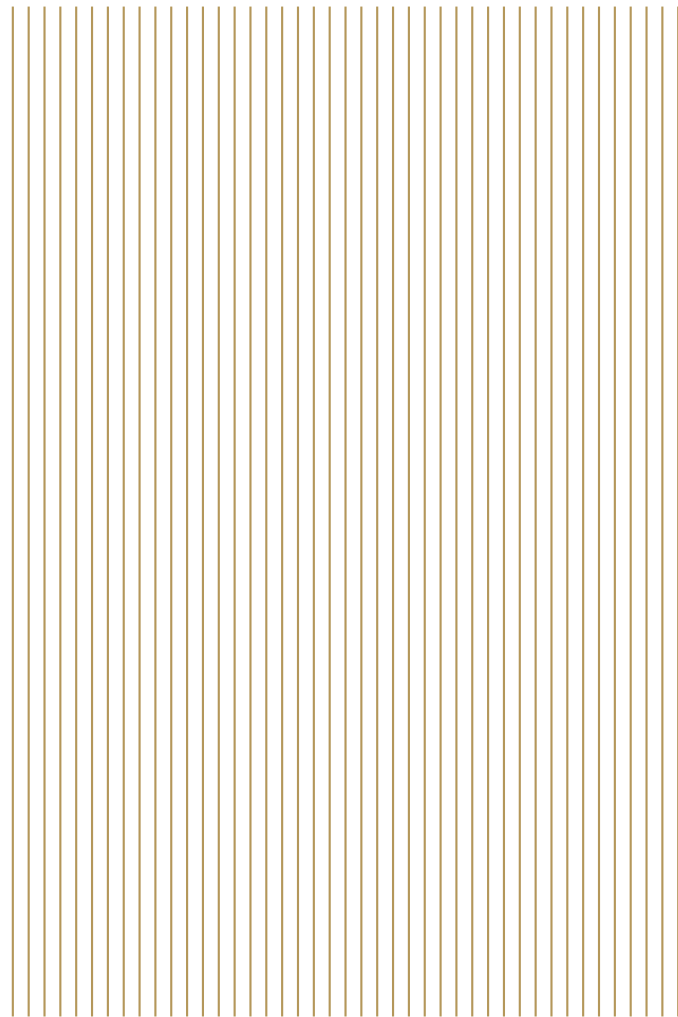
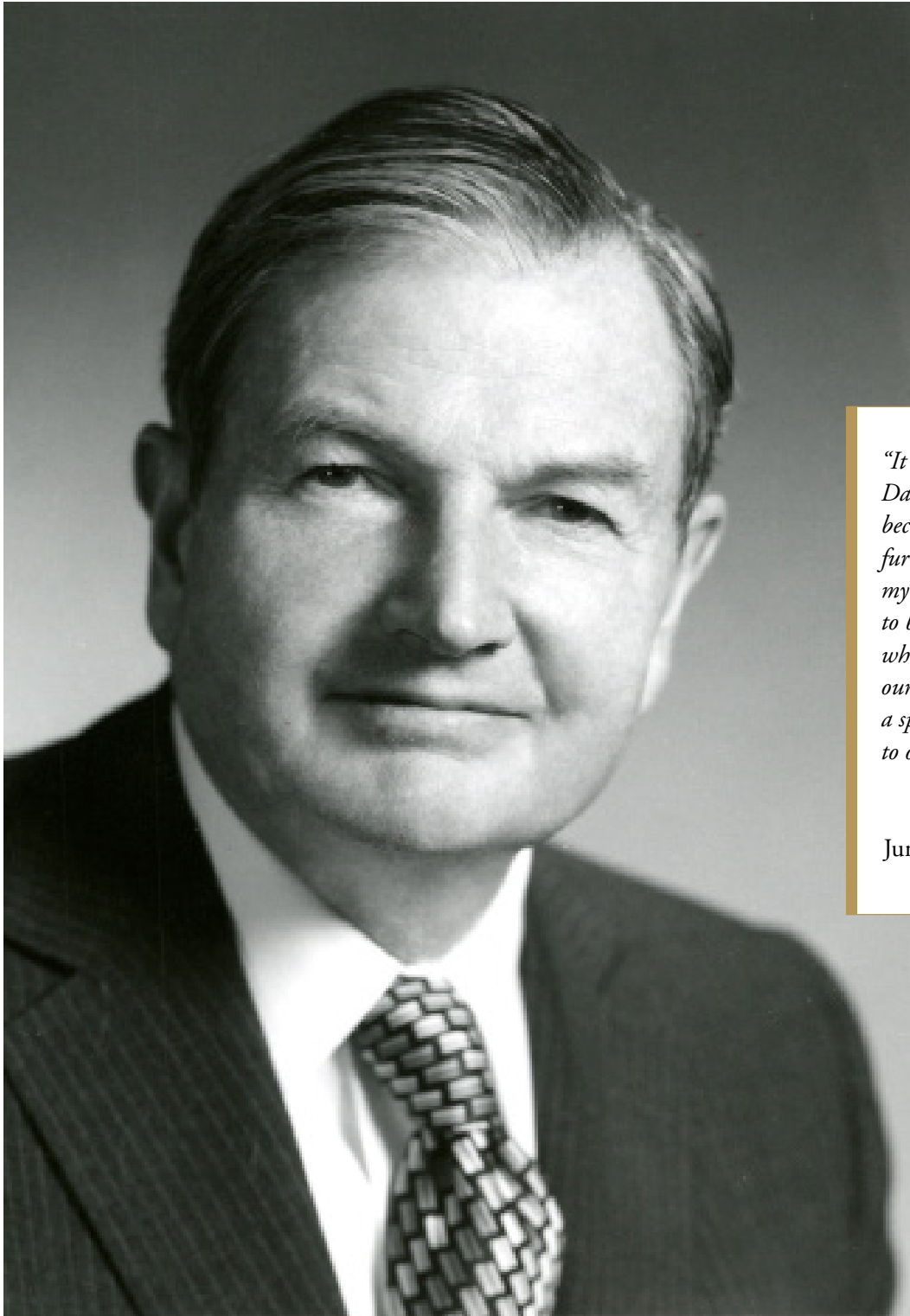

