

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2020 or tax year beginning

, and ending

Name of foundation THE DAVID ROCKEFELLER FUND, INC.		A Employer identification number 13-3533359
Number and street (or P.O. box number if mail is not delivered to street address) 475 RIVERSIDE DRIVE	Room/suite 900	B Telephone number 212-812-4300
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10115		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 66,044,643.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	378,960.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	3,768.	3,768.		STATEMENT 1
	4 Dividends and interest from securities	1,343,064.	1,331,178.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	492,192.			
	b Gross sales price for all assets on line 6a 27,601,702.				
	7 Capital gain net income (from Part IV, line 2)		976,336.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income	-23,997.	-31,248.		STATEMENT 3	
12 Total. Add lines 1 through 11	2,193,987.	2,280,034.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	348,154.	57,568.		288,586.
	14 Other employee salaries and wages	121,929.	0.		117,418.
	15 Pension plans, employee benefits	158,389.	15,965.		149,789.
	16a Legal fees STMT 4	11,281.	5,929.		14,156.
	b Accounting fees STMT 5	28,372.	12,858.		2,004.
	c Other professional fees STMT 6	440,263.	279,564.		158,913.
	17 Interest		89.		
	18 Taxes STMT 7	19,583.	25,500.		0.
	19 Depreciation and depletion	4,238.	0.		
	20 Occupancy	32,230.	1,557.		28,478.
	21 Travel, conferences, and meetings	17,503.	0.		20,834.
	22 Printing and publications	400.	0.		400.
	23 Other expenses STMT 8	291,990.	195,450.		98,183.
	24 Total operating and administrative expenses. Add lines 13 through 23	1,474,332.	594,480.		878,761.
	25 Contributions, gifts, grants paid	10,236,780.			10,752,105.
26 Total expenses and disbursements. Add lines 24 and 25	11,711,112.	594,480.		11,630,866.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-9,517,125.				
b Net investment income (if negative, enter -0-)		1,685,554.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only.			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	269,114.	511,865.	511,865.
	2	Savings and temporary cash investments	1,769,818.	397,504.	397,504.
	3	Accounts receivable			
		Less: allowance for doubtful accounts	80,159.		
	4	Pledges receivable	8,300.		
		Less: allowance for doubtful accounts	1,000.	8,300.	8,300.
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	9,600.	22,757.	22,757.
	10a	Investments - U.S. and state government obligations	8,688,090.	6,966,527.	6,966,527.
	b	Investments - corporate stock	15,942,859.	20,019,851.	20,019,851.
	c	Investments - corporate bonds	3,065,577.	2,786,215.	2,786,215.
	11	Investments - land, buildings, and equipment: basis			
	Less: accumulated depreciation				
12	Investments - mortgage loans				
13	Investments - other	38,715,674.	35,247,659.	35,247,659.	
14	Land, buildings, and equipment: basis	29,110.			
	Less: accumulated depreciation	12,304.	8,527.	16,806.	
15	Other assets (describe ACCRUED INVESTMENT RECEIVABLE)	0.	67,159.	67,159.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	68,550,418.	66,044,643.	66,044,643.	
Liabilities	17	Accounts payable and accrued expenses	252,434.	293,184.	
	18	Grants payable	990,000.	475,000.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	1,242,434.	768,184.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30.				
	24	Net assets without donor restrictions	62,424,603.	61,134,181.	
	25	Net assets with donor restrictions	4,883,381.	4,142,278.	
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.				
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
29	Total net assets or fund balances	67,307,984.	65,276,459.		
30	Total liabilities and net assets/fund balances	68,550,418.	66,044,643.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	67,307,984.
2	Enter amount from Part I, line 27a	2	-9,517,125.
3	Other increases not included in line 2 (itemize) UNREALIZED APPRECIATION ON INVESTMENTS	3	7,556,704.
4	Add lines 1, 2, and 3	4	65,347,563.
5	Decreases not included in line 2 (itemize) DEFERRED FEDERAL EXCISE TAX EXPENSE	5	71,104.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	65,276,459.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P		
b LIBRA FOSSIL FUEL FREE FUND, LP	P		
c ROCKEFELLER ACCESS FUND 06-I, LLC - NET OF UBI	P		
d ROCKEFELLER ALTERNATIVE STRATEGIES FUND, LP	P		
e			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			-94,056.
b			924,040.
c			145,177.
d			1,175.
e			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-94,056.
b			924,040.
c			145,177.
d			1,175.
e			
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2 976,336.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	{ }		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE.

1	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
	Reserved			
	Reserved			
	Reserved			
	Reserved			
	Reserved			
2 Reserved				2
3 Reserved				3
4 Reserved				4
5 Reserved				5
6 Reserved				6
7 Reserved				7
8 Reserved				8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Reserved		1	23,429.
c All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	23,429.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	23,429.
6 Credits/Payments:			
a 2020 estimated tax payments and 2019 overpayment credited to 2020	6a	18,417.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	6,600.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d		7	25,017.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	0.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	1,588.
11 Enter the amount of line 10 to be: Credited to 2021 estimated tax <input type="checkbox"/> 1,588. Refunded <input checked="" type="checkbox"/>		11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the tax year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 covering questions about controlled entities, distribution to donor advised funds, public inspection requirements, books in care, and interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b covering questions about disqualifying acts, taxes on failure to distribute income, and business enterprise interest.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	X
Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 17	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 16		348,154.	98,160.	6,535.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEPHANIE BURGOS - 475 RIVERSIDE DRIVE, SUITE 900, NEW YORK, NY 10115	PROGRAM ASSISTANT	52,230.	17,960.	1,178.

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ROCKEFELLER CAPITAL MANAGEMENT - 45 ROCKEFELLER PLAZA, FLOOR 5, NEW YORK, NY BUILDING IMPACT	INVESTMENT MANAGEMENT	342,058.
44 HOWARD AVENUE, NEW HAVEN, CT 06519	GRANT MAKING CONSULTANTS	70,000.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 18	109,586.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	62,262,759.
b	Average of monthly cash balances	1b	2,312,853.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	64,575,612.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	64,575,612.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	968,634.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	63,606,978.
6	Minimum investment return. Enter 5% of line 5	6	3,180,349.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,180,349.
2a	Tax on investment income for 2020 from Part VI, line 5	2a	23,429.
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	23,429.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,156,920.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	3,156,920.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,156,920.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	11,630,866.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	11,630,866.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	11,630,866.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				3,156,920.
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2020:				
a From 2015	1,296,082.			
b From 2016	1,355,799.			
c From 2017	1,209,689.			
d From 2018	593,750.			
e From 2019	1,621,017.			
f Total of lines 3a through e	6,076,337.			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ 11,630,866.				
a Applied to 2019, but not more than line 2a ...			0.	
b Applied to undistributed income of prior years (Election required - see instructions) ...		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2020 distributable amount				3,156,920.
e Remaining amount distributed out of corpus	8,473,946.			
5 Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	14,550,283.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount - see instr. ...			0.	
f Undistributed income for 2020. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2021				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7	1,296,082.			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	13,254,201.			
10 Analysis of line 9:				
a Excess from 2016 ...	1,355,799.			
b Excess from 2017 ...	1,209,689.			
c Excess from 2018 ...	593,750.			
d Excess from 2019 ...	1,621,017.			
e Excess from 2020 ...	8,473,946.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
.....					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 19

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
Name and address (home or business)				
a Paid during the year				
350.ORG PO BOX 843004 BOSTON, MA 02284-3004		PC	GRASSROOTS CLIMATE MOVEMENT	100,000.
A BETTER BIG SKY PO BOX 7134 MISSOULA, MT 59807		NC	SUPPORT MONTANA-BASED ORGANIZATION EDUCATIONG RURAL AND YOUNGER MONTANANS ABOUT PROGRESSIVE	50,000.
A BLADE OF GRASS 81 PROSPECT STREET BROOKLYN, NY 11201		PC	SOCIALLY ENGAGED ART	25,000.
ABOLITIONIST LAW CENTER PO BOX 8654 PITTSBURGH, PA 15221		PC	LIFE WITHOUT PAROLE PROJECT	50,000.
ALLIANCE FOR CLIMATE EDUCATION (ACE) 4676 BROADWAY SUITE A BOULDER, CO 80304		PC	ENOUGH! VOTER EDUCATION CAMPAIGN	100,000.
Total	SEE CONTINUATION SHEET(S) ▶ 3a			10,752,105.
b Approved for future payment				
350.ORG PO BOX 843004 BOSTON, MA 02284-3004		PC	GRASSROOTS CLIMATE MOVEMENT	100,000.
BRAVE NEW FILMS ACTION FUND 10510 CULVER BOULEVARD CULVER CITY, CA 90232		NC	CREATE AND DISTRIBUTE ENGAGING MEDIA TO EDUCATE AND MOTIVATE CITIZENS, LEADING TO PRODUCTIVE CIVIC	25,000.
MIGHTY EARTH 1150 CONNECTICUT AVE. NW 8TH FLOOR WASHINGTON, DC 20037		PC	DECARBONIZING AGRICULTURE	250,000.
Total	SEE CONTINUATION SHEET(S) ▶ 3b			475,000.

023611 12-02-20 ** SEE PURPOSE OF GRANT CONTINUATIONS

Form **8879-EO**

IRS e-file Signature Authorization for an Exempt Organization

OMB No. 1545-0047

For calendar year 2020, or fiscal year beginning _____, 2020, and ending _____, 20____

2020

Department of the Treasury
Internal Revenue Service

▶ **Do not send to the IRS. Keep for your records.**

▶ **Go to www.irs.gov/Form8879EO for the latest information.**

Name of exempt organization or person subject to tax

Taxpayer identification number

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

Name and title of officer or person subject to tax

LUKAS HAYNES

EXECUTIVE DIRECTOR

Part I Type of Return and Return Information (Whole Dollars Only)

Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, 5a, 6a, or 7a below, and the amount on that line for the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, 5b, 6b, or 7b, whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. **Do not** complete more than one line in Part I.

1a Form 990 check here	<input type="checkbox"/>	b Total revenue, if any (Form 990, Part VIII, column (A), line 12)	1b	
2a Form 990-EZ check here	<input type="checkbox"/>	b Total revenue, if any (Form 990-EZ, line 9)	2b	
3a Form 1120-POL check here	<input type="checkbox"/>	b Total tax (Form 1120-POL, line 22)	3b	
4a Form 990-PF check here	<input checked="" type="checkbox"/>	b Tax based on investment income (Form 990-PF, Part VI, line 5)	4b	23,429.
5a Form 8868 check here	<input type="checkbox"/>	b Balance due (Form 8868, line 3c)	5b	
6a Form 990-T check here	<input type="checkbox"/>	b Total tax (Form 990-T, Part III, line 4)	6b	
7a Form 4720 check here	<input type="checkbox"/>	b Total tax (Form 4720, Part III, line 1)	7b	

Part II Declaration and Signature Authorization of Officer or Person Subject to Tax

Under penalties of perjury, I declare that I am an officer of the above organization or I am a person subject to tax with respect to (name of organization) _____, (EIN) _____ and that I have examined a copy

of the 2020 electronic return and accompanying schedules and statements, and, to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the electronic return and, if applicable, the consent to electronic funds withdrawal.

PIN: check one box only

I authorize PKF O'CONNOR DAVIES, LLP to enter my PIN Enter five numbers, but do not enter all zeros

ERO firm name

as my signature on the tax year 2020 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen.

As an officer or person subject to tax with respect to the organization, I will enter my PIN as my signature on the tax year 2020 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen.

Signature of officer or person subject to tax

Date ▶ 11/9/21

Part III Certification and Authentication

ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN.

Do not enter all zeros

I certify that the above numeric entry is my PIN, which is my signature on the 2020 electronically filed return indicated above. I confirm that I am submitting this return in accordance with the requirements of Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns.

ERO's signature ▶ PKF O'CONNOR DAVIES, LLP

Date ▶ 11/08/21

**ERO Must Retain This Form - See Instructions
Do Not Submit This Form to the IRS Unless Requested To Do So**

LHA For Paperwork Reduction Act Notice, see instructions.

Form **8879-EO** (2020)

023051 11-03-20

12561108 756359 1710909.102

2020.04030 THE DAVID ROCKEFELLER FUN 17109091

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ALLIANCE OF ARTISTS COMMUNITIES 44 WESTMINSTER STREET PROVIDENCE, RI 02903		PC	ARTIST RESIDENCIES	10,000.
AMERICAN FARMLAND TRUST 1150 CONNECTICUT AVENUE NW SUITE 600 WASHINGTON, DC 20036		PC	REGENERATIVE AGRICULTURE	50,000.
AMERICANS FOR THE ARTS ACTION FUND 1000 VERMONT AVENUE NW - 6TH FLOOR WASHINGTON, DC 20005		NC	EDUCATE CITIZENS ON ARTS-FRIENDLY PUBLIC POLICIES AT THE FEDERAL, STATE AND LOCAL LEVELS.	75,000.
AMOS HOUSE 460 PINE STREET PROVIDENCE, RI 02907		PC	HOMELESS SERVICES	25,000.
AMPLIFIER FOUNDATION 901 HIAMATHA PLACE S. UNIT 100 SEATTLE, WA 98144		PC	DESIGN LAB FOR ART ACTIVISM	50,000.
ARIZONA CENTER FOR EMPOWERMENT 5716 N. 19TH AVENUE PHOENIX, AZ 85015		PC	VOTING RIGHTS PROTECTION	50,000.
BEAUTIFUL TROUBLE INC. 139 NORFOLK ST #3D NEW YORK CITY, NY 10002		PC	CLIMATE CLOCK ART INSTALLATION	75,000.
Total from continuation sheets				10,427,105.

