

TAX RETURN FILING INSTRUCTIONS

FORM 990-PF

FOR THE YEAR ENDING
DECEMBER 31, 2021

PREPARED FOR:

THE DAVID ROCKEFELLER FUND, INC.
475 RIVERSIDE DRIVE 900
NEW YORK, NY 10115

PREPARED BY:

PKF O'CONNOR DAVIES, LLP
500 MAMARONECK AVENUE, SUITE 301
HARRISON, NY 10528-1633

AMOUNT DUE OR REFUND:

AN OVERPAYMENT OF \$8,150. THE ENTIRE OVERPAYMENT HAS BEEN APPLIED
TO THE ESTIMATED TAX PAYMENTS.

MAKE CHECK PAYABLE TO:

NO AMOUNT IS DUE.

MAIL TAX RETURN AND CHECK (IF APPLICABLE) TO:

NOT APPLICABLE

RETURN MUST BE MAILED ON OR BEFORE:

NOT APPLICABLE

SPECIAL INSTRUCTIONS:

THIS RETURN HAS QUALIFIED FOR ELECTRONIC FILING. THE RETURN HAS
BEEN TRANSMITTED ELECTRONICALLY TO THE IRS AND NO FURTHER ACTION
IS REQUIRED.

PLEASE NOTE THAT THE FORM 990-PF RETURN CONTAINS EXCESS
DISTRIBUTION CARRYOVER OF \$13,845,151. THIS MAY BE APPLIED TO TAX
YEAR 2022 AND SUBSEQUENT YEARS.

**Application for Automatic Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-0047

► **File a separate application for each return.**
 ► **Go to www.irs.gov/Form8868 for the latest information.**

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits.

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. THE DAVID ROCKEFELLER FUND, INC.	Taxpayer identification number (TIN) 13-3533359
	Number, street, and room or suite no. If a P.O. box, see instructions. 475 RIVERSIDE DRIVE, 900	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10115	

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12
Form 990-T (corporation)	07		

LEXI FISHER

- The books are in the care of ► 475 RIVERSIDE DRIVE - NEW YORK, NY 10115

Telephone No. ► 212-812-4300

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐ ►
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and TINs of all members the extension is for.

- 1 I request an automatic 6-month extension of time until NOVEMBER 15, 2022, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
 ► ☒ calendar year 2021 or
 ► ☐ tax year beginning _____, and ending _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	41,238.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	36,088.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	5,150.

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-TE and Form 8879-TE for payment instructions.

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

2021

Open to Public Inspection

For calendar year 2021 or tax year beginning , and ending

Name of foundation THE DAVID ROCKEFELLER FUND, INC.		A Employer identification number 13-3533359
Number and street (or P.O. box number if mail is not delivered to street address) 475 RIVERSIDE DRIVE	Room/suite 900	B Telephone number 212-812-4300
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10115		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 76,948,270.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
(Part I, column (d), must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	10,100,000.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	1,207.	1,207.		STATEMENT 1
	4 Dividends and interest from securities	1,413,083.	1,475,021.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,402,047.			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		1,623,210.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income	-27,801.	2,166.		STATEMENT 3	
12 Total. Add lines 1 through 11	12,888,536.	3,101,604.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	390,263.	98,043.		294,220.
	14 Other employee salaries and wages	128,236.	352.		101,955.
	15 Pension plans, employee benefits	158,365.	19,858.		104,175.
	16a Legal fees STMT 4	24,134.	0.		22,303.
	b Accounting fees STMT 5	31,581.	14,797.		14,673.
	c Other professional fees STMT 6	407,711.	295,541.		92,722.
	17 Interest		1,137.		
	18 Taxes STMT 7	36,429.	40,208.		0.
	19 Depreciation and depletion	4,238.	0.		
	20 Occupancy	31,930.	2,158.		22,007.
	21 Travel, conferences, and meetings	26,159.	0.		26,055.
	22 Printing and publications	1,131.	0.		840.
	23 Other expenses STMT 8	295,996.	249,880.		91,307.
	24 Total operating and administrative expenses. Add lines 13 through 23	1,536,173.	721,974.		770,257.
	25 Contributions, gifts, grants paid	5,771,000.			4,491,750.
26 Total expenses and disbursements. Add lines 24 and 25	7,307,173.	721,974.		5,262,007.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	5,581,363.				
b Net investment income (if negative, enter -0-)		2,379,630.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		511,865.	515,595.	515,595.
	2	Savings and temporary cash investments		397,504.	598,988.	598,988.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ 9,167,076.				
		Less: allowance for doubtful accounts ▶		8,300.	9,167,076.	9,167,076.
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		22,757.	11,093.	11,093.
	10a	Investments - U.S. and state government obligations STMT 10		6,966,527.	6,014,357.	6,014,357.
	b	Investments - corporate stock STMT 11		20,019,851.	21,062,111.	21,062,111.
	c	Investments - corporate bonds STMT 12		2,786,215.	2,928,979.	2,928,979.
	11	Investments - land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 13		35,247,659.	36,577,182.	36,577,182.	
14	Land, buildings, and equipment: basis ▶ 29,110.					
	Less: accumulated depreciation STMT 14 ▶ 16,542.		16,806.	12,568.	12,568.	
15	Other assets (describe STATEMENT 15)		67,159.	60,321.	60,321.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		66,044,643.	76,948,270.	76,948,270.	
Liabilities	17	Accounts payable and accrued expenses		293,184.	427,191.	
	18	Grants payable		475,000.	1,754,500.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		768,184.	2,181,691.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> X and complete lines 24, 25, 29, and 30.					
	24	Net assets without donor restrictions		61,134,181.	62,179,354.	
	25	Net assets with donor restrictions		4,142,278.	12,587,225.	
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds ...				
	29	Total net assets or fund balances		65,276,459.	74,766,579.	
30	Total liabilities and net assets/fund balances		66,044,643.	76,948,270.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	65,276,459.
2	Enter amount from Part I, line 27a	2	5,581,363.
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED APPRECIATION ON INVESTMENTS	3	4,809,762.
4	Add lines 1, 2, and 3	4	75,667,584.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	901,005.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	74,766,579.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P		
b LIBRA FOSSIL FUEL FREE FUND, LP	P		
c ROCKEFELLER ACCESS FUND 06-I, LLC - NET OF UBI	P		
d ROCKEFELLER ALTERNATIVE STRATEGIES FUND, LP	P		
e NEW ENERGY CAPITAL INFRASTRUCTURE	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			495,731.
b			980,874.
c			145,293.
d			926.
e			386.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			495,731.
b			980,874.
c			145,293.
d			926.
e			386.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,623,210.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	3	N/A

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		1	33,077.
b All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	33,077.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	33,077.
6 Credits/Payments:			
a 2021 estimated tax payments and 2020 overpayment credited to 2021	6a	36,088.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	5,150.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d		7	41,238.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	11.
9 Tax due. If the total of lines 5 and 8 is more than 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	8,150.
11 Enter the amount of line 10 to be: Credited to 2022 estimated tax <input type="checkbox"/> 8,150. Refunded <input type="checkbox"/>		11	0.

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Part VI-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	X	
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the tax year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 16	X	
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions SEE STATEMENT 17 SEE STATEMENT 18	X	
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ WWW.DRFUND.ORG	X	
14 The books are in care of ▶ LEXI FISHER Telephone no. ▶ 212-812-4300 Located at ▶ 475 RIVERSIDE DRIVE, NEW YORK, NY ZIP+4 ▶ 10115		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A		
16 At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

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Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.****1a** During the year, did the foundation (either directly or indirectly):(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐**1a(1)** Yes No ☒ ☐(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐**1a(2)** Yes No ☐ ☒(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐**1a(3)** Yes No ☒ ☐(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐**1a(4)** Yes No ☒ ☐(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐**1a(5)** Yes No ☐ ☒(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐**1a(6)** Yes No ☐ ☒**b** If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions ☐**1b** Yes No ☐ ☒**c** Organizations relying on a current notice regarding disaster assistance, check here ☐**d** Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021? ☐**1d** Yes No ☐ ☒**2** Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):**a** At the end of tax year 2021, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2021? ☐**2a** Yes No ☐ ☒If "Yes," list the years ☐ , ☐ , ☐ , ☐**b** Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) ☐

N/A

2b Yes No ☐ ☐**c** If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ☐**2c** Yes No ☐ ☐**3a** Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐**3a** Yes No ☐ ☒**b** If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2021.) ☐

N/A

3b Yes No ☐ ☐**4a** Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐**4a** Yes No ☐ ☒**b** Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021? ☐**4b** Yes No ☐ ☒Form **990-PF** (2021)

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

(3) Provide a grant to an individual for travel, study, or other similar purposes?

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions**c** Organizations relying on a current notice regarding disaster assistance, check here ☐**d** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **SEE STATEMENT 20**

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A****8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?**Yes No****5a(1)** X**5a(2)** X**5a(3)** X**5a(4)** X**5a(5)** X**5b** X**5d** X**6a** X**6b** X**7a** X**7b****8** X**Part VII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 19		390,263.	122117.	1,794.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

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Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ROCKEFELLER CAPITAL MANAGEMENT - 45		
ROCKEFELLER PLAZA, FLOOR 5, NEW YORK, NY	INVESTMENT MANAGEMENT	402,657.
JPMORGAN CHASE & CO.		
270 PARK AVENUE, NEW YORK, CT 10017-2014	INVESTMENT CUSTODIAN	56,885.

Total number of others receiving over \$50,000 for professional services 0

Part VIII-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
SEE STATEMENT 21	58,082.
2	
3	
4	

Part VIII-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2021)

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	64,892,226.
b	Average of monthly cash balances	1b	3,094,274.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	67,986,500.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	67,986,500.
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)	4	1,019,798.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3	5	66,966,702.
6	Minimum investment return. Enter 5% (0.05) of line 5	6	3,348,335.

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6	1	3,348,335.
2a	Tax on investment income for 2021 from Part V, line 5	2a	33,077.
b	Income tax for 2021. (This does not include the tax from Part V.)	2b	
c	Add lines 2a and 2b	2c	33,077.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,315,258.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	3,315,258.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1	7	3,315,258.

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,262,007.
b	Program-related investments - total from Part VIII-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4	4	5,262,007.

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Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				3,315,258.
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2021:				
a From 2016 1,355,799.				
b From 2017 1,209,689.				
c From 2018 593,750.				
d From 2019 1,621,017.				
e From 2020 8,473,946.				
f Total of lines 3a through e	13,254,201.			
4 Qualifying distributions for 2021 from Part XI, line 4: ► \$ 5,262,007.				
a Applied to 2020, but not more than line 2a ...			0.	
b Applied to undistributed income of prior years (Election required - see instructions) ...		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2021 distributable amount				3,315,258.
e Remaining amount distributed out of corpus	1,946,749.			
5 Excess distributions carryover applied to 2021 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	15,200,950.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2020. Subtract line 4a from line 2a. Taxable amount - see instr. ...			0.	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2016 not applied on line 5 or line 7	1,355,799.			
9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a	13,845,151.			
10 Analysis of line 9:				
a Excess from 2017 ... 1,209,689.				
b Excess from 2018 ... 593,750.				
c Excess from 2019 ... 1,621,017.				
d Excess from 2020 ... 8,473,946.				
e Excess from 2021 ... 1,946,749.				

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed

Tax year	Prior 3 years			(e) Total
	(a) 2021	(b) 2020	(c) 2019	(d) 2018
b 85% (0.85) of line 2a				
c Qualifying distributions from Part XI, line 4, for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test - enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part IX, line 6, for each year listed				
c "Support" alternative test - enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 22

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XIV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
350.ORG PO BOX 843004 BOSTON, MA 02284-3004	N/A	PC	MITIGATE CLIMATE CHANGE AND END THE ERA OF FOSSIL FUELS BY BUILDING A GRASSROOTS MOVEMENT OF MILLIONS	100,000.
ALTERNATE ROOTS 1270 CAROLINE STREET ATLANTA, GA 30307	N/A	PC	BIPOC-LED ARTS POLICY COALITION	15,000.
AMERICANS FOR THE ARTS ACTION FUND 1000 VERMONT AVENUE, NW, 6TH FLOOR WASHINGTON, DC 20005	N/A	NC	EDUCATING ARTISTS ON ACCESSING FEDERAL RELIEF FUNDS AND OTHER VOTER EDUCATION EFFORTS	25,000.
AMPLIFIER 901 HIAWATHA PLACE S., UNIT 100 SEATTLE, WA 98144	N/A	PC	NONPROFIT DESIGN LAB THAT BUILDS ART AND MEDIA EXPERIMENTS TO AMPLIFY SOCIAL MOVEMENTS	50,000.
ARIZONA CENTER FOR EMPOWERMENT 5716 N. 19TH AVENUE PHOENIX, AZ 85015	N/A	PC	PEOPLE FIRST ECONOMY AND DEMOCRACY CAMPAIGNS TO PROTECT DEMOCRACY AND SPOTLIGHT ECONOMIC	25,000.
Total	SEE CONTINUATION SHEET(S) ► 3a			4,491,750.
b <i>Approved for future payment</i>				
AZ PODER PO BOX 23406, 4415 N MARYVALE PKWY PHOENIX, AZ 85063	N/A	NC	EDUCATING COMMUNITIES OF COLOR ABOUT CIVIC ENGAGEMENT AT THE LOCAL AND STATE LEVEL	75,000.
BE THE MATCH FOUNDATION 500 N 5TH ST MINNEAPOLIS, MN 55401	N/A	PC	STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING SUPPORT	110.
CALIFORNIA INSTITUTE OF INTEGRAL STUDIES 1453 MISSION STREET SAN FRANCISCO, CA 94103	N/A	PC	INITIATIVE TO SUPPORT DEGREE COMPLETION OF LOW-INCOME, BIPOC INTEGRAL COUNSELING PSYCHOLOGY STUDENTS	90,000.
Total	SEE CONTINUATION SHEET(S) ► 3b			1,754,500.

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Part XVI Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
(1)	Cash	X	
(2)	Other assets		X
b	Other transactions:		
(1)	Sales of assets to a noncharitable exempt organization		X
(2)	Purchases of assets from a noncharitable exempt organization		X
(3)	Rental of facilities, equipment, or other assets		X
(4)	Reimbursement arrangements		X
(5)	Loans or loan guarantees		X
(6)	Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
A1	25,000.	AMERICANS FOR THE ARTS ACTION FUND	SEE STATEMENT 23
A1	25,000.	BRAVE NEW FILMS ACTION FUND	
A1	75,000.	AZ PODER	
A1	100,000.	CLIMATE EMERGENCY ADVOCATES	
A1	50,000.	GAMALIEL FAITH AND DEMOCRACY CAMPAIGN	
A1	75,000.	HIP HOP CAUCUS ACTION FUND	
A1	75,000.	LEAGUE OF CONSERVATION VOTERS	
A1	50,000.	LUCHA	
A1	250,000.	MICHIGAN CIVIC ACTION FUND	
A1	50,000.	ONE FOR DEMOCRACY	
A1	75,000.	PROGRESSIVE MULTIPLIER ACTION FUND	
A1	200,000.	SIXTEEN THIRTY FUND	
A1	50,000.	TIDES ADVOCACY	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		CHIEF OPERATING OFFICER & BOARD SEC	May the IRS discuss this return with the preparer shown below? See instr. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	Signature of officer or trustee	Date			Title
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	JOSEPH L. ALI, CPA	JOSEPH L. ALI, CPA	11/09/22		P02093808
	Firm's name ▶ PKF O'CONNOR DAVIES, LLP			Firm's EIN ▶ 27-1728945	
	Firm's address ▶ 500 MAMARONECK AVENUE, SUITE 301 HARRISON, NY 10528-1633			Phone no. 914-381-8900	

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Part XIV **Supplementary Information** (continued)**3a Grants and Contributions Paid During the Year**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AZ PODER PO BOX 23406, 4415 N MARYVALE PKWY PHOENIX, AZ 85063	N/A	NC	EDUCATING COMMUNITIES OF COLOR ABOUT CIVIC ENGAGEMENT AT THE LOCAL AND STATE LEVEL	75,000.
BEAUTIFUL TROUBLE 139 NORFOLK ST #3D NEW YORK CITY, NY 10002	N/A	PC	CLIMATE CLOCK - ARTISTIC INSTALLATION TO FOCUS THE PUBLIC'S ATTENTION ON THE SCALE AND SPEED OF TRANSFORMATION NEEDED TO STAY UNDER DANGEROUS LEVELS OF GLOBAL WARMING	50,000.
BIODIVERSITY FUNDERS GROUP PO BOX 29361 SAN FRANCISCO, CA 94129-0361	N/A	PC	CLIMATE AND ENERGY FUNDERS GROUP	5,000.
BOLD EDUCATION FUND PO BOX 254 HASTINGS, NE 68902	N/A	PC	PIPELINE FIGHTERS HUB, A COALITION TO LIMIT FOSSIL FUEL INFRASTRUCTURE EXPANSION	25,000.
BRAVE NEW FILMS ACTION FUND 10510 CULVER BOULEVARD CULVER CITY, CA 90232	N/A	NC	CREATE AND DISTRIBUTE NEW SHORT FILMS FOCUSED ON CIVIC ENGAGEMENT	25,000.
BROOKLYN ARTS EXCHANGE 421 5TH AVE BROOKLYN, NY 11215	N/A	PC	NATIONAL EXPANSION OF EMERGENYC, A SUPPORT NETWORK FOR EMERGING ARTIST-ACTIVISTS	50,000.
CENTER FOR CULTURAL POWER 1330 BROADWAY 3RD FLOOR OAKLAND, CA 94612	N/A	PC	USING ARTS AND CULTURE TO ENGAGE YOUNG BIPOC IN CRITICAL MOVEMENTS FOR SOCIAL JUSTICE AT THE NATIONAL, STATE, AND LOCAL LEVELS	50,000.
Total from continuation sheets				4,276,750.