ANNUAL REPORT

2020





“It is my sincere hope that the David Rockefeller Fund will become an effective vehicle to further the philanthropic goals of my family... Our family continues to be united in the belief that those who have benefited the most from our nation’s economic system have a special responsibility to give back to our society in meaningful ways.”

David Rockefeller
June 12, 1915 – March 20, 2017

HISTORY

The David Rockefeller Fund was established in 1989 by David Rockefeller and his wife, Peggy, to carry out their annual charitable giving in communities where they had homes outside New York City. In 2001, David Rockefeller expanded the Fund and invited his children, grandchildren, and their spouses to take a more active role in the Fund, with the idea of transferring to them the family's philanthropic legacy. In 2020, it was governed by a board of directors with 10 family members and four independent directors.

GUIDING PRINCIPLES

In all of its work, the Fund seeks to address the root causes of problems, working locally, nationally, and now globally and guided by the following principles:

FAMILY LEGACY

We seek to honor the philanthropic traditions and values exemplified by David and Peggy Rockefeller, recognizing the responsibility that we have to be active and engaged participants in our communities, as well as in the larger world.

RESPECT

We respect our grantees as equal partners in the work we do. Our general approach is to identify people and institutions doing important work and then to support them in ways they will find most meaningful, without imposing undue burdens on them.

RISK TAKING

We will not shy away from difficult social issues; indeed, we believe that our greatest impact often results from taking on exactly those problems that more traditional funders tend to avoid.

FLEXIBILITY

We recognize that one of our particular strengths as a "next generation" family foundation is the ability to act quickly and be responsive to the real-time needs of our grantees.

LEVERAGE

As a small foundation committed to tackling big issues, we value collaboration and work to identify those opportunities where our family's tradition of philanthropy will help bring attention to difficult problems. We are committed to doing the greatest possible good with the resources we have, including the knowledge and networks of staff, fellows, and trustees.

SELF-EXAMINATION

Since we are committed both to taking risks and to achieving the greatest possible impact, we understand the importance of assessing our activities on a regular basis in the hope that our funding can make a demonstrable difference, both for the issues we care about and the nonprofit organizations we support.

VISION

The David Rockefeller Fund is a family foundation inspired by the vision and generosity of our founders, David and Peggy Rockefeller, to foster and embody a more just, creative, and flourishing world.

MISSION

We invest in catalytic ideas, people, efforts, and institutions working strategically toward ecological regeneration, justice system transformation, and art for social impact.

2020 BOARD OF DIRECTORS & TEAM

DIRECTORS

The David Rockefeller Fund's Board membership rotates every year.

Jamie Bennett
Peggy Dulany
Nili Gilbert
Daniel Growald
Christine Heenan
Gara LaMarche
Rebecca Lambert
Max Miller
Michael Quattrone
Ariana Rockefeller
Camilla Rockefeller
Clay Rockefeller
David Rockefeller Jr.
Manya Rubinstein

OFFICERS

Camilla Rockefeller, Chair
Leah D'Angelo, Treasurer
Lexi Fisher, Secretary
Lukas Haynes, Executive Director

TEAM

Lukas Haynes, Executive Director
Lexi Fisher, Program Manager
Stephanie Burgos, Program Assistant
Charlene Ruiz, Spring Fellow

LETTER FROM THE EXECUTIVE DIRECTOR

How does one begin to introduce a report on the year that was 2020? Between a global pandemic, a summer of racial justice demonstrations and a divisive presidential election, the year's impact on the Fund's staff, board and the many partners and causes we support was profound.

Rather than try and summarize these challenges, I want to offer a snapshot of how our dedicated staff and board responded. In March 2020, following the shutdown of our office, as the world and United States began to experience the sharp pains of a public health emergency and an economic crisis, especially in the lower rungs of the income ladder, we sent the following message to all our grant partners:

“As we continue to adjust to the impacts of COVID-19, personally and professionally, we want to reassure you that we are here to support you and your organization's work as best we can.

“As a family foundation, we recognize that many of you are home with families, dividing work and personal responsibilities... We encourage you to prioritize care for yourself, your loved ones and your communities above all else. If you find yourself alone while self-isolating, please consider us part of your virtual community and reach out to us if it is helpful.

“This crisis has underscored the vast inequities, injustices, and disparities that we collectively strive to combat. We remain fully committed to this work, and to your critically important contributions to bringing about a more just, creative, and flourishing world.”