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BIODIVERSITY FUNDERS GROUP PO BOX 29361 SAN FRANCISCO, CA 94129-0361		PC	CLIMATE AND ENERGY FUNDERS GROUP	10,000.
BOSTON COLLEGE HIGH SCHOOL 150 MORRISSEY BLVD. BOSTON, MA 02125		PC	NATIONAL ANTI-RACISM TEACH IN	10,000.
BRAVE NEW FILMS ACTION FUND 10510 CULVER BOULEVARD CULVER CITY, CA 90232		NC	CREATE AND DISTRIBUTE ENGAGING MEDIA TO EDUCATE AND MOTIVATE CITIZENS, LEADING TO PRODUCTIVE CIVIC PARTICIPATION.	25,000.
BULLETIN OF THE ATOMIC SCIENTISTS 1307 E 60TH ST. CHICAGO, IL 60637-3283		PC	ARTISTIC SCULPTURE	25,000.
BYM CAPACITY BUILDING INSTITUTE 3645 MARKETPLACE BOULEVARD STE 130-209 EAST POINT, GA 30344		PC	CIVIC ENGAGEMENT IN BLACK COMMUNITIES	250,000.
CASA DE MARYLAND 8151 15TH AVE. HYATTSVILLE, MD 20873		PC	VOTING RIGHTS PROTECTION	50,000.
CENTER FOR CONSTITUTIONAL RIGHTS 666 BROADWAY 7TH FLOOR NEW YORK, NY 10012		PC	LIFE WITHOUT PAROLE / RACIAL JUSTICE	150,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHASHAMA 675 THIRD AVE. SUITE 3225 NEW YORK, NY 10017		PC	AFFORDABLE SPACE FOR ARTISTS	35,000.
CIVIL LIBERTIES DEFENSE CENTER 1430 WILLAMETTE STREET # 359 EUGENE, OR 97401		PC	LEGAL DEFENSE IN SERVICE OF ENVIRONMENTAL MOVEMENT	50,000.
COALITION FOR BUZZARDS BAY 114 FRONT STREET BEDFORD, MA 02740		PC	TRUSTEE DISCRETIONARY GRANT	10,000.
COMMUNITY FUNDS, INC. 909 THIRD AVENUE 22ND FLOOR NEW YORK, NY 10022		PC	COVID-19 RAPID RESPONSE FUND	20,000.
COMMUNITY FUNDS, INC. 909 THIRD AVENUE 22ND FLOOR, NY 10022		PC	MOSAIC NETWORK & FUND	50,000.
CONFLUENCE PHILANTHROPY 436 14TH STREET - SUITE 900 OAKLAND, CA 94612		PC	MISSION ALIGNED INVESTING	3,000.
CONSERVATION COLORADO 1536 WYNKOOP STREET SUITE 510 DENVER, CO 80202		NC	PROTECT COLORADO'S CLIMATE, AIR, LAND, WATER, AND COMMUNITIES THROUGH ORGANIZING AND ADVOCACY.	50,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COUNCIL ON CRIMINAL JUSTICE 560 LEXINGTON AVENUE SUITE 16B NEW YORK, NY 10022		PC	NATIONAL JUSTICE REFORM COALITION	75,000.
COUNCIL ON STRATEGIC RISKS 1025 CONNECTICUT AVENUE NW STE 1000 WASHINGTON, DC 20036		PC	CENTER FOR CLIMATE & SECURITY	100,000.
COUNCIL ON STRATEGIC RISKS 1025 CONNECTICUT AVENUE NW STE 1000 WASHINGTON, DC 20036		PC	CENTER FOR CLIMATE & SECURITY (CLIMATE SECURITY IN JAPAN)	50,000.
COUNT MI VOTE EDUCATION FUND P.O. BOX 16180 LANSING, MI 48901		PC	VOTER EDUCATION	50,000.
CROSSROADS RHODE ISLAND 160 BROAD STREET PROVIDENCE, RI 02903		PC	HOMELESS SERVICES	25,000.
CULTURAL ENGAGEMENT LAB 1330 BROADWAY SUITE 300 OAKLAND, CA 94612		NC	ORGANIZE A STRONG NETWORK OF ARTISTS AND CULTURE MAKERS FROM IMPACTED COMMUNITIES WHO CAN USE ART AND CULTURE AS AN ENGINE FOR CIVIC ENGAGEMENT.	100,000.
CULTURAL SHED 545 W 30TH STREET NEW YORK, NY 10001		PC	PERFORMANCE ART	50,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DETENTION WATCH NETWORK 1915 I STREET NW 8TH FLOOR WASHINGTON, DC 20006		PC	IMMIGRANT DETENTION REFORM	50,000.
DOCTORS WITHOUT BORDERS USA 40 RECTOR STREET 16TH FLOOR NEW YORK, NY 10006		PC	COVID-19 EMERGENCY RESPONSE	100,000.
DREAM CORPS 36 14TH STREET SUITE 920 OAKLAND, CA 94612		PC	CANARY IMPACT FUND PARTICIPATORY GRANTMAKING COMMITTEE	100,000.
EARTH DAY NETWORK 1752 N. STREET NW - 7TH FLOOR WASHINGTON, DC 20036		PC	STREET ART PROJECT	10,000.
ENVIRONMENTAL GRANTMAKERS ASSOCIATION 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115		PC	ENVIRONMENT FUNDER NETWORK	5,000.
ENVIRONMENTAL INTEGRITY PROJECT 1000 VERMONT AVE NW STE 1100 WASHINGTON, DC 20005		PC	CLEAN AIR STANDARDS IN TX	50,000.
FAIR COUNT 464 BOULEVARD SE ATLANTA, GA 30312		PC	VOTING RIGHTS PROTECTION	50,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FIDELITY INVESTMENTS CHARITABLE GIFT FUND 55 POND AVE BROOKLINE, PA 02445		PC	RESILIENT DEMOCRACY FUND	100,000.
FORUM FOR THE FUTURE US 81 PROSPECT ST. BROOKLYN, NY 11201		PC	REGENERATIVE AGRICULTURE	50,000.
FORWARD MONTANA FOUNDATION PO BOX 2817 MISSOULA, MT 59806		PC	VOTING RIGHTS PROTECTION	50,000.
FOUNDATION FOR CONTEMPORARY ARTS 820 GREENWICH STREET NEW YORK, NY 10014		PC	COVID-19 RAPID RESPONSE FUND	20,000.
FOUNTAIN HOUSE 425 WEST 47TH STREET NEW YORK, NY 10036		PC	STUDIO GALLERY	25,000.
FRACTURED ATLAS P.O. BOX 55 HARTSDALE, NY 10530		PC	"SCENE CITY" DOCUMENTARY FILM	50,000.
FRIENDS OF LITTLE COMPTON WELLNESS CENTER 115 E MAIN ROAD LITTLE COMPTON, RI 02837		PC	COVID-19 EFFORTS	20,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FUND FOR CONSTITUTIONAL GOVERNMENT 122 MARYLAND AVENUE NE WASHINGTON, DC 20002		PC	PEACE & SECURITY FUNDERS GROUP	3,500.
GAMALIEL FAITH AND DEMOCRACY CAMPAIGN 5401 S. CORNELI, AVENUE SUITE 301 CHICAGO, IL 60615		NC	BUILD STRUCTURAL POWER THROUGH COMMUNITY EDUCATION TO TRANSFORM THE SYSTEMS THAT PERPETUATE INEQUITY AND INJUSTICE.	50,000.
GEORGE MASON UNIVERSITY FOUNDATION 4400 UNIVERSITY DRIVE MS 1A3 FAIRFAX, VI 22030		PC	REPUBLICAN (EMFRANCHISING CONSERVATIVE GRASSROOTS TO EMBOLDEN AMERICAN CLIMATE LEADERSHIP)	100,000.
GEORGIA INVESTOR ACTION FUND, INC P.O. BOX 170515 ATLANTA, GA 30317		NC	BUILD POWER IN HISTORICALLY UNDERREPRESENTED COMMUNITIES THROUGH CIVIC ENGAGEMENT AND COMMUNITY ORGANIZING.	750,000.
GIBNEY DANCE 280 BROADWAY NEW YORK, NY 10007		PC	GIBNEY COMMUNITY ACTION HUB	20,000.
GIBNEY DANCE 280 BROADWAY NEW YORK, NY 10007		PC	DIVERSITY, EQUITY AND INCLUSION TRAINING	100,000.
GIRL BE HEARD 20 JAY STREET - SUITE 209 BROOKLYN, NY 11201		PC	YOUTH PERFORMANCE ART	50,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GOOD NATION FOUNDATION 100 CROSBY STREET ROOM 303 NEW YORK, NY 10012		PC	CRIMINAL JUSTICE COLLABORATIVE FUND	55,000.
GOOD NATION FOUNDATION 100 CROSBY STREET ROOM 303 NEW YORK, NY 10012		PC	DEMOCRACY REFORM	100,000.
GOOD SHEPHERD FOOD BANK 3121 HOTEL ROAD AUBURN, ME 04210		PC	COVID-19 EFFORTS	50,000.
GRANMAKERS IN THE ARTS 522 COURTLANDP AVENUE BRONX, NY 10451-5008		PC	ARTS FUNDER PROGRAMMING	5,000.
GRASSROOTS LEADERSHIP 3121 E 12TH STREET AUSTIN, TX 78702		PC	IMMIGRANT DETENTION REFORM	25,000.
HI-ARTS 215 EAST 99TH STREET NEW YORK, NY 10029		PC	HIP HOP THEATER	75,000.
HIP HOP CAUCUS ACTION FUND 817 S LOS ANGELES ST, LOS ANGELES, CA 90014		NC	ENERGIZE THE HIP HOP COMMUNITY AS A WAY TO BUILD CLIMATE MOVEMENT POWER AND PROMOTE CIVIC ENGAGEMENT.	100,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOPEWELL FUND 1201 CONNECTICUT AVENUE NW SUITE 300 WASHINGTON, DC 20036		PC	THE MOVEMENT INSTITUTE	115,000.
INTERNATIONAL RESCUE COMMITTEE 122 E. 42ND STREET NEW YORK, NY 10168		PC	GENERAL OPERATING PURPOSES	250.
INTERNATIONAL RESCUE COMMITTEE 122 E. 42ND STREET NEW YORK, NY 10168		PC	STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING PURPOSES	1,500.
JACKSON HOLE CENTER FOR GLOBAL AFFAIRS 125 S. KING STREET - SUITE F1 JACKSON, WY 83001		PC	JACKSON HOLE GLOBAL FORUM: CLIMATE CHANGE AND NATIONAL SECURITY	30,000.
JED FOUNDATION 6 E 39TH STREET SUITE 700 NEW YORK, NY 10016		PC	STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING PURPOSES	2,050.
JEWISH COUNCIL FOR PUBLIC AFFAIRS 25 BROADWAY SUITE 1700 NEW YORK, NY 10004		PC	BAIL REFORM PROJECT	50,000.
JOLT ACTION P.O. BOX 4185 AUSTIN, TX 78765		NC	BUILD LONG-TERM LEADERSHIP OF LATINX YOUTH, GIVING THEM THE ABILITY TO SHAPE THE CONVERSATION ON CLIMATE JUSTICE.	50,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LAW FOR BLACK LIVES C/O NEO PHILANTHROPY 45 WEST 36TH STREET NEW YORK, NY 10018		PC	STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING PURPOSES	150.
LEAGUE OF CONSERVATION VOTERS EDUCATION FUND 740 15TH ST NW 7TH FLOOR WASHINGTON, DC 20005		PC	CHISPA PROJECT (LATINX VOTER EDUCATION)	75,000.
LEAGUE OF CONSERVATION VOTERS 740 15TH ST NW 7TH FLOOR WASHINGTON, DC 20005		NC	BUILD POWER THROUGH EDUCATION THAT WILL CREATE A WORLD WITH CLEAN AIR, CLEAN WATER, PUBLIC LANDS, AND A SAFE CLIMATE THAT ARE PROTECTED BY A JUST AND EQUITABLE DEMOCRACY.	250,000.
MAINE COAST HERITAGE TRUST 1 BOWDOIN MILL ISLAND SUITE 201 TOPSHAM, ME 04086		PC	CLIMATE CHANGE ADAPTATION	50,000.
MAKE THE ROAD ACTION FUND 449 TROUTMAN STREET SUITE C BROOKLYN, NY 11237		NC	BUILD POWER THROUGH EDUCATION FOR JUSTICE IN LATINX AND IMMIGRANT COMMUNITIES AND WORKING CLASS COMMUNITIES OF COLOR.	50,000.
MEALS ON WHEELS OF RHODE ISLAND 70 BATH STREET PROVIDENCE, RI 02908		PC	HOMELESS SERVICES	25,000.
MICHIGAN CIVIC ACTION FUND 28342 DARTMOUTH STREET MADISON HEIGHTS, MI 48071		NC	IMPROVE ALIGNMENT ACROSS NATIONAL, STATE, AND LOCAL PARTNERS TO FOCUS ON TOP PRIORITIES FOR ELIMINATING DISPARITIES IN CIVIC PARTICIPATION.	100,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MIDDLE COLLEGIATE CHURCH 50 EAST 7TH STREET NEW YORK, NY 10003		PC	MIDDLE VOTING REFORM PROJECT	35,000.
MIGHTY EARTH 1150 CONNECTICUT AVE, NW 8TH FLOOR WASHINGTON, DC 20036		PC	DECARBONIZING AGRICULTURE	750,000.
MINNESOTA YOUTH COLLECTIVE 2161 UNIVERSITY AVE WEST SUITE 100 ST. PAUL, MN 55114		NC	ENGAGE YOUNG PEOPLE IN CIVIC ENGAGEMENT AND LEADERSHIP DEVELOPMENT.	50,000.
MONTCLAIR COMMUNITY PRE-K LULU'S FUND 49 ORANGE ROAD MONTCLAIR, NJ 07042		PC	STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING PURPOSES	300.
MONTCLAIR COMMUNITY PRE-K LULU'S FUND 49 ORANGE ROAD MONTCLAIR, NJ 07042		PC	STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING PURPOSES	9,900.
NATIONAL BLACK THEATRE 2031 FIFTH AVENUE (NATIONAL BLACK THEATRE WAY) NEW YORK, NY 10035		PC	COMMUNITY THEATER	75,000.
NATIONAL CENTER FOR FAMILY PHILANTHROPY 1667 K STREET NW - SUITE 550 WASHINGTON, DC 20006		PC	PHILANTHROPIC SECTOR SUPPORT	10,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY 1900 L STREET NW SUITE 825 WASHINGTON, DC 20036		PC	PHILANTHROPIC SECTOR SUPPORT	2,000.
NATIONAL CONFERENCE ON CITIZENSHIP 1920 L. ST. NW WASHINGTON, DC 20036		PC	PARTY AT THE MAILBOX VOTER EDUCATION PROJECT	50,000.
NATURAL RESOURCES COUNCIL OF MAINE 3 WADE STREET AUGUSTA, ME 04330-6351		PC	END OF WINTER PUBLICATION	25,000.
NEIGHBORHOOD FUNDERS GROUP 300 FRANK H. OGAWA PLAZA - SUITE 700 OAKLAND, CA 94612		PC	FUNDERS FOR JUSTICE	2,000.
NEW FLORIDA MAJORITY (NAME CHANGED TO FLORIDA RISING AS OF JANUARY 2021) 10800 BISCAYNE BLVD, SUITE 1050 MIAMI, FL 33161		NC	EDUCATE TO BUILD POWER AND INCREASE THE CIVIC ENGAGEMENT OF MARGINALIZED AND EXCLUDED CONSTITUENCIES TOWARD AN INCLUSIVE, EQUITABLE, AND JUST FLORIDA.	100,000.
NEW VENTURE FUND 1201 CONNECTICUT AVE, NW SUITE 300 WASHINGTON, DC 20036		PC	ILLUMINATIVE'S ARTISTIC EFFORTS	100,000.
NEW VENTURE FUND 1201 CONNECTICUT AVE, NW SUITE 300 WASHINGTON, DC 20036		PC	AGROECOLOGY FUND	150,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW WAY OF LIFE P.O. BOX 875288 LOS ANGELES, CA 90087		PC	FORMERLY INCARCERATED, CONVICTED PEOPLE AND FAMILIES MOVEMENT	75,000.
NEW YORK FOUNDATION FOR THE ARTS 20 JAY STREET SUITE 740 BROOKLYN, NY 11201		PC	RIVER VALLEY ARTS COLLECTIVE	35,000.
NISKANEN CENTER 820 FIRST ST NE #675 WASHINGTON, DC 20002		PC	COMMON LAW CLIMATE NUISANCE LITIGATION PROJECT	50,000.
ONE NEIGHBORHOOD BUILDERS 66 CHAFFEE STREET PROVIDENCE, RI 02909		PC	SHERIDAN SMALL HOMES PROJECT (TINY HOMES)	50,000.
ORGANIZE PENNSYLVANIA 1414 BRIGHTON RD. PITTSBURGH, PA 15212		NC	AMPLIFY VOICES AND PRIORITIZE LEADERSHIP DEVELOPMENT IN COMMUNITIES THAT ARE UNDERPAID AND UNDERSERVED IN THE CURRENT ECONOMY, SPECIFICALLY THE ONE PA AMBASSADOR PROGRAM.	50,000.
PEACETECH LAB. 2301 CONSTITUTION AVENUE NW WASHINGTON, DC 20037		PC	THE ROAD TO EQUAL JUSTICE PROJECT	12,000.
PHILANTHROPY NEW YORK 320 EAST 43RD STREET NEW YORK, NY 10017		PC	PHILANTHROPIC SECTOR SUPPORT	4,850.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PODER 5877 W INDIAN SCHOOL RD PHOENIX, AZ 85031		NC	TRANSFORM POLICING AND IMMIGRANT DETENTION THROUGH EDUCATING ARIZONA COMMUNITIES OF COLOR IN CIVIC ENGAGEMENT STRATEGIES THAT BUILD POWER.	50,000.
PROGRESS MICHIGAN EDUCATION 614 SEYMOUR AVENUE LANSING, MI 48933		PC	VOTING RIGHTS PROTECTION	50,000.
PROGRESSIVE MULTIPLIER ACTION FUND 714 G STREET NE SUITE 200 WASHINGTON, DC 20003		NC	SUPPORT CHARITABLE ORGANIZATIONS IN ENGAGING IN EFFECTIVE COMMUNITY OUTREACH AND REVENUE GENERATION.	75,000.
PROJECT ON GOVERNMENT OVERSIGHT 1100 G STREET NW SUITE #500 WASHINGTON, DC 20005		PC	INCREASED OVERSIGHT OF PRIVATE PRISON INDUSTRY	25,000.
PROTECT OUR WINNERS 4676 BROADWAY STREET BOULDER, CO 80304		PC	CLIMATE ACTION FROM OUTDOOR COMMUNITY	75,000.
RESEARCH FOUNDATION OF CUNY 524 WEST 59TH STREET SUITE 609B NEW YORK, NY 10019		PC	INSTITUTE FOR JUSTICE AND OPPORTUNITY AT JOHN JAY COLLEGE - CRIMINAL JUSTICE FELLOWSHIP	63,000.
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK 524 WEST 59TH STREET SUITE 609B NEW YORK, NY 10019		PC	INSTITUTE FOR JUSTICE AND OPPORTUNITY AT JOHN JAY COLLEGE	16,800.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RHODE ISLAND COMMUNITY FOOD BANK ASSOCIATION 200 NIANPIC AVENUE PROVIDENCE, RI 02907		PC	COVID-19 EFFORTS	100,000.
RHODE ISLAND COMMUNITY FOUNDATION ONE UNION STATION PROVIDENCE, RI 02903		PC	COVID-19 EFFORTS	100,000.
RHODE ISLAND FREE CLINIC 655 BROAD STREET PROVIDENCE, RI 02907		PC	COVID-19 EFFORTS	25,000.
RHODE ISLAND PUBLIC RADIO 1 UNION STATION PROVIDENCE, RI 02903		PC	COVID-19 EFFORTS	25,000.
ROCKEFELLER FAMILY FUND 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115		PC	EQUATION CAMPAIGN & CLIMATE PROGRESS FUND	223,500.
ROCKEFELLER FAMILY FUND 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115		PC	STRATEGIC CONSULTANT IN TX	250,000.
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET 10TH FLOOR NEW YORK, NY 10036		PC	DPSTART CO-LAB - CREATIVE ECONOMY	25,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET FLOOR 10 NEW YORK, NY 10036-1802		PC	ADMINISTERING THE DAVID ROCKEFELLER FUND TRUSTEE AND MATCHING GRANTS DONOR ADVISED FUND.	80,000.
SALZBURG GLOBAL SEMINAR 1250 H STREET NW SUITE 1150 WASHINGTON, DC 20005		PC	YOUTH JUSTICE INTERNATIONAL CONVENING	50,000.
SCHEHERAZADE INITIATIVES USA 8 THE GREEN SUITE A DOVER, DE 19901		PC	REPLICATION OF PARTICIPATORY THEATER MODEL	25,000.
SEPSIS ALLIANCE 3180 UNIVERSITY AVENUE SUITE 235 SAN DIEGO, CA 92104		PC	NATIONAL SEPSIS REGISTRY	40,350.
SHARE OUR STRENGTH 1030 15TH STREET NW SUITE 1100 WEST WASHINGTON, DC 20005		PC	STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING PURPOSES	1,000.
SIXTEEN THIRTY FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON, DC 20036		NC	EDUCATIONAL COMPONENTS OF THE CLIMATE EQUITY ACTION FUND, WHICH ADVOCATES FOR CLIMATE AND CLEAN ENERGY POLICIES.	494,430.
SOCIAL TEES ANIMAL RESCUE 325 E 5TH STREET NEW YORK, NY 10023		PC	STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING PURPOSES	25.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SOULS GROWN DEEP COMMUNITY PARTNERSHIP 10 LIBERTY STREET SUITE 24G NEW YORK, NY 10005		PC	GEE'S BEND QUILT TRAIL	10,000.
STATE VOICES 1616 P STREET NW NO. 220 WASHINGTON, DC 20036		PC	MICHIGAN VOICE VOTER EDUCATION PROJECT	100,000.
STELLA ADLER SCHOOL OF ACTING 65 BROADWAY - FLOOR 2 NEW YORK, NY 10006		PC	2020 COMMENCEMENT PROJECT	10,000.
SUSTAINABLE MARKETS FOUNDATION 45 WEST 36TH STREET FLOOR 6 NEW YORK, NY 10018		PC	BANK FORWARD INITIATIVE	25,000.
SUSTAINABLE MARKETS FOUNDATION 45 W. 36TH STREET 6TH FLOOR NEW YORK, NY 10018		PC	ECO-ACCOUNTABILITY PROJECT	25,000.
SWISS INSTITUTE 18 WOOSTER STREET NEW YORK, NY 10013		PC	COLLECTIVE APPEAL OF ARTS ORGANIZATIONS	50,000.
TEXAS CIVIL RIGHTS PROJECT 1405 MONTOPOLIS DRIVE AUSTIN, TX 78741		PC	LIMIT OIL AND GAS DEVELOPMENT IN TX	32,500.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE BAIL PROJECT PO BOX 750 VENICE, CA 90294		PC	BAIL REFORM	50,000.
THE CHURCH OF SF. LUKE IN THE FIELDS 481 HUDSON STREET NEW YORK, NY 10014		PC	STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING PURPOSES	1,000.
THE CLARA LIONEL FOUNDATION 81 PROSPECT STREET BROOKLYN, NY 11201		PC	RACIAL JUSTICE / POLICE REFORM	130,000.
THE CLARA LIONEL FOUNDATION 81 PROSPECT STREET BROOKLYN, NY 11201		PC	RACIAL JUSTICE EFFORTS	270,000.
THE CLARA LIONEL FOUNDATION 81 PROSPECT STREET BROOKLYN, NY 11201		PC	RACIAL JUSTICE WORK	100,000.
THE LAUNDROMAT PROJECT 1476 FULTON ST. BROOKLYN, NY 11216		PC	JUSTICE REFORM FELLOWSHIP	75,000.
THE MARSHALL PROJECT 156 WEST 56TH STREET SUITE 701 NEW YORK, NY 10019		PC	JUSTICE REFORM JOURNALISM	75,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE MARSHALL PROJECT 156 WEST 56TH STREET SUITE 701 NEW YORK, NY 10019		PC	SURVEY OF INCARCERATED INDIVIDUALS	8,000.
THE NORTH FUND 1101 CONNECTICUT AVENUE NW SUITE 450 WASHINGTON, DC 20036		NC	DEMOCRACY DOCKET ACTION FUND IN FIGHTING SUPPRESSIVE VOTING LAWS AND PRACTICES IN COURT WITH A PARTICULAR FOCUS ON THOSE LAWS AND PRACTICES THAT WILL HAVE THE GREATEST IMPACT ON MINORITY AND YOUNG VOTERS.	100,000.
THE OCEAN FOUNDATION 205 WENTWORTH AVENUE CRANSTON, RI 02905		PC	OCEAN STATE OF MIND PROJECT	75,000.
THE PUBLIC THEATER 425 LAFAYETTE ST. NEW YORK, NY 10003		PC	PUBLIC WORKS / MOBILE UNIT	100,000.
THE VOTER PROJECT 1229 CHESTNUT STREET PMB 177 PHILADELPHIA, PA 19107		NC	ENSURE THAT EACH PENNSYLVANIA VOTER IS ABLE TO CAST A BALLOT IN A SAFE, SECURE, CONVENIENT WAY.	100,000.
THE YEARS PROJECT 630 9TH AVENUE - SUITE 305 NEW YORK, NY 10036		PC	SOCIAL JUSTICE DOCUMENTARY FILMMAKING	85,000.
THEATRE OF THE OPPRESSED NYC 758 8TH AVENUE SUITE 300 NEW YORK, NY 10036		PC	SOCIALLY CONSCIOUS THEATER	50,000.

