



2021 ANNUAL REPORT

475 Riverside Drive | Suite 900 | New York, NY 10115 | www.drfund.org



“Our family continues to be united in the belief that those who have benefited the most from our nation’s economic system have a special responsibility to give back to our society in meaningful ways.”

DAVID ROCKEFELLER

JUNE 12, 1915 – MARCH 20, 2017

THE DAVID ROCKEFELLER FUND was established in 1989 by David Rockefeller and his wife, Peggy, to carry out their annual charitable giving in communities where they had homes outside New York City. In 2001, David Rockefeller expanded the Fund and invited his children, grandchildren, and their spouses to take a more active role in the Fund, with the idea of transferring to them the family’s philanthropic legacy. In 2021, it was governed by a board of directors with 10 family members and five independent directors.

Board of Directors

DIRECTORS

Jamie Bennett
Peggy Dulany
Mindy Fullilove
Lisa Garcia
Nili Gilbert
Danny Growald
Christine Heenan
Rebecca Lambert
Max Miller
Michael Quattrone
Ariana Rockefeller
Camilla Rockefeller
Clay Rockefeller
Susan Rockefeller
Annabel Schulz

OFFICERS

Camilla Rockefeller,
Chair
Leah D'Angelo,
Treasurer
Lexi Fisher,
Secretary
Lukas Haynes,
Chief Executive Officer

Team

Lukas Haynes,
Chief Executive Officer
Lexi Fisher,
Chief Operating Officer
Cristina Fernandez,
Program Associate
Mark St. Pierre,
Spring Fellow
Sammy Santana,
Summer/Fall Fellow

Letter from the Board Chair



It is an honor to share our 2021 annual report with you. This year was one of continued evolution for the Fund.

Trustees held a special retreat on climate program strategy, where we agreed to explicitly focus on multi-year, power-building support to BIPOC-led grantees. This recognizes the critical importance of supporting the capacity of the communities that have been disproportionately affected by the climate crisis and historically under-funded, and aims to sustain bold organizing efforts addressing the intersections of climate, gender, racial justice, and voting rights inequities. In light of these commitments, we eagerly signed on to the Climate Funders Justice Pledge, which seeks to create a more economically just, diverse, and powerful climate movement.

That convening also resulted in tripling our Climate program grants and increasing our spending rate for at least four years. This decision reflects the Board and family's commitment to protecting the future of our children, community, nation, and broader global ecology.

2021 saw a commitment across all our core programs to resource power-building efforts in support of social movements. This approach is grounded in the understanding of the systemic, intersectional nature of our programs and the issues they address. We believe that fostering civic engagement through grassroots organizing, with the ultimate goal of building stronger social movements, is the most direct path to widespread transformation in all of our programmatic areas of focus. We hope that you enjoy reading through examples of our Arts, Climate, and Justice grant partners in the following pages.

The Fund's Investment Committee set a goal of achieving a net-zero portfolio by 2050 as well as other climate-related targets by 2025. This follows the DR Fund becoming the first foundation to join the United Nations-led Net Zero Asset Owners Alliance, a group of 72 investors with over \$10.4 trillion assets under management transitioning their portfolios to net zero greenhouse

gas emissions by 2050. I am deeply proud of the DR Fund's public advocacy in climate investing.

Most poignantly, we learned in late 2021 that the Fund's leader of seven years, Lukas Haynes, would be stepping down in early 2022 to pursue his own climate and democracy passions. As I wrote at that time:

"During Lukas' tenure, the DR Fund has grown and evolved exponentially—he is leaving it a larger, stronger, and more impactful organization. We have all benefited deeply from his brilliant leadership. While he will be missed, we all wish him well as he continues his work for a more just, democratic and sustainable world."

Lukas' tenure included the creation of a Criminal Justice Fellowship program and a participatory grantmaking committee; growth of the Fund's endowment 13-fold; launching new initiatives for climate change and democracy protection; divestment from fossil fuels; and setting ambitious, multi-year targets for a net zero investment portfolio.