I am struck now by how much of what we wrote then still remains true today. It seems critically important, then, that the Fund took the following actions for financial and moral support in 2020:

- Contributing to community-based emergency response funds in our core program areas;
- Expanding our rapid-response, “micro-grants” program to provide small, time-sensitive grants;
- Offering free video-conferencing account use to our isolated grantees and their staffs;
- Changing grants for project support into general operating support to allow budgeting flexibility;
- Providing general operating support for the remainder of 2020, where legally permissible;
- Allowing budget modifications and the appropriate reallocation of grant funds as COVID-19 impacted our partners' revenues;
- Reducing what we asked of partners and postponing reporting requirements;
- Coordinating with other foundations to simplify due diligence/reporting processes; and
- Offering new flexibility with reporting and proposal deadlines.

We concluded our March 2020 message by offering that *“those of us at the DR Fund believe we are all in this together and want to do our part to help our communities cope.”*

As I look back at 2020 now, I have never been prouder of my colleagues in all my 19 years of institutional grantmaking. Over the course of the year, we would learn from our partners of how they valued each of the actions and policies above. Our directors and trustees rose to the challenge, as well.

At our spring board meeting, the directors voted unanimously to increase the grants budget—and they increased it again in the fall, ultimately tripling the grants payout to well over \$10.7 million. In June, the board’s first racial justice resolution was passed unanimously and we joined the Clara Lionel Foundation, where I am honored to serve as a director, on a special racial justice docket of responsive grants in the wake of the murder of George Floyd by a police officer.

As the board considered the pandemic’s impacts on voter outreach and voting in the U.S. election, it also approved a special \$2.6 million summer initiative for nonpartisan election protection. These are just a few of the remarkable initiatives and actions reflected in the following report.

Above all, I am most pleased with *the way in which* the Fund responded to the extraordinary challenges of a year that also featured the release of the Fund’s 30th Anniversary history, *Leading with Love and Gratitude*. Channeling the longstanding values and principles of that volume, our board and staff led with empathy and love in our interactions with each other, our heroic non-profit grant partners and our colleagues. Together, we persevered through a singularly challenging year.

I believe the actions we took also honored the idea that “*we are all in this together.*” Whether the systemic problem is racial injustice in our policing and penal systems—or the injustice of our most vulnerable communities bearing the worst impacts of a pandemic and weather events made more extreme by the climate crisis—2020 challenged us to do more than just “*help our communities cope.*” We must aspire to transform the systems which exacerbate these injustices and serve as obstacles to the just, creative and flourishing world that is the Fund’s vision.

We are but one modest part of the philanthropic ecosystem but this is the sacred work we are entrusted to do, and on behalf of the staff, it is our privilege to share this report with our partners.

In solidarity,



Lukas Haynes,
Executive Director

GRANTMAKING PROGRAMS

In 2020, the David Rockefeller Fund had three long-standing, core program areas: Arts, Criminal Justice, and Environment.

The Arts Program focuses on cultivating, showcasing, and disseminating stories of innovative art for social change; Criminal Justice supports bold new ideas and policy initiatives for transforming U.S. detention and incarceration policies; and Environment supports new ideas, initiatives, and policy breakthroughs in support of bipartisan U.S. climate leadership.

In addition to the program areas outlined above, the Fund underwrote a number of initiatives designed to encourage family members' individual philanthropic involvement and interests.

Grants listed in this report reflect grants awarded in 2020 even if some payments may have been made in other years.

*Right:
Gamaliel Faith &
Democracy Campaign*



*Below:
350.org*



*Below:
Amplifier*



ARTS

EMPHASIS

Cultivating, showcasing, and disseminating innovative stories of art for social change.

GEOGRAPHIC FOCUS

National

The Arts funding strategy is to help cultivate, showcase, and disseminate the most compelling examples and stories of innovative art for social change. In doing so, the Fund aims to have a positive impact on a range of social problems while influencing the broader field of arts and culture philanthropy to try and draw greater attention and resources to “arts for social impact” from both private funding sources as well as public funds.

“Art is important because it expands how we look at and understand the world. It can make us think beyond our own experience, and often, in our appreciation, we find things that link us in a common humanity.”

- David Rockefeller

Awarded

A Blade of Grass

Brooklyn, NY

\$25,000

A Blade of Grass helps socially engaged artists work collaboratively with communities to reimagine the world and advocate for a more just future. This grant supported a convening that brought together abolitionist artists and cultural organizers to create post-carceral imagery and creatively engage in transformative justice.

Americans for the Arts Action Fund

Washington, DC

\$75,000

Americans for the Arts Action Fund works to mobilize citizen activists to enhance the arts sector at the federal, state, and local levels. This grant helped educate artists about federal relief funding available and ensured that artists were included in other federal relief discussions.

ARTS (continued)

Awarded

Amplifier

Seattle, WA

\$50,000

Amplifier is a design lab that creates free, open-source art to amplify the voices of grassroots movements. In collaboration with nonprofit partners, Amplifier builds media campaigns that shift culture and change the national narrative. This grant funded public art installations and national campaigns to inspire and activate communities.

Beautiful Trouble Inc.

