



DAVID
ROCKEFELLER
FUND

2015 Annual Report

“Philanthropy is involved with basic innovations that transform society, not simply maintaining the status quo or filling basic social needs that were formerly the province of the private sector.”

- David Rockefeller

A MESSAGE FROM THE EXECUTIVE DIRECTOR

Dear Friends and Colleagues,

As my predecessor, Marnie Pillsbury, wrote in the message for last year's report, 2015 was a transitional year for the DR Fund as she stepped down after 25 wonderful years of service. Lexi Fisher, our Program Manager, and I are deeply grateful to Marnie, Ayo Roach, and Marianna Schaffer for the care with which they planned the transition to new offices in January 2015 and the transfer of all operations.

We are now located within the offices of the Rockefeller Brothers Fund (RBF) and we are the great beneficiaries of the collegiality and professionalism of our colleagues there.

After a quarter century of identifying opportunities where the family's tradition of philanthropy could bring attention to difficult problems, the trustees of the DR Fund came together at the Pocantico Conference Center in October 2015 to reaffirm the values and Guiding Principles of our approach and our core commitment to the three program areas of Arts, Criminal Justice, and Environment.

As Lexi and I worked to "refocus" grant making priorities in each of these areas, at the request of the Board, the Fund continued its transitional grant making, uninterrupted, and helped grantee partners respond effectively to new opportunities for social change and community engagement.

In June 2015, we celebrated the historic 100th Birthday of our co-founder, David Rockefeller, and the Board approved a special grant to Socrates Sculpture Park for a landmark commission by the artist and environmental advocate, Agnes Denes, titled "The Living Pyramid" (pictured below). In addition to supporting a vital center of public art and education in Queens, New York, the grant celebrated the commitment of David Rockefeller and his family to arts philanthropy.

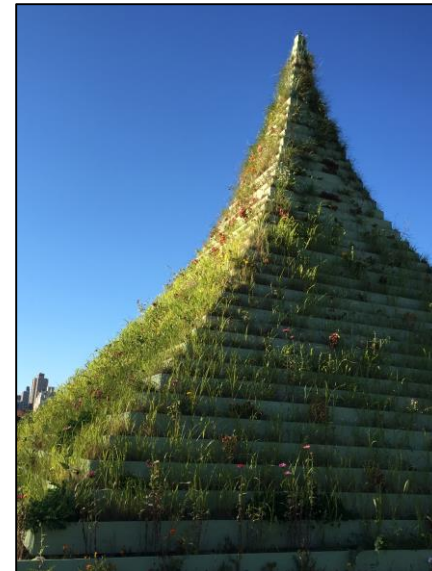
The Fund also continued to serve as an experimental laboratory for innovation in criminal justice reform and environmental conservation, as well as participatory family philanthropy. This year the Fund provided early support to a new national campaign to end the incarceration of youth in juvenile prisons and to redirect resources towards effective community-based, non-residential programs for youth. The Fund made a package of grants, including to the Center for Climate and Security, to support original research and U.S. policy ideas in response to climate change impacts on the security of the United States, other nations, and vulnerable communities of

people around the world. We also initiated a new program of rapid trustee "micro grants" that support time-sensitive opportunities within days or hours. In these and other ways, the Fund seeks responsive and strategic impact in each of our chosen charitable fields and to partner and learn from others among the 42,000 family foundations in the United States granting almost \$30 billion per year (Foundation Center, 2014).

Lexi and I could not achieve any of the Fund's goals without the active engagement of the Fund's family and non-family trustees and we also benefited greatly from our paid interns, another new initiative in 2015. We are deeply grateful for the diligent leadership of our chairman, Michael Quattrone, and his unfailing support during this year of transition. Working with him and reporting on our work to David Rockefeller and his family is both a privilege and a pleasure. We are honored to have their guidance and great generosity, and are grateful that they trust us with the responsibility and opportunity to advance the family's remarkable philanthropic legacy. We are excited that the DR Fund is evolving in new and exciting ways and very pleased to share the work of our many grant partners below.

With best wishes and gratitude,

Lukas Haynes



ABOUT

The David Rockefeller Fund was established in 1989 by David Rockefeller and his wife, Peggy, to carry out their annual charitable giving in communities where they had homes outside New York City. In 2001, David Rockefeller expanded the Fund and invited his children, grandchildren, and their spouses to take a more active role in the Fund, with the idea of transferring to them his philanthropic legacy.