We know that change is inevitable, and a necessary part of life. It brings me profound gratitude to reflect on the work of the DR Fund staff, and on Lukas' leadership over the past seven years. We are fortunate in the leadership of COO Lexi Fisher, who has stepped up to lead us during the transition as Interim CEO. We are also buoyed by the skillful and crucial contributions of Program Associate Cristina Fernandez. We at the DR Fund are committed to embodying the principles of our mission and vision as we move through this transition period, and look forward to what will come next.

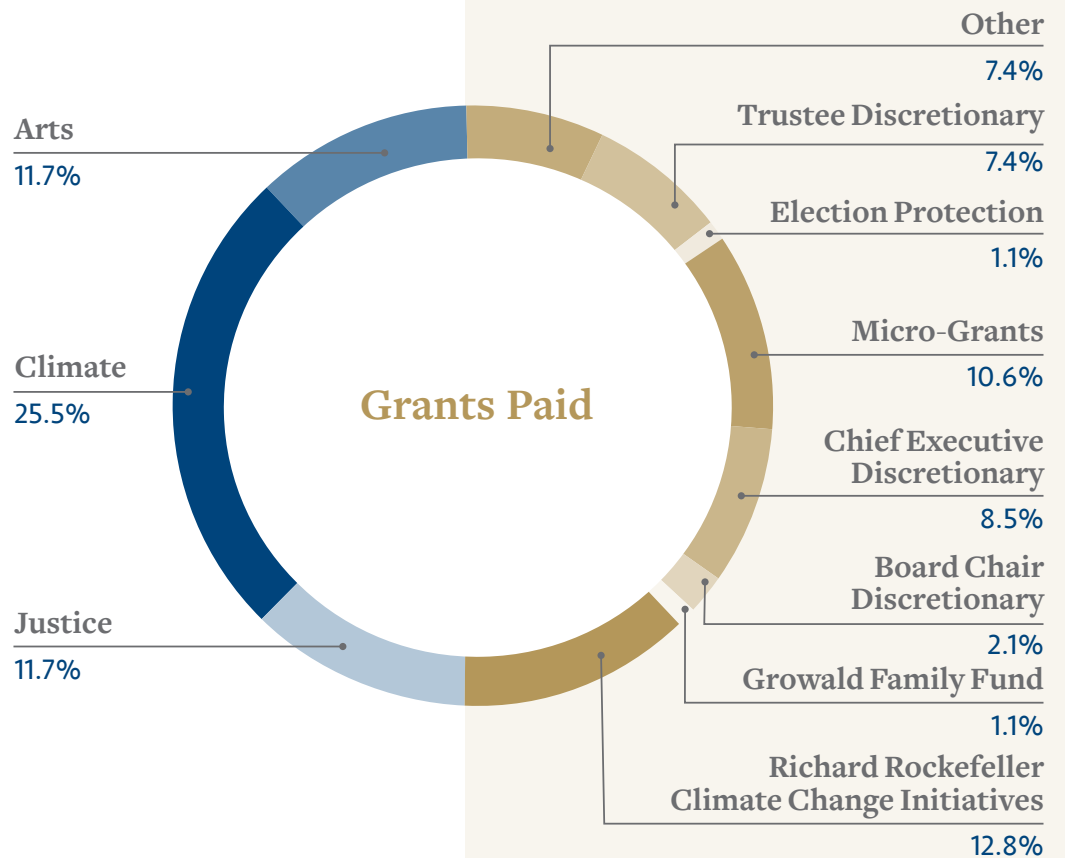
Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Camilla', with a stylized flourish at the end.