New York, NY

\$75,000

This grant supported the Climate Clock art installation, which publicly tracks the critical time window within which bold action must be taken to avert climate disaster. This project constructs monument-sized Climate Clocks in city centers, as well as downloadable digital clock apps for phones and smart watches.

Brave New Films Action Fund

Culver City, CA

\$50,000 over two years

Brave New Films Action Fund uses documentary film to give young people a voice in some of the most pressing issues of our time, including mass incarceration, climate change, and gun violence. This grant supported the creation and dissemination of engaging media to educate the public on voting rights.

Cultural Engagement Lab

Oakland, CA

\$100,000

Cultural Engagement Lab brings narrative strategy to social movements that center on BIPOC and LGBTQ+ artists. This grant supported artists in creating campaign content that fosters civic engagement in young people and people of color.

Scene City, a project of Fractured Atlas

New York, NY

\$50,000

Scene City is a documentary feature film that explores how New York City created an environment in which artists could thrive in the 1970s and '80s. The film is a study in the role of arts and culture in community revitalization.

ARTS (continued)

Awarded

Gina Gibney Dance

New York, NY

\$120,000

Gina Gibney Dance is a performing arts and social justice powerhouse, home to five performance spaces and 55,000 square feet of space across Lower Manhattan. This grant helped this long-standing arts partner navigate the sudden revenue loss brought on by the pandemic and contributed to anti-racism and accessibility trainings for Gibney staff and the broader community.

Girl Be Heard

Brooklyn, NY

\$50,000

Girl Be Heard amplifies the voices of girls and young women through socially conscious theater-making, performance, and storytelling. This grant allowed Girl Be Heard to provide structured social and emotional support, as well as direct grants, to help participants navigate the challenges of the COVID-19 pandemic.

Grantmakers in the Arts

Bronx, NY

\$5,000

Grantmakers in the Arts (GIA) provides leadership and services that advance the use of philanthropic and governmental resources to support the growth of arts and culture. Participation in GIA gives the DR Fund access to professional development tools and a network of over 500 arts funders.

Hi-ARTS

New York, NY

\$75,000

Hi-ARTS offers diverse audiences in New York City affordable access to culture that reflects their lived experience. This grant supported civic engagement in Black and Latinx communities through Hip Hop Theater, as well as the launch of the Hip Hop Center for Social Innovation.

Hip Hop Caucus Action Fund

Washington, DC

\$100,000

Hip Hop Caucus energizes and engages the Hip Hop community to build power and drive social change. This grant mobilized civic engagement in young people of color through digital content, online events, and town halls.

ARTS *(continued)*

Awarded

National Black Theatre

New York, NY

\$75,000

The National Black Theatre is the longest-running Black theater in New York City, and one of the oldest theaters in the nation founded and operated by a woman of color. This grant helped incubate the next generation of diverse theater artists to address the pressing social and civic obstacles of our time.

IllumiNative, a project of New Venture Fund

Washington, DC

\$100,000

IllumiNative is a national, Native women-led organization that uses art and culture to advance racial and social justice. This grant supported a year-long cultural organizing and advocacy campaign with the goal of amplifying Native voices and leadership around key policy issues.

Mosaic Network & Fund, a project of The New York Community Trust

New York, NY

\$50,000

Rooted in racial equity, the Mosaic Network & Fund aims to create a learning community of arts funders and practitioners dedicated to helping African, Latinx, Asian, Arab, and Native American (ALAANA) arts organizations thrive. This grant contributed to Learning Exchanges between ALAANA arts groups and funders as well as grantmaking to underfunded ALAANA organizations.

Swiss Institute

New York, NY

\$50,000

This grant provided emergency financial assistance in the wake of the COVID-19 pandemic to 15 New York City-based arts organizations with the shared mission to produce, exhibit, and support the work of living artists.

The Laundromat Project

Brooklyn, NY

\$75,000

The Laundromat Project is a Black-rooted and people of color-centered organization that considers artists to be change agents in their communities. This grant helped launch the Racial Justice and Movement Scholar Residency, a year-long residency focused on racial justice and social movements.

ARTS *(continued)*

Awarded

The Public Theater

New York, NY

\$100,000

The Public Theater has a history of democratizing access to theater, from Public Works community programming to Free Shakespeare in the Park. Facing deep economic challenges due to the COVID-19 pandemic, this grant supported The Public Theater’s institutional stability, with specific support for its Mobile Unit and Public Works programs.

The Shed

New York, NY

\$50,000

The Shed is a cultural center in New York City that commissions original works of art across all disciplines. This grant supported The Shed’s role as a civic hub, creating content that frames policy conversations to connect to people’s everyday lives, respond to root causes of problems, and support substantive social change.

Theatre of the Oppressed NYC

New York, NY

\$50,000

Theatre of the Oppressed NYC partners with communities fighting against oppression to inspire transformative action through theater. Their unique model, with iterations throughout the world, builds solidarity and awareness, enables actors to become activists, and informs policymaking through participatory theater.

The YEARS Project

New York, NY

\$50,000

The YEARS Project is a multi-platform environmental media company that sheds light on the efforts made by the fossil fuel industry to deny, hide, and obscure what it knew about the science of climate change. This grant supported a continuation and expansion of their storytelling effort.

CRIMINAL JUSTICE

EMPHASIS

Supporting bold new policy proposals and initiatives for transforming U.S. detention and incarceration policies.