GUIDING PRINCIPLES

In its work, the Fund seeks to address the root causes of problems, working both locally and on a broader policy level guided by the following principles:

FAMILY LEGACY

We seek to honor the philanthropic traditions and values exemplified by David and Peggy Rockefeller, recognizing the responsibility that we have to be active and engaged participants in our communities, as well as in the larger world.

RISK TAKING

We will not shy away from difficult social issues; indeed we believe that our greatest impact often results from taking on exactly those problems that more traditional funders tend to avoid.

LEVERAGE

As a small foundation committed to tackling big issues, we value collaboration and work to identify those grant-making opportunities where our family's tradition of philanthropy will help bring attention to difficult problems. We are committed to doing the greatest possible good with the resources we have.

RESPECT

We respect our grantees as equal partners in the work we do. Our general approach is to identify people and institutions doing important work and then support them in ways they will find most meaningful, without imposing undue burdens on them.

FLEXIBILITY

We recognize that one of our particular strengths as a family foundation is the ability to act quickly and be responsive to the real-time needs of our grantees.

SELF-EXAMINATION

Since we are committed both to taking risks and to achieving the greatest possible impact, we understand the importance of assessing our activities on a regular basis in the hope that our funding can make a demonstrable difference, both for the issues we care about and the nonprofit organizations we support.

GRANTMAKING PROGRAMS

In 2015, the David Rockefeller Fund had three primary program areas: Arts, Criminal Justice, and Environment.

For most of 2015, the Arts program focused on access and engagement; Criminal Justice promoted a more humane and fair criminal justice system; and Environment addressed climate change and regional energy transition efforts. In 2015, 34 grants, totaling \$1,055,000, were awarded to 34 organizations in these areas.

In addition to the program areas outlined above, the Fund underwrote a number of initiatives designed to encourage family members' individual philanthropic involvement and interests, as well as collaborative grant-making by the family.

Grants listed in this report reflect grants awarded in 2015 even if some payments may have been made in 2016.

2015

BOARD OF DIRECTORS & STAFF

DIRECTORS

The David Rockefeller Fund's Board membership changes every year and also includes non-family members.

Peggy Dulany
Adam Growald
Stephen Heintz
David Kaiser
Maeve Rockefeller
Chris Lindstrom
Michael Quattrone
Abby Rockefeller
Ariana Rockefeller
Camilla Rockefeller
Clay Rockefeller
David Rockefeller Jr.
Manya Rubinstein
James Sligar
Barry Walker

OFFICERS

Chair, Michael Quattrone
Treasurer, James Sligar
Executive Director, Lukas Haynes
Secretary, Camilla Rockefeller

STAFF

Lukas Haynes, Executive Director
Lexi Fisher, Program Manager

ARTS

EMPHASIS

Arts participation and access

GEOGRAPHIC FOCUS

New York City

“Art is important because it expands how we look at and understand the world. It can make us think beyond our own experience, and often, in our appreciation, we find things that link us in a common humanity.”

-David Rockefeller

The arts are an indispensable part of human experience, building self-awareness, fostering creativity, and encouraging new ways of thinking. In 2015, the Arts program focused on enriching individual human experience and helping to strengthen communities by providing meaningful engagement with, and access to, the arts. The Fund provided support for organizations that give individuals, who might not otherwise have the opportunity, a chance to participate in the arts in a sustained and substantive way. Changes to program priorities, approved late in 2015, will be reflected in the 2016 annual report.

A Blade of Grass New York, NY \$50,000

A Blade of Grass (ABOG) provides resources to artists who demonstrate artistic excellence, work actively in dialogue with communities, and serve as innovative conduits for social change. The Fund supports ABOG's Fellowship for Socially Engaged Art, which provides funding for emerging and mid-career artists who are working in leadership roles in active partnership with communities, to produce ambitious projects with specific social change objectives. The fellowship prioritizes documentation, assessment and audience engagement, in order to understand the distinct characteristics and challenges of these endeavors, both as contemporary art and as vehicles for social change. This grant helped launch a pilot fellowship in criminal justice reform.

