered as normal grants with reporting requirements proportional to their monetary size.

Criteria for proposals is as follows: proposals are from qualified, tax-exempt entities that can administer the grant legally; proposed work advances Board-approved program goals or program learning; proposals address a time-sensitive funding opportunity that cannot wait for spring or fall consideration by the whole DR Fund board; and grants add value beyond the modest dollars allocated by: responding quickly; drawing attention to a timely opportunity; giving life to a novel and potentially impactful idea; and attracting additional donors.

Equal Justice USA Brooklyn, NY \$5,000

Equal Justice USA (EJUSA) has been at the forefront of recruiting and amplifying new voices against the death penalty and translating that opposition into local action. Funding supported efforts to halt eight back-to-back executions of incarcerated individuals in Arkansas. As a result of a collaborative effort with the Arkansas Coalition to Abolish the Death Penalty, four of the eight prisoners received court-imposed stays.

Grantmakers in the Arts Seattle, WA \$500

Grantmakers in the Arts (GIA) is a funder affinity group aimed at advancing the use of philanthropic and governmental resources on behalf of arts and culture. Its main publication, the *Reader*, is the only dedicated journal of arts philanthropy in the U.S. The publication serves as a guidepost for trends in philanthropy and the nonprofit arts sector, and racial equity and social justice hold a prominent place in *Reader* content. Funding enables GIA to expand their coverage of social impact topics.

Inside Climate News (a project of Lost Light Projects) Brooklyn, NY \$500

Inside Climate News (ICN) is an independent, nonprofit news organization focused on climate change, including clean energy and science. ICN's team of journalists--hailing from the *Wall Street Journal*, *New York Times*, and *ProPublica*, among others--put forth clear, objective stories that give the public and policymakers the information they need to navigate complex climate debates. The investigative news startup has earned national recognition for its work, including the Pulitzer Prize for National Reporting.

NYU Hemispheric Institute of Performance and Politics New York, NY \$5,000

As a result of the clear need for artist-led support in the new political and cultural climate,

a group of artists requested funding to come together, connect their work, and plan for ways in which artists and cultural workers can bolster the work of organizations supporting immigrants, refugees, Muslims, undocumented people and other communities under attack in New York City. Funding supported a strategy session, which resulted in a series of narrative-building workshops to develop art and language for media campaigns focused on positive visions.

Our Children's Trust Washington, DC \$5,000

Funding supports efforts to carry forward a case that has the potential to force the federal government to take stronger action to address climate change. Our Children's Trust (OCT), representing 21 teenagers and children in a case asserting that the government acknowledged the crisis of increasing carbon dioxide in the atmosphere and failed to implement a science-based recovery plan, won a sweeping victory last year. They will now go to trial in summer or fall 2018, and will need to prove that the government violated people's right to a climate system capable of sustaining human rights and that the national science-based recovery plan OCT is recommending can stabilize the planet.

The Marshall Project New York, NY \$1,000

The Marshall Project (MP) is a nonpartisan news organization seeking to create and sustain a sense of national urgency about the U.S. criminal justice system. MP has published over 600 articles, partnered with more than 80 media outlets, and last year became the youngest news organization to win the Pulitzer Prize. MP's stories have led to changes in prison administration and have been used as training materials for policy and trauma specialists in dealing with rape survivors. The organization operates based on the belief that hard-hitting media coverage is essential to reforming the criminal justice system.

Power Shift Network Washington, DC \$6,500

Power Shift Network (PSN) mobilizes the collective power of young people to mitigate climate change by coordinating a nationwide network of more than 50 youth organizations. A large part of the organization's efforts focus on recruiting and activating young people from populations traditionally excluded from the mainstream climate movement. In an effort to bridge the gap between underrepresented communities of color in decision-making and the scarcity of decision-makers who are passionate about climate policy, PSN has created a training program to prepare a crop of young climate champions to run for public office. Funding supports the pilot and rollout of this training program.

Preparing Leaders of Tomorrow Brooklyn, NY \$5,000

Preparing Leaders of Tomorrow (PLOT) pairs relatable mentors, many of whom have grown up in under-resourced neighborhoods and experienced justice involvement themselves, with young boys who have had court/jail interaction. PLOT then connects mentees and their families to additional services as needed. This nascent organization has seen great impacts even at an early stage--increased school attendance, improved behavior and family relationships, and decreased involvement with the justice system.

**Youth First State Advocacy Fund (a project of New Venture Fund)
Washington, DC** **\$6,500**

This pooled fund began as a way to directly resource state-based coalitions who are leading campaigns to close youth prisons and ensure reinvestment in communities. The Advocacy Fund enhances the work of the Youth First Initiative, which provides in-kind support to state-based campaigns, and serves as a mechanism for funders to support campaigns across the country through a strategic, centralized vehicle.

DR MEMORIAL GRANTS

The following grants were made with funds donated to the David Rockefeller Fund in the wake of Mr. Rockefeller’s passing in March 2017. We are grateful and humbled by the outpouring of community support that made these grants possible.

Americans for the Arts **New York, NY** **\$50,000**

Americans for the Arts serves and advances the network of organizations and individuals who cultivate, promote, and sustain the arts in America. One of its programs, The Business Committee for Arts (BCA), was founded by David Rockefeller as a way to encourage businesses to support the arts in the workplace. Funding supports the David Rockefeller BCA pARTnership Award to celebrate a company and arts organization (or artist) for an exceptional initiative that represents a true alliance, collaboration, or exchange between the two.

The Colonial Williamsburg Foundation
Williamsburg, VA **\$1,000**

The Colonial Williamsburg Foundation encourages audiences to learn from the past through the preservation, restoration, and presentation of 18th century Williamsburg and the study, interpretation and teaching of America’s founding democratic principles. This contribution was made to honor the memory of Abby O’Neill, who served on the Board of Trustees for 28 years.

International House **New York, NY** **\$50,000**

International House (I-House) brings together students from around the world to share experiences and life lessons in peacebuilding and understanding. John D. Rockefeller, Jr. was instrumental in creating I-House, and David Rockefeller remained active in I-House throughout his life. Funding supports a special event celebrating David Rockefeller’s lifelong connection to International House, as well as the David Rockefeller Program for International Understanding, which focuses on understanding and quantifying the I-House experience. It also identifies the most effective ways to instill the type of cultural acceptance and kindness that David Rockefeller personified.

Philanthropy New York **New York, NY** **\$20,000**

Philanthropy New York supports collaboration and knowledge-sharing among funders and grantee organizations. Comprised of more than 280 grantmaking organizations, the organization aims to develop a diverse and capable group of future philanthropic leaders.

Funding supports the David Rockefeller Award, which honors a departing board chair in honor of David Rockefeller’s deep commitment to philanthropy, personal contributions, and love of New York City.

FINANCIAL SUMMARY 2017

As of July 13, 2017, the audited asset value of The David Rockefeller Fund was \$14,311,866.

Grants paid during 2017, including discretionary grants awarded by individual trustees, totaled \$1,341,000.

	# of Grants Paid	Amount Paid
Arts	12	\$335,000
Criminal Justice	11	\$335,000
Environment	15	\$335,000
Executive Director Discretionary	11	\$80,000
Micro-Grants	9	\$35,000
Trustee Discretionary/Matching	1	\$100,000
DR Memorial Grants	4	\$121,000
Total	63	\$1,341,000