GEOGRAPHIC FOCUS

National

The Criminal Justice program strategy is to support bold new initiatives for transforming U.S. detention/incarceration policies. The goals of transformative grants and advocacy are to ensure more humane and effective policies that dramatically reduce the numbers of those incarcerated in favor of alternatives for restorative, community justice that provide real healing to crime victims' families and truly safer communities. This requires patient capital, creative risks, imaginative grants criteria, and compassion for all stakeholders in the justice system. It also requires data-driven analysis and investments in leadership development, grassroots empowerment, and smart organizing for effective policy advocacy.

“Any one of us can muster the courage to make a difference, provided we open our minds and hearts to the possibilities of what humanity can achieve together.”

- Mark St. Pierre,
Justice Transformation
Advocate and Spring 2021
DR Fund Fellow

Awarded

Abolitionist Law Center

Pittsburgh, PA

\$50,000

Abolitionist Law Center (ALC) is a public interest law firm with the goal of abolishing class and race-based mass incarceration. This grant supported efforts to abolish life without parole in the state of Pennsylvania. ALC pursues impact litigation while simultaneously engaging in public education, advocacy, and organizing that centers the leadership of those most impacted by life without parole and mass incarceration.

Center for Constitutional Rights

New York, NY

\$150,000

The Center for Constitutional Rights uses three core strategies—litigation, advocacy, and narrative shift—to support decarceration. This grant supported decarceration and pandemic policing reform, along with a collaboration with the Abolitionist Law Center to challenge mandatory life sentences without the possibility of parole.

CRIMINAL JUSTICE *(continued)*

Awarded

Clara Lionel Foundation

Brooklyn, NY

\$130,000

The Clara Lionel Foundation funds education initiatives and emergency response to natural disasters. This grant supplemented the Foundation's support for racial justice work.

The Bail Project

Venice, CA

\$50,000

The Bail Project provides free bail assistance to low-income individuals. This grant helped release as many individuals as possible from jail in the face of the dangers of COVID-19. Efforts focused on those already approved for release pending payment of bail, the elderly, immunocompromised, pregnant, and medically fragile.

Council on Criminal Justice

Washington, DC

\$75,000

The Council on Criminal Justice is a national think tank that seeks to build consensus for solutions that enhance safety and justice for all. Central to the Council's theory of change is the inclusion of the voices of formerly incarcerated individuals and those with relevant lived experience, putting them on equal footing in conversations with policymakers and other opinion leaders.

Institute for Justice & Opportunity at John Jay College, a project of Research Foundation of CUNY

New York, NY

\$63,000

The DR Fund partners with the Institute for Justice & Opportunity at John Jay College to administer a Justice Fellowship. The fellowship provides returning citizens with experience in the field of philanthropy while elevating the voice and experience of those most directly impacted by the criminal legal system.

Detention Watch Network

Washington, DC

\$50,000

Detention Watch Network aims to abolish U.S. immigration detention centers through collective advocacy, grassroots organizing, and strategic communications. This grant supported the Free Them All campaign, which advocated for the release of immigrants during the pandemic due to the lethal conditions inside immigration detention centers.

CRIMINAL JUSTICE *(continued)*

Awarded

Formerly Incarcerated, Convicted People & Families Movement, a project of A New Way of Life

Los Angeles, CA

\$75,000

The Formerly Incarcerated, Convicted People & Families Movement is a network of over 50 civil and human rights organizations led by people who have conviction histories and their family members. This grant supported the Quest for Democracy Fund, a capacity building project to build momentum at the local, state, and national level to transform the criminal legal system.

Gamaliel Faith & Democracy Campaign

Chicago, IL

\$50,000

Gamaliel is a faith-based community organizing network that keeps power and authority entrenched in the hands of people most impacted by the injustices they seek to end. This grant supported the Transformational Justice Campaign, which advocates for a range of issues that would transform the criminal legal system.

Good Nation Criminal Justice Fund, a project of Good Nation Foundation

New York, NY

\$55,000

This pooled fund rapidly moves resources to groups on the ground that have the local relationships, credibility, and expertise necessary to respond to racial injustice and decarceration. This grant supported a broad array of smaller and less resourced groups working to release people from the deadly conditions of COVID-19 in detention facilities.

Grassroots Leadership

Austin, TX

\$25,000

Grassroots Leadership advocates for some of the most progressive state and local policies and practices in the country, deep in the heart of Texas. Their work promotes policies that transform the criminal legal and immigration systems and mobilizes those most impacted by incarceration and deportation.

Funders for Justice, a project of Neighborhood Funders Group

Oakland, CA

\$2,000

Funders for Justice focuses on grassroots organizing led by and for people of color at the intersections of racial justice, gender justice, criminalization, and models for community safety and justice.

CRIMINAL JUSTICE *(continued)*

Awarded

Project on Government Oversight

Washington, DC

\$25,000

The Project on Government Oversight is a nonpartisan watchdog that champions good governance reforms. This grant supported oversight of federal spending in light of the COVID-19 pandemic, as well as investigations into private prison industry abuses.

The Marshall Project

New York, NY

\$75,000

The Marshall Project is a nonpartisan news organization that sustains a sense of national urgency about the U.S. criminal legal system. This grant supported the coverage of two national crises: the COVID-19 pandemic, especially in prisons and jails, and protests against policing abuses.