Total from continuation sheets

Part XV Supplementary Information (continued)**3a** Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TIDES ADVOCACY 1600 E. GRAND BLVD SUITE 300G DETROIT, MI 48211		NC	EDUCATIONAL PROJECT FOR DETROIT ACTION IN CHALLENGING THE ROOT CAUSE OF POVERTY, ADVANCING JUSTICE, AND PROMOTING HUMAN DEVELOPMENT THROUGH NEIGHBORHOOD-DRIVEN CIVIC ENGAGEMENT.	50,000.
TIDES ADVOCACY P.O. BOX 618147 SAN FRANCISCO, CA 29903		NC	SUPPORT FLORIDA RIGHTS RESTORATION COALITION IN ENDING DISSENTFRANCHISEMENT AND DISCRIMINATION AGAINST PEOPLE WITH CONVICTIONS.	50,000.
TIDES FOUNDATION 1012 TORNEY AVE SAN FRANCISCO, CA 94129		PC	THE JUSTICE COLLABORATIVE	100,000.
TIDES FOUNDATION 1014 TORNEY AVE SAN FRANCISCO, CA 94129		PC	MASS LIBERATION - JUSTICE REFORM ORGANIZING	75,000.
TIDES FOUNDATION P.O. BOX 618147 SAN FRANCISCO, CA 29903		PC	EDUCATIONAL ACTIVITIES OF THE FLORIDA RIGHTS RESTORATION COALITION, DEDICATED TO ENDING THE DISSENTFRANCHISEMENT AND DISCRIMINATION AGAINST PEOPLE WITH CONVICTIONS.	250,000.
TRANSGENDER LAW CENTER PO BOX 70976 OAKLAND, CA 94612-0976		PC	BLACK LGBTQIA+ MIGRANT PROJECT	10,000.
URBAN JUSTICE CENTER 40 RECTOR STREET 9TH FLOOR NEW YORK, NY 10006		PC	FREEDOM AGENDA JUSTICE REFORM PROJECT	50,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Name and address (home or business) Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
VERA INSTITUTE OF JUSTICE 34 35TH STREET SUITE 4-2A BROOKLYN, NY 11232		PC	DECARCERATION EFFORTS	75,000.
VICTORY GARDENS THEATER 2433 N LINCOLN AVE CHICAGO, IL 60614		PC	SOCIAL JUSTICE THEATER	30,000.
W. HAYWOOD BURNS INSTITUTE 475 14TH STREET SUITE 800 OAKLAND, CA 94612		PC	DATA-DRIVEN JUSTICE REFORM	100,000.
WESTERN ORGANIZATION OF RESOURCE COUNCILS EDUCATION PROJECT 220 SOUTH 27TH STREET SUITE B BILLINGS, MT 59101		PC	MONTANA VOICES CIVIC ENGAGEMENT PROJECT	75,000.
WINDWARD FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON, DC 20038		PC	MOSAIC FUND (ENVIRONMENT MOVEMENT INFRASTRUCTURE)	25,000.
WINDWARD FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON, DC 20039		PC	HIVE FUND FOR CLIMATE AND GENDER JUSTICE	100,000.
WOMEN OF COLOR ADVANCING PEACE AND SECURITY 3695 KETCHUM COURT WOODBRIDGE, VA 22193		PC	CLIMATE SECURITY	25,000.

Total from continuation sheets

Part XV Supplementary Information (continued)

3a Grants and Contributions Paid During the Year

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MOONASQUATUCKET RIVER WATERSHED COUNCIL 45 EAGLE STREET PROVIDENCE, RI 02909		PC	COVID-19 EFFORTS	10,000.
MOONASQUATUCKET VALLEY COMMUNITY BUILD 27 SIMS AVENUE PROVIDENCE, RI 02909		PC	THE STEEL YARD	15,000.
Total from continuation sheets				

Part XV Supplementary Information (continued)

3b Grants and Contributions Approved for Future Payment

Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET 10TH FLOOR NEW YORK, NY 10036		PC	UPSTART CO-LAB - CREATIVE ECONOMY	25,000.
URBAN JUSTICE CENTER 40 RECTOR STREET 9TH FLOOR NEW YORK, NY 10006		PC	FREEDOM AGENDA JUSTICE REFORM PROJECT	50,000.
WINDWARD FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON, DC 20038		PC	MOSAIC FUND (ENVIRONMENT MOVEMENT INFRASTRUCTURE)	25,000.
Total from continuation sheets				100,000.

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - A BETTER BIG SKY

SUPPORT MONTANA-BASED ORGANIZATION EDUCATIONG RURAL AND YOUNGER

MONTANANS ABOUT PROGRESSIVE POLICY.

Part XV | **Supplementary Information**

3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - BRAVE NEW FILMS ACTION FUND

CREATE AND DISTRIBUTE ENGAGING MEDIA TO EDUCATE AND MOTIVATE CITIZENS,

LEADING TO PRODUCTIVE CIVIC PARTICIPATION.

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Name of the organization

THE DAVID ROCKEFELLER FUND, INC.

Employer identification number

13-3533359

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE DAVID ROCKEFELLER FUND, INC.	Employer identification number 13-3533359
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CAMILLA ROCKEFELLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	\$ 29,150.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	MAEVE ROCKEFELLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	\$ 25,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	MICHAEL QUATTRONE & KALA SMITH 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	NILI GILBERT 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	REBECCA LAMBERT 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	\$ 150,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	PEGGY DULANY 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	\$ 60,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization THE DAVID ROCKEFELLER FUND, INC.	Employer identification number 13-3533359
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	GRIFFIN MILLER <hr/> 475 RIVERSIDE DRIVE, SUITE 900 <hr/> NEW YORK, NY 10115 <hr/>	\$ 12,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	MAX MILLER <hr/> 475 RIVERSIDE DRIVE, SUITE 900 <hr/> NEW YORK, NY 10115 <hr/>	\$ 12,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	DAVID & SUSAN ROCKEFELLER <hr/> 475 RIVERSIDE DRIVE, SUITE 900 <hr/> NEW YORK, NY 10115 <hr/>	\$ 25,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<hr/> <hr/> <hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<hr/> <hr/> <hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<hr/> <hr/> <hr/> <hr/>	\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization THE DAVID ROCKEFELLER FUND, INC.	Employer identification number 13-3533359
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Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____
_____	_____ _____ _____	\$ _____	_____

Name of organization THE DAVID ROCKEFELLER FUND, INC.	Employer identification number 13-3533359
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

Underpayment of Estimated Tax by Corporations

▶ Attach to the corporation's tax return. FORM 990-PF
▶ Go to www.irs.gov/Form2220 for instructions and the latest information.

2020

Name
THE DAVID ROCKEFELLER FUND, INC.

Employer identification number
13-3533359

Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment

1	Total tax (see instructions)	1	23,429.
2a	Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a	
2b	Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b	
2c	Credit for federal tax paid on fuels (see instructions)	2c	
2d	Total. Add lines 2a through 2c	2d	
3	Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty	3	23,429.
4	Enter the tax shown on the corporation's 2019 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5	4	63,398.
5	Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3	5	23,429.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it does not owe a penalty. See instructions.

- 6 The corporation is using the adjusted seasonal installment method.
 7 The corporation is using the annualized income installment method.
 8 The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment

	(a)	(b)	(c)	(d)
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year. Filers with installments due on or after April 1, 2020, and before July 15, 2020, see instructions	07/15/20	07/15/20	09/15/20	12/15/20
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column	1,627.	1,627.	2,880.	3,204.
11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions	14,717.		3,700.	
Complete lines 12 through 18 of one column before going to the next column.				
12 Enter amount, if any, from line 18 of the preceding column		13,090.	11,463.	12,283.
13 Add lines 11 and 12		13,090.	15,163.	12,283.
14 Add amounts on lines 16 and 17 of the preceding column				
15 Subtract line 14 from line 13. If zero or less, enter -0-	14,717.	13,090.	15,163.	12,283.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-		0.	0.	
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18				
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column	13,090.	11,463.	12,283.	

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

Part IV Figuring the Penalty

	(a)	(b)	(c)	(d)
19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. (C corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.) See instructions				
20 Number of days from due date of installment on line 9 to the date shown on line 19				
21 Number of days on line 20 after 4/15/2020 and before 7/1/2020				
22 Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 5\% (0.05)}{366}$	\$	\$	\$	\$
23 Number of days on line 20 after 6/30/2020 and before 10/1/2020				
24 Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 3\% (0.03)}{366}$	\$	\$	\$	\$
25 Number of days on line 20 after 9/30/2020 and before 1/1/2021				
26 Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 3\% (0.03)}{366}$	\$	\$	\$	\$
27 Number of days on line 20 after 12/31/2020 and before 4/1/2021				
28 Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 3\% (0.03)}{365}$	\$	\$	\$	\$
29 Number of days on line 20 after 3/31/2021 and before 7/1/2021				
30 Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{365}$	\$	\$	\$	\$
31 Number of days on line 20 after 6/30/2021 and before 10/1/2021				
32 Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{365}$	\$	\$	\$	\$
33 Number of days on line 20 after 9/30/2021 and before 1/1/2022				
34 Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{365}$	\$	\$	\$	\$
35 Number of days on line 20 after 12/31/2021 and before 3/16/2022				
36 Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$	\$	\$	\$	\$
37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36	\$	\$	\$	\$
38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns				\$ 0.

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

Schedule A Adjusted Seasonal Installment Method and Annualized Income Installment Method

See instructions.

Form 1120-S filers: For lines 1, 2, 3, and 21, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.

Part I Adjusted Seasonal Installment Method

Caution: Use this method only if the base period percentage for any 6 consecutive months is at least 70%.
See instructions.