Part XIV **Supplementary Information** (continued)**3a Grants and Contributions Paid During the Year**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHICAGO FREEDOM SCHOOL 719 STATE STREET, UNIT 300 CHICAGO, IL 60605	N/A	PC	PROJECT NIA - ADVOCACY, ORGANIZING, EDUCATION, RESEARCH, AND CAPACITY-BUILDING CENTER WITH THE GOAL OF ENDING YOUTH INCARCERATION	30,000.
CLIMATE EMERGENCY ADVOCATES 4676 BROADWAY, SUITE A BOULDER, CO 80304	N/A	NC	EDUCATING YOUNG CLIMATE ACTIVISTS IN ARIZONA AND PENNSYLVANIA	100,000.
COMMISSION SHIFT 212 FLORES AVENUE LAREDO, TX 78040	N/A	PC	REFORM OIL AND GAS OVERSIGHT BY BUILDING PUBLIC SUPPORT TO HOLD THE RAILROAD COMMISSION OF TEXAS (RRC) ACCOUNTABLE TO ITS MISSION IN A SHIFTING ENERGY LANDSCAPE	50,000.
CONFLUENCE PHILANTHROPY 209 W. 29TH STREET, BOX 6169 NEW YORK, NY 10001	N/A	PC	EDUCATIONAL PROGRAMMING FOR FOUNDATIONS AND VALUES-ALIGNED INVESTORS	5,000.
COUNCIL ON STRATEGIC RISKS 1025 CONNECTICUT AVE., NW SUITE 1000 WASHINGTON, DC 20010	N/A	PC	CENTER FOR CLIMATE AND SECURITY - CLIMATE SECURITY AND THE ENERGY TRANSITION IN THE ASIA-PACIFIC	150,000.
CRITICAL RESISTANCE P.O. BOX 22780 OAKLAND, CA 94609-2301	N/A	PC	BUILDING AN INTERNATIONAL MOVEMENT TO END THE PRISON INDUSTRIAL COMPLEX	70,000.
DREAM CORPS 436 14TH ST, SUITE 920 OAKLAND, CA 94612	N/A	PC	DREAM CORPS JUSTICE'S FEDERAL PRISON CLOSURE CAMPAIGN	40,000.
Total from continuation sheets				

Part XIV **Supplementary Information** (continued)**3a Grants and Contributions Paid During the Year**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DREAM CORPS 436 14TH STREET, SUITE 920 OAKLAND, CA 94612	N/A	PC	CANARY IMPACT FUND PARTICIPATORY GRANTMAKING COMMITTEE	50,000.
DRUG POLICY ALLIANCE 131 WEST 33RD STREET, 15TH FLOOR NEW YORK, NY 10001	N/A	PC	EQUITABLE IMPLEMENTATION OF MARIJUANA LEGALIZATION IN NEW YORK STATE	15,000.
ENVIRONMENTAL GRANTMAKERS ASSOCIATION 475 RIVERSIDE DRIVE, SUITE 960 NEW YORK, NY 10115	N/A	PC	MEMBERSHIP ASSOCIATION OF FOUNDATIONS AND GIVING PROGRAMS THAT PROMOTES EFFECTIVE ENVIRONMENTAL PHILANTHROPY	5,000.
ENVIRONMENTAL INTEGRITY PROJECT 1000 VERMONT AVE NW, STE 1100 WASHINGTON, DC 20005	N/A	PC	TEXAS OIL AND GAS REGULATORY OVERSIGHT CENTER	50,000.
FORUM FOR THE FUTURE US 81 PROSPECT ST. BROOKLYN, NY 11201	N/A	PC	"GROWING OUR FUTURE" REGENERATIVE AGRICULTURE PROJECT	50,000.
FOUND SOUND NATION, INC. 80 HANSON PLACE, SUITE 301 BROOKLYN, NY 11217	N/A	PC	EVERY TIME I BREATHE - THEATER PIECE ABOUT INCARCERATION	10,000.
FRIENDS OF THE BROOKLYN LATIN SCHOOL 223 GRAHAM AVENUE BROOKLYN, NY 11206	N/A	PC	STAFF MATCHING GRANT	250.
Total from continuation sheets				

Part XIV **Supplementary Information** (continued)**3a Grants and Contributions Paid During the Year**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GAMALIEL FAITH AND DEMOCRACY CAMPAIGN 5401 S. CORNELL AVENUE, SUITE 301 CHICAGO, IL 60615	N/A	NC	TRANSFORMATIONAL JUSTICE IN ACTION CAMPAIGN'S IN-PRISON OUTREACH PROGRAM, WHEREBY INCARCERATED LEADERS EDUCATE FRIENDS, FAMILY MEMBERS, CO-WORKERS, AND THE FAITH COMMUNITY ON CIVIC PARTICIPATION	50,000.
GEORGE MASON UNIVERSITY FOUNDATION 4400 UNIVERSITY DRIVE MSN1A3 FAIRFAX, VA 22030	N/A	PC	REPUBLICEN - MOBILIZING THE ECO-RIGHT ON CLIMATE CHANGE	50,000.
GEORGIA ALLIANCE EDUCATION FUND P.O. BOX 77971 ATLANTA, GA 30357	N/A	PC	COALITION TO BUILD A PERMANENT, POWERFUL, STRATEGIC AND INCLUSIVE PROGRESSIVE MOVEMENT IN GEORGIA	250,000.
GLOBAL GREENGRANTS FUND 2840 WILDERNESS PLACE, SUITE A BOULDER, CO 80301	N/A	PC	AGROECOLOGY FUND - ADDRESSES THE IMPACTS OF CLIMATE CHANGE BY ADVANCING A TRANSFORMATIONAL REDESIGN OF FOOD SYSTEMS TOWARDS GREATER SUSTAINABILITY AND JUSTICE	130,000.
GOOD NATION FOUNDATION 100 CROSBY STREET ROOM 303 NEW YORK, NY 10012	N/A	PC	FUND FOR A SAFE AND JUST DOC - TRANSFORM THE NEW YORK CITY DEPARTMENT OF CORRECTIONS	10,000.
HI-ARTS 215 EAST 99TH STREET NEW YORK, NY 10029	N/A	PC	CIVIC ENGAGEMENT THROUGH THE ARTS	50,000.
HIP HOP CAUCUS ACTION FUND 20 F ST. NW, 7TH FLOOR WASHINGTON, DC 20001	N/A	NC	EDUCATING THE PUBLIC ON ENVIRONMENTAL JUSTICE THROUGH MEDIA	75,000.
Total from continuation sheets				

Part XIV **Supplementary Information** (continued)**3a Grants and Contributions Paid During the Year**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INDIGENOUS ENVIRONMENTAL NETWORK P.O. BOX 485 BEMIDJI, MN 56619	N/A	PC	KEEP IT IN THE GROUND CAMPAIGN - NONVIOLENT DIRECT ACTION AGAINST EXTRACTIVE ENERGY PROJECTS AND CLIMATE INJUSTICE	50,000.
INSTITUTE ON SCIENCE FOR GLOBAL POLICY 13630 E. SAHUARO SUNSET ROAD TUCSON, AZ 85749	N/A	PC	FACILITATION OF THE UNITED NATIONS FOOD SYSTEMS SUMMIT (ISGP UNFSS) ACTION TRACK REVIEW PROGRAM	50,000.
JACKSON HOLE CENTER FOR GLOBAL AFFAIRS P.O. BOX 3040125 JACKSON, WY 83001	N/A	PC	A CLIMATE PLAN FOR COAL REGIONS PROJECT	30,000.
JUST DETENTION INTERNATIONAL 3325 WILSHIRE BOULEVARD, SUITE 340 LOS ANGELES, CA 90010	N/A	PC	DAVID KAISER ADVOCACY FUND - PROVIDES TRAUMA-INFORMED SERVICES TO SURVIVORS OF PRISONER RAPE	20,000.
LEAGUE OF CONSERVATION VOTERS 740 15TH ST NW, 7TH FLOOR WASHINGTON, DC 20005	N/A	NC	CHISPA - ENGAGE LATINX FAMILIES AND COMMUNITIES OF COLOR IN THE FIGHT AGAINST CLIMATE CHANGE AND ENVIRONMENTAL INJUSTICE	75,000.
LEGAL ACTION CENTER OF THE CITY OF NEW YORK 225 VARICK STREET, 4TH FLOOR NEW YORK, NY 10014	N/A	PC	THE OMOWALE PROJECT - UNDOING STRESS AND TRAUMA RESPONSES IN BIPOC MOVEMENT LEADERS	10,000.
LOUISIANA BUCKET BRIGADE 3416B CANAL STREET NEW ORLEANS, LA 70119	N/A	PC	INCLUSIVE LOUISIANA - HURRICANE RELIEF AND LIMITING PETROCHEMICAL BUILDOUT	10,000.
Total from continuation sheets				

Part XIV **Supplementary Information** (continued)**3a Grants and Contributions Paid During the Year**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LUCHA 5716 N. 19TH AVENUE PHOENIX, AZ 85015	N/A	NC	EDUCATING UNDOCUMENTED, LOW-INCOME, AND YOUNG PEOPLE ABOUT LOCAL ECONOMIC AND SOCIAL ISSUES	50,000.
MERIDIAN INSTITUTE 105 VILLAGE PLACE, P.O. BOX 1829 DILLON, CO 80435	N/A	PC	GLOBAL ALLIANCE FOR THE FUTURE OF FOOD - PRODUCTION OF TWO NATIONALLY DETERMINED CONTRIBUTION ASSESSMENT FRAMEWORKS FOR FOOD SYSTEMS TRANSFORMATION	20,000.
MICHIGAN CIVIC ACTION FUND 28342 DARTMOUTH STREET MADISON HEIGHTS, MI 48071	N/A	NC	EDUCATING BIPOC VOTERS ABOUT PUBLIC POLICY ISSUES IN MICHIGAN	250,000.
MIGHTY EARTH 1150 CONNECTICUT AVE. NW, SUITE 800 WASHINGTON, DC 20036	N/A	PC	ACHIEVE TRANSFORMATIVE CHANGE IN COMMODITY MARKETS AND GOVERNMENT POLICY TO ENSURE THE PROTECTION OF THE WORLD'S FORESTS	250,000.
NATIONAL CENTER FOR FAMILY PHILANTHROPY 1667 K STREET, NW - SUITE 550 WASHINGTON, DC 20006	N/A	PC	NETWORK OF PHILANTHROPIC FAMILIES COMMITTED TO A WORLD THAT IS VIBRANT, EQUITABLE, AND RESILIENT	2,000.
NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY 1900 L STREET NW, SUITE 825 WASHINGTON, DC 20036	N/A	PC	INFORM, EDUCATE, AND CHALLENGE GRANTMAKERS ON THE BEST WAYS TO SUPPORT MARGINALIZED COMMUNITIES SEEKING LONG-TERM CHANGE	2,000.
NEW STAGE PERFORMING ARTS CENTER 80 CASTLE HILL AVE. GREAT BARRINGTON, MA 01230	N/A	PC	rites of passage project - performance art piece that celebrates rituals using multi-media art and performance	5,000.
Total from continuation sheets				

Part XIV Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW VENTURE FUND 1828 L STREET NW, SUITE 300-A WASHINGTON, DC 20036	N/A	PC	ILLUMINATIVE'S NATIVE ARTISTS AND STORYTELLERS FUND - HARNESSES THE TALENT, LEADERSHIP AND POWER OF ARTISTS AND STORYTELLERS TO ADVANCE EQUITY AND JUSTICE IN NATIVE COMMUNITIES AND SOCIETY AT LARGE	100,000.
NEW VENTURE FUND 1828 L STREET, NW, SUITE 300-A WASHINGTON, DC 20036	N/A	PC	COMMUNITY RESOURCE HUB FOR SAFETY & ACCOUNTABILITY'S DEFUNDPOLICE.ORG WEBSITE	25,000.
NEW WAY OF LIFE P.O. BOX 875288 LOS ANGELES, CA 90087	N/A	PC	FORMERLY INCARCERATED & CONVICTED PEOPLE AND FAMILIES MOVEMENT - NETWORK OF CIVIL AND HUMAN RIGHTS ORGANIZATIONS LED BY PEOPLE WHO HAVE CONVICTION HISTORIES AND THEIR FAMILY MEMBERS	75,000.
NOT AN ALTERNATIVE 9719 SW 180TH STREET VASHON, WA 98070	N/A	PC	THE RED ROAD TO DC, A TOTEM POLE JOURNEY ACROSS THE COUNTRY TO RAISE AWARENESS ABOUT SACRED LANDS PROTECTION	10,000.
ONE FOR DEMOCRACY 107 GRAND STREET, 7TH FLOOR NEW YORK, NY 10013	N/A	NC	STRENGTHEN THE INFRASTRUCTURE NEEDED FOR DEMOCRACY, AND ULTIMATELY COMMUNITIES, TO THRIVE	50,000.
PHILANTHROPY NEW YORK 320 EAST 43RD STREET NEW YORK, NY 10017	N/A	PC	COMMUNITY OF GRANTMAKING ORGANIZATIONS THAT DEVELOPS FORWARD-THINKING GUIDANCE AND PROGRAMMING TO ADDRESS THE UNIQUE CHALLENGES OF PHILANTHROPY AND CONVENES FUNDERS TO STRENGTHEN TIES ACROSS THE SECTOR	7,250.
PIONEER WORKS 159 PIONEER STREET BROOKLYN, NY 11231	N/A	PC	KALIEF BROWDER: THE BOX ARTISTIC INSTALLATION	10,000.
Total from continuation sheets				

Part XIV **Supplementary Information** (continued)**3a Grants and Contributions Paid During the Year**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PROGRESSIVE MULTIPLIER ACTION FUND 714 G ST. SE, STE. 200 WASHINGTON, DC 20003	N/A	NC	PROGRESSIVE STIMULUS PROJECT - HELPS PROGRESSIVE NONPROFIT GROUPS IMPLEMENT AND SCALE REVENUE GENERATION TECHNIQUES TO REINFORCE THEIR BUDGETS AND SUPPORT MISSION DELIVERY	75,000.
PROMISE OF JUSTICE INITIATIVE 1024 ELYSIAN FIELDS AVE NEW ORLEANS, LA 70117	N/A	PC	END PLANTATION PRISONS PROJECT - PRIORITIZE REHABILITATION, SKILLS TRAINING, AND A FOCUS ON REENTRY INSTEAD OF VIEWING FORCED LABOR AS A PUNISHMENT	25,000.
PROTECT OUR WINTERS 4676 BROADWAY STREET BOULDER, CO 80304	N/A	PC	MOBILIZING THE OUTDOOR COMMUNITY TO TAKE ACTION AGAINST CLIMATE CHANGE	50,000.
PUBLIC POLICY AND EDUCATION FUND OF NEW YORK 94 CENTRAL AVENUE ALBANY, NY 12206	N/A	PC	FOR THE PEOPLE CAMPAIGN - BUILDS PUBLIC AWARENESS AND SUPPORT FOR VOTING RIGHTS AND DEMOCRACY REFORM	50,000.
RECIDIVIZ 1665 PINE LANE PROVO, UT 84604	N/A	PC	NONPROFIT TECH ENTERPRISE WORKING TO REDUCE INCARCERATION	10,000.
REGISTER2VOTE FUND 4107 MEDICAL PARKWAY, SUITE 212 AUSTIN, TX 78756	N/A	PC	FOCUS FOR DEMOCRACY PROJECT - EDUCATE WEST VIRGINIANS ABOUT THE NEED TO PROTECT THE RIGHT TO VOTE	41,500.
RESEARCH FOUNDATION OF CUNY 524 WEST 59TH STREET, SUITE 603 BMW NEW YORK, NY 10019	N/A	PC	INSTITUTE FOR JUSTICE AND OPPORTUNITY AT JOHN JAY COLLEGE'S CRIMINAL JUSTICE FELLOWSHIP PROGRAM	65,000.
Total from continuation sheets				