Salzburg Global Seminar

Washington, DC

\$50,000

Salzburg Global Seminar convenes outstanding talent across the world to challenge current and future leaders to shape a better world. This grant supported Global Innovations for Youth Justice and Safety, a global, multi-year project that addresses the structural determinants of injustice, prejudice, and violence and puts forth community safety and decarceration solutions.

The Justice Collaborative, a project of Tides Foundation

San Francisco, CA

\$100,000 over two years

The Justice Collaborative is a national hub for policy analysis and recommendations. This grant equipped elected officials, organizers, and the public with the tools necessary to stifle the explosion of COVID-19 in jails, prisons, and detention centers.

Mass Liberation Fund, a project of Tides Foundation

San Francisco, CA

\$75,000

Mass Liberation Fund is committed to transforming the criminal legal system in Black communities that have been most impacted by mass incarceration. This grant supported the provision of strategy expertise and training to grassroots organizations led by formerly incarcerated advocates working to advance decarceration across the country.

CRIMINAL JUSTICE *(continued)*

Awarded

Freedom Agenda, a project of Urban Justice Center

New York, NY

\$100,000 over two years

Freedom Agenda launched in 2020 to ensure that the #CLOSErikers campaign reaches fruition. This organization works with survivors of incarceration at Rikers to close the jail complex, advance decarceration across New York City, and better resource communities.

Vera Institute of Justice

Brooklyn, NY

\$75,000

The Vera Institute of Justice works with government leaders, justice system actors, and community advocates to dramatically reduce incarceration, end unnecessary prosecutions, and prevent further transmission of COVID-19 within jails, prisons, and detention centers. This grant helped ensure that decarceration gains will remain in place after the pandemic subsides.

W. Haywood Burns Institute

Oakland, CA

\$100,000

The W. Haywood Burns Institute aims to eliminate racial and ethnic disparities and improve life outcomes for people of color in the justice system. This grant supported Burns' "structural wellbeing" framework, which includes an explicit focus on naming, understanding, and dismantling structural racism while shifting resources away from the punitive measures and into the communities most impacted.

ENVIRONMENT

EMPHASIS

Generating bipartisan leadership on climate change at a federal level by supporting new ideas, initiatives, and policy breakthroughs.

GEOGRAPHIC FOCUS

National

In 2020, the Environment program funding strategy was to help generate bipartisan leadership on climate change at a federal level by supporting new ideas, initiatives, and outreach by trusted messengers. The program prioritized opportunities to build long-term, local capacity for organizing grassroots constituencies as well as national groups with a demonstrated track record of engaging members of Congress, including on the security impacts of climate change.

Funding decisions considered the alignment of goals with other allied groups; relative investment by other funders in critical but under-resourced geographic areas; and the availability of matching funds from other donors. Grant support for the “primary purpose” or charitable expenditures of 501(c)4 groups aimed to build long-term constituencies for bipartisan climate solutions and complement 501(c) 3 efforts.

“The care of the Earth is our most ancient and most worthy, and after all our most pleasing responsibility. To cherish what remains of it and to foster its renewal is our only hope.”

- Wendell Berry

Awarded

350.org

Boston, MA

\$200,000 over two years

350.org is a climate advocacy organization working to mitigate climate change and end the era of fossil fuels. This two-year grant strengthens 350.org’s organizational stability to lead a grassroots movement of millions of people that matches the scale and needs of the climate crisis.

A Better Big Sky

Billings, MT

\$50,000

A Better Big Sky is a Montana-based donor alliance committed to advancing a progressive agenda and protecting voting rights. The grant supported efforts to educate citizens in Montana on climate change solutions.

ENVIRONMENT *(continued)*

Awarded

Alliance for Climate Education

Boulder, CO

\$100,000

The Alliance for Climate Education mobilizes diverse young people—and their networks—at scale to educate and engage voters on climate justice. These funds supported the launch of the ENOUGH! Campaign, which uses relational organizing tactics to activate youth around climate justice goals.

Climate and Energy Funders Group, a project of the Biodiversity Funders Group

San Francisco, CA

\$10,000

The Climate and Energy Funders Group supports, facilitates, and seeks to expand a network of funders responding to global climate change. CEEG is the only national forum of funders focused exclusively on climate issues, giving the DR Fund access to a broad spectrum of funders.

Civil Liberties Defense Center

Eugene, OR

\$50,000

The Civil Liberties Defense Center is a legal organization that supports movements working to dismantle the political and economic root causes of social inequality and environmental destruction. This grant supported a legal fellow focused on legal defense of environmental and social justice movement advocates.

Confluence Philanthropy

Oakland, CA

\$3,000

Confluence Philanthropy is a nonprofit membership-based organization that supports and catalyzes a network of foundations, families, individual donors, and their values-aligned investment advisors, representing over \$3.5 trillion in managed capital.

Environmental Grantmakers Association

New York, NY

\$5,000

The Environmental Grantmakers Association promotes effective environmental philanthropy by sharing knowledge, fostering debate, and catalyzing action. Participation in EGA gives the DR Fund access to professional development tools and a network of over 200 environmental funders.