Center for Urban Pedagogy Brooklyn, NY \$25,000

The Center for Urban Pedagogy (CUP) brings together artists, designers, and community advocacy groups to create research-based, design-driven visual tools that can promote policy change. These collaborations increase public participation in urban life, particularly among under-represented communities. CUP's flagship community education program is Making Policy Public. Each year CUP brings together four teams made up of designers and community organizations to create visual explanations (in the form of pamphlets that fold out into large format color posters) that explain a critical policy issue, such as Demystifying the Affordable Care Act, Understanding Child Support Proceedings in Family Court, and Accessing Public Assistance.

Fortune Society New York, NY \$20,000

The Fortune Society (Fortune) supports successful re-entry from prison and promotes alternatives to incarceration. Fortune's comprehensive approach now includes an under-funded Arts Program, which allows Fortune's clients to build a solid foundation in core skills areas through healthy artistic expression. Fortune has partnered with The Public Theater since 2012 on the Public Works project to bring members from diverse communities together to participate in workshops and create ambitious works of participatory theater. Funding strengthened Fortune's partnership with Public Works and helped fortify the infrastructure around Arts programming in general.

Gibney Dance New York, NY \$25,000

Gibney Dance was founded by dancer and choreographer, Gina Gibney, in 1991, with the mission of bringing the possibility of dance and movement where it would not otherwise exist. Today, Gibney is comprised of a touring Dance Company, Dance Center, and Community Action Program. Through these distinct, yet interrelated areas of concentration, Gibney makes space for dance on stages, in learning studios, and in under-resourced communities. Funding supports the expansion of Gibney Dance's Community Action Program, which is their primary vehicle for social activism.

Groundswell Community Mural Project New York, NY \$20,000

Using art as a tool for social change, Groundswell Community Mural Project brings together young people, professional artists, and communities to create public mural art that revitalizes communities, addresses social justice issues, and creates awareness about community problems in under-represented neighborhoods of New York City. Over its 18-year history, Groundswell's youth artists have completed nearly 500 mural projects that address issues such as gun violence, pedestrian safety, restorative justice, and domestic violence. The murals, located throughout New York City, meet high standards of artistic quality, engage the interests and perspectives of community residents on a specific topic, and beautify neighborhoods that are often overlooked in public arts initiatives.

Laundromat Project New York, NY \$20,000

The Laundromat Project (LP) brings art, artists, and socially relevant arts programming to laundromats, schools, and other everyday public spaces in under-served neighborhoods in the Bronx, Brooklyn, and Harlem. LP aims to showcase and amplify the creativity that already exists within communities by using arts and culture to build community networks, solve problems, and enhance the sense of ownership in the places where community members live, work and grow up. Participants are encouraged to use creative expression as a way to deepen community networks, and identify issues and solutions that will have a positive effect on their communities.

The Mayor's Fund to Advance New York City New York, NY \$25,000

The Mayor's Fund to Advance New York City collaborated with the Department of Cultural Affairs and the Mayor's Office of Veteran's Affairs to pilot an Artist-in-Residence program with the goal of integrating arts programming into veterans outreach and thereby helping veteran's transition back to civilian life. Funding supports the pilot of this effort, with the prospect of future replication in other Vet Centers.

**Museum of Contemporary African Diasporan Arts
Brooklyn, NY \$25,000**

The Museum of Contemporary African Diasporan Arts (MoCADA) is located in the Brooklyn Academy of Music cultural district in Fort Greene, Brooklyn. Through exhibitions, public programs, community outreach, and educational initiatives, MoCADA fosters dialogue on pressing social and political issues facing African Americans and the people of the African Diaspora, which creates a dynamic space for arts and culture beyond the museum's physical space.

New York Community Trust New York, NY

\$25,000

The Trust's New York City Cultural Agenda Fund is an effort to build a coordinated arts advocacy network that will increase citizen demand for culture, forge new cross-sector partnerships, and deliver more resources to the arts sector at large. The Fund is a partner to New York City's mayoral administration as it works to address issues of cultural and racial equity. While the City will set cultural policy, the Fund will take steps to encourage a more equitable policy that ensures that community-based and minority-led efforts are valued and included in overall cultural policy discussions, along with large cultural tourist attractions and the commercial arts sector.

New York Public Library Schomburg Center for Research in Black Culture

New York, NY

\$25,000

The Schomburg Center for Research in Black Culture is one of the New York Public Library's four research centers and the premiere library in the world devoted exclusively to documenting, preserving, and interpreting the history of the African Diaspora. The Center serves over 250,000 visitors annually and its collections number over ten million items, including such rare materials as the archives of Malcolm X and Maya Angelou. Schomburg also serves as a vibrant cultural center that hosts programs, events, and exhibitions for the public.