Camilla Rockefeller
BOARD CHAIR

Financial Summary 2021

Grant Category	# of Grants	Amount
Arts	11	\$550,000
Climate	24	\$1,700,000
Justice	11	\$550,000
Richard Rockefeller Climate Change Initiatives	12	\$1,000,000
Growald Family Fund – DRF Initiative	1	\$150,000
Board Chair Discretionary	2	\$100,000
Chief Executive Discretionary	8	\$125,000
Micro-Grants	10	\$100,000
Election Protection	1	\$25,000
Trustee Discretionary & Donor-Advised Fund	7	\$285,000
Other	7	\$7,500
Total	94	\$4,592,500



Grantmaking Programs

In 2021, the David Rockefeller Fund had three long-standing, core program areas: **Arts**, **Climate**, and **Justice**. The Arts Program focuses on cultivating, showcasing, and disseminating stories of innovative art for social change; Climate supports efforts to support and sustain bold, science-based leadership on equitable climate solutions; and Justice supports bold new ideas and policy initiatives for transforming U.S. detention and incarceration policies.

In addition to the program areas outlined above, the Fund underwrote a number of initiatives designed to encourage family members' individual philanthropic involvement and interests.

Grants listed in this report reflect grants awarded in 2021 even if some payments may have been made in other years.



LEFT: Photo by Hayim Heron for Urban Bush Women CENTER: LUCHA RIGHT: Mass Liberation Fund