ENVIRONMENT *(continued)*

Awarded

Peace and Security Funders Group, a project of the Fund for Constitutional Government

Washington, DC

\$3,500

The Peace and Security Funders Group is a network of public, private, and family foundations and individual philanthropists committed to promoting peace and security. The group facilitates the exchange of information and ideas, fosters collaboration, and encourages new funders to join this field.

republicEn, a project of George Mason University Foundation

Fairfax, VA

\$50,000

republicEn's mission is to engage conservative leadership on climate change through the education of conservative constituencies. The organization's growing membership comes from diverse political backgrounds but is united by a common belief in the innovation necessary to solve climate change.

Maine Coast Heritage Trust

Topsham, ME

\$50,000

The Maine Coast Heritage Trust seeks to address climate change and its accelerating impacts on Maine's coastal ecosystems and communities. This grant supported the Adapting to Change program, an effort to reestablish the resilience of Maine's coast and the Gulf of Maine through conservation of coastal rivers, marsh migration sites, and undeveloped coastal islands.

Minnesota Youth Collective

St. Paul, MN

\$50,000

The Minnesota Youth Collective organizes young people and centers their stories in all its work, including campaigns for climate change, climate justice, and social justice. This grant helped launch issue education campaigns that generated momentum and encouraged policymakers to prioritize young people's climate and economic concerns.

Natural Resources Council of Maine

Augusta, ME

\$25,000

The Natural Resources Council of Maine is a nonprofit membership organization that protects, restores, and conserves Maine's environment. This grant funded *The End of Winter*, a book that chronicles a journey along the snow line as a way to illustrate how the end of snow and winter could very well change civilization as we know it.

ENVIRONMENT *(continued)*

Awarded

Progressive Multiplier Action Fund

Washington, DC

\$75,000

The Progressive Multiplier Action Fund provides progressive organizing and financial/technical support to advocacy nonprofits. This grant supported charitable elements of the Progressive Stimulus Project, a \$15 million pooled fund with the goal of generating millions of additional revenues for state, local, and national advocacy groups.

Protect Our Winters

Boulder, CO

\$75,000

Protect Our Winters unites and mobilizes the outdoor sports community to create pressure for climate action. This grant engaged citizens at the local and state level, using a shared passion for outdoor sports to create bipartisan consensus on the fight against climate change.

David W. Kaiser Fund for Climate Progress, a project of Rockefeller Family Fund

New York, NY

\$100,000

The David W. Kaiser Fund for Climate Progress was established to honor the life and work of our beloved former board chair and long-time trustee, while building on his remarkable legacy in fighting for just and equitable climate solutions in the U.S.

Equation Campaign, a project of Rockefeller Family Fund

New York, NY

\$123,500

The Equation Campaign is a new ten-year funding initiative working to enhance the power of movements to keep oil and gas in the ground. The Campaign aims to fast-track promising strategies, support movements, shift power, and develop new initiatives that can dramatically reduce oil and gas extraction and strengthen the communities who have been and will continue to be most harmed by the climate crisis and the industries that caused it.

Climate Equity Action Fund, a project of Sixteen Thirty Fund

Washington, DC

\$225,000

Sixteen Thirty Fund serves as an incubator for social justice projects advocating for policies that tackle the biggest social issues of our time. This grant supported charitable elements of the Climate Equity Action Fund, educating and organizing citizens on equitable climate change solutions and justice reform.

ENVIRONMENT *(continued)*

Awarded

Hive Fund for Climate and Gender Justice, a project of Windward Fund

Washington, DC

\$100,000

The Hive Fund raises money and makes grants to support organizations led by and serving Black, Brown, and Indigenous women that are working on the frontlines to address the intersecting climate, gender, and racial justice crises in the U.S. Their work includes advocacy, movement-building, and communications efforts that move hearts and minds.

Women of Color Advancing Peace & Security

Woodbridge, VA

\$25,000

Women of Color Advancing Peace and Security (WCAPS) is a platform devoted to women of color that cultivates a strong voice and network for its members while encouraging dialogue and strategies for engaging in policy discussions on an international scale. Through mentorships, partnerships, and a passion for changing the global community landscape, WCAPS is committed to advancing the leadership and professional development of women of color in the fields of international peace, security, and conflict transformation.

Executive Director Discretionary Grants

The Executive Director Discretionary Grants program is a flexible pool of funding made available by the Board to respond to urgent requests, unanticipated opportunities, or special needs. These grants may be used to respond, but are not limited, to: the emergence of a singular opportunity to influence public debate or action on a public policy issue relevant to the Fund’s program areas; an unforeseen emergency need experienced by a Fund grantee; or an extraordinary funding opportunity identified by the Board or staff.

	Awarded
Bulletin of Atomic Scientists Chicago, IL	\$25,000
Clara Lionel Foundation Brooklyn, NY	\$50,000
National Anti-Racism Teach-In, a project of Boston College High School Boston, MA	\$10,000
National Center for Family Philanthropy Washington, DC	\$10,000
National Committee for Responsive Philanthropy Washington, DC	\$2,000
Philanthropy New York New York, NY	\$4,850
Sepsis Alliance San Diego, CA	\$15,350

Micro-Grants

The Micro-Grants Committee consists of rotating family trustees from the board of the DR Fund. Staff distribute time-sensitive grant proposals in between full board meetings for the approval of small grants. Grants are then disbursed promptly and administered as normal grants with reporting requirements proportional to their monetary size.