		(a)	(b)	(c)	(d)
		First 3 months	First 5 months	First 8 months	First 11 months
1 Enter taxable income for the following periods.					
a Tax year beginning in 2017	1a				
b Tax year beginning in 2018	1b				
c Tax year beginning in 2019	1c				
2 Enter taxable income for each period for the tax year beginning in 2020. See the instructions for the treatment of extraordinary items	2				
3 Enter taxable income for the following periods.		First 4 months	First 6 months	First 9 months	Entire year
a Tax year beginning in 2017	3a				
b Tax year beginning in 2018	3b				
c Tax year beginning in 2019	3c				
4 Divide the amount in each column on line 1a by the amount in column (d) on line 3a	4				
5 Divide the amount in each column on line 1b by the amount in column (d) on line 3b	5				
6 Divide the amount in each column on line 1c by the amount in column (d) on line 3c	6				
7 Add lines 4 through 6	7				
8 Divide line 7 by 3.0	8				
9a Divide line 2 by line 8	9a				
b Extraordinary items (see instructions)	9b				
c Add lines 9a and 9b	9c				
10 Figure the tax on the amt on In 9c using the instr for Form 1120, Sch J, line 2, or comparable line of corp's return ...	10				
11a Divide the amount in columns (a) through (c) on line 3a by the amount in column (d) on line 3a	11a				
b Divide the amount in columns (a) through (c) on line 3b by the amount in column (d) on line 3b	11b				
c Divide the amount in columns (a) through (c) on line 3c by the amount in column (d) on line 3c	11c				
12 Add lines 11a through 11c	12				
13 Divide line 12 by 3.0	13				
14 Multiply the amount in columns (a) through (c) of line 10 by columns (a) through (c) of line 13. In column (d), enter the amount from line 10, column (d)	14				
15 Enter any alternative minimum tax (trusts only) for each payment period. See instructions	15				
16 Enter any other taxes for each payment period. See instr.	16				
17 Add lines 14 through 16	17				
18 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions	18				
19 Total tax after credits. Subtract line 18 from line 17. If zero or less, enter -0-	19				

Part II Annualized Income Installment Method

		(a)	(b)	(c)	(d)
		First <u>2</u> months	First <u>3</u> months	First <u>6</u> months	First <u>9</u> months
20 Annualization periods (see instructions)	20				
21 Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items	21	78,021.	117,032.	294,180.	503,844.
22 Annualization amounts (see instructions)	22	6.000000	4.000000	2.000000	1.333330
23a Annualized taxable income. Multiply line 21 by line 22	23a	468,126.	468,128.	588,360.	671,790.
b Extraordinary items (see instructions)	23b				
c Add lines 23a and 23b	23c	468,126.	468,128.	588,360.	671,790.
24 Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2, or comparable line of corporation's return	24	6,507.	6,507.	8,178.	9,338.
25 Enter any alternative minimum tax (trusts only) for each payment period (see instructions)	25				
26 Enter any other taxes for each payment period. See instr.	26				
27 Total tax. Add lines 24 through 26	27	6,507.	6,507.	8,178.	9,338.
28 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions	28				
29 Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0-	29	6,507.	6,507.	8,178.	9,338.
30 Applicable percentage	30	25%	50%	75%	100%
31 Multiply line 29 by line 30	31	1,627.	3,254.	6,134.	9,338.

Part III Required Installments

		1st installment	2nd installment	3rd installment	4th installment
Note: Complete lines 32 through 38 of one column before completing the next column.					
32 If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the smaller of the amounts in each column from line 19 or line 31	32	1,627.	3,254.	6,134.	9,338.
33 Add the amounts in all preceding columns of line 38. See instructions	33		1,627.	3,254.	6,134.
34 Adjusted seasonal or annualized income installments. Subtract line 33 from line 32. If zero or less, enter -0-	34	1,627.	1,627.	2,880.	3,204.
35 Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. Note: "Large corporations," see the instructions for line 10 for the amounts to enter	35	5,857.	5,858.	5,857.	5,857.
36 Subtract line 38 of the preceding column from line 37 of the preceding column	36		4,230.	8,461.	11,438.
37 Add lines 35 and 36	37	5,857.	10,088.	14,318.	17,295.
38 Required installments. Enter the smaller of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions	38	1,627.	1,627.	2,880.	3,204.

Form 2220 (2020)

** ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CASH	3,477.	3,477.	
SELF-HELP MONEY MARKET	291.	291.	
TOTAL TO PART I, LINE 3	3,768.	3,768.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
APERIO	359,382.	0.	359,382.	359,382.	
CRA QUALIFIED INVESTMENT FUND	150,693.	0.	150,693.	150,693.	
LIBRA FOSSIL FUEL FREE FUND, LP	248,438.	0.	248,438.	248,479.	
NEW ENERGY CAPITAL INFRASTRUCTURE CREDIT FUND II LP	14,316.	0.	14,316.	380.	
OTHER FIXED INCOME PARNASSUS FUND INSTITUTIONAL SHARES	224,533.	0.	224,533.	224,533.	
PAX WORLD GLOBAL ENVIRONMENTAL MARKETS FUND	300,381.	0.	300,381.	300,381.	
ROCKEFELLER ACCESS FUND 06-I, LLC	45,183.	0.	45,183.	45,183.	
ROCKEFELLER ALTERNATIVE STRATEGIES FUND, (LP)	105.	0.	105.	2,114.	
	33.	0.	33.	33.	
TO PART I, LINE 4	1,343,064.	0.	1,343,064.	1,331,178.	

FORM 990-PF	OTHER INCOME	STATEMENT 3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ROCKEFELLER ACCESS FUND 06-I, LLC STRATEGIES FUND, LP	0.	278.	
ROCKEFELLER LIBRA FOSSIL FUEL FREE FUND LP	0.	-31,526.	
LIBRA FOSSIL FUEL FREE FUND, LP	-25,042.	0.	
ROCKEFELLER ACCESS FUND 06-I, LLC	-130.	0.	
ROCKEFELLER ALTERNATIVE STRATEGIES FUND, LP	1,175.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-23,997.	-31,248.	

FORM 990-PF	LEGAL FEES	STATEMENT 4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PATTERSON BELKNAP WEBB & TYLER, LLP	10,531.	5,929.		13,406.
OTHER LEGAL FEES	750.	0.		750.
TO FM 990-PF, PG 1, LN 16A	11,281.	5,929.		14,156.

FORM 990-PF	ACCOUNTING FEES	STATEMENT 5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GRANT THORNTON, LLP	360.	0.		212.
PKF O'CONNOR DAVIES, LLP	28,012.	12,858.		1,792.
TO FORM 990-PF, PG 1, LN 16B	28,372.	12,858.		2,004.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROGRAM CONSULTANTS	145,288.	0.		145,368.
INVESTMENT ADVISORY COMMITTEE STIPENDS	5,000.	5,000.		0.
INVESTMENT MANAGEMENT FEES	218,479.	218,479.		0.
CONSULTANTS' FEES - BENEFIT RESOURCES	3,409.	0.		1,757.
INFORMATION & TECHNOLOGY SERVICES	12,002.	0.		11,788.
CUSTODY FEES	56,085.	56,085.		0.
TO FORM 990-PF, PG 1, LN 16C	440,263.	279,564.		158,913.

FORM 990-PF	TAXES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	19,583.	0.		0.
FOREIGN TAXES THROUGH K-1S	0.	25,500.		0.
TO FORM 990-PF, PG 1, LN 18	19,583.	25,500.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TELEPHONE & INTERNET	3,092.	149.		2,973.
INSURANCE	14,217.	688.		14,217.
GEN. OFFICE EXP: DUES & MEMBERSHIPS	21,560.	39.		21,560.
GEN. OFFICE EXP: MAINTENANCE & REPAIRS	14,662.	708.		11,675.
OFFICE & COMPUTER EQUIPMENT	1,346.	65.		1,346.
GENERAL OFFICE EXP: OTHER	16,076.	656.		15,356.
PORTFOLIO DEDUCTIONS THROUGH PARTNERSHIPS	152,353.	154,070.		0.
APERIO ADR FEES	37,649.	37,649.		0.
GEN. OFFICE EXP: PAYROLL FEES	8,705.	420.		8,705.
PRINTING & STATIONERY	20,830.	1,006.		20,851.
FILING FEE	1,500.	0.		1,500.
TO FORM 990-PF, PG 1, LN 23	291,990.	195,450.		98,183.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 9

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
BALTIMORE MARYLAND REVENUE 2.814% DUE 07/01/2040 CALL @ 100 07/01/2030	X		31,174.	31,174.
CALIFORNIA ST HEALTH FACS FING TAXABLE SR BDS 1.97% DUE 06/01/2023	X		25,914.	25,914.
CONTRA COSTA CALIFORNIA CMNTY CLG DIST BAB 6.504% DUE 08/01/2034	X		107,050.	107,050.
GOVERNMENT NATIONAL MORTGAGE ASSOCIATION G2 MA3033 3.00% DUE 08/20/2045	X		37,072.	37,072.
GOVERNMENT NATIONAL MORTGAGE ASSOCIATION G2 MA4321 3.50% DUE 3/20/2047	X		45,163.	45,163.
GOVERNMENT NATIONAL MORTGAGE ASSOCIATION G2 MA4901 4.00% DUE 12/20/2047	X		26,281.	26,281.
MASSACHUSETTS STATE WATER RESOURCES 1.734% DUE 08/01/2022	X		153,435.	153,435.
MET TRANSPORTATION AUTH NEW YORK REVENUE 5.00% DUE 05/15/2022	X		51,977.	51,977.
MET TRANSPORTATION AUTHORITY NEW YORK RV 5.00% DUE 11/15/2022	X		68,485.	68,485.
NYS DORMITORY AUTH REV 4.85% DUE 07/01/48 CALL @ 100 07/01/28	X		52,019.	52,019.
OREGON STATE 2.65% DUE 01/01/2021	X		105,000.	105,000.
SAN FRANCISCO CALIFORNIA BAY AREA RAPID 2.621% DUE 07/01/2023	X		79,115.	79,115.
SAN FRANCISCO CITY & CNTY CALIFORNIA 1.55% DUE 06/15/2022	X		152,478.	152,478.
TREASURY BILL 0.00% DUE 01/28/2021	X		319,988.	319,988.
UNIV OF NORTH CAROLINA NORTH CAROLINA 3.327% DUE 12/01/2036	X		175,569.	175,569.
UNIVERSITY OF CALIFORNIA CA REGENTS MED 1.62% DUE 05/15/2021	X		70,299.	70,299.
US TREASURY N/B 0.125% DUE 06/30/2022	X		458,071.	458,071.
US TREASURY N/B 0.125% DUE 09/15/2023	X		204,856.	204,856.
US TREASURY N/B 0.125% DUE 09/30/2022	X		358,014.	358,014.
US TREASURY N/B 0.125% DUE 12/15/2023	X		206,757.	206,757.
US TREASURY N/B 0.125% DUE 12/31/22	X		360,042.	360,042.
US TREASURY N/B 0.25% DUE 04/15/2023	X		340,837.	340,837.
US TREASURY N/B 0.375% DUE 03/31/2022	X		457,443.	457,443.
US TREASURY N/B 1.125% DUE 09/30/2021	X		455,408.	455,408.
US TREASURY N/B 1.25% DUE 05/15/2050	X		49,895.	49,895.

THE DAVID ROCKEFELLER FUND, INC.

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US TREASURY N/B 1.50% DUE 09/15/2022	X	588,431.	588,431.
US TREASURY N/B 1.625% DUE 09/30/2026	X	102,345.	102,345.
US TREASURY N/B 1.875% DUE 07/31/2022	X	128,452.	128,452.
US TREASURY N/B 2.00% DUE 12/31/2021	X	454,328.	454,328.
US TREASURY N/B 2.125% DUE 06/30/2021	X	453,455.	453,455.
US TREASURY N/B 2.25% DUE 03/31/2021	X	431,147.	431,147.
US TREASURY N/B 2.50% DUE 02/15/2045	X	103,751.	103,751.
US TREASURY N/B 2.625% DUE 03/31/2025	X	101,078.	101,078.
US TREASURY N/B 2.75% DUE 02/15/2028	X	136,464.	136,464.
US TREASURY N/B 2.875% DUE 11/15/2021	X	74,734.	74,734.
TOTAL U.S. GOVERNMENT OBLIGATIONS		6,966,527.	6,966,527.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			
TOTAL TO FORM 990-PF, PART II, LINE 10A		6,966,527.	6,966,527.

FORM 990-PF

CORPORATE STOCK

STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
2U, INC.	6,322.	6,322.
3M CO.	51,738.	51,738.
51JOB INC. - ADR	6,440.	6,440.
AAC TECHNOLOGIES HOLDINGS INC UNSPONSORED ADR	7,428.	7,428.
ABB LTD. - SPON ADR	30,644.	30,644.
ABBOTT LABORATORIES	88,249.	88,249.
ABBVIE INC.	77,898.	77,898.
ABIOMED, INC	648.	648.
ACADIA PHARMACEUTICALS, INC.	12,670.	12,670.
ACCENTURE PLC	101,088.	101,088.
ACCOR SA - ADR	9,924.	9,924.
ACS ACTIVIDADES CO-UNSPON ADR	10,630.	10,630.
ACTIVISION BLIZZARD, INC.	21,356.	21,356.
ADECCO SA UNSPONSORED - ADR	20,279.	20,279.
ADIDAS AG - SPONSORED ADR	19,683.	19,683.
ADOBE INC	103,025.	103,025.
ADTALEM GLOBAL EDUCATION INC.	1,562.	1,562.
ADVANCED MICRO DEVICES INC.	50,807.	50,807.
AEGON N.V. - AMERICAN REG SHS	11,333.	11,333.
AES CORPORATION	13,466.	13,466.
AGC INC - UNSP ADR	19,003.	19,003.
AGILENT TECHNOLOGIES, INC.	18,011.	18,011.
AGNICO-EAGLE MINES LIMITED	19,179.	19,179.

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AIA GROUP LTD. SPON ADR	97,820.	97,820.
AIR LIQUIDE - UNSPON ADR	51,316.	51,316.
AIR PRODUCTS AND CHEMICALS, INC.	39,890.	39,890.
AIRBUS GROUP NV - UNSPONS ADR	14,830.	14,830.
AKAMAI TECHNOLOGIES, INC.	14,279.	14,279.
ALCOA CORP	12,539.	12,539.
ALCON INC.	9,501.	9,501.
ALIBABA GROUP HOLDING LTD.	164,540.	164,540.
ALIGN TECHNOLOGY, INC.	12,291.	12,291.
ALLEGHENY TECHNOLOGIES, INC.	7,312.	7,312.
ALLIANCE DATA SYSTEMS CORPORATION	6,521.	6,521.
ALLIANZ SE - UNSPONSORED ADR	64,585.	64,585.
ALLSTATE CORPORATION	10,114.	10,114.
ALPHABET INC. - CLASS A	371,560.	371,560.
ALTRIA GROUP, INC.	25,133.	25,133.
AMADEUS IT HOLDING - UNSPONSORED ADR	24,267.	24,267.
AMAZON.COM INC	482,026.	482,026.
AMERICAN EXPRESS CO.	30,590.	30,590.
AMERICAN WATER WORKS CO., INC.	82,260.	82,260.
AMERISOURCEBERGEN CORPORATION	4,302.	4,302.
AMGEN INC.	52,422.	52,422.
AMPHENOL CORP CLASS A	12,946.	12,946.
ANALOG DEVICES, INC.	13,000.	13,000.
ANGLOGOLD LIMITED - SPON ADR	3,303.	3,303.
ANHEUSER-BUSCH INBEV SPONS ADR	31,739.	31,739.
ANHUI CONCH CEMENT COMPANT LIMITED ADR	7,451.	7,451.
ANTHEM INC.	32,751.	32,751.
AON PLC	10,564.	10,564.
APPLE INC.	855,718.	855,718.
APPLIED MATERIALS INC	11,651.	11,651.
ARCHER DANIELS MIDLAND CO.	13,661.	13,661.
ARISTA NETWORKS, INC.	10,170.	10,170.
ARKEMA - SPONS ADR	12,584.	12,584.
ARROW ELECTRONICS, INC.	24,033.	24,033.
ASAHI KASEI CORP - UNSPONS ADR	23,164.	23,164.
ASHTREAD GROUP PLC. - ADR	6,015.	6,015.
ASM PACIFIC TECHNOLOGY - UNSP ADR	40.	40.
ASML HOLDINGS NV NY REG SHS ADR	93,642.	93,642.
ASSA ABLOY AB - UNSPONSORED ADR	19,627.	19,627.
ASSOCIATED BRITISH FOODS PLC - ADR	20,921.	20,921.
ASTELLAS PHARMA INC UNSPONSORED ADR	26,756.	26,756.
ASTRAZENECA GROUP PLC - SPONS ADR	49,490.	49,490.
AT&T INC	66,522.	66,522.
ATLANTIA S.P.A. - UNSPONSORED ADR	18,401.	18,401.
ATLAS COPCO AB-SPONSORED ADR A	21,843.	21,843.
AUSTRALIA AND NEW ZEALAND BANKING GROUP LIMITED SPONSORED ADR	46,139.	46,139.
AUTODESK, INC.	22,290.	22,290.
AUTOHOME INC (ADR)	5,579.	5,579.
AUTOMATIC DATA PROCESSING	19,382.	19,382.
AVALARA INC	11,048.	11,048.
AVIVA PLC - SPONSORED ADR	26,636.	26,636.
AXA SA - SPONSORED ADR	20,914.	20,914.
BAE SYSTEMS PLC - SPON ADR	6,281.	6,281.
BAIDU.COM, INC.	3,892.	3,892.
BANCO BRADESCO SPON ADR	12,419.	12,419.
BANCO DO BRASIL S.A.- SPONSORED ADR	18,398.	18,398.
BANCOLOMBIA S.A. SPONSORED ADR	33,751.	33,751.

THE DAVID ROCKEFELLER FUND, INC.