arts

Americans for the Arts Action Fund | *Washington, DC*

\$25,000

Amplifier | *Seattle, WA*

\$50,000

Beautiful Trouble | *New York, NY*

\$50,000

Brooklyn Arts Exchange | *Brooklyn, NY*

\$50,000

Center for Cultural Power | *Oakland, CA*

\$100,000 over two years

Hi-ARTS | *New York, NY*

\$100,000 over two years

Hip Hop Caucus Action Fund | *Washington, DC*

\$150,000 over two years

IllumiNative, a project of New Venture Fund | *Washington, DC*

\$200,000 over two years

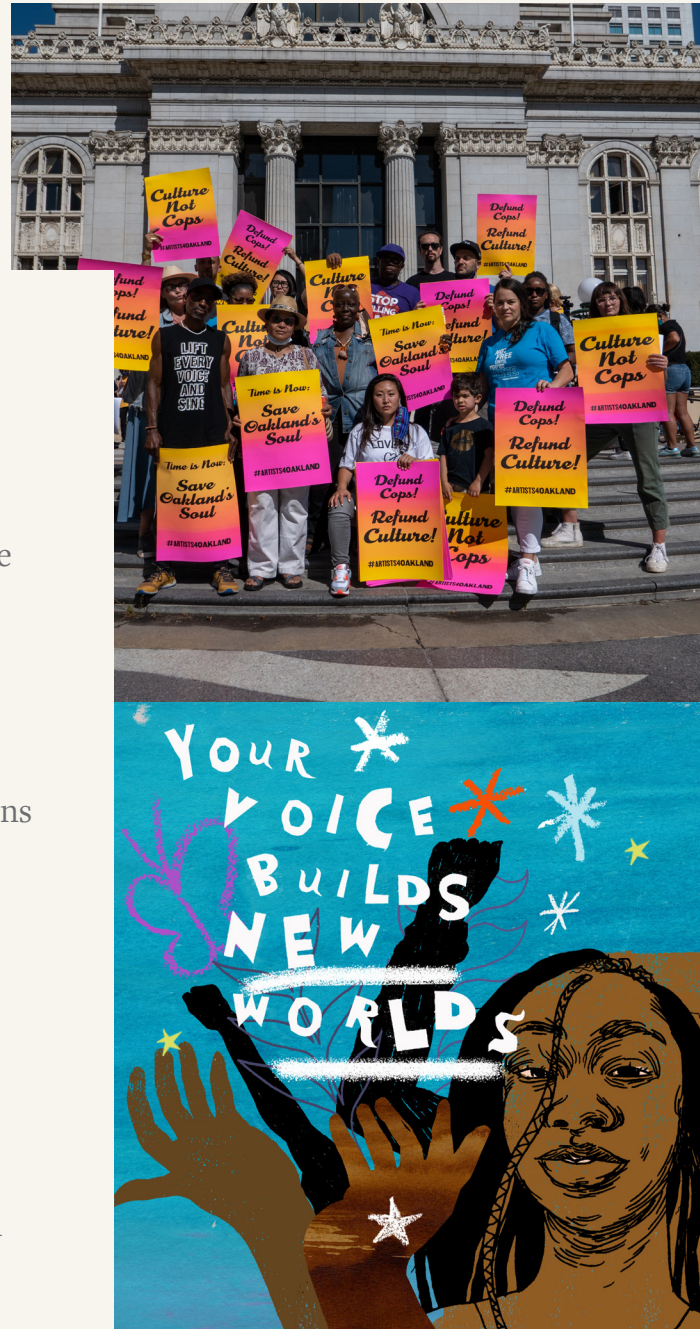
Urban Bush Women | *Brooklyn, NY*

\$50,000

The Center for Cultural Power

The Center for Cultural Power (Cultural Power) is a BIPOC-, artist-led organization that creates intersectional stories and content addressing issues of migration, climate, gender, and racial justice. Cultural Power inspires artists and culture makers to reimagine a world where power is distributed equitably and where we live in harmony with nature, and its work affirms that artists are a critical force to achieve social change. Cultural Power has created a thriving ecosystem for artists and culture makers to shift worldviews away from domination and extraction toward collaboration and interdependence. Together with allied organizations and practitioners, Cultural Power leverages research and evaluation of the impact of artist-driven civic engagement practices to co-create a field of cultural strategies that increases the reach of powerful and persuasive content.

Cultural Power's artist-driven civic engagement approach and democracy program supports artists through fellowships, training, and opportunities for activation. Cultural Power works strategically to reach young people and BIPOC communities with authentic voices that traditional political engagement strategies simply cannot offer. Through culturally competent content around voting rights, democracy, and other key issues, Cultural Power connects and mobilizes youth and BIPOC communities to engage in campaigns and other civic opportunities that invigorate them. A two-year, general operating support grant of \$100,000 supports Cultural Power in engaging artists and communities in critical movements that reshape the narrative for social justice, inform high-stakes policy debates, and encourage non-partisan voter engagement at the national, state, and local levels.



Top: Photo by Jesús Iñiguez Bottom: Photo by Kah Yangni

IllumiNative



Photo by Tailyr Irvine (Confederated Salish and Kootenai Tribes)

IllumiNative is a national, Native women-led organization that uses art and culture to advance racial and social justice. IllumiNative’s mission is to build power for Native peoples by amplifying contemporary Native voices, issues, and stories to advance justice, equity, and self-determination. IllumiNative utilizes research, narrative and culture change strategies, movement-building, and organizing to disrupt the invisibility of Native peoples, re-educate Americans, and mobilize public support for key Native issues.

Through creative partnerships with Native artists, influencers, grassroots leaders, and social and racial justice allies, IllumiNative has developed a highly effective model for transforming research into shareable creative content and actionable tools that build power for Native peoples. For example, IllumiNative’s groundbreaking Indigenous Futures Survey (IFS)—a multi-year research, advocacy, and power-building initiative—amplified valuable insights about the issue priorities of Native peoples, motivations for civic engagement, and the health and socio-economic impacts of COVID-19

faced by Native communities. Recognizing the critical intersection of arts, culture, and organizing, IllumiNative created the Indigenous Futures Cultural Initiative (IFCI) to translate the findings of the survey into Native creative content that catalyzed national conversations and action around Native priority issues.

In 2021, DRF provided a two-year, \$200,000 grant to IllumiNative to establish, seed, and activate a Native Artists and Storytellers Fund. Located at the intersection of research, movement-building, and cultural strategy, this Fund will invest in multi-disciplinary creative projects, including podcasts, public art, digital art, short film, animation, photography, and other creative forms of storytelling by up to 20 Native artists. The Fund will act both as a hub for Native artists and media makers and a vehicle for high-impact cultural content to bolster organizing efforts across Indian Country. Through this effort, IllumiNative leverages its strategic expertise to amplify Native artists’ creative talent, maximizing its innovative power-building model to fight systemic racism and drive transformational change.

