

THE DAVID ROCKEFELLER FUND

2009
ANNUAL REPORT

The David Rockefeller Fund

About

Established in 1989 by David Rockefeller and his late wife, Peggy, The David Rockefeller Fund has two program areas: The *Citizenship Program*, which provides support for charitable and public organizations in communities where David Rockefeller lives outside of New York City and the *Family Interest Program*, which focuses on grantmaking in Arts & Education, Criminal Justice, and Environment.

Directors and Officers

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Staff

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Marianna Schaffer, Grants & Programs Manager

PROGRAM STATEMENT

Grants in the Fund's **Citizenship** program are generally restricted to organizations serving the communities of Mt. Desert Island, Maine, the Tarrytown area of Westchester County, New York and the Livingston area of Columbia County, New York.

Directors of the Fund are charged with ensuring that these grants are consistent with the general philosophy and specific interests of David Rockefeller and his late wife, Peggy. Focus is on education; health and social service agencies; community groups; and environmental and preservation organizations. Special emphasis is placed on opportunities to help preserve the character and integrity of these regions.

In addition to the Fund's Citizenship program described above, a separate, **Family Interest** grantmaking program was established in 2001. Guided by the theme of shared family interests, current funding areas are: Arts and Education, Criminal Justice, and Environment.

Unsolicited applications are not currently accepted for this program.

APPLICATION INFORMATION

The Board of Directors meets to consider applications in the spring and fall. There are no formal deadlines by which applications must be submitted. The review and decision process depends upon the number and nature of all received applications and the availability of funds.

Citizenship Program

Applicants for the Citizenship program may submit a letter of inquiry addressed to the Executive Director that describes the organization and the program for which it seeks support. Included with the letter should be a copy of the organization's mission statement, annual operating budget, and its IRS tax-exempt status determination letter.

Family Interest Program

The Fund is not able to accept applications for this program.

2009 CITIZENSHIP GRANTS PROGRAM

All grants in the Citizenship program are for general operating support unless otherwise noted.

In 2009, 85 grants were awarded to 81 organizations totaling \$266,250.

MAINE

47 grants to 44 organizations totaling \$121, 250

Abbe Museum **\$ 1,000**

Exhibitions and programs focus on the Native American tradition in Maine and the broader Native American experience, past and present.

Abnaki Girl Scout Council **1,000**

One of two Councils in Maine serving more than 4,500 girls.

Bar Harbor Fire Department **750**

Bar Harbor Food Pantry **2,000**

Provides food and other household products for an average of 120 families and individuals per month.

Bar Harbor Music Festival **1,500**

Presents a summer concert series and provides performance opportunities for young musicians.

**The Boy Scouts of America -
Katahdin Area Council** **1,000**

Serves 9,000 scouts and leaders in six counties in Northeastern Maine.

College of the Atlantic **5,000**

A small liberal arts college offering an interdisciplinary program in Human Ecology.

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| College of the Atlantic - Field Studies | 1,000 |
| A summer experiential educational program. | |
| Community Health & Counseling Services | 2,500 |
| Provides home health care and mental health services. | |
| Downeast Horizons | 2,500 |
| Serves people with developmental disabilities with assisted living and employment training programs. | |
| Farnsworth Art Museum & Wyeth Center | 2,500 |
| A Rockport, Maine museum dedicated to telling the story of Maine's role in the history of American art. | |
| Friends of Acadia | 10,000 |
| Works to protect the environmental, cultural, and historical heritage of Acadia National Park. | |
| Friends of Acadia - Youth Conservation Corps | 1,000 |
| Summer work program for local teens and college students to restore trails and monitor park conditions. | |
| Wendell Gilley Museum | 1,500 |
| Established to preserve and display woodcarvings of birds by Wendell Gilley. | |
| Great Harbor Maritime Museum | 1,000 |
| Offers exhibits on Maine, wooden boats and boat builders, and provides programs for local youth. | |
| H.O.M.E. | 3,000 |
| Provides shelter, counseling, education, job, and home ownership opportunities for residents of rural Maine. | |
| Island Institute | 2,500 |
| Programs support Maine's year-round island communities and protect its coastal environment. | |