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BANK OF AMERICA CORPORATION	86,414.	86,414.
BANK OF MONTREAL	69,111.	69,111.
BANK OF NEW YORK MELLON CORPORATION	24,827.	24,827.
BANK OF NOVA SCOTIA	80,682.	80,682.
BAOZUN INC SPONSORED ADR	1,924.	1,924.
BARRICK GOLD CORPORATION	20,593.	20,593.
BAXTER INT'L INC.	10,030.	10,030.
BAYER AG SPONSORED ADR	12,963.	12,963.
BAYERISCHE MOTOREN WERKE (BMW) - SPONS ADR	24,304.	24,304.
BCE INC.	47,679.	47,679.
BECTON DICKINSON & CO.	36,282.	36,282.
BED BATH & BEYOND INC.	6,678.	6,678.
BEIGENE, LTD. - ADR	4,909.	4,909.
BEST BUY CO., INC.	18,361.	18,361.
BIDVEST GROUP LTD - SPONSORED ADR	5,794.	5,794.
BILIBILI INC - ADR	27,345.	27,345.
BIOGEN IDEC INC.	23,507.	23,507.
BIOMARIN PHARMACEUTICAL INC.	2,368.	2,368.
BLACKBAUD	1,439.	1,439.
BLACKBERRY LIMITED	8,049.	8,049.
BLACKROCK, INC CLASS A	49,786.	49,786.
BLUEBIRD BIO, INC.	1,774.	1,774.
BOC HONG KONG HOLDINGS - SPONSORED ADR	19,639.	19,639.
BOEING CO.	29,968.	29,968.
BOOKING HOLDINGS INC	11,136.	11,136.
BOSTON SCIENTIFIC CO.	5,105.	5,105.
BRAMBLES LIMITED - SPONSORED ADR	23,541.	23,541.
BRF-BRASIL FOODS SA ADR	5,678.	5,678.
BRISTOL MYERS SQUIBB CO.	56,571.	56,571.
BRITISH AMERICAN TOBACCO PLC - SP ADR	28,005.	28,005.
BROADCOM INC.	57,358.	57,358.
BROWN-FORMAN CORPORATION - CL B	20,652.	20,652.
BUNGE LIMITED	15,411.	15,411.
BUNZL PLC-SPONSORED ADR	16,530.	16,530.
BURBERRY GROUP PLC SPON ADR	11,399.	11,399.
BURLINGTON STORES, INC.	7,062.	7,062.
BYD COMPANY LIMITED - UNSPONSORED ADR	8,648.	8,648.
CAE, INC.	12,733.	12,733.
CAMPBELL SOUP CO.	7,349.	7,349.
CANADIAN NATIONAL RAILWAY COMPANY	27,572.	27,572.
CANADIAN PACIFIC RAILWAY LTD	44,376.	44,376.
CANON INC. - SPONSORED ADR	17,993.	17,993.
CANTEL MEDICAL CORP	4,101.	4,101.
CAPGEMINI SA - UNSPONSORED ADR	21,100.	21,100.
CARDINAL HEALTH, INC.	11,676.	11,676.
CATALENT, INC.	16,859.	16,859.
CATERPILLAR INC.	30,397.	30,397.
CBOE GLOBAL MARKETS INC	10,057.	10,057.
CBRE GROUP, INC.	30,796.	30,796.
CEMIG SA -SPONSORED ADR	11,154.	11,154.
CENTENE CORPORATION	2,161.	2,161.
CENTRAL JAPAN RAILWAY UNSPONSORED ADR	9,751.	9,751.
CERENCE INC	13,163.	13,163.
CHARTER COMMUNICATIONS INC. CLASS A SHARES	26,462.	26,462.
CHINA CONSTRUCTION BANK CORPORATION	13,977.	13,977.
CHINA LIFE INSURANCE CO., LIMITED ADR	14,323.	14,323.
CHINA LODGING GROUP SPONSORED ADR	2,792.	2,792.
CHINA MOBILE (HONG KONG) LIMITED SP ADR	23,003.	23,003.

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CHINA OVERSEAS LAND & INVESTMENT LTD. - ADR	24,930.	24,930.
CHINA UNICOM - ADR	11,207.	11,207.
CHIPOTLE MEXICAN GRILL	20,801.	20,801.
CHUBB LIMITED	10,159.	10,159.
CHUNGHWA TELECOM LTD ADR	88,440.	88,440.
CHURCH & DWIGHT CO., INC.	8,549.	8,549.
CIA CERVECERIAS UNIDAS - ADR	17,714.	17,714.
CIGNA CORPORATION	27,896.	27,896.
CISCO SYSTEMS, INC.	85,070.	85,070.
CITIGROUP INC.	60,427.	60,427.
CLEAN HARBORS, INC.	10,806.	10,806.
CME GROUP INC.	14,928.	14,928.
CNH INDUSTRIAL NV	23,086.	23,086.
COCA COLA COMPANY	109,790.	109,790.
COCA-COLA EUROPEAN PARTNERS	18,288.	18,288.
COCA-COLA HBC - UNSPON ADR	17,780.	17,780.
COGNIZANT TECHNOLOGY SOLUTIONS CORP.	28,601.	28,601.
COLA-COLA AMATIL LTD - SPONSORED ADR	19,247.	19,247.
COLGATE-PALMOLIVE	59,601.	59,601.
COLOPLAST A/S - UNSPONSORED ADR	8,099.	8,099.
COMCAST CORPORATION - CL A	54,077.	54,077.
COMERICA INCORPORATED	3,407.	3,407.
COMMERCIAL INTERNATIONAL BANK - ADR	8,403.	8,403.
COMMERCIAL METALS COMPANY	4,416.	4,416.
COMMONWEALTH BANK OF AUSTRALIA UNSPONSORED ADR	84,776.	84,776.
COMPAGNIE FINANCIERE RICHEMONT SA UNSPONSORED ADR	42,850.	42,850.
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO - ADR	7,413.	7,413.
COMPANHIA PARANAENSE DE ENERGIA-COPEL SPONSORED ADR	14,400.	14,400.
COMPASS GROUP PLC - SPONSORED ADR	30,686.	30,686.
COMPASS MINERALS INTERNATIONAL, INC.	7,283.	7,283.
CONAGRA BRANDS, INC.	3,300.	3,300.
CONSTELLATION BRANDS, INC.	2,191.	2,191.
CORTEVA INC.	11,229.	11,229.
COSTCO WHOLESALE CORPORATION	16,202.	16,202.
COTY INC - CLASS A	2,822.	2,822.
COUPA SOFTWARE INC	12,540.	12,540.
CREDIT SUISSE GROUP - SPON ADR	30,195.	30,195.
CRH PLC - SPONSORED ADR	20,737.	20,737.
CROWDSTRIKE HOLDINGS INC	19,064.	19,064.
CSL LIMITED - SPONSORED ADR	53,755.	53,755.
CSX CORPORATION	9,438.	9,438.
CUMMINS ENGINE INC.	58,365.	58,365.
CVS HEALTH CORPORATION	29,437.	29,437.
DAI NIPPON PRINTING CO., LTD. - ADR	28,550.	28,550.
DAIICHI SANKYO COMPANY LTD	29,377.	29,377.
DAIKIN INDUSTRIES, LTD. UNSPONSORED ADR	41,513.	41,513.
DAIMLER AG UNSPONSORED- ADR	7,000.	7,000.
DAIWA HOUSE INDUSTRY CO., LTD. UNSPONSORED ADR	27,896.	27,896.
DANAHER CORPORATION	35,765.	35,765.
DANONE S.A. SPONSORED ADR	24,470.	24,470.
DARDEN RESTAURANTS, INC.	10,125.	10,125.
DBS GROUP HOLDINGS - SPON ADR	33,118.	33,118.
DEERE & COMPANY	24,753.	24,753.
DELL TECHNOLOGIES	13,046.	13,046.
DENTSPLY SIRONA INC.	10,053.	10,053.

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DEUTSCHE BANK AG - REG ADR	19,587.	19,587.
DEUTSCHE POST AG SPONSORED ADR	50,595.	50,595.
DEUTSCHE TELEKOM AG-SPON ADR	11,620.	11,620.
DEXCOM, INC.	17,747.	17,747.
DIAGEO PLC - SPONSORED ADR	53,995.	53,995.
DNB ASA SPONSOR ADR	52,370.	52,370.
DOCUSIGN INC.	16,895.	16,895.
DOLLAR TREE, INC.	6,699.	6,699.
DOMINION ENERGY INC	39,931.	39,931.
DOW INC.	18,815.	18,815.
DR. REDDY'S LABORATORIES LIMITED - ADR	11,335.	11,335.
DSV A/S - UNSPONSORED ADR	28,168.	28,168.
DUPONT DE NEMOURS INC	25,884.	25,884.
DXC TECHNOLOGY CO	8,832.	8,832.
EAST JAPAN RAILWAY COMPANY -UNSP ADR	19,606.	19,606.
EASTMAN CHEMICAL COMPANY	21,259.	21,259.
EBAY INC.	16,784.	16,784.
ECOLAB INC.	36,349.	36,349.
EDENRED SA - ADR	14,196.	14,196.
EDISON INTERNATIONAL	11,873.	11,873.
EDP - ENERGIAS DE PORTUGAL, S.A.	6,372.	6,372.
EDWARDS LIFESCIENCES CORPORATION	30,380.	30,380.
EISAI CO., LTD. - SPONSORED ADR	10,782.	10,782.
ELECTRONIC ARTS INC.	5,744.	5,744.
ELI LILLY & CO.	44,574.	44,574.
EMBRAER SA- ADR	1,600.	1,600.
EMERSON ELECTRIC CO.	35,765.	35,765.
ENEL AMERICAS SA - ADR	21,232.	21,232.
ENN ENERGY HOLDINGS UNSPON ADR	24,246.	24,246.
ERSTE GROUP BANK AG - ADR	6,256.	6,256.
ESSILOR INTERNATIONAL SA ADR	34,490.	34,490.
ETSY, INC.	6,939.	6,939.
EVERBRIDGE INC	11,180.	11,180.
EVERSOURCE ENERGY	15,399.	15,399.
EXACT SCIENCES CORPORATION	9,009.	9,009.
EXELON CORPORATION	20,097.	20,097.
EXPERIAN PLC - SPONS ADR	24,105.	24,105.
FACEBOOK INC.	232,186.	232,186.
FAIR ISSAAC CORPORATION	10,221.	10,221.
FAST RETAILING CO LTD - ADR	29,556.	29,556.
FEDEX CORPORATION	15,577.	15,577.
FERGUSON PLC - ADR	14,937.	14,937.
FIAT CHRYSLER AUTOMOBILES NV	13,188.	13,188.
FIDELITY NATIONAL INFORMATION	9,336.	9,336.
FIRST AMERICAN FINANCIAL CORPORATION	2,788.	2,788.
FISERV, INC.	16,168.	16,168.
FLEX LTD	16,038.	16,038.
FLOWSERVE CORPORATION	9,950.	9,950.
FMC CORPORATION	33,904.	33,904.
FORD MOTOR COMPANY	9,687.	9,687.
FRESENIUS SE & CO KGAA - SPONSORED ADR	3,565.	3,565.
FUJIFILM HOLDINGS- UNSPON ADR	10,850.	10,850.
FUJITSU LIMITED - UNSPONSORED ADR	37,246.	37,246.
GAP, INC.	8,561.	8,561.
GDS HOLDINGS LTD - ADR	18,073.	18,073.
GEA GROUP AG - SPON ADR	4,765.	4,765.
GEELY AUTOMOBILE HOLDINGS- ADR	16,200.	16,200.
GENERAL ELECTRIC CO.	41,753.	41,753.

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GENERAL MOTORS CO.	36,019.	36,019.
GENMAB A/S - ADR	13,418.	13,418.
GILDAN ACTIVEWEAR INC.	24,453.	24,453.
GILEAD SCIENCES INC.	26,858.	26,858.
GIVAUDAN SA-UNSPONSORED ADR	33,758.	33,758.
GLAXOSMITHKLINE PLC - ADR	40,406.	40,406.
GLOBAL PAYMENTS INC.	15,510.	15,510.
GODADDY INC. - CLASS A	8,046.	8,046.
GOLDMAN SACHS GROUP, INC.	34,546.	34,546.
GRUPO AEROPORTUARIO DEL - ADR	223.	223.
GRUPO AEROPORTUARIO DEL SURESTE S.A. DE C.V. - ADR	12,865.	12,865.
GRUPO AVAL ACCIONES Y VALORES S.A.	9,481.	9,481.
GRUPO FINANCIERO BANORTE SAB SPONSORED ADR	14,005.	14,005.
HANG LUNG PROPERTIES LTD - SPONSORED ADR	11,525.	11,525.
HANG SENG BANK- ADR	46,729.	46,729.
HANNOVER RUECKVERSICHERUNG AG-ADR	16,102.	16,102.
HARTFORD FINANCIAL SERVICES GROUP, INC.	6,270.	6,270.
HASBRO, INC.	19,082.	19,082.
HCA HEALTHCARE INC.	14,308.	14,308.
HEINEKEN NV- SPN ADR	11,273.	11,273.
HENKEL AG & CO/S ADR	18,524.	18,524.
HERMES INTERNATIONAL UNSPONSORED ADR	11,839.	11,839.
HERSHEY FOODS CORP.	18,889.	18,889.
HEWLETT PACKARD ENTERPRISE COMPANY	12,336.	12,336.
HILTON WORLDWIDE HOLDINGS INC.	31,042.	31,042.
HITACHI, LTD. - ADR	41,105.	41,105.
HOLOGIC, INC.	15,731.	15,731.
HOME DEPOT INC.	78,624.	78,624.
HONDA MOTOR CO., LTD	20,877.	20,877.
HONEYWELL INTERNATIONAL INC.	13,613.	13,613.
HONG KONG EXCHANGES & CLEARING LIMITED UNSPONSORED ADR	63,581.	63,581.
HORMEL FOODS CORP.	8,716.	8,716.
HOWMET AEROSPACE INC	14,555.	14,555.
HOYA CORPORATION	21,976.	21,976.
HP INC.	39,270.	39,270.
HSBC HOLDINGS PLC - SPONS ADR	37,025.	37,025.
HUANENG POWER INTERNATIONAL, INC. - SPONS ADR	7,263.	7,263.
HUMANA INC.	17,231.	17,231.
HUYA INC - ADR	8,430.	8,430.
IBERDROLA S.A. - SPONSORED ADR	55,029.	55,029.
ICICI BANK LIMITED - SPON ADR	46,155.	46,155.
ICL GROUP LTD	17,534.	17,534.
IDEX CORPORATION	15,139.	15,139.
IHS MARKIT LTD	62,073.	62,073.
ILLINOIS TOOL WORKS INC.	17,738.	17,738.
ILLUMINA, INC.	16,650.	16,650.
IMPALA PLATINUM - SPONSORED ADR	24,260.	24,260.
IMPERIAL BRANDS PLC - ADR	14,000.	14,000.
INDUSTRIA DE DISENO TEXTIL, S.A. UNSPONSORED ADR	18,416.	18,416.
INDUSTRIAL AND COMMERCIAL BANK OF CHINA LTD. - UNSPONSORED ADR	12,196.	12,196.
INFINEON TECHNOLOGIES AG - ADR	25,925.	25,925.
INFORMA PLC- ADR	15,474.	15,474.
INFOSYS TECHNOLOGIES LIMITED	58,122.	58,122.
ING GROEP N.V. - SPONSORED ADR	17,011.	17,011.
INGERSOLL-RAND INC.	3,827.	3,827.