Part XIV **Supplementary Information** (continued)**3a Grants and Contributions Paid During the Year**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ROCKEFELLER FAMILY FUND 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	N/A	PC	LIMITING OIL AND GAS DEVELOPMENT IN TEXAS	250,000.
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET, FLOOR 10 NEW YORK, NY 10036-1802	N/A	PC	UPSTART CO-LAB, WHICH CREATES OPPORTUNITIES FOR ARTIST INNOVATORS TO DELIVER SOCIAL IMPACT AT SCALE	25,000.
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET, FLOOR 10 NEW YORK, NY 10036-1802	N/A	PC	2021 TRUSTEE DISCRETIONARY & MATCHING ALLOCATION	60,000.
SALZBURG GLOBAL SEMINAR 1203 K ST NW, STE 365 WASHINGTON, DC 20005	N/A	PC	GLOBAL INNOVATIONS FOR YOUTH JUSTICE & SAFETY CONVENING AND RESEARCH	50,000.
SIERRA CLUB FOUNDATION 2101 WEBSTER STREET, SUITE 1250 OAKLAND, CA 94612	N/A	PC	SIERRA CLUB NORTH STAR CHAPTER'S MINNESOTA BEYOND OIL AND TAR SANDS PROJECT, A COALITION TO LIMIT FOSSIL FUEL EXPANSION	25,000.
SIXTEEN THIRTY FUND 1201 CONNECTICUT AVE. NW, SUITE 300 WASHINGTON, DC 20036	N/A	NC	CLIMATE EQUITY ACTION FUND - ADVANCES EQUITABLE CLEAN ENERGY SOLUTIONS	200,000.
SOLAR RESPONDERS 902 BROADWAY, FLOOR 6 NEW YORK, NY 10010	N/A	PC	MEETING PUERTO RICOS FIRST RESPONDERS NEED FOR A RESILIENT, RENEWABLE ENERGY INFRASTRUCTURE	33,750.
Total from continuation sheets				

Part XIV Supplementary Information (continued)**3a Grants and Contributions Paid During the Year**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SUSTAINABLE MARKETS FOUNDATION 45 WEST 36TH STREET, FLOOR 6 NY, NY 10018	N/A	PC	BANKFWD - EDUCATES BANKS TO PHASE OUT FINANCING FOR FOSSIL FUELS AND ALIGN WITH THE 1.5 DEGREE PATHWAY OF THE PARIS CLIMATE AGREEMENT	40,000.
TEXAS CIVIL RIGHTS PROJECT 1405 MONTOPOLIS DR. AUSTIN, TX 78741	N/A	PC	PROTECT THE RIGHT TO PROTEST AND SERVE THE RISING SOCIAL JUSTICE MOVEMENT IN TEXAS WITH LEGAL REPRESENTATION AND CREATIVE STRATEGIES	50,000.
THE ADVENT SCHOOL 15 BRIMMER STREET BOSTON, MA 02108	N/A	PC	NATIONAL ANTI-RACISM TEACH-IN - ANNUAL CONFERENCE AND GLOBAL MOVEMENT TO DISMANTLE STRUCTURES THAT UPHOLD RACIAL INEQUITY	10,000.
THE SYNERGOS INSTITUTE 3 EAST 54TH STREET NEW YORK, NY 10022	N/A	PC	DAVID ROCKEFELLER BRIDGING LEADERSHIP AWARD AND CORRESPONDING EVENT	10,000.
TIDES ADVOCACY 3440 WALNUT AVE. BLDG A WINDOW H FREMONT, CA 94538	N/A	NC	ALLIANCE FOR SAFETY & JUSTICE ACTION FUND - EDUCATE THE PUBLIC ON REDUCING MASS CRIMINALIZATION AND INCARCERATION	50,000.
TIDES CENTER 1201 CONNECTICUT AVE. NW, SUITE 300 WASHINGTON, DC 20036	N/A	PC	MOSAIC; FIELD COGENERATED INITIATIVE TO INVEST IN CLIMATE MOVEMENT INFRASTRUCTURE	25,000.
TIDES FOUNDATION 1014 TORNEY AVE SAN FRANCISCO, CA 94129	N/A	PC	MASS LIBERATION FUND - TRAINING AND SUPPORT TO ORGANIZATIONS LED BY FORMERLY INCARCERATED AND DIRECTLY IMPACTED PEOPLE TO DISMANTLE THE CRIMINAL LEGAL SYSTEM	50,000.
Total from continuation sheets				

Part XIV **Supplementary Information** (continued)**3a Grants and Contributions Paid During the Year**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TIDES FOUNDATION PO BOX 29903 SAN FRANCISCO, CA 94129	N/A	PC	RESILIENT DEMOCRACY FUND - SUPPORTING CIVIC ENGAGEMENT INITIATIVES WELL POSITIONED TO ENGAGE UNDERREPRESENTED BLACK, BROWN, LOW-INCOME INDIVIDUALS AND REALIZE A MORE INCLUSIVE AND JUST DEMOCRACY	75,000.
URBAN BUSH WOMEN 138 SOUTH OXFORD STREET, 4B BROOKLYN, NY 11217	N/A	PC	DANCE THAT SPURS CIVIC ENGAGEMENT AND CREATES A MORE EQUITABLE BALANCE OF POWER IN THE DANCE WORLD AND BEYOND	50,000.
URBAN JUSTICE CENTER 40 RECTOR STREET, 9TH FLOOR NEW YORK, NY 10006	N/A	PC	FREEDOM AGENDA, A MEMBER-LED PROJECT DEDICATED TO ORGANIZING PEOPLE AND COMMUNITIES DIRECTLY IMPACTED BY INCARCERATION TO ACHIEVE DECARCERATION AND SYSTEM TRANSFORMATION	50,000.
USCAN (U.S. CLIMATE ACTION NETWORK) 700 12TH STREET NW SUITE 700 WASHINGTON, DC 20005	N/A	PC	BUILDING A CLIMATE MOVEMENT POWERFUL ENOUGH TO ADDRESS THE INTERTWINING HEALTH, ECONOMIC, RACIAL JUSTICE, AND CLIMATE JUSTICE CRISES	100,000.
USCAN (U.S. CLIMATE ACTION NETWORK) 700 12TH STREET NW SUITE 700 WASHINGTON, DC 20005	N/A	PC	SUPPORTING CLIMATE ACTION NETWORKS PARTICIPATION IN THE COP26 CONFERENCE	25,000.
VERA INSTITUTE OF JUSTICE 34 35TH STREET, SUITE 4-2A BROOKLYN, NY 11232	N/A	PC	IN OUR BACKYARDS INITIATIVE - NATIONAL MOVEMENT TO END MASS INCARCERATION AND MASS CRIMINALIZATION IN OUR NATION'S SMALLER CITIES AND RURAL COMMUNITIES	25,000.
VOICES OF COMMUNITY ACTIVISTS & LEADERS (VOCAL - NY) 80-A FOURTH AVENUE BROOKLYN, NY 11217	N/A	PC	EQUITABLE IMPLEMENTATION OF MARIJUANA LEGALIZATION IN NEW YORK STATE	10,000.
Total from continuation sheets				

Part XIV **Supplementary Information** (continued)**3a Grants and Contributions Paid During the Year**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
W. HAYWOOD BURNS INSTITUTE 475 14TH STREET, SUITE 800 OAKLAND, CA 94612	N/A	PC	DATA-DRIVEN WORK TO REDUCE RACIAL AND ETHNIC DISPARITIES IN THE ADMINISTRATION OF JUSTICE NEGATIVELY IMPACTING FIRST NATION TRIBES AND COMMUNITIES	50,000.
WINDWARD FUND 1828 L STREET NW, SUITE 300-C WASHINGTON, DC 20036	N/A	PC	HIVE FUND FOR CLIMATE AND GENDER JUSTICE - INVESTS IN THE EXPERTISE AND LONG-TERM SUCCESS OF PRIMARILY WOMEN OF COLOR-LED ORGANIZATIONS BUILDING POWER TO DISMANTLE OPPRESSIVE SYSTEMS	25,000.
WORTH RISES 85 DELANCEY STREET, 2ND FLOOR NEW YORK, NY 10002	N/A	PC	DISMANTLING THE PRISON INDUSTRY AND ENDING THE EXPLOITATION OF THOSE IT TOUCHES	50,000.
Total from continuation sheets				

Part XIV **Supplementary Information** (continued)**3b Grants and Contributions Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CENTER FOR CULTURAL POWER 1330 BROADWAY 3RD FLOOR OAKLAND, CA 94612	N/A	PC	USING ARTS AND CULTURE TO ENGAGE YOUNG BIPOC IN CRITICAL MOVEMENTS FOR SOCIAL JUSTICE AT THE NATIONAL, STATE, AND LOCAL LEVELS	50,000.
CHICAGO FREEDOM SCHOOL 719 STATE STREET, UNIT 300 CHICAGO, IL 60605	N/A	PC	PROJECT NIA - ADVOCACY, ORGANIZING, EDUCATION, RESEARCH, AND CAPACITY-BUILDING CENTER WITH THE GOAL OF ENDING YOUTH INCARCERATION	30,000.
CRITICAL RESISTANCE P.O. BOX 22780 OAKLAND, CA 94609-2301	N/A	PC	BUILDING AN INTERNATIONAL MOVEMENT TO END THE PRISON INDUSTRIAL COMPLEX	120,000.
DREAM CORPS 436 14TH STREET, SUITE 920 OAKLAND, CA 94612	N/A	PC	CANARY IMPACT FUND PARTICIPATORY GRANTMAKING COMMITTEE	50,000.
GEORGIA ALLIANCE EDUCATION FUND P.O. BOX 77971 ATLANTA, GA 30357	N/A	PC	COALITION TO BUILD A PERMANENT, POWERFUL, STRATEGIC AND INCLUSIVE PROGRESSIVE MOVEMENT IN GEORGIA	250,000.
HI-ARTS 215 EAST 99TH STREET NEW YORK, NY 10029	N/A	PC	CIVIC ENGAGEMENT THROUGH THE ARTS	50,000.
HIP HOP CAUCUS ACTION FUND 20 F ST. NW, 7TH FLOOR WASHINGTON, DC 20001	N/A	NC	EDUCATING THE PUBLIC ON ENVIRONMENTAL JUSTICE THROUGH MEDIA	75,000.
Total from continuation sheets				1,589,390.

Part XIV **Supplementary Information** (continued)**3b Grants and Contributions Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LUCHA 5716 N. 19TH AVENUE PHOENIX, AZ 85015	N/A	NC	EDUCATING UNDOCUMENTED, LOW-INCOME, AND YOUNG PEOPLE ABOUT LOCAL ECONOMIC AND SOCIAL ISSUES	50,000.
MICHIGAN CIVIC ACTION FUND 28342 DARTMOUTH STREET MADISON HEIGHTS, MI 48071	N/A	NC	EDUCATING BIPOC VOTERS ABOUT PUBLIC POLICY ISSUES IN MICHIGAN	250,000.
MONTCLAIR EARLY CHILDHOOD CORPORATION 49 ORANGE ROAD MONTCLAIR, NJ 07042	N/A	PC	STAFF MATCHING GRANT TO BE USED FOR LULU'S FUND	6,290.
MYELODYSPLASTIC SYNDROMES FOUNDATION 4573 SOUTH BROAD ST., SUITE 150 YARDVILLE, NJ 08620	N/A	PC	STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING SUPPORT	100.
NARAL PRO-CHOICE AMERICA FOUNDATION 1725 EYE ST NW SUITE 900 WASHINGTON, DC 20006	N/A	PC	STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING SUPPORT	500.
NEO PHILANTHROPY 45 W 36TH ST, 6TH FLOOR NEW YORK, NY 10018	N/A	PC	PEACE AND SECURITY FUNDERS GROUP	7,000.
NEW VENTURE FUND 1828 L STREET NW, SUITE 300-A WASHINGTON, DC 20036	N/A	PC	ILLUMINATIVE'S NATIVE ARTISTS AND STORYTELLERS FUND - HARNESSES THE TALENT, LEADERSHIP AND POWER OF ARTISTS AND STORYTELLERS TO ADVANCE EQUITY AND JUSTICE IN NATIVE COMMUNITIES AND SOCIETY AT LARGE	100,000.
Total from continuation sheets				

Part XIV Supplementary Information (continued)**3b Grants and Contributions Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW VENTURE FUND 1828 L STREET, NW, SUITE 300-A WASHINGTON, DC 20036	N/A	PC	COMMUNITY RESOURCE HUB FOR SAFETY & ACCOUNTABILITY'S DEFUNDPOLICE.ORG WEBSITE	25,000.
ONE FOR DEMOCRACY 107 GRAND STREET, 7TH FLOOR NEW YORK, NY 10013	N/A	NC	STRENGTHEN THE INFRASTRUCTURE NEEDED FOR DEMOCRACY, AND ULTIMATELY COMMUNITIES, TO THRIVE	150,000.
PROMISE OF JUSTICE INITIATIVE 1024 ELYSIAN FIELDS AVE NEW ORLEANS, LA 70117	N/A	PC	END PLANTATION PRISONS PROJECT - PRIORITIZE REHABILITATION, SKILLS TRAINING, AND A FOCUS ON REENTRY INSTEAD OF VIEWING FORCED LABOR AS A PUNISHMENT	25,000.
SALZBURG GLOBAL SEMINAR 1203 K ST NW, STE 365 WASHINGTON, DC 20005	N/A	PC	GLOBAL INNOVATIONS FOR YOUTH JUSTICE & SAFETY CONVENING AND RESEARCH	50,000.
SIXTEEN THIRTY FUND 1201 CONNECTICUT AVE. NW, SUITE 300 WASHINGTON, DC 20036	N/A	NC	CLIMATE EQUITY ACTION FUND - ADVANCES EQUITABLE CLEAN ENERGY SOLUTIONS	125,000.
TIDES FOUNDATION 1014 TORNEY AVE SAN FRANCISCO, CA 94129	N/A	PC	MASS LIBERATION FUND - TRAINING AND SUPPORT TO ORGANIZATIONS LED BY FORMERLY INCARCERATED AND DIRECTLY IMPACTED PEOPLE TO DISMANTLE THE CRIMINAL LEGAL SYSTEM	50,000.
VERA INSTITUTE OF JUSTICE 34 35TH STREET, SUITE 4-2A BROOKLYN, NY 11232	N/A	PC	IN OUR BACKYARDS INITIATIVE - NATIONAL MOVEMENT TO END MASS INCARCERATION AND MASS CRIMINALIZATION IN OUR NATION'S SMALLER CITIES AND RURAL COMMUNITIES	25,000.
Total from continuation sheets				

Part XIV **Supplementary Information** (continued)**3b Grants and Contributions Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
W. HAYWOOD BURNS INSTITUTE 475 14TH STREET, SUITE 800 OAKLAND, CA 94612	N/A	PC	DATA-DRIVEN WORK TO REDUCE RACIAL AND ETHNIC DISPARITIES IN THE ADMINISTRATION OF JUSTICE NEGATIVELY IMPACTING FIRST NATION TRIBES AND COMMUNITIES	50,000.
WOMEN FOR AFGHAN WOMEN 158-24 73RD AVE., 2ND FLOOR FRESH MEADOWS, NY 11366	N/A	PC	STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING SUPPORT	500.
WORTH RISES 85 DELANCEY STREET, 2ND FLOOR NEW YORK, NY 10002	N/A	PC	DISMANTLING THE PRISON INDUSTRY AND ENDING THE EXPLOITATION OF THOSE IT TOUCHES	50,000.
Total from continuation sheets				

Part XIV Supplementary Information**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

NAME OF RECIPIENT - 350.ORG

MITIGATE CLIMATE CHANGE AND END THE ERA OF FOSSIL FUELS BY BUILDING A

GRASSROOTS MOVEMENT OF MILLIONS OF PEOPLE THAT MATCHES THE SCALE AND

NEEDS REQUIRED

NAME OF RECIPIENT - ARIZONA CENTER FOR EMPOWERMENT

PEOPLE FIRST ECONOMY AND DEMOCRACY CAMPAIGNS TO PROTECT DEMOCRACY AND

SPOTLIGHT ECONOMIC INJUSTICE IN ARIZONA

Schedule B (Form 990)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990 or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2021

Name of the organization

THE DAVID ROCKEFELLER FUND, INC.

Employer identification number

13-3533359

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990) (2021)

Name of organization	Employer identification number
THE DAVID ROCKEFELLER FUND, INC.	13-3533359

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SUSAN ROCKEFELLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	\$ 25,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	MARGARET DULANY 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	\$ 10,075,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE DAVID ROCKEFELLER FUND, INC.	13-3533359

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this info. once.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Underpayment of Estimated Tax by Corporations

OMB No. 1545-0123

FORM 990-PF

2021

▶ Attach to the corporation's tax return.

▶ Go to www.irs.gov/Form2220 for instructions and the latest information.

Name THE DAVID ROCKEFELLER FUND, INC.	Employer identification number 13-3533359
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Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment

1 Total tax (see instructions)	1	33,077.
2a Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a	
2b Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b	
2c Credit for federal tax paid on fuels (see instructions)	2c	
d Total. Add lines 2a through 2c	2d	
3 Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty	3	33,077.
4 Enter the tax shown on the corporation's 2020 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5	4	23,429.
5 Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3	5	23,429.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it does not owe a penalty. See instructions.