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| The Jackson Laboratory | 5,000 |
| A leading genetic research facility using mouse models to study cancer, diabetes, and other diseases. | |
| Kids' Corner Community Child Care | 1,500 |
| Provides infant and childcare services. | |
| Maine Coast Heritage Trust | 15,000 |
| Protects coastal and other lands important to Maine's distinct character, landscape, and environment. | |
| Maine Lighthouse Corporation | 1,000 |
| Support for addiction serves in Mount Desert Communities. | |
| Maine Medical Center | 2,000 |
| The largest teaching hospital in Maine. | |
| Maine Public Broadcasting | 5,000 |
| Provides national radio and television programming for Maine residents. | |
| Maine Sea Coast Mission | 2,500 |
| Brings religious and counseling services, as well as food and supplies, to isolated island communities. | |
| M.D.I. Alcohol & Drug Abuse Group | 2,500 |
| Provides education, prevention and treatment programs through the Acadia Family Center. | |
| Mt. Desert Festival of Chamber Music | 1,000 |
| Summer music festival offering a series of concerts on Mt. Desert Island. | |
| Mt. Desert Fire and Rescue Association | 3,000 |
| Mt. Desert Island Biological Laboratory | 2,500 |
| Studies marine organisms for biomedical research and offers programs for young scientists. | |

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| Mt. Desert Island Hospital | 5,000 |
| The primary health care facility on Mt. Desert Island. | |
| Mt. Desert Island YMCA | 3,500 |
| Offers a wide range of community services, recreation, and education programs. | |
| Mt. Desert Medical Center | 1,500 |
| Provides health care on Mt. Desert Island during busy summer months. | |
| Mt. Desert Nursery School & Daycare | 1,000 |
| Year-round day-care services. | |
| Mt. Desert Nursing Association | 3,500 |
| Provides home health care for residents of Mt. Desert. | |
| Mt. Desert Summer Chorale | 1,000 |
| Music performance group open to all residents of the Island. | |
| Natural Resources Council of Maine | 2,500 |
| Develops public support for the preservation and restoration of Maine's natural environment. | |
| Nature Conservancy - Maine Chapter | 2,500 |
| Through land conservation and science, works to protect Maine's extensive natural resources. | |
| The Neighborhood House | 1,500 |
| Provides year-round recreational and educational programs for adults and young people ages 8-14. | |
| Northeast Harbor Ambulance Service | 500 |
| Northeast Harbor Library | 1,500 |
| Serves residents of Northeast Harbor and many of the surrounding areas. | |

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| Northeast Harbor Library Scholarship Program | 2,500 |
| Awards financial aid to Mt. Desert high school students for college and vocational school. | |
| Portland Museum of Art | 1,000 |
| Major regional museum with collections that highlight Maine's unique artistic heritage. | |
| Seal Harbor Library Association | 1,000 |
| Small historic library sponsors community events as well as providing library services. | |
| Seal Harbor Village Improvement Society | 3,500 |
| Maintains local trails, the village green and beach, and small parks in Seal Harbor, Maine. | |
| Town Hill Fire Company | 500 |
| United Church of Christ Northeast Harbor & Seal Harbor | 5,000 |
| Westside Food Pantry | 2,000 |
| Provides families from Southwest Harbor, Tremont, and Mount Desert with vouchers that can be redeemed at local grocery stores. | |
| Willowind Therapeutic Riding Center | 1,000 |
| Offers therapeutic horseback riding programs for physically and developmentally disabled individuals. | |
| MAINE TOTAL | \$ \$121, 250 |

WESTCHESTER COUNTY, NEW YORK

24 grants to 23 organizations totaling \$86,500.

Boy Scouts of America, \$ 1,500
Westchester-Putnam Council

Offers year-round scouting programs and summer camp facilities.

Caramoor 2,000

Sponsors summer music festivals with leading artists and offers educational programs for children.

Family Service of Westchester 5,000

Provides a broad range of counseling and support services for families and individuals.

Family YMCA at Tarrytown 5,000

Provides a residence and services including childcare, recreation, and computer classes.

Food Bank of Westchester 7,500

Distributes over 5 million pounds of donated, surplus, purchased food, and groceries annually.