climate

AZ Poder | *Phoenix, AZ*

\$150,000 over two years

Biodiversity Funders Group | *San Francisco, CA*

\$5,000

Climate Emergency Advocates | *Boulder, CO*

\$100,000

**Climate Equity Action Fund,
a project of Sixteen Thirty Fund** | *Washington, DC*

\$325,000 over two years

Confluence Philanthropy | *New York, NY*

\$5,000

Environmental Grantmakers Association | *New York, NY*

\$5,000

Georgia Alliance Education Fund | *Atlanta, GA*

\$500,000 over two years

**Hive Fund for Climate and Gender Justice,
a project of Windward Fund** | *Washington, DC*

\$25,000

League of Conservation Voters | *Washington, DC*

\$75,000

LUCHA | *Phoenix, AZ*
\$100,000 over two years

Michigan Civic Action Fund | *Madison Heights, MI*
\$500,000 over two years

One for Democracy | *New York, NY*
\$200,000 over four years

Peace and Security Funders Group,
a project of NEO Philanthropy | *Washington, DC*
\$7,000 over two years

Progressive Multiplier Action Fund | *Washington, DC*
\$75,000

Protect Our Winters | *Boulder, CO*
\$50,000

Public Policy and Education Fund of New York | *Albany, NY*
\$50,000

Register2Vote Fund | *Austin, TX*
\$41,500

republicEn, a project of
George Mason University Foundation | *Fairfax, VA*
\$50,000

Resilient Democracy Fund,
a project of Tides Foundation | *San Francisco, CA*
\$75,000

Sustainable Markets Foundation | *New York, NY*
\$40,000

USCAN (U.S. Climate Action Network) | *Washington, DC*
\$100,000

Protect Our Winters

Founded in 2007 by professional snowboarder Jeremy Jones, Protect Our Winters (POW) educates and mobilizes the outdoor community to become effective climate advocates and advance the goal of global carbon neutrality by 2050. A non-partisan organization, POW engages the “Outdoor State,” the 50 million Americans who share a passion for outdoor sports, to motivate bipartisan action to protect the places they live and love from climate change. POW recruits, trains, and mobilizes inspiring leaders from the Outdoor State—athletes and creatives, CEOs, and scientists—to be an influential voice for climate and impact decision makers in the public and private sectors in order to drive policy.

In 2020, POW launched a large-scale voter engagement campaign, supported by digital outreach and partnerships with outdoor industry brands, in eight states. The campaign went on to reach 33 million potential voters. POW leveraged the momentum from its successful 2020 activities to keep outdoor enthusiasts engaged. Its multifaceted approach includes education on federal energy policy, electric vehicles, and renewable energy infrastructure. Over the next three years, POW’s goal is to build, diversify, and strengthen the Outdoor State to become the most impactful voice for climate in the United States.



Top: Photo by Emily Tidwell

Center: Photo by Eliza Earle

Bottom: Photo by Anna Callaghan

AZ PODER



Photos by Mike Cassidy and Reeb Menjivar

AZ PODER is a 501(c)(4) organization dedicated to mobilizing and building power among communities of color in Arizona to promote systemic policy reform and hold policy makers accountable for the health and safety of their communities. Founded in 2018, AZ PODER fights alongside its 501(c)(3) sister organization, Poder in Action, to deepen the political education of communities who experience the highest levels of state violence from the local police and immigration enforcement, and who are also the most ignored by elected officials.

In 2020, the David Rockefeller Fund provided support for AZ PODER's successful United Against Hate campaign, which sought to engage youth of color, their families, and other voters committed to fighting law enforcement violence, racism, and the intimidation faced by Arizona's communities of color. This effort, which reached over 360,000 voters and received two million online views, motivated high levels of youth voter turnout in the 2020 election and lasting narrative change around police violence and community power.