- 6 ☐ The corporation is using the adjusted seasonal installment method.
- 7 ☒ The corporation is using the annualized income installment method.
- 8 ☒ The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment

	(a)	(b)	(c)	(d)	
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year	9	05/15/21	06/15/21	09/15/21	12/15/21
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column	10	5,857.	10,681.	8,269.	8,270.
11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions	11	1,588.	24,500.	4,800.	5,200.
Complete lines 12 through 18 of one column before going to the next column.					
12 Enter amount, if any, from line 18 of the preceding column	12		9,550.	6,081.	
13 Add lines 11 and 12	13		24,500.	14,350.	11,281.
14 Add amounts on lines 16 and 17 of the preceding column	14		4,269.		
15 Subtract line 14 from line 13. If zero or less, enter -0-	15	1,588.	20,231.	14,350.	11,281.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-	16		0.	0.	
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18	17	4,269.			
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	18		9,550.	6,081.	

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form 2220 (2021)

Part IV Figuring the Penalty

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. (C corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.) See instructions	19			
20 Number of days from due date of installment on line 9 to the date shown on line 19	20			
21 Number of days on line 20 after 4/15/2021 and before 7/1/2021	21			
22 Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 3\% (0.03)}{365}$	22	\$	\$	\$
23 Number of days on line 20 after 6/30/2021 and before 10/1/2021	23			
24 Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 3\% (0.03)}{365}$	24	\$	\$	\$
25 Number of days on line 20 after 9/30/2021 and before 1/1/2022	25			
26 Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 3\% (0.03)}{365}$	26	\$	\$	\$
27 Number of days on line 20 after 12/31/2021 and before 4/1/2022	27	SEE ATTACHED WORKSHEET		
28 Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 3\% (0.03)}{365}$	28	\$	\$	\$
29 Number of days on line 20 after 3/31/2022 and before 7/1/2022	29			
30 Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{365}$	30	\$	\$	\$
31 Number of days on line 20 after 6/30/2022 and before 10/1/2022	31			
32 Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{365}$	32	\$	\$	\$
33 Number of days on line 20 after 9/30/2022 and before 1/1/2023	33			
34 Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{365}$	34	\$	\$	\$
35 Number of days on line 20 after 12/31/2022 and before 3/16/2023	35			
36 Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$	36	\$	\$	\$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	37	\$	\$	\$
38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns	38			
		\$		11.

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

Schedule A Adjusted Seasonal Installment Method and Annualized Income Installment Method

See instructions.

Form 1120-S filers: For lines 1, 2, 3, and 21, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.**Part I Adjusted Seasonal Installment Method****Caution:** Use this method only if the base period percentage for any 6 consecutive months is at least 70%.
See instructions.

		(a)	(b)	(c)	(d)
		First 3 months	First 5 months	First 8 months	First 11 months
1 Enter taxable income for the following periods.					
a Tax year beginning in 2018	1a				
b Tax year beginning in 2019	1b				
c Tax year beginning in 2020	1c				
2 Enter taxable income for each period for the tax year beginning in 2021. See the instructions for the treatment of extraordinary items	2				
3 Enter taxable income for the following periods.		First 4 months	First 6 months	First 9 months	Entire year
a Tax year beginning in 2018	3a				
b Tax year beginning in 2019	3b				
c Tax year beginning in 2020	3c				
4 Divide the amount in each column on line 1a by the amount in column (d) on line 3a	4				
5 Divide the amount in each column on line 1b by the amount in column (d) on line 3b	5				
6 Divide the amount in each column on line 1c by the amount in column (d) on line 3c	6				
7 Add lines 4 through 6	7				
8 Divide line 7 by 3.0	8				
9a Divide line 2 by line 8	9a				
b Extraordinary items (see instructions)	9b				
c Add lines 9a and 9b	9c				
10 Figure the tax on the amt on ln 9c using the instr for Form 1120, Sch J, line 2, or comparable line of corp's return ...	10				
11a Divide the amount in columns (a) through (c) on line 3a by the amount in column (d) on line 3a	11a				
b Divide the amount in columns (a) through (c) on line 3b by the amount in column (d) on line 3b	11b				
c Divide the amount in columns (a) through (c) on line 3c by the amount in column (d) on line 3c	11c				
12 Add lines 11a through 11c	12				
13 Divide line 12 by 3.0	13				
14 Multiply the amount in columns (a) through (c) of line 10 by columns (a) through (c) of line 13. In column (d), enter the amount from line 10, column (d)	14				
15 Enter any alternative minimum tax (trusts only) for each payment period. See instructions	15				
16 Enter any other taxes for each payment period. See instr.	16				
17 Add lines 14 through 16	17				
18 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions	18				
19 Total tax after credits. Subtract line 18 from line 17. If zero or less, enter -0-	19				

Part II **Annualized Income Installment Method**

	(a)	(b)	(c)	(d)	
	First <u>2</u> months	First <u>3</u> months	First <u>6</u> months	First <u>9</u> months	
20 Annualization periods (see instructions)	20				
21 Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items	21	579,122.	868,683.	1,377,889.	1,902,494.
22 Annualization amounts (see instructions)	22	6.000000	4.000000	2.000000	1.333330
23a Annualized taxable income. Multiply line 21 by line 22	23a	3,474,732.	3,474,732.	2,755,778.	2,536,652.
b Extraordinary items (see instructions)	23b				
c Add lines 23a and 23b	23c	3,474,732.	3,474,732.	2,755,778.	2,536,652.
24 Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2, or comparable line of corporation's return	24	48,299.	48,299.	38,305.	35,259.
25 Enter any alternative minimum tax (trusts only) for each payment period (see instructions)	25				
26 Enter any other taxes for each payment period. See instr.	26				
27 Total tax. Add lines 24 through 26	27	48,299.	48,299.	38,305.	35,259.
28 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions	28				
29 Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0-	29	48,299.	48,299.	38,305.	35,259.
30 Applicable percentage	30	25%	50%	75%	100%
31 Multiply line 29 by line 30	31	12,075.	24,150.	28,729.	35,259.

Part III **Required Installments**

	1st installment	2nd installment	3rd installment	4th installment	
Note: Complete lines 32 through 38 of one column before completing the next column.					
32 If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the smaller of the amounts in each column from line 19 or line 31	32	12,075.	24,150.	28,729.	35,259.
33 Add the amounts in all preceding columns of line 32. See instructions	33		5,857.	16,538.	24,807.
34 Adjusted seasonal or annualized income installments. Subtract line 33 from line 32. If zero or less, enter -0-	34	12,075.	18,293.	12,191.	10,452.
35 Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. Note: "Large corporations," see the instructions for line 10 for the amounts to enter	35	5,857.	10,681.	8,269.	8,270.
36 Subtract line 38 of the preceding column from line 37 of the preceding column	36				
37 Add lines 35 and 36	37	5,857.	10,681.	8,269.	8,270.
38 Required installments. Enter the smaller of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions	38	5,857.	10,681.	8,269.	8,270.

Form 2220 (2021)

** ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION

FORM 990-PF
UNDERPAYMENT OF ESTIMATED TAX WORKSHEET

Name(s) THE DAVID ROCKEFELLER FUND, INC.					Identifying Number 13-3533359
(A) *Date	(B) Amount	(C) Adjusted Balance Due	(D) Number Days Balance Due	(E) Daily Penalty Rate	(F) Penalty
		-0-			
05/15/21	5,857.	5,857.			
05/15/21	-1,588.	4,269.	31	.000082192	11.
06/15/21	10,681.	14,950.			
06/15/21	-24,500.	-9,550.			
09/14/21	-4,800.	-14,350.			
09/15/21	8,269.	-6,081.			
12/14/21	-5,200.	-11,281.			
12/15/21	8,270.	-3,011.			
03/31/22	0.	-3,011.	32	.000109589	
05/02/22	-5,150.	-8,161.			
Penalty Due (Sum of Column F).					11.

* Date of estimated tax payment, withholding credit date or installment due date.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CASH	75.	75.	
SELF-HELP MONEY MARKET	1,132.	1,132.	
TOTAL TO PART I, LINE 3	1,207.	1,207.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
APERIO	425,316.	0.	425,316.	425,316.	
CRA QUALIFIED INVESTMENT FUND	96,264.	0.	96,264.	96,264.	
LIBRA FOSSIL FUEL FREE FUND, LP	276,727.	0.	276,727.	282,189.	
NEW ENERGY CAPITAL INFRASTRUCTURE CREDIT FUND II LP	0.	0.	0.	53,830.	
OTHER FIXED INCOME PARNASSUS FUND INSTITUTIONAL SHARES	150,670.	0.	150,670.	150,670.	
PAX WORLD GLOBAL ENVIRONMENTAL MARKETS FUND	289,991.	0.	289,991.	289,991.	
ROCKEFELLER ACCESS FUND 06-I, LLC	164,234.	0.	164,234.	164,234.	
ROCKEFELLER ALTERNATIVE STRATEGIES FUND, (LP)	16.	0.	16.	2,663.	
TIAA-CREF CORE IMPACT	3.	0.	3.	2.	
TO PART I, LINE 4	9,862.	0.	9,862.	9,862.	
	1,413,083.	0.	1,413,083.	1,475,021.	

FORM 990-PF

OTHER INCOME

STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ORDINARY INCOME THROUGH K-1S	0.	2,068.	
ORDINARY INCOME (LOSS) THROUGH K-1S	-27,899.	0.	
OTHER INVESTMENT INCOME	98.	98.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-27,801.	2,166.	

FORM 990-PF

LEGAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PATTERSON BELKNAP WEBB & TYLER, LLP	24,134.	0.		22,303.
TO FM 990-PF, PG 1, LN 16A	24,134.	0.		22,303.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GRANT THORNTON, LLP	371.	0.		148.
PKF O'CONNOR DAVIES, LLP	31,210.	14,797.		14,525.
TO FORM 990-PF, PG 1, LN 16B	31,581.	14,797.		14,673.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROGRAM CONSULTANTS	80,210.	0.		80,210.
INVESTMENT ADVISORY				
COMMITTEE STIPENDS	4,000.	4,000.		0.
INVESTMENT MANAGEMENT FEES	283,205.	283,205.		0.
CONSULTANTS' FEES -				
BENEFIT RESOURCES	20,366.	0.		3,728.
INFORMATION & TECHNOLOGY				
SERVICES	11,594.	0.		8,784.
CUSTODY FEES	8,336.	8,336.		0.
TO FORM 990-PF, PG 1, LN 16C	407,711.	295,541.		92,722.

FORM 990-PF

TAXES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	36,429.	0.		0.
FOREIGN TAXES THROUGH K-1S	0.	40,208.		0.
TO FORM 990-PF, PG 1, LN 18	36,429.	40,208.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TELEPHONE & INTERNET	3,323.	225.		3,245.
INSURANCE	12,571.	850.		11,740.
GEN. OFFICE EXP: DUES & MEMBERSHIPS	44,471.	38.		44,471.
GEN. OFFICE EXP: MAINTENANCE & REPAIRS	13,051.	887.		16,601.
GENERAL OFFICE EXP: OTHER	7,439.	505.		7,952.
PORTFOLIO DEDUCTIONS THROUGH PARTNERSHIPS	161,245.	201,631.		0.
APERIO ADR FEES	45,158.	45,158.		0.
GEN. OFFICE EXP: PAYROLL FEES	7,048.	477.		7,048.
FILING FEE	1,613.	109.		250.
MISCELLANEOUS GENERAL	77.	0.		0.
TO FORM 990-PF, PG 1, LN 23	295,996.	249,880.		91,307.

FORM 990-PF

OTHER DECREASES IN NET ASSETS OR FUND BALANCES

STATEMENT 9

DESCRIPTION	AMOUNT
DEFERRED FEDERAL EXCISE TAX EXPENSE	68,081.
CONTRA-REVENUE DISCOUNT	832,924.
TOTAL TO FORM 990-PF, PART III, LINE 5	901,005.

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 10

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
BALTIMORE MARYLAND REVENUE 2.814% DUE 07/01/2040 CALL @ 100 07/01/2030		X	30,359.	30,359.
CALIFORNIA ST HEALTH FACS FING TAXABLE SR BDS 1.97% DUE 06/01/2023		X	25,431.	25,431.
CONTRA COSTA CALIFORNIA CMNTY CLG DIST BAB 6.504% DUE 08/01/2034		X	102,788.	102,788.
FEDERAL FARM CREDIT BANKS 0.46% DUE 08/19/24 CALL @ 100 11/19/21	X		34,630.	34,630.
FEDERAL FARM CREDIT BANKS 0.87% DUE 04/15/26 CALL @ 100 10/15/21	X		34,353.	34,353.
FEDERAL HOME LOAN BANK 0.625% DUE 11/27/2024 CALL @ 100 06/28/2021	X		98,808.	98,808.
FEDERAL HOME LOAN BANK 1.02% DUE 05/19/2026 CALL @ 100 11/19/2021	X		69,174.	69,174.
FLORIDA CITY OF DELTONA, 2.539% DUE 10/01/41 CALL @ 100 10/01/30		X	53,598.	53,598.
GOVERNMENT NATIONAL MORTGAGE ASSOCIATION G2 MA3033 3.00% DUE 08/20/2045	X		27,222.	27,222.
GOVERNMENT NATIONAL MORTGAGE ASSOCIATION G2 MA4321 3.50% DUE 3/20/2047	X		29,643.	29,643.
GOVERNMENT NATIONAL MORTGAGE ASSOCIATION G2 MA4901 4.00% DUE 12/20/2047	X		18,763.	18,763.
HONOLULU CITY & COUNTY HAWAII 0.67% DUE 07/01/2024		X	64,389.	64,389.
MASSACHUSETTS STATE WATER RESOURCES 1.734% DUE 08/01/2022		X	151,193.	151,193.
NEW YORK METROPOLITAN TRANSPORTATION 0.777% DUE 11/15/2022 CALL @ 100 12/09/		X	70,060.	70,060.
NEW YORK ST. LIBERTY DEV CORP 0.66% DUE 11/15/2023		X	148,833.	148,833.
NEW YORK STATE DORMITORY AUTH REVENUES 4.85% DUE 07/01/2048 CALL @ 100 07/01		X	51,492.	51,492.
OKLAHOMA CITY OKLAHOMA DEVELOP TRUST TAX 2.932% DUE 08/01/2023		X	129,151.	129,151.

THE DAVID ROCKEFELLER FUND, INC.		13-3533359
SAN FRANCISCO CALIFORNIA BAY AREA	X	
RAPID 2.621% DUE 07/01/2023		77,093.
TENNESSEE METROPOLITAN GOVERNMENT	X	
0.675% DUE 07/01/24		138,429.
U.S. TREASURY	X	4,384,761.
UNIV OF MASSACHUSETTS MASSACHUSETTS	X	
BLDG 2.652% DUE 11/01/2036 CALL @		163,370.
100		163,370.
UNIV OF NORTH CAROLINA NORTH	X	
CAROLINA 3.327% DUE 12/01/2036		110,817.
TOTAL U.S. GOVERNMENT OBLIGATIONS		4,697,354.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS		1,317,003.
TOTAL TO FORM 990-PF, PART II, LINE 10A		6,014,357.

FORM 990-PF	CORPORATE STOCK	STATEMENT 11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
2U, INC.	3,171.	3,171.
3M CO.	32,684.	32,684.
A.P. MOELLER-MAERSK A/S UNSPON ADR	14,338.	14,338.
AAC TECHNOLOGIES HOLDINGS INC UNSPONSORED ADR	5,149.	5,149.
ABB LTD. - SPON ADR	41,834.	41,834.
ABBOTT LABORATORIES	133,703.	133,703.
ABBVIE INC.	87,333.	87,333.
ACCENTURE PLC	160,431.	160,431.
ACCOR SA - ADR	8,864.	8,864.
ACS ACTIVIDADES CO-UNSPON ADR	11.	11.
ACTIVISION BLIZZARD, INC.	15,302.	15,302.
ADECCO SA UNSPONSORED - ADR	15,495.	15,495.
ADIDAS AG - SPONSORED ADR	8,352.	8,352.
ADOBE INC	103,205.	103,205.
ADTALEM GLOBAL EDUCATION INC.	1,360.	1,360.
ADVANCED MICRO DEVICES INC.	81,303.	81,303.
ADVANTEST CORPORATION - ADR	12,948.	12,948.
AEGON N.V. - AMERICAN REG SHS	14,173.	14,173.
AES CORPORATION	13,924.	13,924.
AGC INC - UNSP ADR	26,201.	26,201.
AGILENT TECHNOLOGIES, INC.	24,267.	24,267.
AGNICO-EAGLE MINES LIMITED	14,454.	14,454.
AIA GROUP LTD. SPON ADR	61,938.	61,938.
AIR LIQUIDE - UNSPON ADR	54,467.	54,467.
AIR PRODUCTS AND CHEMICALS, INC.	30,122.	30,122.
AIRBUS GROUP NV - UNSPONS ADR	17,231.	17,231.
AJINOMOTO CO. INC - ADR	30,957.	30,957.
AKAMAI TECHNOLOGIES, INC.	18,492.	18,492.
ALCOA CORP	32,411.	32,411.
ALIBABA GROUP HOLDING LTD.	71,868.	71,868.
ALIGN TECHNOLOGY, INC.	15,115.	15,115.
ALLIANCE DATA SYSTEMS CORPORATION	3,861.	3,861.
ALLIANZ SE - UNSPONSORED ADR	48,400.	48,400.