The Public Theater Public Works New York, NY

\$20,000

The Public Theater is dedicated to achieving artistic excellence in American theater that is also accessible and relevant for today's society. The Public is the only theater in New York that produces Shakespeare and new work in equal measure, while also producing plays that speak to a broad range of stories and voices. The Public is committed to supporting new audiences, many of whom have little or no access to the arts in New York City. Funding supports Public Works, a program that develops works of participatory theater in partnership with community organizations in under-resourced communities in all five boroughs of New York City.

Socrates Sculpture Park New York, NY

\$50,000

Socrates Sculpture Park is a model showcase for the power of art to transform the urban landscape. Open 365 days a year, Socrates offers exhibitions and presenter performances, and educates 8,000 children and teens in the arts each year for free. Funding supported The Living Pyramid, a site-specific public art piece by environmental advocate and artist Agnes Denes.

CRIMINAL JUSTICE

EMPHASIS

Criminal justice reform and reentry services

GEOGRAPHIC FOCUS

National & New York City

"The mood and temper of the public in regard to the treatment of crime and criminals is one of the most unfailing tests of the civilization of any country."

-Winston Churchill

The U.S. incarceration rate is the highest in the world, either as a percentage of population or in absolute numbers. In recent years, it has been estimated that 2.2 million people are behind bars, and there are approximately 12 million admissions to jail or prison annually. This is not only an issue of justice, it is also a major civil rights issue given the racial disparities in sentencing and the disproportionate number of minorities in the U.S. criminal justice system. African-Americans, for example, represent just 12% of the general population, but account for 40% of the prison and jail population. The recidivism rate is equally alarming, with two-thirds of those released from U.S. jails and prisons sent back within three years.

In 2015, the Criminal Justice program promoted a more just and humane criminal justice system in the United States and New York City. With an emphasis on criminal justice reform and re-entry services, the Fund supported organizations that advocate on behalf of prison reform and a rethinking of our current incarceration philosophy. It also supported nonprofits that provide pre- and post-release services, with a focus on family and community reintegration. Changes to program priorities, approved late in 2015, will be reflected in the 2016 annual report.

Bard Prison Initiative Women’s Program New York, NY \$25,000

The Bard Prison Initiative (BPI) is the largest provider of liberal arts college education in prisons within the New York State Department of Corrections and Community Supervision. Current enrollment is 300 students in 60 liberal arts courses. BPI also works nationally through its Consortium for the Liberal Arts in Prison, which supports other colleges and universities in establishing similar programs. BPI graduates earn degrees from Bard College and go on to achieve success in many different fields. To date, BPI has awarded approximately 300 degrees. Funding supported BPI’s program for incarcerated women.

Center for Constitutional Rights New York, NY \$50,000

The Center for Constitutional Rights (CCR) was founded during the American Civil Rights Movement by attorneys representing civil rights activists and movements in the South. Over the nearly 50 years since, CCR has provided progressive legal advocacy to virtually every major social and civil rights movement in the U.S. In so doing, CCR has achieved numerous victories to expand the rights of communities of color, immigrant communities, and other marginalized groups and individuals.

Detention Watch Network Washington, DC \$25,000

The Detention Watch Network (DWN) is the only national coalition that focuses exclusively on immigration detention and enforcement issues. Its organizational members and individual members from around the country work together to educate the public and policymakers about the injustices of the U.S. immigration detention and deportation system. DWN works through collaborative advocacy, public education, communications, and field and network building. DWN members rely on the Network to support collaborative activities, create tools to amplify their impact, and help bring detention and enforcement realities to policymakers in Washington.

John Jay College of Criminal Justice New York, NY \$60,000
Prisoner Reentry Institute

Established in 2005 as part of John Jay College of Criminal Justice, the Prisoner Reentry Institute works to spur innovation and improve practices in the field of prisoner reentry. Funding supports the Prison to College Pipeline program, a multi-year demonstration initiative that seeks to increase the number of incarcerated and formerly incarcerated people who go to college and succeed there. The program offers college courses at state prisons, credit for which can be transferred to any CUNY school upon a prisoner’s release. It also serves as a model for the vital role that public universities can play in using higher education to promote successful prisoner reentry.