Given the effectiveness of AZ PODER's multi-year progressive organizing, the David Rockefeller Fund awarded AZ PODER a two-year, \$150,000 grant to support its comprehensive efforts to engage Arizona's communities of color. Under this grant, AZ PODER will expand its efforts to strengthen civic engagement in youth, communities of color, immigrants, and working-class communities by educating community members on their rights and cultivating new grassroots leaders within these communities. AZ PODER's continued fight against deeply entrenched systems of oppression also includes enhanced digital engagement around community narratives on the police, safety, and power; recruitment of new members to Unidos con Poder, its ongoing civic engagement and political education program; and the use of the arts to inspire community visions of a future without state violence. AZ PODER's commitment to developing new leaders in Arizona complements the DR Fund's climate justice goals by advancing a transformative, intersectional vision of systemic policy change.

justice

Alliance for Safety and Justice Action Fund,
a project of Tides Advocacy | *Fremont, CA*
\$50,000

Canary Impact Fund, a project of Dream Corps | *Oakland, CA*
\$100,000 over two years

Dream Corps | *Oakland, CA*
\$40,000

Formerly Incarcerated, Convicted People and Families Movement,
a project of New Way of Life | *Los Angeles, CA*
\$75,000

Gamaliel Faith and Democracy Campaign | *Chicago, IL*
\$50,000

Institute for Justice and Opportunity at John Jay College,
a project of Research Foundation of CUNY | *New York, NY*
\$65,000

Just Detention International | *Los Angeles, CA*
\$20,000

Mass Liberation Fund,
a project of Tides Foundation | *San Francisco, CA*
\$100,000 over two years

Salzburg Global Seminar | *Washington, DC*
\$100,000 over two years

W. Haywood Burns Institute | *Oakland, CA*
\$100,000 over two years

Formerly Incarcerated, Convicted People & Families Movement



Photos by Patrick Avognon

The Formerly Incarcerated, Convicted People & Families Movement (FICPFM) is a network of over 50 civil and human rights organizations led by people who have conviction histories and their family members. With a mission to expand the capacity of a national campaign working at the intersection of civic engagement and justice system transformation, members engage in advocacy and direct organizing within their communities. FICPFM's main policy priorities are the restoration of rights for incarcerated and formerly incarcerated people; felony re-enfranchisement, voting rights restoration, and civic engagement; law enforcement accountability (police, prosecutors, and judges); and bail/supervision reform.

FICPFM created and administers the Quest for Democracy Fund (Q4D), a capacity-building project that provides technical assistance to strengthen the national base of organizations run by formerly incarcerated people. Q4D operates across a nationwide network spanning

32 organizations in 18 states and 24 cities, and its ultimate goal is to increase civic engagement in formerly incarcerated communities and align re-enfranchisement advocacy strategies across its members.

FICPFM is currently working to provide Q4D groups with increased technical assistance, training on civic engagement tools, and tools to track and evaluate their progress. Q4D groups will be upskilled in voter engagement tools, building the capacity of grassroots organizations across the country to engage formerly incarcerated people and their families in advance of the 2022 and 2024 election cycles. FICPFM is also working to improve its relationship management database to manage the growing network and better communicate with members. FICPFM's efforts to increase civic engagement in formerly incarcerated communities and align re-enfranchisement advocacy strategies at the local, state, and national level contributes to total system transformation.

Alliance for Safety and Justice Action Fund (ASJAF)

The Alliance for Safety and Justice Action Fund (ASJAF) is a multi-state 501(c)(4) organization that aims to replace inequitable over-incarceration with new safety solutions rooted in prevention, rehabilitation, and support for crime survivors and people with convictions. ASJAF's work focuses on building power in the nation's 10 largest states: Arizona, California, Florida, Georgia, Illinois, Michigan, New York, Ohio, Pennsylvania, and Texas. These states represent 58% of the money spent on corrections and 58% of the people living with convictions nationwide. By promoting transformative reforms in the nation's largest states, ASJAF is working to replace our nation's broken mass incarceration system with new safety and justice solutions, for all.

Since its founding, ASJAF has secured billions in state and federal investments into victim services, trauma recovery, reentry, violence prevention, and other shared safety solutions. Its advocacy efforts have reduced incarceration and supervision by more than 300,000, provided more than 5 million people with an opportunity to clear an old record, and restored voting eligibility to more than 1 million people with felony records.