ALLSTATE CORPORATION	1,294.	1,294.
ALPHABET INC. - CLASS A	506,982.	506,982.
ALTRIA GROUP, INC.	47,200.	47,200.
AMADEUS IT HOLDING - UNSPONSORED ADR	10,903.	10,903.
AMAZON.COM INC	423,461.	423,461.
AMC ENTERTAINMENT HOLDINGS INC - CL A	6,501.	6,501.
AMERICAN EXPRESS CO.	27,321.	27,321.
AMERICAN WATER WORKS CO., INC.	74,789.	74,789.
AMERISOURCEBERGEN CORPORATION	5,847.	5,847.
AMGEN INC.	42,519.	42,519.
AMPHENOL CORP CLASS A	17,317.	17,317.
ANALOG DEVICES, INC.	15,468.	15,468.
ANHEUSER-BUSCH INBEV SPONS ADR	17,499.	17,499.
ANTHEM INC.	30,130.	30,130.
APPLE INC.	934,373.	934,373.
APPLIED MATERIALS INC	21,244.	21,244.
ARCHER DANIELS MIDLAND CO.	18,317.	18,317.
ARISTA NETWORKS, INC.	20,125.	20,125.
ARKEMA - SPONS ADR	15,593.	15,593.
ARROW ELECTRONICS, INC.	21,080.	21,080.
ASAHI KASEI CORP - UNSPONS ADR	13,717.	13,717.
ASHTED GROUP PLC. - ADR	10,521.	10,521.
ASM PACIFIC TECHNOLOGY - UNSP ADR	32.	32.
ASML HOLDINGS NV NY REG SHS ADR	152,859.	152,859.
ASSA ABLOY AB - UNSPONSORED ADR	24,310.	24,310.
ASSOCIATED BRITISH FOODS PLC - ADR	18,563.	18,563.
ASTRAZENECA GROUP PLC - SPONS ADR	57,668.	57,668.
AT&T INC	18,450.	18,450.
ATLANTIA S.P.A. - UNSPONSORED ADR	20,266.	20,266.
ATLAS COPCO AB-SPONSORED ADR A	29,526.	29,526.
AUSTRALIA AND NEW ZEALAND BANKING GROUP LIMITED SPONSORED ADR	66,206.	66,206.
AUTODESK, INC.	20,527.	20,527.
AUTOMATIC DATA PROCESSING	50,795.	50,795.
AVALARA INC	1,291.	1,291.
AVIVA PLC - SPONSORED ADR	23,975.	23,975.
AXA SA - SPONSORED ADR	15,897.	15,897.
BAIDU.COM, INC.	12,350.	12,350.
BANCO DO BRASIL S.A.- SPONSORED ADR	12,684.	12,684.
BANCOLOMBIA S.A. SPONSORED ADR	30,958.	30,958.
BANK OF AMERICA CORPORATION	113,894.	113,894.
BANK OF MONTREAL	82,406.	82,406.
BANK OF NEW YORK MELLON CORPORATION	15,740.	15,740.
BANK OF NOVA SCOTIA	114,274.	114,274.
BARRICK GOLD CORPORATION	17,176.	17,176.
BAXTER INT'L INC.	10,730.	10,730.
BAYERISCHE MOTOREN WERKE (BMW) - SPONS ADR	27,555.	27,555.
BCE INC.	47,513.	47,513.
BECTON DICKINSON & CO.	22,130.	22,130.
BED BATH & BEYOND INC.	5,482.	5,482.
BEIGENE, LTD. - ADR	12,463.	12,463.
BEST BUY CO., INC.	27,737.	27,737.
BIDVEST GROUP LTD - SPONSORED ADR	6,496.	6,496.
BILIBILI INC - ADR	7,470.	7,470.
BIOGEN IDEC INC.	23,032.	23,032.
BIOMARIN PHARMACEUTICAL INC.	2,385.	2,385.
BLACKBAUD	1,975.	1,975.
BLACKBERRY LIMITED	11,351.	11,351.

BLACKROCK, INC CLASS A	46,694.	46,694.
BLOCK INC	13,728.	13,728.
BOC HONG KONG HOLDINGS - SPONSORED ADR	21,478.	21,478.
BOEING CO.	33,218.	33,218.
BOSTON SCIENTIFIC CO.	6,032.	6,032.
BRAMBLES LIMITED - SPONSORED ADR	22,355.	22,355.
BRISTOL MYERS SQUIBB CO.	56,863.	56,863.
BRITISH AMERICAN TOBACCO PLC - SP ADR	6,846.	6,846.
BROADCOM INC.	63,879.	63,879.
BROWN-FORMAN CORPORATION - CL B	18,944.	18,944.
BUNGE LIMITED	21,940.	21,940.
BUNZL PLC-SPONSORED ADR	8,754.	8,754.
BURBERRY GROUP PLC SPON ADR	13,213.	13,213.
BURLINGTON STORES, INC.	7,871.	7,871.
BYD COMPANY LIMITED - UNSPONSORED ADR	11,138.	11,138.
CAE, INC.	11,585.	11,585.
CAESARS ENTERTAINMENT INC	9,259.	9,259.
CANADIAN NATIONAL RAILWAY COMPANY	26,538.	26,538.
CANADIAN PACIFIC RAILWAY LTD	56,401.	56,401.
CANON INC. - SPONSORED ADR	12,997.	12,997.
CAPGEMINI SA - UNSPONSORED ADR	33,279.	33,279.
CARDINAL HEALTH, INC.	7,569.	7,569.
CATALENT, INC.	20,741.	20,741.
CATERPILLAR INC.	34,526.	34,526.
CBRE GROUP, INC.	40,366.	40,366.
CERENCE INC	3,602.	3,602.
CHARTER COMMUNICATIONS INC. CLASS A SHARES	26,079.	26,079.
CHINA CONSTRUCTION BANK CORPORATION	12,641.	12,641.
CHINA LODGING GROUP SPONSORED ADR	2,315.	2,315.
CHIPOTLE MEXICAN GRILL	10,490.	10,490.
CHUBB LIMITED	12,758.	12,758.
CHUNGHWA TELECOM LTD ADR	96,661.	96,661.
CHURCH & DWIGHT CO., INC.	10,045.	10,045.
CIA CERVECERIAS UNIDAS - ADR	9,895.	9,895.
CIGNA CORPORATION	19,519.	19,519.
CISCO SYSTEMS, INC.	116,347.	116,347.
CITIGROUP INC.	69,630.	69,630.
CLEAN HARBORS, INC.	14,167.	14,167.
CME GROUP INC.	18,734.	18,734.
CNH INDUSTRIAL NV	32,312.	32,312.
COCA COLA COMPANY	83,486.	83,486.
COCA-COLA EUROPACIFIC PARTNERS PLC	30,706.	30,706.
COCA-COLA HBC - UNSPON ADR	19,179.	19,179.
COGNIZANT TECHNOLOGY SOLUTIONS CORP.	20,228.	20,228.
COLGATE-PALMOLIVE	68,016.	68,016.
COLOPLAST A/S - UNSPONSORED ADR	9,402.	9,402.
COMCAST CORPORATION - CL A	51,941.	51,941.
COMERICA INCORPORATED	5,307.	5,307.
COMMERCIAL INTERNATIONAL BANK - ADR	9,541.	9,541.
COMMERCIAL METALS COMPANY	7,802.	7,802.
COMMONWEALTH BANK OF AUSTRALIA UNSPONSORED ADR	91,624.	91,624.
COMPAGNIE FINANCIERE RICHEMONT SA UNSPONSORED ADR	40,814.	40,814.
COMPANHIA PARANAENSE DE ENERGIA-COPEL SPONSORED ADR	11,339.	11,339.
COMPASS GROUP PLC - SPONSORED ADR	37,996.	37,996.
COMPASS MINERALS INTERNATIONAL, INC.	6,027.	6,027.
CONAGRA BRANDS, INC.	3,108.	3,108.

CORTEVA INC.	13,711.	13,711.
COTY INC - CLASS A	4,221.	4,221.
CREDIT SUISSE GROUP - SPON ADR	7,866.	7,866.
CRH PLC - SPONSORED ADR	13,781.	13,781.
CROWDSTRIKE HOLDINGS INC	18,428.	18,428.
CSL LIMITED - SPONSORED ADR	52,054.	52,054.
CUMMINS ENGINE INC.	44,937.	44,937.
CVS HEALTH CORPORATION	44,462.	44,462.
DAI NIPPON PRINTING CO., LTD. - ADR	44,335.	44,335.
DAIICHI SANKYO COMPANY LTD	21,888.	21,888.
DAIKIN INDUSTRIES, LTD. UNSPONSORED ADR	42,374.	42,374.
DAIMLER AG UNSPONSORED- ADR	9,342.	9,342.
DAIWA HOUSE INDUSTRY CO., LTD. UNSPONSORED ADR	12,284.	12,284.
DANAHER CORPORATION	38,165.	38,165.
DANONE S.A. SPONSORED ADR	26,515.	26,515.
DARDEN RESTAURANTS, INC.	2,109.	2,109.
DBS GROUP HOLDINGS - SPON ADR	42,546.	42,546.
DEERE & COMPANY	31,546.	31,546.
DELL TECHNOLOGIES	9,998.	9,998.
DENTSPLY SIRONA INC.	10,712.	10,712.
DEUTSCHE BANK AG - REG ADR	22,463.	22,463.
DEUTSCHE POST AG SPONSORED ADR	65,426.	65,426.
DEUTSCHE TELEKOM AG-SPON ADR	11,754.	11,754.
DEXCOM, INC.	25,774.	25,774.
DIAGEO PLC - SPONSORED ADR	73,527.	73,527.
DNB ASA	52,877.	52,877.
DOCUSIGN INC.	5,635.	5,635.
DOW INC.	19,228.	19,228.
DR. REDDY'S LABORATORIES LIMITED - ADR	10,400.	10,400.
DSV A/S	39,440.	39,440.
DUKE ENERGY CORPORATION	7,028.	7,028.
DUPONT DE NEMOURS INC	13,086.	13,086.
DXC TECHNOLOGY CO	11,041.	11,041.
EASTMAN CHEMICAL COMPANY	25,633.	25,633.
EBAY INC.	22,211.	22,211.
ECOLAB INC.	39,411.	39,411.
EDENRED SA - ADR	11,600.	11,600.
EDP - ENERGIAS DE PORTUGAL, S.A.	5,532.	5,532.
EDWARDS LIFESCIENCES CORPORATION	43,140.	43,140.
EISAI CO., LTD. - SPONSORED ADR	4,034.	4,034.
ELI LILLY & CO.	74,303.	74,303.
EMBRAER SA- ADR	4,171.	4,171.
EMERSON ELECTRIC CO.	25,381.	25,381.
ENEL AMERICAS SA - ADR	14,052.	14,052.
ENN ENERGY HOLDINGS UNSPON ADR	31,576.	31,576.
ENPHASE ENERGY INC.	7,683.	7,683.
ESSILOR INTERNATIONAL SA ADR	47,254.	47,254.
ETSY, INC.	19,924.	19,924.
EXACT SCIENCES CORPORATION	5,292.	5,292.
EXELON CORPORATION	27,494.	27,494.
EXPERIAN PLC - SPONS ADR	16,075.	16,075.
FAST RETAILING CO LTD - ADR	18,698.	18,698.
FEDEX CORPORATION	5,949.	5,949.
FERGUSON PLC	15,428.	15,428.
FIRST AMERICAN FINANCIAL CORPORATION	4,224.	4,224.
FISERV, INC.	14,738.	14,738.
FLEX LTD	16,350.	16,350.
FLOWERVE CORP	8,262.	8,262.

FMC CORPORATION	21,868.	21,868.
FORD MOTOR COMPANY	24,675.	24,675.
FORTIS, INC./CANADA	11,440.	11,440.
FUJIFILM HOLDINGS- UNSPON ADR	15,293.	15,293.
FUJITSU LIMITED - UNSPONSORED ADR	44,531.	44,531.
GAP, INC.	7,484.	7,484.
GDS HOLDINGS LTD - ADR	24,004.	24,004.
GEEELY AUTOMOBILE HOLDINGS- ADR	12,793.	12,793.
GENERAL ELECTRIC CO.	33,442.	33,442.
GENERAL MILLS, INC.	53,634.	53,634.
GENERAL MOTORS CO.	40,455.	40,455.
GENMAB A/S - ADR	2,374.	2,374.
GERDAU SA -SPON ADR	9,171.	9,171.
GILDAN ACTIVEWEAR INC.	25,010.	25,010.
GILEAD SCIENCES INC.	21,202.	21,202.
GIVAUDAN SA-UNSPONSORED ADR	20,982.	20,982.
GLAXOSMITHKLINE PLC - ADR	49,172.	49,172.
GODADDY INC. - CLASS A	8,231.	8,231.
GOLDMAN SACHS GROUP, INC.	50,114.	50,114.
GRUPO AEROPORTUARIO DEL SURESTE S.A. DE C.V. - ADR	16,080.	16,080.
GRUPO AVAL ACCIONES Y VALORES S.A.	7,042.	7,042.
GRUPO FINANCIERO BANORTE SAB SPONSORED ADR	16,527.	16,527.
HANG LUNG PROPERTIES LTD - SPONSORED ADR	9,142.	9,142.
HANG SENG BANK- ADR	49,485.	49,485.
HARTFORD FINANCIAL SERVICES GROUP, INC.	8,837.	8,837.
HASBRO, INC.	17,099.	17,099.
HCA HEALTHCARE INC.	22,352.	22,352.
HEINEKEN NV- SPN ADR	11,423.	11,423.
HERMES INTERNATIONAL UNSPONSORED ADR	19,308.	19,308.
HERSHEY FOODS CORP.	23,990.	23,990.
HEWLETT PACKARD ENTERPRISE COMPANY	11,906.	11,906.
HILTON WORLDWIDE HOLDINGS INC.	104,045.	104,045.
HITACHI, LTD. - ADR	55,811.	55,811.
HOLOGIC, INC.	16,537.	16,537.
HOME DEPOT INC.	122,843.	122,843.
HONDA MOTOR CO., LTD	12,177.	12,177.
HONEYWELL INTERNATIONAL INC.	5,421.	5,421.
HONG KONG EXCHANGES & CLEARING LIMITED UNSPONSORED ADR	54,214.	54,214.
HOWMET AEROSPACE INC	2,483.	2,483.
HOYA CORPORATION	23,782.	23,782.
HP INC.	60,159.	60,159.
HSBC HOLDINGS PLC - SPONS ADR	21,195.	21,195.
HUANENG POWER INTERNATIONAL, INC. - SPONS ADR	13,311.	13,311.
HUMANA INC.	19,482.	19,482.
HUNTINGTON BANCSHARES INCORPORATED	12,722.	12,722.
IBERDROLA S.A. - SPONSORED ADR	45,369.	45,369.
ICICI BANK LIMITED - SPON ADR	40,946.	40,946.
ICL GROUP LTD	9,941.	9,941.
IDEX CORPORATION	709.	709.
IHS MARKIT LTD	50,908.	50,908.
ILLINOIS TOOL WORKS INC.	21,472.	21,472.
ILLUMINA, INC.	17,120.	17,120.
IMPERIAL BRANDS PLC - ADR	4,841.	4,841.
INDUSTRIA DE DISEÑO TEXTIL, S.A. UNSPONSORED ADR	18,714.	18,714.
INDUSTRIAL AND COMMERCIAL BANK OF CHINA LTD. - UNSPONSORED ADR	10,556.	10,556.

THE DAVID ROCKEFELLER FUND, INC.