Just Leadership USA New York, NY \$50,000

Just Leadership USA (JLUSA) is a national nonpartisan membership organization that has a clear and deliberately ambitious long-term goal to reduce the number of people in prison by half, by the year 2030. JLUSA’s broader mission is to strengthen and elevate the voices of individuals and communities directly impacted both by crime and by the criminal justice system, by supporting them to become more powerful reform

partners on the local, state, and national levels. JLUSA’s programmatic work includes developing and supporting mid-level formerly incarcerated leaders from around the country, reshaping public opinion about the criminal justice system, working with impacted persons and communities to identify their stories, and ensuring that their voices are included in narratives and frameworks about the criminal justice system. JLUSA also engages in decarceration policy advocacy efforts on the federal, state, and local levels.

Sentencing Project Washington, DC \$35,000

The Sentencing Project (SP) works throughout the United States for a fair and effective criminal justice system by promoting reforms in sentencing policy, addressing unjust racial disparities, and advocating for alternatives to incarceration. SP research has served as the backbone of successful advocacy campaigns for drug policy, juvenile justice reform, more equitable sentencing, and voting rights for people with felony convictions. SP’s constituencies range across the social and political spectrum and include grassroots activists, corrections officials, lawmakers, and policy researchers in academia. Funding supports general operating needs for SP’s programs including: reducing the number of people in prison in the U.S., addressing racial injustice and disparities, and eliminating juvenile life without parole sentences and felon disenfranchisement.

Sheltering Arms New York, NY \$25,000

Founded in 1831 to help meet the pressing needs of the vast numbers of immigrants arriving in New York City, Sheltering Arms is one of New York City’s oldest continuously operating social service agencies. Today, Sheltering Arms serves 5,000 of New York City’s most vulnerable citizens through four programs, including juvenile justice reform. Funding supports the pilot of a creative arts therapy program for adolescents in non-secure placement homes in New York City. This program has proven to be more effective than verbal forms of psychotherapy, by generating involvement and bonding; better self-expression and self-esteem; the exploration of behavioral alternatives; group recognition and achievement; and a sense of hope for the future -- all competencies needed for a successful transition back to communities.

Working Narratives Wilmington, NC \$20,000

Working at the intersection of arts, technology, and policy, Working Narratives is a collaborative umbrella organization that helps social movements effectively convey their message. This strategy stems from a belief that social movements thrive and win when they draw on participants’ personal experiences and local cultures. Funding supports the *Nation Inside* program, one of the largest and fastest-growing national networks of grassroots groups working on mass incarceration. The network includes 78 grassroots leaders—many of whom are former prisoners or have family members in prison—representing 290,000 members across the U.S. Recent work includes the Campaign for Phone Justice and the Campaign to End Prison Profiteering.

Youth First Initiative New York, NY

\$20,000

The Youth First Initiative is a national advocacy campaign to end the incarceration of youth in juvenile prisons and redirect resources towards effective community-based, non-residential programs for youth. Building on the strong public support for rehabilitation rather than the incarceration of American youth, Youth First serves as a policy incubator for legal reforms, a replicable advocacy model, and a state and national advocacy coordinator by connecting ideas across the country and bringing them to scale.

Youth Represent New York, NY

\$20,000

Youth Represent provides juvenile defender representation and advocacy for young people age 24 and under in New York City. Youth Represent also addresses other problems that may stem from involvement in the criminal justice system, such as a young person's ability to find housing or employment. Youth Represent defines youth by age, rather than by statute or jurisdiction, so it is able to cross jurisdictional boundaries to represent youth in both the family and adult criminal justice systems. Funding supports programmatic work with civil forfeiture, policy and community relations and sealing criminal records.

ENVIRONMENT

EMPHASIS

Reduction of greenhouse gas emissions, energy conservation, and sustainability

GEOGRAPHIC FOCUS

National & local Northeastern Communities

"The care of the Earth is our most ancient and most worthy, and after all our most pleasing responsibility. To cherish what remains of it and to foster its renewal is our only hope."

-Wendell Berry

With just 5% of the world's population, the U.S. consumes 25% of all global energy resources. On average, each American home emits 23,000 pounds of carbon dioxide annually. Concentrations of carbon dioxide are now higher than at any time in the past 700,000 years. Clearly, this is not sustainable.