	Awarded
Community Funds, Inc. New York, NY	\$20,000
Earth Day Network Washington, DC	\$10,000
Foundation for Contemporary Arts New York, NY	\$20,000
The Marshall Project New York, NY	\$8,000
PeaceTech Lab Washington, DC	\$12,000
Souls Grown Deep Foundation Atlanta, GA	\$10,000
Stella Adler School of Acting New York, NY	\$10,000
Transgender Law Center Oakland, CA	\$10,000

Election Protection Grants

In June 2020, the Board voted to allocate general and trustee contributions for a special democracy and election protection initiative in response to the enormous public health challenge to voters' ability to cast their ballots safely. The criteria used to evaluate timely opportunities included: a focus on smaller, charitable organizations that receive less philanthropic attention; those led by dynamic, innovative leaders of color with deep experience in their communities; alignment with other state priorities for climate change solutions and justice system transformation; and a focus on under-served communities also impacted by harmful policies in other DR Fund programs.

	Awarded		Awarded
Arizona Center for Empowerment Phoenix, AZ	\$50,000	Middle Collegiate Church New York, NY	\$35,000
CASA De Maryland Hyattsville, MD	\$50,000	Montana Voices, a project of Western Organization of Resource Councils Education Project Billings, MT	\$75,000
Democracy Docket Action Fund, a project of The North Fund Washington, DC	\$100,000	Movement Institute, a project of Hopewell Fund New York, NY	\$115,000
Detroit Action, a project of Tides Advocacy San Francisco, CA	\$50,000	New Florida Majority Miami, FL	\$100,000
Engage Michigan Lansing, MI	\$50,000	One for Democracy Fund at Goodnation Foundation New York, NY	\$100,000
Florida Rights Restoration Coalition Education Fund, a project of Tides Foundation San Francisco, CA	\$250,000	One PA, a project of Organize Pennsylvania Pittsburgh, PA	\$50,000
Forward Montana Foundation Missoula, MT	\$50,000	PODER Phoenix, AZ	\$50,000
League of Conservation Voters Education Fund Washington, DC	\$75,000	Resilient Democracy Fund, housed at Fidelity Investments Charitable Gift Fund Brookline, MA	\$100,000
Make the Road Action Fund Brooklyn, NY	\$50,000	The Voter Project Philadelphia, PA	\$100,000
Michigan Civic Action Fund Madison Heights, MI	\$100,000		

Board Chair Discretionary Grants

These grants allow the Board Chair, in consultation with the Executive Director, to seize timely opportunities to augment programmatic grantmaking. The discretionary funds offer an incentive to the Board Chair to learn about, and respond to, program opportunities that may be time-sensitive or beyond the available budget and to reinforce high-impact work in existing program areas.

Awarded

Bank FWD, a project of Sustainable Markets Foundation New York, NY	\$25,000
Clara Lionel Foundation Brooklyn, NY	\$50,000
Sepsis Alliance San Diego, CA	\$25,000

Growald Family Fund – DRF Initiative

This trustee-initiated program fosters collaboration with the Growald Family Fund and adds leverage to complementary climate change solution strategies. DR Fund staff investigate opportunities at the intersection of both foundations' existing programs and draw on their respective program knowledge.

Awarded

Center for Climate & Security, a project of Council on Strategic Risks Washington, DC	\$50,000
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Richard Rockefeller Climate Change Initiatives

The Richard Rockefeller Climate Change Initiatives are a 5-year, time-limited set of grant-making initiatives approved by the DR Fund board in November 2018. The main purpose of the initiatives is to support bold, urgent action to reduce and prevent greenhouse gas emissions as quickly as practitioners and policymakers can achieve such gains at scale.

Awarded

American Farmland Trust Washington, DC	\$50,000
AgroEcology Fund, a project of New Venture Fund Washington, DC	\$150,000
Conservation Colorado Denver, CO	\$50,000
Eco-Accountability Project, a project of Sustainable Markets Foundation New York, NY	\$25,000
Environmental Integrity Project Washington, DC	\$50,000
Forum for the Future US Brooklyn, NY	\$50,000
Jolt Action Austin, TX	\$50,000
Mighty Earth Washington, DC	\$500,000
Niskanen Center Washington, DC	\$50,000
Rockefeller Family Fund New York, NY	\$250,000
Texas Civil Rights Project Austin, TX	\$32,500

FINANCIAL SUMMARY 2020

Net assets at the beginning of 2020 totaled \$67,307,984.
 Net assets on December 31, 2020 totaled \$65,809,974.
 Grants paid during 2020, including discretionary grants awarded by individual trustees, totaled \$10,751,781.

	# of Grants Paid	Amount Paid
Arts	26	\$1,425,000
Criminal Justice	23	\$1,475,000
Environment	23	\$1,425,000
Richard Rockefeller Climate Change Initiatives	12	\$1,507,500
Growald Family Fund – DRF Initiative	1	\$50,000
Board Chair Discretionary	3	\$100,000
Executive Director Discretionary	7	\$117,200
Micro-Grants	8	\$100,000
Election Protection Grants	24	\$2,600,000
Trustee Discretionary & Donor-Advised Fund	30	\$1,766,231
Other	11	\$185,850
Total	168	\$10,751,781

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