13-353359

INFINEON TECHNOLOGIES AG - ADR	31,050.	31,050.
INFORMA PLC- ADR	12,588.	12,588.
INFOSYS TECHNOLOGIES LIMITED	86,788.	86,788.
ING GROEP N.V. - SPONSORED ADR	25,084.	25,084.
INGERSOLL-RAND INC.	5,197.	5,197.
INTEL CORP.	74,624.	74,624.
INTERCONTINENTAL HOTELS GROUP PLC - ADR	10,890.	10,890.
INTERCONTINENTALEXCHANGE INC.	12,993.	12,993.
INTERNATIONAL FLAVORS & FRAGRANCES INC.	29,678.	29,678.
INT'L BUSINESS MACHINES CORP.	21,252.	21,252.
INTUIT CORP.	46,312.	46,312.
IQVIA HOLDINGS INC	9,028.	9,028.
J.P. MORGAN CHASE & CO.	145,049.	145,049.
JACOBS ENGINEERING GROUP, INC.	6,405.	6,405.
JANUS HENDERSON GROUP PLC	15,644.	15,644.
JD.COM INC - ADR	30,901.	30,901.
JOHNSON & JOHNSON	205,797.	205,797.
JOHNSON CONTROLS INTERNATIONAL PLC	53,258.	53,258.
JONES LANG LASALLE INC.	9,966.	9,966.
JOYY INC. - ADR	5,679.	5,679.
KAO CORP - ADR	18,444.	18,444.
KASIKORNBANK PUBLIC CAMPANY LIMITED ADR	12,808.	12,808.
KB FINANCIAL GROUP INC. - ADR	59,546.	59,546.
KBC GROEP NV - UNSPONSORED ADR	40,945.	40,945.
KELLOGG COMPANY	9,727.	9,727.
KERING -UNSPONSORED ADR	21,738.	21,738.
KEURIG DR PEPPER INC	55,032.	55,032.
KEYCORP	6,870.	6,870.
KEYSIGHT TECHNOLOGIES INC.	9,499.	9,499.
KIMBERLY-CLARK CORP	67,458.	67,458.
KIMBERLY-CLARK DE MEXICO, S.A. DE C.V. ADR	4,418.	4,418.
KINROSS GOLD CORPORATION	7,634.	7,634.
KIRBY CORPORATION	17,707.	17,707.
KIRIN BREWERY CO - SPON ADR	9,001.	9,001.
KOHL'S CORP.	3,309.	3,309.
KOMATSU LTD. - SPONS ADR	46,343.	46,343.
KONE OYJ-B-UNSPONSORED ADR	7,365.	7,365.
KONINKLIJKE AHOLD DELHAIZE NV - ADR	31,373.	31,373.
KONINKLIJKE DSM NV - SPONS ADR	31,928.	31,928.
KONINKLIJKE PHILIPS ELECT-N.V. NY SHS	37.	37.
KROGER CO.	23,943.	23,943.
KUBOTA CORPORATION - SPONS ADR	28,935.	28,935.
KYNDRYL HOLDINGS INC	561.	561.
KYOCERA CORPORATION - SPONS ADR	18,643.	18,643.
LAM RESEARCH CORPORATION	16,540.	16,540.
LAMB WESTON HOLDINGS INC	4,500.	4,500.
LAS VEGAS SANDS CORP.	17,239.	17,239.
LEIDOS HOLDINGS INC.	6,401.	6,401.
LENOVO GROUP LIMITED ADR	48,672.	48,672.
LINCOLN NATIONAL CORPORATION	33,584.	33,584.
LINDE AG	98,733.	98,733.
LIONS GATE ENTERTAINMENT - A	3,594.	3,594.
LIVERAMP HOLDINGS INC.	2,541.	2,541.
LOCALIZA RENT A CAR SA - ADR	18,713.	18,713.
LOCKHEED MARTIN CORPORATION	15,993.	15,993.
LONDON STOCK EXCHANGE GROUP PLC - UNSPON ADR	19,627.	19,627.
LONZA GROUP LTD- SPONSORED ADR	31,730.	31,730.
L'OREAL SA-UNSPONSORED ADR	51,527.	51,527.

LOWE'S COMPANIES	44,459.	44,459.
LOYALTY VENTURES INC	692.	692.
LULULEMON ATHLETICA INC.	16,832.	16,832.
LVMH MOET HENNESSY LOUIS VUITTON SA UNSPONSORED ADR	105,920.	105,920.
LYFT INC - A	7,392.	7,392.
MANPOWER INC.	2,239.	2,239.
MARRIOTT INTERNATIONAL CL A	29,247.	29,247.
MASTERCARD INC - CLASS A	100,250.	100,250.
MCDONALD'S CORPORATION	32,705.	32,705.
MCKESSON HBOC INC.	10,689.	10,689.
MEDTRONIC, PLC	56,691.	56,691.
MEITUAN DIANPING UNSPONSORED ADR	33,414.	33,414.
MELCO CROWN ENTERTAINMENT LTD. - ADR	7,370.	7,370.
MERCK & CO. INC.	96,873.	96,873.
MERCK KGAA - SPONSORED ADR	64,344.	64,344.
META PLATFORMS INC	226,700.	226,700.
MGM RESORTS INTERNATIONAL	25,806.	25,806.
MICHELIN CGDE UNSPON ADR	12,624.	12,624.
MICROCHIP TECHNOLOGY INC.	20,546.	20,546.
MICRON TECHNOLOGY, INC.	47,134.	47,134.
MICROSOFT CORP.	785,307.	785,307.
MINERALS TECHNOLOGIES INC.	7,973.	7,973.
MITSUBISHI ELECTRIC CORPORATION UNSPONSORED ADR	3,024.	3,024.
MITSUBISHI ESTATE COMPANY, LTD. UNSPONSORED ADR	3,737.	3,737.
MITSUBISHI UFJ FINANCIAL GROUP, INC. - ADR	21,299.	21,299.
MIZUHO FINANCIAL GROUP ADR	26,099.	26,099.
MODERNA INC	41,907.	41,907.
MOLSON COORS BEVERAGE CO.	23,499.	23,499.
MONDELEZ INTERNATIONAL INC.	28,646.	28,646.
MORGAN STANLEY	32,589.	32,589.
MOTOROLA SOLUTIONS, INC.	37,223.	37,223.
MOWI ASA - SPONSORED ADR	12,906.	12,906.
MTU AERO ENGINES AG - UNSPON ADR	8,526.	8,526.
MUENCHENER RUECKVERSICHERUNGS- GESELLSCHAFT AG (MUNICHRE)- UNSPONSORED ADR	19,899.	19,899.
MURATA MANUFACTURING CO LTD - UNSPON ADR	3,591.	3,591.
NASPERS LTD - SPONSORED ADR	5,270.	5,270.
NATIONAL AUSTRALIA BANK LTD - SP ADR	49,923.	49,923.
NATIONAL GRID PLC - SP ADR	12,367.	12,367.
NATURA & CO HOLDING ADR	8,612.	8,612.
NESTLE SA-SPONS ADR FOR REG	99,522.	99,522.
NETAPP, INC.	17,294.	17,294.
NETEASE.COM INC. - ADR	19,745.	19,745.
NETFLIX INC.	68,076.	68,076.
NEW JERSEY RESOURCES CORPORATION	13,591.	13,591.
NEW RELIC INC	6,048.	6,048.
NEWCREST MINING LTD. - SPON ADR	10,490.	10,490.
NEWMONT GOLDCORP CORPORATION	40,809.	40,809.
NEXTERA ENERGY, INC.	65,352.	65,352.
NICE SYSTEMS LTD. - SPONS ADR	12,448.	12,448.
NIDEC CORPORATION - SPON ADR	29,864.	29,864.
NIELSEN HOLDINGS PLC	13,475.	13,475.
NIKE INC. - CL B	64,335.	64,335.
NINTENDO CO LTD - UNSPONSORED ADR	3,269.	3,269.
NIO INC ADR	15,460.	15,460.
NIPPON TELEGRAPH & TELEPHONE -ADR	44,212.	44,212.
NISOURCE INC.	27,113.	27,113.

NOAH HOLDINGS LTD - SPONSORED ADR	4,450.	4,450.
NOKIA - ADR A	30,739.	30,739.
NOMURA HOLDINGS, INC. - ADR	18,944.	18,944.
NORDEA BANK ABP - SPONSORED ADR	17,181.	17,181.
NORSK HYDRO AS SPON ADR	77,949.	77,949.
NORTHERN TRUST CORPORATION	11,483.	11,483.
NORTHROP GRUMMAN CORPORATION	6,580.	6,580.
NORTONLIFELOCK INC	42,737.	42,737.
NOVARTIS AG - ADR	60,442.	60,442.
NOVO NORDISK A/S-SPONS ADR	87,024.	87,024.
NOVOZYMES A/S UNSPONS-ADR	22,775.	22,775.
NUTANIX INC - CLASS A SHARES	319.	319.
NUTRIEN LTD.	49,557.	49,557.
NVIDIA CORPORATION	247,052.	247,052.
NXP SEMICONDUCTORS NV	27,561.	27,561.
OKTA, INC.	6,949.	6,949.
OLYMPUS CORP. - SPON ADR	7,818.	7,818.
OMNICOM GROUP	23,373.	23,373.
OMRON CORPORATION - SPON ADR	36,162.	36,162.
ON SEMICONDUCTOR CORPORATION	41,363.	41,363.
ORACLE CORPORATION	17,006.	17,006.
ORGANON & CO.	30.	30.
ORIX CORPORATION - SPON ADR	31,755.	31,755.
ORKLA ASA SPON ADR	30,623.	30,623.
OWENS CORNING INC.	32,309.	32,309.
PAN AMERICAN SILVER CORPORATION	4,420.	4,420.
PANASONIC CORPORATION SPON ADR	27,514.	27,514.
PARKER-HANNIFIN CORPORATION	29,585.	29,585.
PAYPAL HOLDINGS INC.	74,678.	74,678.
PEARSON PLC - SPONSORED ADR	714.	714.
PEPSICO, INC	78,691.	78,691.
PERNOD-RICARD - SPONS ADR	26,664.	26,664.
PFIZER INC.	86,508.	86,508.
PHILIP MORRIS INTERNATIONAL INC.	47,690.	47,690.
PINDUODUO INC - ADR	2,041.	2,041.
PINTEREST INC - CLASS A	9,306.	9,306.
PNC FINANCIAL SERVICES GROUP	46,922.	46,922.
PRINCIPAL FINANCIAL GROUP	15,696.	15,696.
PROCTER & GAMBLE CO.	61,997.	61,997.
PRUDENTIAL FINANCIAL, INC.	39,832.	39,832.
PRYSMIAN SPA-UNSPON ADR	3,761.	3,761.
PT BANK MANDIRI TBK - ADR	28,460.	28,460.
PT TELEKOMUNIKASI INDONESIA PERSERO TBK SPON ADR	8,755.	8,755.
PUBLIC SERVICE ENTERPRISE GROUP INC.	36,168.	36,168.
PUBLICIS GROUPE SA - ADR	23,322.	23,322.
QBE INSURANCE GROUP-SPN ADR	12,012.	12,012.
QUALCOMM INC.	79,183.	79,183.
QUEST DIAGNOSTICS INCORPORATED	865.	865.
RAYTHEON TECHNOLOGIES CORP	38,727.	38,727.
RECKITT BENCKISER GROUP PLC- SPONS ADR	21,875.	21,875.
REGENERON PHARMACEUTICALS	17,683.	17,683.
REGIONS FINANCIAL CORPORATION	32,133.	32,133.
RELX PLC - SPONSORED ADR	25,599.	25,599.
RENTOKIL INITIAL PLC - ADR	17,376.	17,376.
RESMED INC.	22,401.	22,401.
RINGCENTRAL INC - CLASS A	4,871.	4,871.
RIO TINTO PLC - SPONSORED ADR	55,426.	55,426.
RITCHIE BROS. AUCTIONEERS INCORPORATED	17,200.	17,200.

ROCHE HOLDING AG - SPONS ADR	78,155.	78,155.
ROGERS COMMUNICATIONS	19,909.	19,909.
ROKU INC	9,128.	9,128.
ROLLS-ROYCE HOLDINGS PLC - SPON ADR	9,046.	9,046.
ROSS STORES, INC.	13,714.	13,714.
ROYAL BANK OF CANADA	88,839.	88,839.
ROYAL CARIBBEAN CRUISES LTD.	9,228.	9,228.
RYDER SYSTEMS, INC.	17,722.	17,722.
S&P GLOBAL INC	108,072.	108,072.
SAFRAN SA-UNSPON ADR	5,996.	5,996.
SAGE THERAPEUTICS, INC	3,020.	3,020.
SALESFORCE.COM, INC.	84,117.	84,117.
SAMPO OYJ-A SHS-UNSPONSORED ADR	3,712.	3,712.
SANDVIK AB - SPONSORED ADR	31,192.	31,192.
SANLAM LTD. - SPONSORED ADR	12,101.	12,101.
SANOFI-AVENTIS - ADR	42,084.	42,084.
SAP AG - SPONSORED ADR	66,692.	66,692.
SCHNEIDER ELECTRIC SA-UNSPONSORED ADR	67,049.	67,049.
SCHWAB (CHARLES) CORP.	18,502.	18,502.
SEA LTD - ADR	14,094.	14,094.
SEAGATE TECHNOLOGY HOLADING PLC	42,029.	42,029.
SEAGEN INC	5,411.	5,411.
SEKISUI HOUSE SPON ADR	20,296.	20,296.
SERVICENOW INC	22,070.	22,070.
SEVEN & I HOLDINGS CO-UNSPONSORED ADR	40,582.	40,582.
SHARP CORP-UNSPONSORED ADR	4,402.	4,402.
SHIN-ETSU CHEMICAL CO., LTD. -UNSPON ADR	40,988.	40,988.
SHINHAN FINANCIAL GROUP CO., LTD. - ADR	32,981.	32,981.
SHISEIDO COMPANY, LIMITED SPON ADR	2,346.	2,346.
SHOPIFY INC. - CLASS A	61,983.	61,983.
SIEMENS AG-SPONS ADR	40,182.	40,182.
SINGAPORE TELECOMMUNICATIONS LIMITED ADR	49,679.	49,679.
SINOPEC SHANGHAI PETROCHEMICAL CO-SP ADR	9,783.	9,783.
SK TELECOM CO., LTD.	40,218.	40,218.
SNAP INC - CLASS A	20,082.	20,082.
SOCIEDAD QUIMICA Y MINERA DE CHILE SA SPONSORED ADR	17,651.	17,651.
SOCIETE GENERALE-SPONSORED ADR	20,542.	20,542.
SOFTBANK CORP - UNSPON ADR	9,860.	9,860.
SONIC HEALTHCARE SPONSORED ADR	28,426.	28,426.
SONY GROUP CORPORATION - SPONS ADR	84,056.	84,056.
SOUTHWEST GAS HOLDINGS INC	41,470.	41,470.
SPIRIT AEROSYSTEMS HOLDINGS, INC. CLASS A	4,007.	4,007.
SPLUNK INC.	810.	810.
STANLEY BLACK & DECKER INC.	5,281.	5,281.
STARBUCKS CORPORATION	71,703.	71,703.
STATE STREET CORP.	80,259.	80,259.
STELLANTIS NV	13,676.	13,676.
STERIS PLC	4,138.	4,138.
STMICROELECTRONICS-N.V. NY SHS	29,328.	29,328.
STORA ENSO OYJ - SPONS ADR	22,856.	22,856.
STRYKER CORPORATION	19,522.	19,522.
SUMITOMO MITSUI FINANCIAL GROUP SPON ADR	23,106.	23,106.
SUMITOMO MITSUI FINANCIAL GROUP, INC SPON ADR	21,456.	21,456.
SUN LIFE FINANCIAL INC.	4,789.	4,789.
SUNRUN INC	9,570.	9,570.
SUZANO SA - ADR	4,061.	4,061.
SWIRE PACIFIC LIMITED SPONSORED ADR	26,203.	26,203.

THE DAVID ROCKEFELLER FUND, INC.

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SWISS RE LTD-SPN ADR	34,494.	34,494.
SYMRISE AG UNSPONSORED ADR	21,352.	21,352.
SYNOVUS FINANCIAL CORP.	6,127.	6,127.
SYSMEX CORPORATION - UNSPN ADR	35,783.	35,783.
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.		
- SP ADR	242,906.	242,906.
TAKEDA PHARMACEUTICAL CO LTD-SPN ADR	53,593.	53,593.
TAKE-TWO INTERACTIVE SOFTWARE, INC.	355.	355.
TAPESTRY, INC.	9,988.	9,988.
TARGET CORPORATION	39,808.	39,808.
TATA MOTORS LIMITED - ADR	29,138.	29,138.
TDK CORP - ADR	5,871.	5,871.
TE CONNECTIVITY LIMITED	50,822.	50,822.
TECHTRONIC INDUSTRIES COMPANY LIMITED	22,862.	22,862.
TELECOM ITALIA SPA-SP ADR	18,155.	18,155.
TELEFONICA BRASIL- ADR	10,864.	10,864.
TELEFONICA S.A. - ADR	18,478.	18,478.
TELENOR ASA-ADR	44,186.	44,186.
TENCENT HOLDINGS LTD - SPONSORED ADR	116,192.	116,192.
TENCENT MUSIC ENTERTAINMENT - ADR	9,974.	9,974.
TESCO PLC - SPONSORED ADR	12.	12.
TESLA INC.	231,435.	231,435.
TEXAS INSTRUMENTS INC.	24,878.	24,878.
THE CHEMOURS COMPANY	5,504.	5,504.
THE CLOROX COMPANY	49,867.	49,867.
THE ESTEE LAUDER COMPANIES INC. CLASS A	7,774.	7,774.
THE INTERPUBLIC GROUP OF COMPANIES, INC.	45,202.	45,202.
THE MOSAIC COMPANY	45,930.	45,930.
THE TJX COMPANIES, INC.	34,695.	34,695.
THE TRADE DESK, INC. - CLASS A	20,161.	20,161.
THE TRAVELERS COMPANIES, INC.	25,811.	25,811.
THE WALT DISNEY COMPANY	58,858.	58,858.
THERMO FISHER SCIENTIFIC INC.	91,412.	91,412.
THOMSON REUTERS CORPORATION	115,792.	115,792.
TIAA-CREF CORE IMPACT BOND FUND	1,003,530.	1,003,530.
TIM SA ADR	3,608.	3,608.
TOKYO ELECTRON LTD UNSPONSORED ADR	65,618.	65,618.
TORONTO-DOMINION BANK	52,756.	52,756.
TOSHIBA CORP - UNSPON ADR	8,675.	8,675.
TRANE TECHNOLOGIES PLC	19,395.	19,395.
TREASURY WINE ESTATES - ADR	15,504.	15,504.
TRIMBLE INC	8,545.	8,545.
TRUIST FINANCIAL CORP	15,340.	15,340.
TWILIO INC - A	21,857.	21,857.
TWITTER, INC.	11,713.	11,713.
TYSON FOODS, INC. - CLASS A	22,226.	22,226.
UBER TECHNOLOGIES INC	17,820.	17,820.
UBS GROUP AG	46,569.	46,569.
UGI CORPORATION	18,456.	18,456.
UMICORE - UNSPONSORED ADR	14,141.	14,141.
UNICREDIT SPA - ADR	10,299.	10,299.
UNILEVER PLC - SPONSORED ADR	59,438.	59,438.
UNION PACIFIC CORP.	45,347.	45,347.
UNITED MICROELECTRONICS ADR	52,182.	52,182.
UNITED PARCEL SERVICE CLASS B	23,363.	23,363.
UNITED STATES STEEL CORPORATION	11,119.	11,119.
UNITEDHEALTH GROUP INC.	134,573.	134,573.
UPM- KYMMENE OYJ - UNSPON ADR	23,799.	23,799.