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INSULET CORPORATION	10,737.	10,737.
INTEL CORP.	75,477.	75,477.
INTERCONTINENTAL HOTELS GROUP PLC - ADR	21,424.	21,424.
INTERCONTINENTALEXCHANGE INC.	10,953.	10,953.
INTERNATIONAL FLAVORS & FRAGRANCES INC.	4,789.	4,789.
INT'L BUSINESS MACHINES CORP.	48,967.	48,967.
INTUIT CORP.	40,264.	40,264.
IQIYI, INC - ADR	5,768.	5,768.
IQVIA HOLDINGS INC	13,975.	13,975.
J.P. MORGAN CHASE & CO.	116,396.	116,396.
JACOBS ENGINEERING GROUP, INC.	5,012.	5,012.
JANUS HENDERSON GROUP PLC	12,126.	12,126.
JD.COM INC - ADR	38,764.	38,764.
JOHNSON & JOHNSON	167,925.	167,925.
JOHNSON CONTROLS INTERNATIONAL PLC	40,580.	40,580.
JONES LANG LASALLE INC.	5,490.	5,490.
JOYY INC. - ADR	9,998.	9,998.
KANSAS CITY SOUTHERN	10,207.	10,207.
KAO CORP - ADR	44,850.	44,850.
KASIKORNBANK PUBLIC COMPANY LIMITED ADR	11,583.	11,583.
KB FINANCIAL GROUP INC. - ADR	51,084.	51,084.
KBC GROEP NV - UNSPONSORED ADR	20,044.	20,044.
KELLOGG COMPANY	9,023.	9,023.
KERING -UNSPONSORED ADR	34,910.	34,910.
KEURIG DR PEPPER INC	8,576.	8,576.
KEYCORP	4,874.	4,874.
KEYSIGHT TECHNOLOGIES INC.	6,076.	6,076.
KIMBERLY-CLARK CORP	38,157.	38,157.
KIMBERLY-CLARK DE MEXICO, S.A. DE C.V. ADR	4,936.	4,936.
KINROSS GOLD CORPORATION	9,645.	9,645.
KIRBY CORPORATION	15,445.	15,445.
KIRIN BREWERY CO - SPON ADR	23,504.	23,504.
KOHL'S CORP.	2,726.	2,726.
KOMATSU LTD. - SPONS ADR	45,874.	45,874.
KONE OYJ-B-UNSPONSORED ADR	8,376.	8,376.
KONINKLIJKE AHOLD DELHAIZE NV - ADR	25,788.	25,788.
KONINKLIJKE DSM NV - SPONS ADR	34,111.	34,111.
KONINKLIJKE PHILIPS ELECT-N.V. NY SHS	23,997.	23,997.
KROGER CO.	16,801.	16,801.
KUBOTA CORPORATION - SPONS ADR	36,301.	36,301.
KYOCERA CORPORATION - SPONS ADR	29,410.	29,410.
L3 HARRIS TECHNOLOGIES INC	9,262.	9,262.
LAM RESEARCH CORPORATION	14,168.	14,168.
LAMB WESTON HOLDINGS INC	5,591.	5,591.
LAS VEGAS SANDS CORP.	13,470.	13,470.
LEGRAND SA-UNSPONSORED ADR	24,474.	24,474.
LEIDOS HOLDINGS INC.	17,976.	17,976.
LENOVO GROUP LIMITED ADR	39,990.	39,990.
LINCOLN NATIONAL CORPORATION	24,753.	24,753.
LINDE AG	84,587.	84,587.
LIONS GATE ENTERTAINMENT - A	2,456.	2,456.
LIVERAMP HOLDINGS INC.	3,879.	3,879.
LOCALIZA RENT A CAR SA - ADR	27,000.	27,000.
LOCKHEED MARTIN CORPORATION	27,334.	27,334.
LOEWS CORPORATION	29,758.	29,758.
LONDON STOCK EXCHANGE GROUP PLC - UNSPON ADR	34,354.	34,354.
LONZA GROUP LTD- SPONSORED ADR	24,452.	24,452.
L'OREAL SA-UNSPONSORED ADR	41,070.	41,070.

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LOWE'S COMPANIES	27,608.	27,608.
LULULEMON ATHLETICA INC.	25,406.	25,406.
LVMH MOET HENNESSY LOUIS VUITTON SA UNSPONSORED ADR	88,766.	88,766.
LYFT INC - A	4,078.	4,078.
MANPOWER INC.	11,904.	11,904.
MARKETAXESS HOLDINGS, INC.	7,988.	7,988.
MARRIOTT INTERNATIONAL CL A	23,350.	23,350.
MARSH MCLENNAN & CO.	9,126.	9,126.
MASTERCARD INC - CLASS A	108,867.	108,867.
MCDONALD'S CORPORATION	51,070.	51,070.
MCKESSON HBOC INC.	16,349.	16,349.
MEDTRONIC, PLC	79,187.	79,187.
MELCO CROWN ENTERTAINMENT LTD. - ADR	13,430.	13,430.
MERCK & CO. INC.	103,641.	103,641.
MERCK KGAA - SPONSORED ADR	42,416.	42,416.
MICHELIN CGDE UNSPON ADR	9,888.	9,888.
MICROCHIP TECHNOLOGY INC.	16,297.	16,297.
MICRON TECHNOLOGY, INC.	38,041.	38,041.
MICROSOFT CORP.	621,219.	621,219.
MINERALS TECHNOLOGIES INC.	6,771.	6,771.
MITSUBISHI ELECTRIC CORPORATION UNSPONSORED ADR	29,588.	29,588.
MITSUBISHI ESTATE COMPANY, LTD. UNSPONSORED ADR	22,742.	22,742.
MITSUBISHI UFJ FINANCIAL GROUP, INC. - ADR	17,281.	17,281.
MODERNA INC	17,238.	17,238.
MOLSON COORS BEVERAGE CO.	22,234.	22,234.
MONDELEZ INTERNATIONAL INC.	38,064.	38,064.
MORGAN STANLEY	22,752.	22,752.
MOTOROLA SOLUTIONS, INC.	19,047.	19,047.
MOWI ASA - SPONSORED ADR	12,136.	12,136.
MTU AERO ENGINES AG - UNSPON ADR	10,967.	10,967.
MUENCHENER RUECKVERSICHERUNGS- GESELLSCHAFT AG (MUNICHRE)- UNSPONSORED ADR	28,520.	28,520.
MURATA MANUFACTURING CO LTD - UNSPON ADR	4,062.	4,062.
NASPERS LTD - SPONSORED ADR	26,722.	26,722.
NATIONAL AUSTRALIA BANK LTD - SP ADR	60,026.	60,026.
NATIONAL GRID PLC - SP ADR	10,094.	10,094.
NATURA & CO HOLDING ADR	18,580.	18,580.
NEDBANK GROUP LTD - SPONS ADR	1,384.	1,384.
NESTLE SA-SPONS ADR FOR REG	98,251.	98,251.
NETAPP, INC.	2,583.	2,583.
NETEASE.COM INC. - ADR	16,760.	16,760.
NETFLIX INC.	76,243.	76,243.
NEW JERSEY RESOURCES CORPORATION	11,767.	11,767.
NEW ORIENTAL EDUCATION & TECHNOLOGY GRP SPONSORED- ADR	22,669.	22,669.
NEW RELIC INC	3,597.	3,597.
NEWCREST MINING LTD. - SPON ADR	11,717.	11,717.
NEWMONT GOLDCORP CORPORATION	39,408.	39,408.
NEXTERA ENERGY, INC.	54,005.	54,005.
NICE SYSTEMS LTD. - SPONS ADR	24,668.	24,668.
NIDEC CORPORATION - SPON ADR	31,807.	31,807.
NIELSEN HOLDINGS PLC	13,712.	13,712.
NIKE INC. - CL B	54,607.	54,607.
NINTENDO CO LTD - UNSPONSORED ADR	4,463.	4,463.
NIO INC ADR	23,785.	23,785.
NIPPON TELEGRAPH & TELEPHONE -ADR	33,387.	33,387.
NISSAN MOTORS CO., LTD. - SPON ADR	13,853.	13,853.

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NOAH HOLDINGS LTD - SPONSORED ADR	15,969.	15,969.
NOKIA - ADR A	19,323.	19,323.
NOMURA HOLDINGS, INC. - ADR	23,319.	23,319.
NORDEA BANK ABP - SPONSORED ADR	11,508.	11,508.
NORSK HYDRO AS SPON ADR	58,515.	58,515.
NORTHERN TRUST CORPORATION	8,942.	8,942.
NORTHROP GRUMMAN CORPORATION	14,017.	14,017.
NORTONLIFELOCK INC	12,904.	12,904.
NOVARTIS AG - ADR	72,239.	72,239.
NOVO NORDISK A/S-SPONS ADR	54,274.	54,274.
NOVOZYMES A/S UNSPONS-ADR	15,742.	15,742.
NTT DOCOMO, INC. - SPONSORED ADR	54,849.	54,849.
NUTANIX INC - CLASS A SHARES	319.	319.
NUTRIEN LTD.	31,737.	31,737.
NVIDIA CORPORATION	125,328.	125,328.
NXP SEMICONDUCTORS NV	27,986.	27,986.
OKTA, INC.	19,324.	19,324.
OLYMPUS CORP. - SPON ADR	7,387.	7,387.
OMNICOM GROUP	19,896.	19,896.
OMRON CORPORATION - SPON ADR	32,079.	32,079.
ON SEMICONDUCTOR CORPORATION	27,591.	27,591.
ORACLE CORPORATION	21,930.	21,930.
ORIX CORPORATION - SPON ADR	31,937.	31,937.
ORKLA ASA SPON ADR	30,748.	30,748.
OTSUKA HLDGS CO-UNSPON ADR	3,937.	3,937.
OWENS CORNING INC.	27,046.	27,046.
PAN AMERICAN SILVER CORPORATION	14,736.	14,736.
PANASONIC CORPORATION SPON ADR	28,873.	28,873.
PARKER-HANNIFIN CORPORATION	25,334.	25,334.
PAYPAL HOLDINGS INC.	92,743.	92,743.
PEARSON PLC - SPONSORED ADR	14,202.	14,202.
PELTON INTERACTIVE INC - A	16,993.	16,993.
PEPSICO, INC	98,916.	98,916.
PERNOD-RICARD - SPONS ADR	31,848.	31,848.
PFIZER INC.	72,921.	72,921.
PG&E CORPORATION	9,806.	9,806.
PHILIP MORRIS INTERNATIONAL INC.	50,005.	50,005.
PING AN INSURANCE - ADR	29,552.	29,552.
PINTEREST INC - CLASS A	24,251.	24,251.
PLDT INC- UNSPONSORED ADR	4,075.	4,075.
PNC FINANCIAL SERVICES GROUP	34,866.	34,866.
PPL CORPORATION	8,601.	8,601.
PRINCIPAL FINANCIAL GROUP	10,765.	10,765.
PROCTER & GAMBLE CO.	135,522.	135,522.
PROGRESSIVE CORPORATION	4,845.	4,845.
PRUDENTIAL FINANCIAL, INC.	13,740.	13,740.
PRUDENTIAL PLC - ADR	13,406.	13,406.
PRYSMIAN SPA-UNSPON ADR	3,558.	3,558.
PT BANK MANDIRI TBK - ADR	25,948.	25,948.
PT TELEKOMUNIKASI INDONESIA PERSERO TBK SPON ADR	7,103.	7,103.
PUBLIC SERVICE ENTERPRISE GROUP INC.	31,599.	31,599.
PUBLICIS GROUPE SA - ADR	17,206.	17,206.
QBE INSURANCE GROUP-SPN ADR	9,492.	9,492.
QIAGEN NV	2,484.	2,484.
QUALCOMM INC.	65,963.	65,963.
QUEST DIAGNOSTICS INCORPORATED	6,078.	6,078.
QUIDEL CORPORATION	10,060.	10,060.
RAYTHEON TECHNOLOGIES CORP	44,050.	44,050.

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REATA PHARMACEUTICALS INC	7,294.	7,294.
RECKITT BENCKISER GROUP PLC- SPONS ADR	22,356.	22,356.
REGENERON PHARMACEUTICALS	4,348.	4,348.
REGIONS FINANCIAL CORPORATION	23,761.	23,761.
RELX PLC - SPONSORED ADR	42,687.	42,687.
RENTOKIL INITIAL PLC - ADR	15,081.	15,081.
RESMED INC.	18,280.	18,280.
RINGCENTRAL INC - CLASS A	21,222.	21,222.
RIO TINTO PLC - SPONSORED ADR	62,282.	62,282.
RITCHIE BROS. AUCTIONEERS INCORPORATED	19,544.	19,544.
ROCHE HOLDING AG - SPONS ADR	97,180.	97,180.
ROGERS COMMUNICATIONS	19,475.	19,475.
ROKU INC	20,917.	20,917.
ROSS STORES, INC.	14,737.	14,737.
ROYAL BANK OF CANADA	78,367.	78,367.
RSA INSURANCE GROUP PLC - ADR	23,307.	23,307.
RYDER SYSTEMS, INC.	13,278.	13,278.
S&P GLOBAL INC	79,224.	79,224.
SAFRAN SA-UNSPON ADR	17,450.	17,450.
SAGE THERAPEUTICS, INC	6,142.	6,142.
SALESFORCE.COM, INC.	66,314.	66,314.
SAMPO OYJ-A SHS-UNSPONSORED ADR	4,357.	4,357.
SANDVIK AB - SPONSORED ADR	35,370.	35,370.
SANLAM LTD. - SPONSORED ADR	22,270.	22,270.
SANOFI-AVENTIS - ADR	40,816.	40,816.
SANTEN PHARMACEUTICAL - UNSP ADR	8,128.	8,128.
SAP AG - SPONSORED ADR	67,021.	67,021.
SCHNEIDER ELECTRIC SA-UNSPONSORED ADR	49,503.	49,503.
SCHWAB (CHARLES) CORP.	11,669.	11,669.
SCIENCE APPLICATIONS INTERNATIONAL CORP.	3,786.	3,786.
SEAGATE TECHNOLOGY	10,008.	10,008.
SEAGEN INC	6,130.	6,130.
SEKISUI HOUSE SPON ADR	36,449.	36,449.
SEMPRA ENERGY	29,559.	29,559.
SERVICENOW INC	35,228.	35,228.
SEVEN & I HOLDINGS CO-UNSPONSORED ADR	32,747.	32,747.
SHARP CORP-UNSPONSORED ADR	5,938.	5,938.
SHAW COMMUNICATIONS INC. CLASS B	4,811.	4,811.
SHIMANO INC-UNSPON - ADR	10,731.	10,731.
SHIN-ETSU CHEMICAL CO., LTD. -UNSPON ADR	41,236.	41,236.
SHINHAN FINANCIAL GROUP CO., LTD. - ADR	54,104.	54,104.
SHISEIDO COMPANY, LIMITED SPON ADR	12,234.	12,234.
SIEMENS AG-SPONS ADR	44,576.	44,576.
SINGAPORE TELECOMMUNICATIONS LIMITED ADR	50,512.	50,512.
SINOPEC SHANGHAI PETROCHEMICAL CO-SP ADR	8,849.	8,849.
SK TELECOM CO., LTD.	59,486.	59,486.
SMITH & NEPHEW PLC ADR	4,175.	4,175.
SNAP INC - CLASS A	21,380.	21,380.
SOCIEDAD QUIMICA Y MINERA DE CHILE SA SPONSORED ADR	17,182.	17,182.
SOFTBANK CORP - UNSPON ADR	31,531.	31,531.
SONIC HEALTHCARE SPONSORED ADR	20,963.	20,963.
SONY GROUP CORPORATION - SPONS ADR	75,421.	75,421.
SOUTHWEST GAS HOLDINGS INC	35,296.	35,296.
SPIRIT AEROSYSTEMS HOLDINGS, INC. CLASS A	11,610.	11,610.
SPLUNK INC.	5,946.	5,946.
SQUARE INC - A	18,499.	18,499.
STANLEY BLACK & DECKER INC.	5,000.	5,000.

THE DAVID ROCKEFELLER FUND, INC.

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STARBUCKS CORPORATION	79,914.	79,914.
STATE STREET CORP.	43,450.	43,450.
STEEL DYNAMICS, INC.	1,290.	1,290.
STMICROELECTRONICS-N.V. NY SHS	33,148.	33,148.
STORA ENSO OYJ - SPONS ADR	23,909.	23,909.
STRATEGIC EDUCATION INC.	10,391.	10,391.
STRYKER CORPORATION	17,888.	17,888.
SUMITOMO MITSUI FINANCIAL GROUP SPON ADR	21,078.	21,078.
SUMITOMO MITSUI FINANCIAL GROUP, INC SPON ADR	19,497.	19,497.
SUN LIFE FINANCIAL INC.	3,824.	3,824.
SUZANO SA - ADR	4,204.	4,204.
SWIRE PACIFIC LIMITED SPONSORED ADR	25,493.	25,493.
SWISS RE LTD-SPN ADR	32,810.	32,810.
SYMRISE AG UNSPONSORED ADR	19,099.	19,099.
SYNOVUS FINANCIAL CORP.	4,143.	4,143.
SYSCO CORPORATION	11,807.	11,807.
SYSTEMX CORPORATION - UNSPN ADR	31,707.	31,707.
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD. - SP ADR	266,058.	266,058.
TAKEDA PHARMACEUTICAL CO LTD-SPN ADR	36,254.	36,254.
TAKE-TWO INTERACTIVE SOFTWARE, INC.	5,195.	5,195.
TAL EDUCATION GROUP - ADR	18,378.	18,378.
TAPESTRY, INC.	7,646.	7,646.
TARGET CORPORATION	30,363.	30,363.
TATA MOTORS LIMITED - ADR	20,677.	20,677.
TCF FINANCIAL	10,181.	10,181.
TDK CORP - ADR	7,526.	7,526.
TE CONNECTIVITY LIMITED	38,137.	38,137.
TECHTRONIC INDUSTRIES COMPANY LIMITED	16,404.	16,404.
TELADOC HEALTH, INC.	13,197.	13,197.
TELECOM ITALIA SPA-SP ADR	16,767.	16,767.
TELEFONICA BRASIL- ADR	4,814.	4,814.
TELEFONICA S.A. - ADR	15,380.	15,380.
TELENOR ASA-ADR	40,062.	40,062.
TENCENT HOLDINGS LTD - SPONSORED ADR	161,988.	161,988.
TENCENT MUSIC ENTERTAINMENT - ADR	11,352.	11,352.
TERMINIX GLOBAL HOLDINGS INC	8,417.	8,417.
TESCO PLC - SPONSORED ADR	18,020.	18,020.
TESLA INC.	190,531.	190,531.
TEXAS INSTRUMENTS INC.	32,498.	32,498.
THE CHEMOURS COMPANY	4,066.	4,066.
THE CLOROX COMPANY	27,865.	27,865.
THE ESTEE LAUDER COMPANIES INC. CLASS A	5,590.	5,590.
THE INTERPUBLIC GROUP OF COMPANIES, INC.	28,389.	28,389.
THE MOSAIC COMPANY	26,899.	26,899.
THE TJX COMPANIES, INC.	41,247.	41,247.
THE TRADE DESK, INC. - CLASS A	17,622.	17,622.
THE TRAVELERS COMPANIES, INC.	23,161.	23,161.
THE WALT DISNEY COMPANY	89,322.	89,322.
THERMO FISHER SCIENTIFIC INC.	63,812.	63,812.
THOMSON REUTERS CORPORATION	87,377.	87,377.
TIFFANY & CO.	17,614.	17,614.
TIM SA ADR	4,315.	4,315.
TOKIO MARINE HOLDING INC ADR	9,511.	9,511.
TOKYO ELECTRON LTD UNSPONSORED ADR	42,400.	42,400.
TORAY INDUSTRIES, INC. - UNSPON ADR	6,213.	6,213.
TORONTO-DOMINION BANK	47,111.	47,111.
TOSHIBA CORP - UNSPON ADR	5,924.	5,924.