Friends of the Rockefeller 1,500

State Park Preserve

Builds support for the Preserve's programs and usage, and raises funds to help maintain the Park.

Girl Scouts of Westchester * Putnam 2,500

Offers year-round scouting programs and operates resident and day camps.

Hilltop Engine Co., No. 1 1,000

Historic Hudson Valley 10,000

A leader in historic preservation and education, HHV operates six historic properties in the Hudson River Valley.

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| Historic Hudson Valley, Summerweek | 1,000 |
| Offers a “living history” day camp program for children. | |
| Historical Society of the Tarrytowns | 750 |
| Preserves the history of the Tarrytown area through collections, publications, and displays. | |
| Elizabeth Mascia Child Care Center | 1,000 |
| Provides day-care, after-school services, and an infant center with parenting classes for teen mothers. | |
| Open Door Family Medical Center North Tarrytown | 5,000 |
| Provides a broad range of health care services. | |
| Phelps Memorial Hospital Center | 7,500 |
| Acute care hospital providing medical and mental care. | |
| Planned Parenthood Hudson Peconic | 5,000 |
| With 13 medical centers in four counties, provides a full range of reproductive health care services. | |
| Puppies Behind Bars | 2,500 |
| Teaches prison inmates to train puppies that will become law enforcement and guide dogs. | |
| Salvation Army | 2,500 |
| Operates soups kitchens and food pantries for needy families at six community centers in Westchester. | |
| Scenic Hudson | 5,000 |
| Works to protect the Hudson River’s scenic landscapes and cultural and historic resources. | |
| Teatown Lake Reservation | 1,500 |
| The largest private preserve in Westchester, offering hiking trails, exhibits, and family programs. | |

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| Union Church of Pocantico Hills | 5,000 |
| Beautiful church known for its windows by Chagall and Matisse. | |
| United Way of the Tarrytowns | 10,000 |
| Supports affordable housing, child-care, job training, and youth development programs at local agencies. | |
| Volunteer and Exempt Firemen's Association of Sleepy Hollow, NY | 750 |
| Warner Library of the Tarrytowns | 1,500 |
| Provides traditional library and community programs. | |
| Westchester Land Trust | 1,500 |
| Preserves open space in Westchester County through community education, zoning, and easements. | |
| WESTCHESTER COUNTY TOTAL | \$ 86,500 |

COLUMBIA COUNTY, NEW YORK

12 grants to 12 organizations totaling \$18,500.

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| Churchtown Fire Company # 1 | \$ 500 |
| Columbia-Greene Hospital Foundation Supports the hospital and its ten family care centers. | 2,500 |
| Columbia Land Conservancy Protects and preserves farmland, wildlife habitat, scenic lands, and open space. | 5,000 |
| Germantown Library Serves Germantown and the surrounding communities. | 1,000 |
| Germantown Hose Company # 1 | 500 |
| Livingston Free Library Serves the Livingston community. | 1,000 |
| Livingston Pumper Co., No. 1 | 1,000 |
| Mission Control Traveling Pantry A small Church-based food bank that operates six days a week in Hudson, New York. | 1,000 |
| Olana Partnership Maintains the residence and studio of Hudson River School artist, Frederic Church. | 1,000 |
| Taghkanic Volunteer Fire Company No. 1 | 500 |
| United Way of Columbia & Greene Supports a wide range of programs and services. | 2,500 |
| Zion Community Food Pantry Open twice monthly at Bliss Towers, serving 275 families at each opening. | 2,000 |
| COLUMBIA COUNTY TOTAL | \$ 18,500 |

NEW YORK, NEW YORK

2 grants to 2 organizations totaling \$40,000 as part of the special emergency funding awarded in April 2009.

Common Ground **\$15,000**

In Support of *The Brownsville Partnership*, a pioneering homelessness prevention initiative in the Brownsville neighborhood of East New York, Brooklyn.

Food Bank for New York City **25,000**

New York City's leading food assistance and hunger-relief organization providing resources for the City's 1,000 emergency and community food providers.

CITIZENSHIP PROGRAM TOTAL **\$ 266,250**

2009 FAMILY INTEREST GRANTS PROGRAM

Grants cycles in the Family Interest program typically are 1-3 years in length. Unsolicited proposals are not accepted for this program.