In 2015, the Environment program promoted a healthier, more sustainable planet by addressing issues related to climate change. The Fund supported organizations that advocate for the reduction of greenhouse gas emissions and highlight the negative effects of excessive carbon consumption in the U.S. Grantmaking focused on voluntary reductions in the use of coal and mitigating its related community impacts and promoting energy efficiency measures. The Fund also supported community-based activities that help strengthen self-reliance and increase access to local food and energy resources. Changes to program priorities, approved late in 2015, will be reflected in the 2016 annual report.

Appalachian Voices Charlottesville, VA \$35,000

Appalachian Voices (App Voices) works to protect the land, air and water of Central and Southern Appalachia in Kentucky, North Carolina, Tennessee, Virginia and West Virginia. App Voices is committed to revealing coal's true cost on the environment and how communities are impacted by its extraction, combustion and waste. This funding supported a package of grants for three organizations working together to develop a joint strategy to achieve a 'Just Transition' away from coal.

Catholic Climate Covenant Washington, DC \$35,000

The Catholic Climate Covenant (CCC) draws on communities of faith and faith leaders to inspire action around climate change. CCC is powerfully positioned within the faith-based community, with ties to major catholic organizations in the US, such as Catholic Charities and the U.S. Conference of Catholic Bishops. CCC has the unique ability to bring uncommon voices to the public debate around climate change and leverage a strong network to influence policy makers to take action on climate change.

Center for Climate & Security Washington, DC \$25,300

The Center for Climate & Security (CCS) is a policy research convener and coordinator that supports U.S. policy development, conducts original research and acts as a resource hub in the climate and security field. Utilizing an advisory board of military, security and foreign policy experts, CCS produces expert non-partisan recommendations for governments, academics, non-profits and the private sector. This funding supported the mobilization of the national security community around implementation of the Paris climate agreement commitments.

**Consultative Group on Biological Diversity
San Francisco, CA \$45,000**

The Consultative Group on Biological Diversity is a professional association of foundation executives and trustees who make environmental grants. The organization promotes peer-to-peer learning and knowledge sharing among the community. Funding supported the Climate and Energy Funders Group, whose mission is to create a stronger and more effective funding community committed to combating the profound problem of unmitigated climate change and building a clean energy economy.

Environmental Grantmakers Association New York, NY \$3,700

The Environmental Grantmakers Association (EGA) works with foundation members and partners to promote effective environmental philanthropy through knowledge sharing, sparking debate, cultivating leadership and increasing collaboration among funders. EGA creates opportunities for funders and grantees to network, learn, engage in deeper conversations about approach and strategy, and pool funds for deeper impact.

Green Guerillas Brooklyn, NY \$13,000

Harvest for Neighborhoods Campaign

Green Guerillas is a community-based organization that provides targeted services to 300 community garden groups in New York City. For over 40 years, Green Guerillas has been an advocate for community gardening to reclaim urban land and stabilize city blocks. Funding supports the Harvest for Neighborhoods Campaign, an initiative to strengthen food-growing community gardens in Central Brooklyn and the Bronx, where more than a third of residents live in areas known as "food deserts"—areas with little or no access to fresh produce and healthy foods.

Kentucky Coalition London, KY \$35,000

The Kentucky Coalition is the 501(c)(3) arm of Kentuckians for the Commonwealth, a nonpartisan statewide citizens' organization. The Coalition uses leadership development, community organizing, strategic communications, alliance building and integrated voter engagement to work on a wide range of social, economic and environmental justice issues. This funding supported a package of grants for three organizations working together to develop a strong, joint strategy to achieve a 'Just Transition' away from coal.

**Mountain Association for Community Development (MACED)
Berea, KY \$35,000**

Established in 1976, MACED seeks to establish a sustainable regional economy that meets the needs of low-income people and protects natural resources in Central Appalachia. MACED's hybrid model combines enterprise development, research and policy, and demonstration projects to model alternatives to the region's current approaches to energy and economy. This funding supported a package of grants for three organizations working together to develop a strong, joint strategy to achieve a 'Just Transition' away from coal.

**New York City Energy Efficiency Corporation (NYCEEC)
New York, NY \$50,000**

The New York City Energy Efficiency Corporation (NYCEEC), an independent, non-profit finance concern specializing in clean energy finance, was established by former Mayor Michael Bloomberg's administration to help achieve PlaNYC's energy efficiency goals. NYCEEC leverages its federal and foundation capital for energy efficiency retrofit projects in NYC through three main tools: Direct Lending, Energy Services Agreements, and Green Mortgages. NYCEEC has financed over \$50 million in energy-efficiency projects, unlocking efficiency in over 4 million square feet across different building types, and neighborhoods, throughout NYC.