THE DAVID ROCKEFELLER FUND, INC.

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US FOODS HOLDING CORP	4,284.	4,284.
V.F. CORPORATION	4,100.	4,100.
VAIL RESORTS, INC.	4,591.	4,591.
VALVOLINE INC	9,956.	9,956.
VEOLIA ENVIRONMENT ADR	52,882.	52,882.
VERISK ANALYTICS, INC.	67,933.	67,933.
VERIZON COMMUNICATIONS	38,295.	38,295.
VESTAS WIND SYSTEMS-UNSP ADR	22,272.	22,272.
VIASAT, INC.	5,077.	5,077.
VIATRIS INC	636.	636.
VICI PROPERTIES INC	26,376.	26,376.
VINCI SA-UNSPONS ADR	9,892.	9,892.
VIPSHOP HOLDINGS LTD. ADR	3,133.	3,133.
VISA INC - CLASS A SHARES	125,258.	125,258.
VIVENDI SE - UNSPON ADR	4,988.	4,988.
VMWARE INC.	10,661.	10,661.
VODAFONE GROUP PLC - SP ADR	16,707.	16,707.
VOLVO AB - UNSPON ADR	35,535.	35,535.
WAL-MART DE MEXICO SA DE CV ADR	34,456.	34,456.
WASTE MANAGEMENT, INC.	22,865.	22,865.
WAYFAIR INC	8,359.	8,359.
WEBSTER FINANCIAL CORP.	15,021.	15,021.
WELLS FARGO COMPANY	17,753.	17,753.
WEST JAPAN RAILWAY - UNSPON ADR	7,565.	7,565.
WEST PHARMACEUTICAL SERVICES, INC.	1,876.	1,876.
WESTERN DIGITAL CORPORATION	12,194.	12,194.
WESTPAC BANKING SPONSORED ADR	30,192.	30,192.
WH GROUP LIMITED - SPONSORED ADR	6,755.	6,755.
WIPRO LTD - ADR	59,731.	59,731.
WOLTERS KLUWER NV - SPONS ADR	12,168.	12,168.
WOORI FINANCIAL SPONSORED ADR-W/I	39,102.	39,102.
WPP PLC ADR	29,993.	29,993.
XEROX HOLDINGS CORPORATION	13,267.	13,267.
XYLEM INC.	35,136.	35,136.
YARA INTERNATIONAL ASA-ADR	11,391.	11,391.
YASKAWA ELECTRIC CORP ADR	6,537.	6,537.
YELP INC.	2,319.	2,319.
YUM CHINA HOLDINGS INC	19,438.	19,438.
ZAI LAB LTD - ADR	5,594.	5,594.
ZALANDO SE - UNSPONSORED ADR	14,305.	14,305.
ZILLOW GROUP INC. - CLASS A	2,862.	2,862.
ZIMMER BIOMET HOLDINGS, INC.	5,971.	5,971.
ZOOM VIDEO COMMUNICATIONS - CLASS A	11,586.	11,586.
ZSCALER INC	8,355.	8,355.
ZTO EXPRESS CAYMAN INC - ADR	6,886.	6,886.
ZURICH INSURANCE GROUP AG - ADR	28,160.	28,160.
TOTAL TO FORM 990-PF, PART II, LINE 10B	21,062,111.	21,062,111.

FORM 990-PF

CORPORATE BONDS

STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
AMAZON.COM INC 0.80% DUE 06/03/2025 CALLABLE @ 100 05/03/25	35,602.	35,602.
AMAZON.COM INCORPORATED 0.45% DUE 05/12/2024	89,134.	89,134.
AMERICAN EXPRESS 4.05% DUE 12/03/2042	42,857.	42,857.
AT&T INC. 0.90% DUE 03/25/24 CALL @ 100 03/25/22	69,696.	69,696.
AT&T INC. 1.70% DUE 03/25/26 CALL @ 100 03/25/23	67,685.	67,685.
BANK OF AMERICA CORPORATION 1.486% DUE 05/19/2024 CALL @ 100 05/19/2023	100,733.	100,733.
BANK OF AMERICA CORPORATION VAR% DUE 09/25/25 CALL @ 100 09/25/24	103,747.	103,747.
BRUNSWICK CORP 0.85% 08/18/2024	69,014.	69,014.
CITIGROUP INC. VAR% DUE 10/30/24 CALL @ 100 10/30/23	69,556.	69,556.
COMCAST CORP 3.90% DUE 03/01/2038 CALL @ 100 09/01/2037	106,606.	106,606.
CONSERVATION FUND 3.474% DUE 12/15/2029	105,641.	105,641.
CVS HEALTH CORP 3.25% DUE 08/15/2029 CALL @ 100 05/15/2029	89,641.	89,641.
CVS HEALTH CORPORATION 3.375% DUE 08/12/24 CALL @ 100 05/12/24	84,091.	84,091.
FANNIE MAE 2.00% DUE 07/01/36	54,731.	54,731.
FANNIE MAE 3.00% DUE 04/01/2051	37,120.	37,120.
FANNIE MAE FN BM3973 3.00% DUE 04/01/2032	26,934.	26,934.
FANNIE MAE FN BM4676 4.00% DUE 10/01/2048	50,203.	50,203.
FANNIE MAE FN BQ2350 2.00% DUE 09/01/2050	53,586.	53,586.
FANNIE MAE FN CA3845 4.50% DUE 07/01/2049	29,220.	29,220.
FANNIE MAE FN FM4933 2.00% DUE 12/01/2035	22,216.	22,216.
FANNIE MAE FN MA3902 2.50% DUE 01/01/2050	11,978.	11,978.
FANNIE MAE POOL #CB1343 2.50% DUE 08/01/41	50,511.	50,511.
FANNIE MAE POOL #FM8178 2.50% DUE 07/01/51	90,595.	90,595.
FANNIE MAE POOL #FM8821 3.00% DUE 09/01/51	30,625.	30,625.
FREDDIE MAC 2.50% DUE 10/01/2040	20,359.	20,359.
FREDDIE MAC FN ZS7888 2.50% DUE 10/01/2032	20,731.	20,731.
GM FINANCIAL SECURITIZED TERM GMCAR 2019-3 A3 2.18% DUE 04/16/2024	16,607.	16,607.
GOLDMAN SACHS GROUP INC 4.223% DUE 05/01/2029 CALL @ 100 05/01/2028	45,579.	45,579.
HOME DEPOT INC 3.75% DUE 02/15/2024 CALL @ 100 11/15/2023	63,252.	63,252.
HOME DEPOT INC 5.875% DUE 12/16/2036	103,553.	103,553.
JPMORGAN CHASE & CO 4.493% DUE 03/24/2031 CALL @ 100 03/24/2030	108,882.	108,882.
KROGER COMPANY 4.00% DUE 02/01/2024 CALL @100 11/01/2023	72,588.	72,588.
KFW 2.00% DUE 09/29/2022	96,095.	96,095.
MICROSOFT CORP 2.875% DUE 02/06/2024 CALL @ 100 12/06/2023	80,155.	80,155.
MICROSOFT CORPORATION 3.50% DUE 02/12/2035 CALL @ 100 08/12/2034	57,211.	57,211.
NIKE INC. CORP 2.25% DUE 05/01/2023 CALL @ 100 02/01/23	67,214.	67,214.

THE DAVID ROCKEFELLER FUND, INC.

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NVIDIA CORPORATION 0.584% DUE 06/14/2024 CALL @ 100 06/14/2023	69,366.	69,366.
ORACLE CORPORATION 3.40% DUE 07/08/24 CALL @ 100 04/08/24	66,920.	66,920.
PNC FINANCIAL SERVICES 2.20% DUE 11/01/2024 CALL @ 100 10/02/2024	87,304.	87,304.
SIMON PROPERTY GROUP LP 3.375% DUE 06/15/2027 CALL @ 100 03/15/2027	48,296.	48,296.
SIMON PROPERTY GROUP LP 3.75% DUE 02/01/2024 CALL @ 100 11/01/2023	65,969.	65,969.
THE WALT DISNEY COMPANY 1.75% DUE 08/30/24 CALL @ 100 07/30/24	67,059.	67,059.
TRUIST FINANCIAL CORPORATION 3.75% DUE 12/06/2023 CALL @ 100 11/06/2023	50,459.	50,459.
VANGUARD MORTGAGE BACKED SECURITIES ETF	110,488.	110,488.
VERIZON COMMUNICATIONS INC. 0.75% DUE 03/22/24 CALL @ 100 03/22/21	69,725.	69,725.
WELLS FARGO & COMPANY VAR% DUE 05/19/25 CALL @ 100 05/19/24	49,445.	49,445.
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TOTAL TO FORM 990-PF, PART II, LINE 10C	2,928,979.	2,928,979.
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FORM 990-PF

OTHER INVESTMENTS

STATEMENT 13

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ALEXANDRIA REAL ESTATE EQUITIES, INC.	FMV	2,453.	2,453.
AMERICAN TOWER CORPORATION	FMV	41,243.	41,243.
AVALONBAY COMMUNITIES, INC.	FMV	15,408.	15,408.
DIGITAL REALTY TRUST, INC.	FMV	8,667.	8,667.
DUKE REALTY CORPORATION	FMV	8,599.	8,599.
EQUINIX, INC.	FMV	27,913.	27,913.
FEDERAL REALTY INVESTMENT TR.	FMV	14,586.	14,586.
GAMING AND LEISURE PROPERTIES, INC.	FMV	584.	584.
HEALTHPEAK PROPERTIES INC	FMV	6,821.	6,821.
HOST HOTELS & RESORTS INC.	FMV	11,408.	11,408.
IRON MOUNTAIN INC.	FMV	14,077.	14,077.
JBG SMITH PROPERTIES	FMV	7,465.	7,465.
KILROY REALTY COPORATION	FMV	2,592.	2,592.
KITE REALTY GROUP TRUST	FMV	17,402.	17,402.
PROLOGIS INC.	FMV	46,467.	46,467.
REGENCY CENTERS CORPORATION	FMV	7,158.	7,158.
SIMON PROPERTY GROUP, INC.	FMV	19,172.	19,172.
STORE CAPITAL CORP	FMV	11,283.	11,283.
THE MACERICH COMPANY	FMV	10,005.	10,005.
VENTAS, INC.	FMV	22,748.	22,748.
WELLTOWER INC.	FMV	13,637.	13,637.
CRA QUALIFIED INVESTMENT FUND	FMV	5,566,908.	5,566,908.
PARNASSUS FUND INSTITUTIONAL SHARES	FMV	6,680,711.	6,680,711.
PAX GLOBAL ENVIRONMENTAL MARKETS FUND	FMV	7,977,573.	7,977,573.
NEW ENERGY CAPITAL INFRASTRUCTURE	FMV	616,339.	616,339.
ROCKEFELLER ALTERNATIVE STRATEGIES FUND	FMV	9,597.	9,597.
ROCKEFELLER ACCESS FUND 06-1	FMV	283,070.	283,070.
ROCKEFELLER LIBRA FOSSIL FUEL FREE L.P.	FMV	15,133,296.	15,133,296.
TOTAL TO FORM 990-PF, PART II, LINE 13		36,577,182.	36,577,182.

FORM 990-PF

DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT

STATEMENT 14

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
OFFICE EQUIPMENT	9,905.	8,130.	1,775.
COMPUTER EQUIPMENT & SOFTWARE	19,205.	8,412.	10,793.
TOTAL TO FM 990-PF, PART II, LN 14	29,110.	16,542.	12,568.

FORM 990-PF	OTHER ASSETS		STATEMENT 15
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INVESTMENT RECEIVABLE	67,159.	57,233.	57,233.
RECOVERABLE TAXES PAID	0.	3,088.	3,088.
TO FORM 990-PF, PART II, LINE 15	67,159.	60,321.	60,321.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VI-A, LINE 10	STATEMENT 16
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NAME OF CONTRIBUTOR	ADDRESS
MARGARET DULANY	475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10020

FORM 990-PF	EXPLANATION CONCERNING PART VI-A, LINE 12 QUALIFYING DISTRIBUTION STATEMENT	STATEMENT 17
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EXPLANATION

THE FOUNDATION TREATED DISTRIBUTIONS TO "THE DAVID ROCKEFELLER FUND TRUSTEE DISCRETIONARY GIVING 4TH & 5TH GENERATIONS" DONOR ADVISED FUND AT ROCKEFELLER PHILANTHROPY ADVISORS ("DONOR ADVISED FUND") AS A QUALIFYING DISTRIBUTION BECAUSE THE IRS HAS CLASSIFIED THIS DONOR ADVISED FUND AS A PUBLIC CHARITY. HOWEVER, WE NOTE THAT THE FOUNDATION COULD HAVE MET ITS PAYOUT REQUIREMENT EVEN IF IT HAD NOT TREATED THE DISTRIBUTIONS TO THE DONOR ADVISED FUND AS A QUALIFYING DISTRIBUTION.

FORM 990-PF	EXPLANATION CONCERNING PART VI-A, LINE 12 SECTION 170(C)(2)(B) STATEMENT	STATEMENT 18
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EXPLANATION

THE FOUNDATION HAS MADE AND WILL, FROM TIME TO TIME, CONTINUE TO MAKE REQUESTS TO THE DONOR ADVISED FUND TO MAKE DISTRIBUTIONS IN FURTHERANCE OF THE FOUNDATION'S CHARITABLE PURPOSES, WHICH ARE DESCRIBED IN CODE 170 (C) (2) (B).

FORM 990-PF

PART VII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 19

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMIE BENNETT 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 2.00	2,000.	0.	0.
PEGGY DULANY 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0.	0.	0.
MINDY FULLILOVE 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	2,000.	0.	0.
LISA GARCIA 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0.	0.	0.
NILI GILBERT 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 5.00	39,021.	0.	0.
DANIEL GROWALD 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 2.00	0.	0.	0.
CHRISTINE HEENAN 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	2,000.	0.	0.
REBECCA LAMBERT 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0.	0.	0.
MAX MILLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0.	0.	0.
MICHAEL QUATTRONE 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 2.50	0.	0.	0.

THE DAVID ROCKEFELLER FUND, INC.

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ARIANA ROCKEFELLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0.	0.	0.
CAMILLA ROCKEFELLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE/CHAIR 3.00	0.	0.	0.
CLAY ROCKEFELLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 2.00	0.	0.	0.
SUSAN ROCKEFELLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0.	0.	0.
MANYA RUBINSTEIN 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0.	0.	0.
ANNABEL SCHULZ 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0.	0.	0.
LUKAS HAYNES 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	CHIEF EXECUTIVE OFFICER 40.00	228,570.	60,433.	1,794.
LEXI FISHER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	SECRETARY/CHIEF OPERATING OFFICER 40.00	109,335.	59,483.	0.
LEAH D'ANGELO 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TREASURER 1.00	7,337.	2,201.	0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VII

390,263.	122,117.	1,794.
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FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VI-B, LINE 5D

STATEMENT 20

GRANTEE'S NAME

AMERICANS FOR THE ARTS ACTION FUND

GRANTEE'S ADDRESS1000 VERMONT AVENUE, 6TH FLOOR
WASHINGTON, DC 20005GRANT AMOUNT

75,000.

DATE OF GRANT

07/31/20

AMOUNT EXPENDED

75,000.

PURPOSE OF GRANTTO EDUCATE CITIZENS ON ARTS-FRIENDLY PUBLIC POLICIES AT THE FEDERAL, STATE
AND LOCAL LEVELS.DATES OF REPORTS BY GRANTEE

2/28/21 & 4/6/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

AMERICANS FOR THE ARTS ACTION FUND

GRANTEE'S ADDRESS

1000 VERMONT AVENUE, 6TH FLOOR
WASHINGTON, DC 20005

GRANT AMOUNT

25,000.

DATE OF GRANT

11/11/21

AMOUNT EXPENDED

0.

PURPOSE OF GRANT

TO EDUCATE ARTISTS ON ACCESSING FEDERAL RELIEF FUNDS AND OTHER VOTER
EDUCATION EFFORTS.