In 2009, 20 grants were made to 20 organizations totaling \$375,000.

** indicates organizations that received special emergency funding in April 2009.*

ARTS AND EDUCATION

American Ballroom Theater

\$ 15,000

American Ballroom Theatre (ABrT) was founded in 1984, by ballroom dancers Pierre Dulaine and Yvonne Marceau. In 1994, Pierre Dulaine developed an in-school ballroom dancing curriculum called *Dancing Classrooms* as a response to the severe reduction of arts programs in New York City's Public Schools. Students in the *Dancing Classrooms* program receive ballroom dancing instruction from a teaching artist in Merengue, Rumba, Foxtrot, Waltz, and Tango for 50 minutes twice weekly for 10 weeks.

Education Through Music

25,000

Education Through Music was founded in 1991 to promote the use of music as a means of enhancing students' academic performance and development. ETM partners with New York City schools serving low-income students, providing weekly year-long music instruction for entire schools in grades K-8.

Joyce Theater

Dance Education Program

25,000

Founded in 1982, The Joyce Theater's mission is to serve and support the art of dance and choreography as well as advancing the public interest in, and appreciation of music, design, and theater. Through a series of inter-related activities students in grades k-12, teachers, and administrators gain an understanding of dance through the study of specific dance works performed at The Joyce Theater.

Lincoln Center Institute for the Arts in Education

20,000

Focus Schools Collaborative

The Institute brings dance, music, theater, visual arts, and architecture into pre-K-12 classrooms across the New York Area to help students and teachers learn about and through the arts. Using an innovative model known as "aesthetic education," young people are given in depth experiences with works of art, understanding them through a process of inquiry, reflection, and research.

Manhattan Theatre Club

20,000

Founded in 1970, Manhattan Theatre Club (MTC), is a leading production company dedicated to producing new plays and musicals by emerging American and international playwrights. Through 60 school partnerships MTC's Education Programs serve more than 5,000 students, teachers, and family members in New York City each year. Each educational unit consists of eight intensive in-class theater workshops and attendance at an MTC production.

Materials for the Arts* **20,000**

Created by the NYC Department of Cultural Affairs in 1978, Materials for the Arts (MFTA) gathers no longer needed materials from companies and individuals and re-distributes them to not-for-profit arts, cultural, and social service organizations. Materials, which are stored in a warehouse in Long Island City, Queens are made available to organizations, during regular "shopping" hours. Donors and recipients can also communicate directly with one another through MFTA's website.

Studio Museum in Harlem* **15,000**

Established in 1968, the Studio Museum is a contemporary art museum that focuses on the work of artists of African descent locally, nationally and globally, as well as work that has been inspired and influenced by African-American culture. The Studio Museum operates educational programs for youth, seniors and families, as well as professional development programs for teachers.

ARTS AND EDUCATION TOTAL **\$ 140,000**

The Dome Project **\$15,000**

The DOME (Developing Opportunities through Meaningful Education) Project, was founded in 1973 as an alternative-to-incarceration after school program. DOME assists young people who are economically, socially, and academically challenged focus on their education as a means to success through three programs: The Academic Tutoring Program, The College Prep Program, and the Juvenile Justice Program.

Episcopal Social Services

Network in Prisons/Communities **15,000**

Founded in 1831, Episcopal Social Services (ESS) is one of the oldest continuously operating social service agencies in New York City. ESS's *Network in the Prisons* and *Network in the Community* Programs serve more than 900 prisoners incarcerated in seven New York State correctional facilities and with those released or paroled to the New York City area. Programs help participants reintegrate successfully into mainstream life through group and individual counseling and a range of support services.

Fortune Society* **10,000**

Fortune serves approximately 3,500 men and women annually who have criminal justice histories providing them with a broad array of programs through an integrated "one-stop" model of service that has earned national recognition. This is the first payment of a \$20,000 grant made payable over two years.

Friends of Island Academy* **25,000**

Founded in 1990 by a small group of staff on Riker's Island concerned by the 70 percent recidivism rate of adolescents released from jail, Friends of Island Academy (Friends) offers post-release support programs to more than 425 young people, ages 16-19, who have been released from juvenile detention on Riker's Island.