THE DAVID ROCKEFELLER FUND, INC.

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TOYOTA MOTOR CORPORATION - SPON ADR	73,885.	73,885.
TRANE TECHNOLOGIES PLC	24,968.	24,968.
TREASURY WINE ESTATES - ADR	15,131.	15,131.
TRIMBLE INC	13,020.	13,020.
TRIP.COM GROUP LTD ADR	2,024.	2,024.
TRUIST FINANCIAL CORP	12,558.	12,558.
TWILIO INC - A	35,881.	35,881.
TWITTER, INC.	22,093.	22,093.
TYSON FOODS, INC. - CLASS A	16,432.	16,432.
UBER TECHNOLOGIES INC	21,675.	21,675.
UBS GROUP AG	36,823.	36,823.
UGI CORPORATION	16,886.	16,886.
ULTA BEAUTY INC	3,446.	3,446.
UMICORE - UNSPONSORED ADR	16,778.	16,778.
UNICREDIT SPA - ADR	14,055.	14,055.
UNILEVER PLC - SPONSORED ADR	50,521.	50,521.
UNION PACIFIC CORP.	37,480.	37,480.
UNITED MICROELECTRONICS ADR	52,409.	52,409.
UNITED PARCEL SERVICE CLASS B	28,123.	28,123.
UNITED STATES STEEL CORPORATION	16,267.	16,267.
UNITEDHEALTH GROUP INC.	105,555.	105,555.
UPM- KYMMENE OYJ - UNSPON ADR	23,264.	23,264.
US FOODS HOLDING CORP	8,094.	8,094.
V.F. CORPORATION	8,199.	8,199.
VAIL RESORTS, INC.	3,905.	3,905.
VALVOLINE INC	6,178.	6,178.
VARIAN MEDICAL SYSTEMS, INC.	11,551.	11,551.
VEEVA SYSTEMS INC. - CLASS A	17,152.	17,152.
VEOLIA ENVIRONMENT ADR	12,707.	12,707.
VERISK ANALYTICS, INC.	38,197.	38,197.
VERIZON COMMUNICATIONS	77,080.	77,080.
VERTEX PHARMACEUTICALS INC.	10,872.	10,872.
VESTAS WIND SYSTEMS-UNSP ADR	34,547.	34,547.
VIASAT, INC.	3,722.	3,722.
VIATRIS INC	9,914.	9,914.
VICI PROPERTIES INC	22,338.	22,338.
VINCI SA-UNSPONS ADR	9,358.	9,358.
VIPSHOP HOLDINGS LTD. ADR	20,014.	20,014.
VISA INC - CLASS A SHARES	126,426.	126,426.
VIVENDI SA - UNSPON ADR	11,943.	11,943.
VMWARE INC.	1,964.	1,964.
VODAFONE GROUP PLC - SP ADR	18,441.	18,441.
VOLVO AB - UNSPON ADR	36,317.	36,317.
W.R. GRACE & CO.	9,758.	9,758.
WALGREENS BOOTS ALLIANCE INC	5,264.	5,264.
WAL-MART DE MEXICO SA DE CV ADR	25,950.	25,950.
WALMART INC	59,246.	59,246.
WASTE MANAGEMENT, INC.	39,742.	39,742.
WAYFAIR INC	9,936.	9,936.
WEBSTER FINANCIAL CORP.	11,338.	11,338.
WEC ENERGY GROUP, INC.	15,369.	15,369.
WEIBO CORP - SPONSORED ADR	2,500.	2,500.
WELLS FARGO COMPANY	11,167.	11,167.
WEST JAPAN RAILWAY - UNSPON ADR	20,081.	20,081.
WEST PHARMACEUTICAL SERVICES, INC.	11,616.	11,616.
WESTERN DIGITAL CORPORATION	10,358.	10,358.
WESTPAC BANKING SPONSORED ADR	42,346.	42,346.
WH GROUP LIMITED - SPONSORED ADR	9,003.	9,003.

THE DAVID ROCKEFELLER FUND, INC.

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WIPRO LTD - ADR	43,166.	43,166.
WOLTERS KLUWER NV - SPONS ADR	8,703.	8,703.
WOORI FINANCIAL SPONSORED ADR-W/I	45,670.	45,670.
WPP PLC ADR	15,088.	15,088.
XEROX HOLDINGS CORPORATION	13,589.	13,589.
XIAOMI CORP UNSPON ADR	12,738.	12,738.
XYLEM INC.	29,825.	29,825.
YARA INTERNATIONAL ASA-ADR	16,673.	16,673.
YASKAWA ELECTRIC CORP ADR	15,105.	15,105.
YELP INC.	2,091.	2,091.
YUM CHINA HOLDINGS INC	22,265.	22,265.
YUM! BRANDS, INC.	5,645.	5,645.
ZAI LAB LTD - ADR	23,414.	23,414.
ZALANDO SE - UNSPONSORED ADR	19,721.	19,721.
ZILLOW GROUP INC. - CLASS A	6,253.	6,253.
ZIMMER BIOMET HOLDINGS, INC.	7,242.	7,242.
ZOOM VIDEO COMMUNICATIONS - CLASS A	21,251.	21,251.
ZSCALER INC	14,179.	14,179.
ZTO EXPRESS CAYMAN INC - ADR	4,695.	4,695.
ZURICH INSURANCE GROUP AG - ADR	27,042.	27,042.
TOTAL TO FORM 990-PF, PART II, LINE 10B	20,019,851.	20,019,851.

FORM 990-PF

CORPORATE BONDS

STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
AIR PRODUCTS & CHEMICALS 3.00% DUE 11/03/2021	64,431.	64,431.
AMAZON.COM INC 0.80% DUE 06/03/2025 CALLABLE @ 100 05/03/25	26,381.	26,381.
AMERICAN EXPRESS 4.05% DUE 12/03/2042	38,247.	38,247.
ASCENSION HEALTH 2.532% DUE 11/15/2029	54,780.	54,780.
BANK OF AMERICA CORP 3.499% DUE 05/17/2022 CALL @ 100 05/17/2021	97,138.	97,138.
BANK OF AMERICA CORPORATION 3.335% DUE 01/25/2023 CALL @ 100 01/25/2022	74,339.	74,339.
CISCO SYSTEMS INC 3.00% DUE 06/15/2022	65,519.	65,519.
COMCAST CORP 3.90% DUE 03/01/2038 CALL @ 100 09/01/2037	79,549.	79,549.
CONSERVATION FUND 3.474% DUE 12/15/2029	108,253.	108,253.
CVS HEALTH CORP 2.75% DUE 12/01/2022 CALL @ 100 09/01/2022	85,244.	85,244.
CVS HEALTH CORP 3.25% DUE 08/15/2029 CALL @ 100 05/15/2029	31,535.	31,535.
CVS HEALTH CORP 4.30% DUE 03/25/2028 CALL @ 100 12/25/2027	38,079.	38,079.
ECOLAB INC 3.25% DUE 01/14/2023 CALL @ 100 11/14/2022	67,354.	67,354.
FANNIE MAE FN BM3973 3.00% DUE 04/01/2032	38,340.	38,340.
FANNIE MAE FN BM4676 4.00% DUE 10/01/2048	77,051.	77,051.
FANNIE MAE FN BO8903 3.00% DUE 01/01/2050	77,021.	77,021.
FANNIE MAE FN BQ2350 2.00% DUE 09/01/2050	67,093.	67,093.
FANNIE MAE FN CA3845 4.50% DUE 07/01/2049	51,730.	51,730.
FANNIE MAE FN CA7588 1.50% DUE 11/01/2050	50,546.	50,546.

THE DAVID ROCKEFELLER FUND, INC.

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FANNIE MAE FN FM2612 3.50% DUE 05/01/2049	37,053.	37,053.
FANNIE MAE FN FM4933 2.00% DUE 12/01/2035	26,075.	26,075.
FANNIE MAE FN MA3902 2.50% DUE 01/01/2050	22,634.	22,634.
FREDDIE MAC FN ZS7888 2.50% DUE 10/01/2032	30,377.	30,377.
FREDDIE MAC FR RA2970 3.00% DUE 07/01/2050	65,934.	65,934.
GM FINANCIAL SECURITIZED TERM GMCAR 2019-3 A3 2.18% DUE 04/16/2024	30,485.	30,485.
GOLDMAN SACHS GROUP INC 4.223% DUE 05/01/2029 CALL @ 100 05/01/2028	33,218.	33,218.
HAROT 2020-2 A2 0.74% DUE 11/15/2022	31,362.	31,362.
HOME DEPOT INC 3.25% DUE 03/01/2022	64,125.	64,125.
HOME DEPOT INC 5.875% DUE 12/16/2036	69,743.	69,743.
JPMORGAN CHASE & CO 4.493% DUE 03/24/2031 CALL @ 100 03/24/2030	86,109.	86,109.
KFW 2.00% DUE 09/29/2022	98,004.	98,004.
KROGER CO 2.80% DUE 08/01/2022 CALL @ 100 07/01/2022	74,588.	74,588.
MICROSOFT CORP 2.375% DUE 02/12/2022 CALL @100 01/12/2022	81,787.	81,787.
NAROT 2020-B A2A .47% DUE 10/17/2022	63,854.	63,854.
NVR INC CORP 3.95% DUE 09/15/2022	114,653.	114,653.
PNC FINANCIAL SERVICES 2.20% DUE 11/01/2024 CALL @ 100 10/02/2024	69,081.	69,081.
PRAXAIR, INC. 2.45% DUE 02/15/2022 CALL @ 100 11/15/2021	89,634.	89,634.
SIMON PROPERTY GROUP LP 2.625% DUE 06/15/2022 CALL @ 100 3/15/2022	67,730.	67,730.
SIMON PROPERTY GROUP LP 3.375% DUE 06/15/2027 CALL @ 100 03/15/2027	39,985.	39,985.
SOUTHERN POWER CO 2.50% DUE 12/15/2021 CALL @ 100 11/15/2021	65,186.	65,186.
TRUIST FINANCIAL CORPORATION 3.75% DUE 12/06/2023 CALL @ 100 11/06/2023	76,899.	76,899.
VANGUARD MORTGAGE BACKED SECURITIES ETF	52,826.	52,826.
VERIZON COMMUNICATIONS 5.15% DUE 09/15/2023	66,484.	66,484.
VISA INC 2.15% DUE 09/15/2022 CALL @ 100 08/15/2022	68,049.	68,049.
WALT DISNEY COMPANY 2.45% DUE 03/04/2022	67,710.	67,710.
TOTAL TO FORM 990-PF, PART II, LINE 10C	2,786,215.	2,786,215.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 12	
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ALEXANDRIA REAL ESTATE EQUITIES, INC.	FMV	1,960.	1,960.
AMERICAN TOWER CORPORATION	FMV	46,014.	46,014.
AVALONBAY COMMUNITIES, INC.	FMV	9,786.	9,786.
CROWN CASTLE INTERNATIONAL CORP.	FMV	12,098.	12,098.
DIGITAL REALTY TRUST, INC.	FMV	13,672.	13,672.
DUKE REALTY CORPORATION	FMV	5,236.	5,236.
EQUINIX, INC.	FMV	23,568.	23,568.
FEDERAL REALTY INVESTMENT TR.	FMV	9,108.	9,108.
GAMING AND LEISURE PROPERTIES, INC.	FMV	11,661.	11,661.
HEALTHPEAK PROPERTIES INC	FMV	18,440.	18,440.
HOST HOTELS & RESORTS INC.	FMV	9,597.	9,597.
IRON MOUNTAIN INC.	FMV	7,930.	7,930.
JBG SMITH PROPERTIES	FMV	18,856.	18,856.
MEDICAL PROPERTIES TRUST INC.	FMV	14,469.	14,469.
PROLOGIS INC.	FMV	37,472.	37,472.
REGENCY CENTERS CORPORATION	FMV	4,331.	4,331.
RETAIL PROPERTIES OF AMERICA, INC.	FMV		
- A		10,991.	10,991.
SBA COMMUNICATIONS CORPORATION	FMV	10,439.	10,439.
SIMON PROPERTY GROUP, INC.	FMV	10,234.	10,234.
STORE CAPITAL CORP	FMV	11,145.	11,145.
VENTAS, INC.	FMV	17,409.	17,409.
WELLTOWER INC.	FMV	14,023.	14,023.
CRA QUALIFIED INVESTMENT FUND	FMV	6,644,409.	6,644,409.
PARNASSUS FUND INSTITUTIONAL SHARES	FMV	6,100,841.	6,100,841.
PAX GLOBAL ENVIRONMENTAL MARKETS FUND	FMV	6,120,228.	6,120,228.
NEW ENERGY CAPITAL INFRASTRUCTURE	FMV	264,456.	264,456.
ROCKEFELLER ALTERNATIVE STRATEGIES FUND	FMV	8,709.	8,709.
ROCKEFELLER ACCESS FUND 06-1	FMV	243,457.	243,457.
ROCKEFELLER LIBRA FOSSIL FUEL FREE L.P.	FMV	15,547,120.	15,547,120.
TOTAL TO FORM 990-PF, PART II, LINE 13		35,247,659.	35,247,659.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 13

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
OFFICE EQUIPMENT	9,905.	6,635.	3,270.
COMPUTER EQUIPMENT & SOFTWARE	19,205.	5,669.	13,536.
TOTAL TO FM 990-PF, PART II, LN 14	29,110.	12,304.	16,806.

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 12 QUALIFYING DISTRIBUTION STATEMENT	STATEMENT 14
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EXPLANATION

THE FOUNDATION TREATED DISTRIBUTIONS TO "THE DAVID ROCKEFELLER FUND TRUSTEE DISCRETIONARY GIVING 4TH & 5TH GENERATIONS" DONOR ADVISED FUND AT ROCKEFELLER PHILANTHROPY ADVISORS ("DONOR ADVISED FUND") AS A QUALIFYING DISTRIBUTION BECAUSE THE IRS HAS CLASSIFIED THIS DONOR ADVISED FUND AS A PUBLIC CHARITY. HOWEVER, WE NOTE THAT THE FOUNDATION COULD HAVE MET ITS PAYOUT REQUIREMENT EVEN IF IT HAD NOT TREATED THE DISTRIBUTIONS TO THE DONOR ADVISED FUND AS A QUALIFYING DISTRIBUTION.

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 12 SECTION 170(C)(2)(B) STATEMENT	STATEMENT 15
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EXPLANATION

THE FOUNDATION HAS MADE AND WILL, FROM TIME TO TIME, CONTINUE TO MAKE REQUESTS TO THE DONOR ADVISED FUND TO MAKE DISTRIBUTIONS IN FURTHERANCE OF THE FOUNDATION'S CHARITABLE PURPOSES, WHICH ARE DESCRIBED IN CODE 170 (C) (2) (B).

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 16

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
PEGGY DULANY 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0.	0.	0.
NILI GILBERT 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 2.50	11,550.	0.	0.
DANIEL GROWALD 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 2.00	0.	0.	0.
GARA LAMARCHE 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	2,000.	0.	0.
REBECCA LAMBERT 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0.	0.	0.
MICHAEL QUATTRONE 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 2.50	0.	0.	0.
CAMILLA ROCKEFELLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE/CHAIR 3.00	0.	0.	0.
CLAY ROCKEFELLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 2.00	0.	0.	0.
DAVID ROCKEFELLER JR. 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0.	0.	0.
MANYA RUBINSTEIN 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0.	0.	0.