Transition U.S. Sebastopol, CA \$13,000

Transition U.S. is part of a global movement that focuses on transitioning from fossil fuel-intensive living to a more just and balanced way of life. The concept of “transition” is based on a non-governmental, community-led model that encourages small communities, towns, and cities to utilize local cooperation and interdependence to shrink their ecological footprints. Transition U.S. provides support to resilience-building groups through trainings; replicable models and tools; various information sharing tools; and crowdsourcing to create collaborative programs.

Truman Center for National Policy Washington, DC \$25,000

The Truman Center for National Policy works to transform national policy to reduce the country’s dependency on foreign oil, invest in renewable energy and mitigate the effects of climate change. Funding supports the Truman Energy Program, working to mobilize military veterans, alongside energy experts, to achieve a reduction in greenhouse gas emissions and decrease the United States’ dependency on oil by diversifying the country’s domestic energy portfolio.

West Virginia Free Charleston, WV \$35,000

West Virginia Free is a reproductive rights organization that promotes women’s reproductive rights and health justice, including the ability to parent children in healthy and safe environments. Funding supports the advocacy efforts at the convergence of reproductive rights activism and environmental justice related to chemical storage safety regulations, and mountaintop removal and fracking. WV Free utilizes partnerships with environmental justice organizations, river cleanups and public education vehicles to mobilize communities against the extractive industries in the region.

JUDICIOUS EXCEPTION GRANTS

The Judicious Exception grants program is a flexible pool of funding available for staff to respond to urgent requests, unanticipated opportunities, or special needs. These grants may be used to respond, but are not limited, to: the emergence of a singular opportunity to influence public debate or action on a public policy issue relevant to the Fund’s program areas; an unforeseen emergency need experienced by a DR Fund grantee; the opportunity to work with a key partner to provide gap funding for a particular project, for example, help for a convening; or an extraordinary funding opportunity identified by the board or DR Fund staff.

Climate Interactive Washington, DC \$10,000

Climate Interactive aims to build a community that uses credible models, accessible simulations, and related media to improve the way leaders and citizens around the world think about climate protection. Funding supports Climate Interactive Scoreboard, a climate simulation model that calculates the long-term climate impacts of each country’s pledged Intended Nationally Determined Contributions. The Scoreboard has been used to brief high-ranking world leaders as well as a tool in the negotiations to produce a global climate agreement.

NeON Arts New York, NY \$2,800

NeON Arts offers young people in New York City, including those on probation, the chance to explore the arts through projects in a variety of disciplines, including dance, music, theater, and visual arts. Programming is available in seven historically under-resourced communities that are served by Neighborhood Opportunity Networks (NeONs). NeONs connect local residents to opportunities, resources, and services provided by businesses, community organizations, and government agencies in their neighborhoods. Funding supported an exhibition of photography that resulted from Neon Art’s efforts to identify key community assets in NeON neighborhoods.

The Regeneration Project San Francisco, CA \$2,500

The Regeneration Project is an interfaith ministry devoted to deepening the connection between ecology and faith. Funding supported Interfaith Power & Light, a national campaign with over 40 state affiliates and a network of 18,000 congregations advocating for climate awareness and environmental protection as a moral issue. Funding supported efforts to capitalize on the release of Pope Francis’ papal encyclical as an opportunity to inspire Catholic members of the campaign to a new level of action.

Salzburg Global Seminar Washington, DC \$2,200

The Salzburg Global Seminar has a long tradition of drawing creative thinkers from a range of backgrounds and countries to tackle interdisciplinary issues of global concern. It has a track record of producing new ideas and initiatives for practitioners, policy makers and emerging leaders to act upon. Funding supported two “particle accelerator” grants that originated from a week-long convening on arts and sustainability. The projects are interdisciplinary, international and designed in cooperation with multiple organizations.

MICRO-GRANTS

The Micro-Grants Committee consists of 3-5 rotating family trustees, with a majority that is currently serving on the Board of the DR Fund. DR Fund staff distribute time-sensitive grant proposals by email in between full board meetings to discuss and decide on the approval of short grant recommendations. Grants are then disbursed promptly by the Executive Director, reported in the bi-annual Board books, and administered as normal grants with reporting requirements proportional to their monetary size.