The Horticultural Society of New York **20,000**

GreenHouse/GreenTeam

Founded in 1900, the Horticultural Society of New York (HSNY) promotes the art and science of horticulture and its role in urban life across all five boroughs in New York City. HSNY's primary social service program is the GreenHouse/GreenTeam program based on Rikers Island, which offers incarcerated men and women a jail-to-street program that uses horticultural training and therapy as a tool to help direct lives in a positive and productive manner while in prison and post-release.

Osborne Association* **20,000**

Founded in 1931 by Thomas Osborne, a pioneer prison reformer, The Osborne Association operates a broad range of treatment, educational, and vocational services for people involved in the adult and juvenile justice systems. Osborne has kept its focus on factors known to support success, including employment, sobriety, and strong family ties. More than 5,500 people are served annually in the greater New York region, including Riker's Island and 17 New York State prisons.

Voices UnBroken

15,000

Voices Behind Bars

The *Voices Beyond Bars* program facilitates creative writing workshops in jails, prisons, group homes and other non-traditional settings for an average of 300 participants per year, 70% of whom are incarcerated. Through VUB programs, creative writing has become a tool for introspection and expression, providing a link to the greater community for otherwise disenfranchised people. Weekly workshops help participants learn to communicate more effectively and articulate their needs through writing and creative expression.

CRIMINAL JUSTICE TOTAL

\$ 120,000

ENVIRONMENT

Added Value* **\$25,000**

Added Value maintains a 2.75-acre urban farm in Red Hook, Brooklyn and is dedicated to the sustainable development of the Red Hook community through farm-based education programs and youth-led environmental stewardship projects. These programs seek to develop a new generation of young leaders who are engaged in their community and environmentally conscious. Added Value also works to address food injustice, community food security, and environmental sustainability.

Clean Air-Cool Planet **15,000**

Founded in 1999, with offices in New Canaan, CT and Portsmouth, NH, Clean Air-Cool Planet (CA-CP) creates partnerships in the Northeast to implement solutions to climate change. CA-CP builds constituencies for effective climate policies and actions through partnerships with college campuses, communities, corporations, and science centers in the Northeastern United States.

Greenworker Cooperatives **10,000**

Founded in 2003, by Omar Freilla, Greenworker Cooperatives (GWC) is a South Bronx-based community organization that is dedicated to incubating worker-owned and environmentally friendly business cooperatives in the South Bronx, as a response to high unemployment and decades of environmental degeneration in the area.

ICLEI-Local Governments for Sustainability 25,000
Northeast/Mid-Atlantic Regional Capacity Center

ICLEI is an international membership organization that includes more than 475 local governments, and governmental organizations committed to achieving sustainable development and improving environmental conditions. ICLEI's *Cities for Climate Protection Campaign* explicitly address the issue of Global Warming. This grant supports the Northeast Regional Capacity Center.

Solar One 15,000

Founded in 2001, Solar One is New York's first Green Energy, Arts and Education Center. Solar One's mission is to educate the public about pioneering solutions to environmental challenges; catalyze the movement to reduce carbon emissions, thereby counteracting global warming. Solar One offers free education courses for students in grades k-12; hosts art performances; and maintains Stuyvesant Cove Park.

ENVIRONMENT TOTAL \$ 90,000

Community Resource Exchange* \$25,000

Community Resource Exchange (CRE) provides strategic advice and technical services to over 300 community-based organizations that fight poverty and champion social justice issues. In support of the *Reality Checks* account as a way to respond to and assist non-profit organizations affected by the economic crisis.

FAMILY INTEREST

GRANTS TOTAL \$ 375,000

2009 GRANTMAKING

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|------------------------|------------------|
| Family Interest Grants | \$375,000 |
| Citizenship Grants | 266,250 |
| Discretionary Grants | 75,000 |
| Matching Grants (est.) | <u>20,000</u> |
| TOTAL GRANTS | \$736,250 |

As of December 31, 2009, the unaudited asset value of The David Rockefeller Fund was \$4,503,136. Grants paid during 2009, including Discretionary grants awarded by individual directors, totaled \$716,250 and were made to 127 organizations for 131 activities. In addition, a matching grants program for the grandchildren of David Rockefeller paid out approximately \$20,000.

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