ASJAF advances policies to reduce incarceration, shrink prison budgets, invest in resources for crime victims, remove barriers for people with convictions, and scale new safety solutions. The organization focuses on pro-safety frames, solutions-focused messaging, and elevating the voices of justice stakeholders and people directly impacted by mass incarceration to build broad-based support for a new vision of public safety. ASJAF's advocacy efforts are instrumental to developing an infrastructure that fosters bold, systemic changes on a national scale, which will be critical for lasting advocacy and sustained impact for years to come.



Photos by Alliance for Safety and Justice Action Fund

Richard Rockefeller

Climate Change Initiatives

Agroecology Fund, a project of Global Greengrants Fund | *Boulder, CO*
\$130,000

Commission Shift | *Laredo, TX*
\$50,000

Environmental Integrity Project | *Washington, DC*
\$50,000

Forum for the Future US | *Brooklyn, NY*
\$50,000

**Global Alliance for the Future of Food,
a project of Meridian Institute** | *Dillon, CO*
\$20,000

Indigenous Environmental Network | *Bemidji, MN*
\$50,000

Institute on Science for Global Policy | *Tucson, AZ*
\$50,000

Pipeline Fighters Hub, a project of Bold Education Fund | *Hastings, NE*
\$25,000

Rockefeller Family Fund | *New York, NY*
\$250,000

**Sierra Club North Star Chapter,
a project of Sierra Club Foundation** | *Oakland, CA*
\$25,000

Texas Civil Rights Project | *Austin, TX*
\$50,000

Board Chair Discretionary Grants

California Institute of Integral Studies | *San Francisco, CA*
\$90,000

The Synergos Institute | *New York, NY*
\$10,000

Chief Executive Discretionary Grants

Drug Policy Alliance | *New York, NY*
\$15,000

Jackson Hole Center for Global Affairs | *Jackson, WY*
\$30,000

National Center for Family Philanthropy | *Washington, DC*
\$2,000

National Committee for Responsive Philanthropy | *Washington, DC*
\$2,000

Philanthropy New York | *New York, NY*
\$7,250

Solar Responders | *New York, NY*
\$33,750

USCAN (U.S. Climate Action Network) | *Washington, DC*
\$25,000

**Voices of Community Activists & Leaders
(VOCAL - NY)** | *Brooklyn, NY*
\$10,000

Election Protection

Arizona Center for Empowerment | *Phoenix, AZ*
\$25,000

Growald Family Fund - DRF Initiative

Center for Climate and Security,
a project of the Council on Strategic Risks | *Washington, DC*
\$150,000

Micro-Grants

Alternate Roots | *Atlanta, GA*
\$15,000

Found Sound Nation, Inc. | *Brooklyn, NY*
\$10,000

Good Nation Foundation | *New York, NY*
\$10,000

Legal Action Center of the City of New York | *New York, NY*
\$10,000

Louisiana Bucket Brigade | *New Orleans, LA*
\$10,000

Not An Alternative | *Vashon, WA*
\$10,000

Pioneer Works | *Brooklyn, NY*
\$10,000

Recidiviz | *Provo, UT*
\$10,000

Rites of Passage Project, a project of
New Stage Performing Arts Center | *Great Barrington, MA*
\$5,000

The Advent School | *Boston, MA*
\$10,000

Trustee Discretionary/Matching Grants

Rockefeller Philanthropy Advisors | *New York, NY*
\$60,000

Contact Us:

212-812-4300 | info@drfund.org

Special thanks to the featured organizations

(Alliance for Safety and Justice Action Fund; AZ Poder; Center for Cultural Power; Formerly Incarcerated, Convicted Peoples and Families Movement; IllumiNative; LUCHA; Mass Liberation Fund; Protect Our Winters; and Urban Bush Women), and to Jamie Alleyne-Morris for developing the grantee profiles.

Report designed by Lily Alayeva