Criteria for proposals is as follows: proposals are from qualified, tax-exempt entities that can administer the grant legally; proposed work advances Board-approved program goals or program learning; proposals address a time-sensitive funding opportunity that cannot wait for spring or fall consideration by the whole DR Fund board; and grants add value beyond the modest dollars allocated by: responding quickly; drawing attention to a timely opportunity; giving life to a novel and potentially impactful idea; and attracting additional donors.

Center for Constitutional Rights New York, NY \$6,500

The Center for Constitutional Rights (CCR) was founded during the American Civil Rights Movement by attorneys representing civil rights activists and movements in the South. Over the nearly 50 years since, CCR has provided progressive legal advocacy to virtually every major social and civil rights movement in the U.S. In so doing, CCR has achieved numerous victories to expand the rights of communities of color, immigrant communities, and other marginalized groups and individuals. Funding supported a gathering of lawyers, law students, and legal workers committed to working with grassroots activists to respond to police shootings.

Climate Ribbon Vashon, WA \$5,000

Climate Ribbon is a global storytelling project that uses art and ritual to propel people towards climate action. People from around the world write onto ribbons what they most love in their life that they do not want to lose to what Climate Ribbon refers to as “climate chaos.” Funding supported a sculpture at the COP21 climate negotiations in Paris, which served as a centerpiece of major civil society events throughout the negotiations.

Partnership for a Secure America Washington, DC \$8,000

Partnership for a Secure America (PSA) is a nonprofit founded by former U.S. Representative Lee Hamilton and the late former U.S. Senator Warren Rudman to advance bipartisanship on today’s global policy challenges. Funding supported the distribution and promotion of a bipartisan policy statement that was endorsed by 48 senior Cabinet Secretaries, Members of Congress, governors, senior military officers, both retired and active, including 11 Republicans.

People’s Climate Arts Brooklyn, NY \$5,000

People’s Climate Arts (PCA) is a network of organizers that uses art and culture to help support, mobilize and amplify mass social movements. PCA formed in the lead-up to

the People’s Climate March, where the organization brought together hundreds of artists and activists embedded in community groups across New York City and the U.S. The group continues to produce artwork with the goal of bridging issues and movements. Funding supported preparations for the People’s Climate Movement Day of Action.

Salzburg Global Seminar Washington, DC \$5,975

The Salzburg Global Seminar has a long tradition of drawing creative thinkers from a range of backgrounds and countries to tackle interdisciplinary issues of global concern. It has a track record of producing new ideas and initiatives for practitioners, policy makers and emerging leaders to act upon. Funding supported two “particle accelerator” grants that originated from a week-long convening on arts and sustainability. The projects are interdisciplinary, international and designed in cooperation with multiple organizations.

Second Stage Theater New York, NY \$2,025

Second Stage Theater produces both new plays and revivals of contemporary American plays by new playwrights and established writers. The theater’s mission is to give new life to contemporary American plays and to produce the world premieres of new plays both by established and emerging playwrights. A fundamental part of its purpose is theater education, which is accomplished through special performances for New York City school children, subscriber discussion with theater artists and an internship program. Funding supported subsidized tickets for youth coming out of correctional settings to attend a production that detailed an actor’s experience teaching inmates how to share their stories.

Youth Shelter Program of Westchester Mount Vernon, NY \$2,500

Youth Shelter Program of Westchester (Youth Shelter) is a residential alternative to incarceration for young men ages 16-21. This facility is located in lower Westchester County and serves more than 150 young men from all over the county every year. During their stay, residents participate in a structured routine with the goal of fostering discipline, self-respect and respect for others. Funding supported art workshops with an internationally acclaimed artist-in-residence, which culminated in an art show featuring works by the participants.

FINANCIAL SUMMARY 2015

As of July 14, 2015, the audited asset value of The David Rockefeller Fund was \$5,526,610.

Grants paid during 2015, including discretionary grants awarded by individual trustees, totaled \$1,205,000.

	# of Grants	Amount	Amount Paid
Arts	12	\$355,000	\$300,000
Criminal Justice	11	\$350,000	\$350,000
Environment	12	\$350,000	\$350,000
Judicious Exception	4	\$25,000	\$25,000
Micro-Grants	7	\$35,000	\$35,000
Trustee Discretionary/Matching	1	\$145,000	\$145,000
Total	47	\$1,260,000	\$1,205,000