DATES OF REPORTS BY GRANTEE

2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

AZ PODER

GRANTEE'S ADDRESS

4415 N MARYVALE PKWY
PHOENIX, AZ 85063

GRANT AMOUNT

150,000.

DATE OF GRANT

11/11/21

AMOUNT EXPENDED

23,598.

PURPOSE OF GRANT

TO EDUCATE COMMUNITIES OF COLOR ABOUT CIVIC ENGAGEMENT AT THE LOCAL AND
STATE LEVEL.

DATES OF REPORTS BY GRANTEE

2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

BRAVE NEW FILMS ACTION FUND

GRANTEE'S ADDRESS

10510 CULVER BOULEVARD
CULVER CITY, CA 90232

GRANT AMOUNT

50,000.

DATE OF GRANT

05/22/20

AMOUNT EXPENDED

50,000.

PURPOSE OF GRANT

TO CREATE AND DISTRIBUTE ENGAGING MEDIA TO EDUCATE AND MOTIVATE CITIZENS,
LEADING TO PRODUCTIVE CIVIC PARTICIPATION.

DATES OF REPORTS BY GRANTEE

2/28/21 & 2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

CLIMATE EMERGENCY ADVOCATES

GRANTEE'S ADDRESS

4676 BROADWAY, SUITE A
BOULDER, CO 80304

GRANT AMOUNT

100,000.

DATE OF GRANT

11/11/21

AMOUNT EXPENDED

26,750.

PURPOSE OF GRANT

TO EDUCATE YOUNG CLIMATE ACTIVISTS IN ARIZONA AND PENNSYLVANIA.

DATES OF REPORTS BY GRANTEE

2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

CONSERVATION COLORADO

GRANTEE'S ADDRESS

1536 WYNKOOP STREET, SUITE 510
DENVER, CO 80202

GRANT AMOUNT

50,000.

DATE OF GRANT

09/23/20

AMOUNT EXPENDED

50,000.

PURPOSE OF GRANT

TO PROTECT COLORADO'S CLIMATE, AIR, LAND, WATER, AND COMMUNITIES THROUGH
ORGANIZING AND ADVOCACY.

DATES OF REPORTS BY GRANTEE

2/28/21 & 2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

CULTURAL ENGAGEMENT LAB

GRANTEE'S ADDRESS

1330 BROADWAY, SUITE 300
OAKLAND, CA 94612

GRANT AMOUNT

100,000.

DATE OF GRANT

11/09/20

AMOUNT EXPENDED

100,000.

PURPOSE OF GRANT

TO ORGANIZE A STRONG NETWORK OF ARTISTS AND CULTURE MAKERS FROM IMPACTED COMMUNITIES WHO CAN USE ART AND CULTURE AS AN ENGINE FOR CIVIC ENGAGEMENT.

DATES OF REPORTS BY GRANTEE

2/28/21 & 2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

GAMALIEL FAITH AND DEMOCRACY CAMPAIGN

GRANTEE'S ADDRESS

5401 S. CORNELL AVENUE SUITE 301
CHICAGO, IL 60615

GRANT AMOUNT

50,000.

DATE OF GRANT

06/22/21

AMOUNT EXPENDED

50,000.

PURPOSE OF GRANT

TO SUPPORT THE TRANSFORMATIONAL JUSTICE IN ACTION CAMPAIGN'S IN-PRISON OUTREACH PROGRAM, WHEREBY INCARCERATED LEADERS EDUCATE FRIENDS, FAMILY MEMBERS, CO-WORKERS, AND THE FAITH COMMUNITY ON CIVIC PARTICIPATION.

DATES OF REPORTS BY GRANTEE

2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

HIP HOP CAUCUS ACTION FUND

GRANTEE'S ADDRESS

20 F ST. NW, 7TH FLOOR
WASHINGTON, DC 20001

GRANT AMOUNT

50,000.

DATE OF GRANT

05/22/20

AMOUNT EXPENDED

48,580.

PURPOSE OF GRANT

TO ENERGIZE THE HIP HOP COMMUNITY AS A WAY TO BUILD CLIMATE MOVEMENT POWER
AND PROMOTE CIVIC ENGAGEMENT.

DATES OF REPORTS BY GRANTEE

2/28/21 & 10/18/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

HIP HOP CAUCUS ACTION FUND

GRANTEE'S ADDRESS

20 F ST. NW, 7TH FLOOR
WASHINGTON, DC 20001

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	09/09/20	48,580.

PURPOSE OF GRANT

TO ENERGIZE THE HIP HOP COMMUNITY AS A WAY TO BUILD CLIMATE MOVEMENT POWER
AND PROMOTE CIVIC ENGAGEMENT.

DATES OF REPORTS BY GRANTEE

2/28/21 & 10/18/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

HIP HOP CAUCUS ACTION FUND

GRANTEE'S ADDRESS

20 F ST. NW, 7TH FLOOR
WASHINGTON, DC 20001

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
150,000.	11/11/21	61,900.

PURPOSE OF GRANT

TO ENGAGE THE PUBLIC ON ENVIRONMENTAL JUSTICE THROUGH MEDIA.

DATES OF REPORTS BY GRANTEE

10/19/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

JOLT ACTION

GRANTEE'S ADDRESS

PO BOX 4185
AUSTIN, TX 78765

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	09/26/20	50,000.

PURPOSE OF GRANT

TO BUILD LONG-TERM LEADERSHIP OF LATINX YOUTH, GIVING THEM THE ABILITY TO SHAPE THE CONVERSATION ON CLIMATE JUSTICE.

DATES OF REPORTS BY GRANTEE

2/28/21 & 2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

LEAGUE OF CONSERVATION VOTERS

GRANTEE'S ADDRESS740 15TH ST NW, 7TH FLOOR
WASHINGTON, DC 20005GRANT AMOUNT

250,000.

DATE OF GRANT

10/19/20

AMOUNT EXPENDED

250,000.

PURPOSE OF GRANTTO BUILD POWER THROUGH EDUCATION THAT WILL CREATE A WORLD WITH CLEAN AIR,
CLEAN WATER, PUBLIC LANDS, AND A SAFE CLIMATE THAT ARE PROTECTED BY A JUST
AND EQUITABLE DEMOCRACY.DATES OF REPORTS BY GRANTEE

2/28/21 & 2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

LEAGUE OF CONSERVATION VOTERS

GRANTEE'S ADDRESS

740 15TH ST NW, 7TH FLOOR
WASHINGTON, DC 20005

GRANT AMOUNT

75,000.

DATE OF GRANT

06/22/21

AMOUNT EXPENDED

37,550.

PURPOSE OF GRANT

TO ENGAGE LATINX FAMILIES AND COMMUNITIES OF COLOR IN THE FIGHT AGAINST
CLIMATE CHANGE AND ENVIRONMENTAL INJUSTICE.

DATES OF REPORTS BY GRANTEE

2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

LUCHA

GRANTEE'S ADDRESS

5716 N. 19TH AVENUE
PHOENIX, AZ 85015

GRANT AMOUNT

100,000.

DATE OF GRANT

11/11/21

AMOUNT EXPENDED

50,000.

PURPOSE OF GRANT

TO EDUCATE UNDOCUMENTED, LOW-INCOME, AND YOUNG PEOPLE ABOUT LOCAL ECONOMIC
AND SOCIAL ISSUES.

DATES OF REPORTS BY GRANTEE

2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

MAKE THE ROAD ACTION FUND

GRANTEE'S ADDRESS

449 TROUTMAN STREET, SUITE C
BROOKLYN, NY 11237

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	11/06/20	50,000.

PURPOSE OF GRANT

TO BUILD POWER THROUGH EDUCATION FOR JUSTICE IN LATINX AND IMMIGRANT
COMMUNITIES AND WORKING CLASS COMMUNITIES OF COLOR.

DATES OF REPORTS BY GRANTEE

2/28/21 & 2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

MICHIGAN CIVIC ACTION FUND

GRANTEE'S ADDRESS

28342 DARTMOUTH STREET
MADISON HEIGHTS, MI 48071

GRANT AMOUNT

500,000.

DATE OF GRANT

11/11/21

AMOUNT EXPENDED

250,000.

PURPOSE OF GRANT

TO EDUCATE BIPOC VOTERS ABOUT PUBLIC POLICY ISSUES IN MICHIGAN.

DATES OF REPORTS BY GRANTEE

2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

ONE FOR DEMOCRACY

GRANTEE'S ADDRESS107 GRAND STREET 7TH FLOOR
NEW YORK, NY 10013GRANT AMOUNT

200,000.

DATE OF GRANT

06/22/21

AMOUNT EXPENDED

50,000.

PURPOSE OF GRANTTO STRENGTHEN THE INFRASTRUCTURE NEEDED FOR DEMOCRACY, AND ULTIMATELY
COMMUNITIES, TO THRIVE.DATES OF REPORTS BY GRANTEE

2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

PROGRESSIVE MULTIPLIER ACTION FUND

GRANTEE'S ADDRESS

2733 WOODLEY PLACE NW
WASHINGTON, DC 20008

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
75,000.	06/22/21	75,000.

PURPOSE OF GRANT

TO HELP PROGRESSIVE NONPROFIT GROUPS IMPLEMENT AND SCALE REVENUE GENERATION
TECHNIQUES TO REINFORCE THEIR BUDGETS AND SUPPORT MISSION DELIVERY.

DATES OF REPORTS BY GRANTEE

8/31/2022

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

SIXTEEN THIRTY FUND

GRANTEE'S ADDRESS

1201 CONNECTICUT AVE. NW SUITE 300
WASHINGTON, DC 20036

GRANT AMOUNT

325,000.

DATE OF GRANT

06/22/21

AMOUNT EXPENDED

200,000.

PURPOSE OF GRANT

TO SUPPORT THE CLIMATE EQUITY ACTION FUND IN ADVANCING STRATEGIES TO STOP
CLIMATE CHANGE AND ADVANCE CLEAN ENERGY SOLUTIONS.

DATES OF REPORTS BY GRANTEE

2/28/2022

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

TIDES ADVOCACY

GRANTEE'S ADDRESSPO BOX 29229
SAN FRANCISCO, CA 94129

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	12/03/19	100,000.

PURPOSE OF GRANTTO SUPPORT EDUCATIONAL ACTIVITIES OF THE FLORIDA RIGHTS RESTORATION
COALITION, DEDICATED TO ENDING THE DISENFRANCHISEMENT AND DISCRIMINATION
AGAINST PEOPLE WITH CONVICTIONS.DATES OF REPORTS BY GRANTEE

2/28/2020, 2/28/21 & 2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

TIDES ADVOCACY

GRANTEE'S ADDRESSPO BOX 29229
SAN FRANCISCO, CA 94129GRANT AMOUNT

50,000.

DATE OF GRANT

06/22/21

AMOUNT EXPENDED

39,500.

PURPOSE OF GRANTTO SUPPORT EDUCATIONAL ACTIVITIES OF THE ALLIANCE FOR SAFETY & JUSTICE
ACTION FUND, WHICH EDUCATES THE PUBLIC ON REDUCING MASS CRIMINALIZATION
AND INCARCERATION.DATES OF REPORTS BY GRANTEE

2/28/22

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

ACTIVITY ONE

DURING 2021, 3 MEMBERS OF THE STAFF OF DAVID ROCKEFELLER FUND PROVIDED TECHNICAL ASSISTANCE TO CHARITABLE ORGANIZATIONS AND 1 MEMBER OF THE STAFF SERVED ON 5 CHARITABLE ORGANIZATIONS' BOARDS. 2 MEMBERS OF THE STAFF SERVED ON TOTAL OF 5 COMMITTEES, PUBLIC COMMISSIONS, OR TASK FORCES IN ORDER TO PROVIDE TECHNICAL ASSISTANCE.

EXPENSES

TO FORM 990-PF, PART VIII-A, LINE 1

58,082.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XIV, LINES 2A THROUGH 2D

STATEMENT 22

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LEXI FISHER
475 RIVERSIDE DRIVE, SUITE 900
NEW YORK, NY 10115

TELEPHONE NUMBER

NAME OF GRANT PROGRAM

212-812-4300

ARTS, JUSTICE AND CLIMATE - SEE STATEMENT 24

EMAIL ADDRESS

LOI@DRFUND.ORG

FORM AND CONTENT OF APPLICATIONS

THE DAVID ROCKEFELLER FUND WEBSITE STATES 1-2 PAGE LETTER OF INTENT SHOULD
BE SUBMITTED TO LOI@DRFUND.ORG

ANY SUBMISSION DEADLINES

THE DAVID ROCKEFELLER FUND ACCEPTS UNSOLICITED REQUESTS ON A ROLLING BASIS.

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE DAVID ROCKEFELLER FUND ONLY ACCEPTS UNSOLICITED REQUESTS THAT FIT ITS
THREE KEY PROGRAM AREAS (ARTS, JUSTICE AND CLIMATE)

990-PF	INVOLVEMENT WITH NONCHARITABLE ORGANIZATIONS	STATEMENT 23
	PART XVI, LINE 1, COLUMN (D)	

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

AMERICANS FOR THE ARTS ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO EDUCATE ARTISTS ON ACCESSING FEDERAL RELIEF FUNDS AND
OTHER VOTER EDUCATION EFFORTS.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

BRAVE NEW FILMS ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO CREATE AND DISTRIBUTE NEW SHORT FILMS FOCUSED ON CIVIC
ENGAGEMENT.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

AZ PODER

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO EDUCATE COMMUNITIES OF COLOR ABOUT CIVIC ENGAGEMENT AT THE
LOCAL AND STATE LEVEL.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

CLIMATE EMERGENCY ADVOCATES

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO EDUCATE YOUNG CLIMATE ACTIVISTS IN ARIZONA AND
PENNSYLVANIA.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

GAMALIEL FAITH AND DEMOCRACY CAMPAIGN

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO SUPPORT THE TRANSFORMATIONAL JUSTICE IN ACTION CAMPAIGN'S IN-PRISON OUTREACH PROGRAM, WHEREBY INCARCERATED LEADERS EDUCATE FRIENDS, FAMILY MEMBERS, CO-WORKERS, AND THE FAITH COMMUNITY ON CIVIC PARTICIPATION.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

HIP HOP CAUCUS ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO ENGAGE THE PUBLIC ON ENVIRONMENTAL JUSTICE THROUGH MEDIA.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

LEAGUE OF CONSERVATION VOTERS

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO ENGAGE LATINX FAMILIES AND COMMUNITIES OF COLOR IN THE FIGHT AGAINST CLIMATE CHANGE AND ENVIRONMENTAL INJUSTICE.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

LUCHA

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO EDUCATE UNDOCUMENTED, LOW-INCOME, AND YOUNG PEOPLE ABOUT LOCAL ECONOMIC AND SOCIAL ISSUES.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

MICHIGAN CIVIC ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO EDUCATE BIPOC VOTERS ABOUT PUBLIC POLICY ISSUES IN MICHIGAN.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

ONE FOR DEMOCRACY

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO STRENGTHEN THE INFRASTRUCTURE NEEDED FOR DEMOCRACY, AND ULTIMATELY COMMUNITIES, TO THRIVE.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

PROGRESSIVE MULTIPLIER ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO HELP PROGRESSIVE NONPROFIT GROUPS IMPLEMENT AND SCALE REVENUE GENERATION TECHNIQUES TO REINFORCE THEIR BUDGETS AND SUPPORT MISSION DELIVERY.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

SIXTEEN THIRTY FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO SUPPORT THE CLIMATE EQUITY ACTION FUND IN ADVANCING STRATEGIES TO STOP CLIMATE CHANGE AND ADVANCE CLEAN ENERGY SOLUTIONS.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

TIDES ADVOCACY

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO SUPPORT EDUCATIONAL ACTIVITIES OF THE ALLIANCE FOR SAFETY & JUSTICE ACTION FUND, WHICH EDUCATES THE PUBLIC ON REDUCING MASS CRIMINALIZATION AND INCARCERATION.

GENERAL EXPLANATION

STATEMENT 24

FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

990-PF, PART XIV, LINE 2 - REGARDING GRANT PROGRAMS

EXPLANATION:

THE DAVID ROCKEFELLER FUND CURRENTLY HAS THREE PRIMARY PROGRAM AREAS: ARTS, JUSTICE, AND CLIMATE.

THE ARTS PROGRAM FOCUSES ON CULTIVATING, SHOWCASING AND DISSEMINATING THE STORIES OF INNOVATIVE ART FOR SOCIAL CHANGE; JUSTICE SUPPORTS BOLD NEW IDEAS AND POLICY INITIATIVES FOR TRANSFORMING U.S. DETENTION AND INCARCERATION POLICY; AND CLIMATE SUPPORTS AND SUSTAINS BOLD, SCIENCE-BASED U.S. LEADERSHIP ON CLIMATE SOLUTIONS.

IN ADDITION TO THE PROGRAM AREAS OUTLINED ABOVE, THE FUND SUPPORTED A NUMBER OF CHARITABLE INITIATIVES DESIGNED TO ENCOURAGE TRUSTEES' INDIVIDUAL CHARITABLE GIVING.