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JAMIE BENNETT 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 2.00	0.	0.	0.
ARIANA ROCKEFELLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0.	0.	0.
CHRISTINE HEENAN 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0.	0.	0.
MAX MILLER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0.	0.	0.
LUKAS HAYNES 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	EXECUTIVE DIRECTOR 40.00	242,087.	49,260.	5,050.
LEXI FISHER 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	SECRETARY/DIR OF PROGRAMS & OPERATIONS 40.00	86,232.	47,014.	1,485.
LEAH D'ANGELO 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK, NY 10115	TREASURER 1.00	6,285.	1,886.	0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

348,154.	98,160.	6,535.
<u>348,154.</u>	<u>98,160.</u>	<u>6,535.</u>

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 17

GRANTEE'S NAME

A BETTER BIG SKY

GRANTEE'S ADDRESS

PO BOX 7134
MISSOULA, MT 59807

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	05/22/20	50,000.

PURPOSE OF GRANT

TO SUPPORT MONTANA-BASED ORGANIZATION EDUCATIONG RURAL AND YOUNGER MONTANANS ABOUT PROGRESSIVE POLICY.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

AMERICANS FOR THE ARTS ACTION FUND

GRANTEE'S ADDRESS

1000 VERMONT AVENUE, 6TH FLOOR
WASHINGTON, DC 20005

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
75,000.	07/31/20	25,000.

PURPOSE OF GRANT

TO EDUCATE CITIZENS ON ARTS-FRIENDLY PUBLIC POLICIES AT THE FEDERAL, STATE AND LOCAL LEVELS.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

AMERICANS FOR THE ARTS ACTION FUND

GRANTEE'S ADDRESS

1000 VERMONT AVENUE, 6TH FLOOR
WASHINGTON, DC 20005

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
38,000.	12/03/19	38,000.

PURPOSE OF GRANT

TO EDUCATE CITIZENS ON ARTS-FRIENDLY PUBLIC POLICIES AT THE FEDERAL, STATE AND LOCAL LEVELS.

DATES OF REPORTS BY GRANTEE

2/28/2020 & 2/28/2021

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

BRAVE NEW FILMS ACTION FUND

GRANTEE'S ADDRESS

10510 CULVER BOULEVARD
CULVER CITY, CA 90232

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	05/22/20	29,844.

PURPOSE OF GRANT

TO CREATE AND DISTRIBUTE ENGAGING MEDIA TO EDUCATE AND MOTIVATE CITIZENS,
LEADING TO PRODUCTIVE CIVIC PARTICIPATION.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

CONSERVATION COLORADO

GRANTEE'S ADDRESS

1536 WYNKOOP STREET, SUITE 510
DENVER, CO 80202

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	09/23/20	28,477.

PURPOSE OF GRANT

TO PROTECT COLORADO'S CLIMATE, AIR, LAND, WATER, AND COMMUNITIES THROUGH ORGANIZING AND ADVOCACY.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

CULTURAL ENGAGEMENT LAB

GRANTEE'S ADDRESS

1330 BROADWAY, SUITE 300
OAKLAND, CA 94612

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	11/09/20	0.

PURPOSE OF GRANT

TO ORGANIZE A STRONG NETWORK OF ARTISTS AND CULTURE MAKERS FROM IMPACTED COMMUNITIES WHO CAN USE ART AND CULTURE AS AN ENGINE FOR CIVIC ENGAGEMENT.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

GAMALIEL FAITH & DEMOCRACY CAMPAIGN

GRANTEE'S ADDRESS

5401 S. CORNELL AVENUE SUITE 301
CHICAGO, IL 60615

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	05/22/20	50,000.

PURPOSE OF GRANT

TO BUILD STRUCTURAL POWER THROUGH COMMUNITY EDUCATION TO TRANSFORM THE SYSTEMS THAT PERPETUATE INEQUITY AND INJUSTICE.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

GEORGIA INVESTOR ACTION FUND

GRANTEE'S ADDRESS

PO BOX 170515
ATLANTA, GA 30317

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
750,000.	12/03/20	750,000.

PURPOSE OF GRANT

TO BUILD POWER IN HISTORICALLY UNDERREPRESENTED COMMUNITIES THROUGH CIVIC ENGAGEMENT AND COMMUNITY ORGANIZING.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

HIP HOP CAUCUS ACTION FUND

GRANTEE'S ADDRESS

20 F ST. NW, 7TH FLOOR
WASHINGTON, DC 20001

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	05/22/20	29,477.

PURPOSE OF GRANT

TO ENERGIZE THE HIP HOP COMMUNITY AS A WAY TO BUILD CLIMATE MOVEMENT POWER AND PROMOTE CIVIC ENGAGEMENT.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

HIP HOP CAUCUS ACTION FUND

GRANTEE'S ADDRESS

20 F ST. NW, 7TH FLOOR
WASHINGTON, DC 20001

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	09/09/20	29,477.

PURPOSE OF GRANT

TO ENERGIZE THE HIP HOP COMMUNITY AS A WAY TO BUILD CLIMATE MOVEMENT POWER AND PROMOTE CIVIC ENGAGEMENT.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

JOLT ACTION

GRANTEE'S ADDRESS

PO BOX 4185
AUSTIN, TX 78765

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	09/26/20	16,667.

PURPOSE OF GRANT

TO BUILD LONG-TERM LEADERSHIP OF LATINX YOUTH, GIVING THEM THE ABILITY TO SHAPE THE CONVERSATION ON CLIMATE JUSTICE.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

JOLT ACTION

GRANTEE'S ADDRESS

PO BOX 4185
AUSTIN, TX 78765

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	07/19/19	50,000.

PURPOSE OF GRANT

TO BUILD LONG-TERM LEADERSHIP OF LATINX YOUTH, GIVING THEM THE ABILITY TO SHAPE THE CONVERSATION ON CLIMATE JUSTICE.

DATES OF REPORTS BY GRANTEE

2/27/2020 & 2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

LEAGUE OF CONSERVATION VOTERS

GRANTEE'S ADDRESS

740 15TH ST NW, 7TH FLOOR
WASHINGTON, DC 20005

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
250,000.	10/19/20	105,000.

PURPOSE OF GRANT

TO BUILD POWER THROUGH EDUCATION THAT WILL CREATE A WORLD WITH CLEAN AIR, CLEAN WATER, PUBLIC LANDS, AND A SAFE CLIMATE THAT ARE PROTECTED BY A JUST AND EQUITABLE DEMOCRACY.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

MAKE THE ROAD ACTION FUND

GRANTEE'S ADDRESS

449 TROUTMAN STREET, SUITE C
BROOKLYN, NY 11237

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	11/06/20	13,636.

PURPOSE OF GRANT

TO BUILD POWER THROUGH EDUCATION FOR JUSTICE IN LATINX AND IMMIGRANT COMMUNITIES AND WORKING CLASS COMMUNITIES OF COLOR.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

MICHIGAN CIVIC ACTION FUND

GRANTEE'S ADDRESS

28342 DARTMOUTH STREET
MADISON HEIGHTS, MI 48071

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	09/09/20	100,000.

PURPOSE OF GRANT

TO IMPROVE ALIGNMENT ACROSS NATIONAL, STATE, AND LOCAL PARTNERS TO FOCUS ON TOP PRIORITIES FOR ELIMINATING DISPARITIES IN CIVIC PARTICIPATION.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

MINNESOTA YOUTH COLLECTIVE

GRANTEE'S ADDRESS

2161 UNIVERSITY AVE WEST SUITE 100
ST. PAUL, MN 55114

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	05/22/20	50,000.

PURPOSE OF GRANT

TO ENGAGE YOUNG PEOPLE IN CIVIC ENGAGEMENT AND LEADERSHIP DEVELOPMENT.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

NEW FLORIDA MAJORITY (NAME CHANGED TO FLORIDA RISING AS OF JANUARY 2021)

GRANTEE'S ADDRESS

10800 BISCAYNE BLVD. SUITE 1050
MIAMI, FL 33161

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	09/17/20	100,000.

PURPOSE OF GRANT

EDUCATE TO BUILD POWER AND INCREASE THE CIVIC ENGAGEMENT OF MARGINALIZED AND EXCLUDED CONSTITUENCIES TOWARD AN INCLUSIVE, EQUITABLE, AND JUST FLORIDA.

DATES OF REPORTS BY GRANTEE

2/28/21 & 4/16/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

ORGANIZE PENNSYLVANIA

GRANTEE'S ADDRESS

1414 BRIGHTON RD.
PITTSBURGH, PA 15212

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	10/05/20	50,000.

PURPOSE OF GRANT

TO AMPLIFY VOICES AND PRIORITIZE LEADERSHIP DEVELOPMENT IN COMMUNITIES THAT ARE UNDERPAID AND UNDERSERVED IN THE CURRENT ECONOMY, SPECIFICALLY THE ONE PA AMBASSADOR PROGRAM.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

PODER

GRANTEE'S ADDRESS

PO BOX 23406 / 4415 N MARYVALE PKWY
PHOENIX, AZ 85063

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	09/09/20	50,000.

PURPOSE OF GRANT

TO TRANSFORM POLICING AND IMMIGRANT DETENTION THROUGH EDUCATING ARIZONA COMMUNITIES OF COLOR IN CIVIC ENGAGEMENT STRATEGIES THAT BUILD POWER.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

PROGRESSIVE MULTIPLIER ACTION FUND

GRANTEE'S ADDRESS

714 G ST. SE STE. 200
WASHINGTON, DC 20003

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
75,000.	07/09/20	75,000.

PURPOSE OF GRANT

TO SUPPORT CHARITABLE ORGANIZATIONS IN ENGAGING IN EFFECTIVE COMMUNITY
OUTREACH AND REVENUE GENERATION.

DATES OF REPORTS BY GRANTEE

8/31/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

PROTECT OUR WINTERS ACTION FUND

GRANTEE'S ADDRESS

4676 BROADWAY STREET
BOULDER, CO 80304

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	12/03/19	50,000.

PURPOSE OF GRANT

TO SUPPORT EDUCATIONAL EFFORTS TO MAKE ACTION ON CLIMATE CHANGE A TOP POLICY PRIORITY FOR THE OUTDOOR SPORTS COMMUNITY.

DATES OF REPORTS BY GRANTEE

2/28/2020 & 2/28/2021

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

SIXTEEN THIRTY FUND

GRANTEE'S ADDRESS

1201 CONNECTICUT AVE. NW SUITE 300
WASHINGTON, DC 20036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
269,431.	10/07/20	269,431.

PURPOSE OF GRANT

SUPPORT EDUCATIONAL COMPONENTS OF THE CLIMATE EQUITY ACTION FUND, WHICH
ADVOCATES FOR CLIMATE AND CLEAN ENERGY POLICIES.

DATES OF REPORTS BY GRANTEE

2/28/21 & 4/30/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

SIXTEEN THIRTY FUND

GRANTEE'S ADDRESS

1201 CONNECTICUT AVE. NW SUITE 300
WASHINGTON, DC 20036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	09/26/20	100,000.

PURPOSE OF GRANT

SUPPORT EDUCATIONAL COMPONENTS OF THE CLIMATE EQUITY ACTION FUND, WHICH
ADVOCATES FOR CLIMATE AND CLEAN ENERGY POLICIES.

DATES OF REPORTS BY GRANTEE

2/28/21 & 4/30/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

SIXTEEN THIRTY FUND

GRANTEE'S ADDRESS

1201 CONNECTICUT AVE. NW SUITE 300
WASHINGTON, DC 20036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
125,000.	05/22/20	125,000.

PURPOSE OF GRANT

SUPPORT EDUCATIONAL COMPONENTS OF THE CLIMATE EQUITY ACTION FUND, WHICH
ADVOCATES FOR CLIMATE AND CLEAN ENERGY POLICIES.

DATES OF REPORTS BY GRANTEE

2/28/21 & 4/30/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

THE NORTH FUND

GRANTEE'S ADDRESS

1101 CONNECTICUT AVENUE NW SUITE 450
WASHINGTON, DC 20036

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	09/17/20	100,000.

PURPOSE OF GRANT

TO SUPPORT DEMOCRACY DOCKET ACTION FUND IN FIGHTING SUPPRESSIVE VOTING LAWS AND PRACTICES IN COURT WITH A PARTICULAR FOCUS ON THOSE LAWS AND PRACTICES THAT WILL HAVE THE GREATEST IMPACT ON MINORITY AND YOUNG VOTERS.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

THE VOTER PROJECT

GRANTEE'S ADDRESS

1229 CHESTNUT STREET PMB 177
PHILADELPHIA, PA 19107

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	09/18/20	100,000.

PURPOSE OF GRANT

TO ENSURE THAT EACH PENNSYLVANIA VOTER IS ABLE TO CAST A BALLOT IN A SAFE,
SECURE, CONVENIENT WAY.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

TIDES ADVOCACY

GRANTEE'S ADDRESS

1600 E. GRAND BLVD SUITE 300G
DETROIT, MI 48211

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
50,000.	10/08/20	50,000.

PURPOSE OF GRANT

EDUCATIONAL PROJECT FOR DETROIT ACTION IN CHALLENGING THE ROOT CAUSE OF POVERTY, ADVANCING JUSTICE, AND PROMOTING HUMAN DEVELOPMENT THROUGH NEIGHBORHOOD-DRIVEN CIVIC ENGAGEMENT.

DATES OF REPORTS BY GRANTEE

2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

GRANTEE'S NAME

TIDES ADVOCACY

GRANTEE'S ADDRESS

PO BOX 29229
SAN FRANCISCO, CA 94129

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
100,000.	12/03/19	77,045.

PURPOSE OF GRANT

TO SUPPORT EDUCATIONAL ACTIVITIES OF THE FLORIDA RIGHTS RESTORATION COALITION, DEDICATED TO ENDING THE DISENFRANCHISEMENT AND DISCRIMINATION AGAINST PEOPLE WITH CONVICTIONS.

DATES OF REPORTS BY GRANTEE

2/28/2020 & 2/28/21

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

ACTIVITY ONE

DURING 2020, 2 MEMBERS OF THE STAFF OF DAVID ROCKEFELLER FUND PROVIDED TECHNICAL ASSISTANCE TO CHARITABLE ORGANIZATIONS, GOVERNMENTAL BODIES AND COMMITTEES, AND 1 MEMBER OF THE STAFF SERVED ON 3 CHARITABLE ORGANIZATIONS' BOARDS. 2 MEMBERS OF THE STAFF SERVED ON TOTAL OF 7 COMMITTEES, PUBLIC COMMISSIONS, OR TASK FORCES IN ORDER TO PROVIDE TECHNICAL ASSISTANCE.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

109,586.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 19

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LEXI FISHER
475 RIVERSIDE DRIVE, SUITE 900
NEW YORK, NY 10115

TELEPHONE NUMBER

212-812-4300

NAME OF GRANT PROGRAM

ARTS, CRIMINAL JUSTICE AND ENVIRONMENT - SEE STATEMENT
22

EMAIL ADDRESS

LOI@DRFUND.ORG

FORM AND CONTENT OF APPLICATIONS

THE DAVID ROCKEFELLER FUND WEBSITE STATES 1-2 PAGE LETTER OF INTENT SHOULD
BE SUBMITTED TO LOI@DRFUND.ORG

ANY SUBMISSION DEADLINES

THE DAVID ROCKEFELLER FUND ACCEPTS UNSOLICITED REQUESTS ON A ROLLING BASIS.

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE DAVID ROCKEFELLER FUND ONLY ACCEPTS UNSOLICITED REQUESTS THAT FIT ITS
THREE KEY PROGRAM AREAS (ARTS, CRIMINAL JUSTICE AND ENVIRONMENT)

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

AMERICANS FOR THE ARTS ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO EDUCATE CITIZENS ON ARTS-FRIENDLY PUBLIC POLICIES AT THE FEDERAL, STATE AND LOCAL LEVELS.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

BRAVE NEW FILMS ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO CREATE AND DISTRIBUTE ENGAGING MEDIA TO EDUCATE AND MOTIVATE CITIZENS, LEADING TO PRODUCTIVE CIVIC PARTICIPATION.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

CONSERVATION COLORADO

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO PROTECT COLORADO'S CLIMATE, AIR, LAND, WATER, AND COMMUNITIES THROUGH ORGANIZING AND ADVOCACY.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

CULTURAL ENGAGEMENT LAB

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO ORGANIZE A STRONG NETWORK OF ARTISTS AND CULTURE MAKERS FROM IMPACTED COMMUNITIES WHO CAN USE ART AND CULTURE AS AN ENGINE FOR CIVIC ENGAGEMENT.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

GAMALIEL FAITH AND DEMOCRACY CAMPAIGN

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO BUILD STRUCTURAL POWER THROUGH COMMUNITY EDUCATION TO TRANSFORM THE SYSTEMS THAT PERPETUATE INEQUITY AND INJUSTICE.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

GEORGIA INVESTOR ACTION FUND, INC.

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO BUILD POWER IN HISTORICALLY UNDERREPRESENTED COMMUNITIES THROUGH CIVIC ENGAGEMENT AND COMMUNITY ORGANIZING.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

HIP HOP CAUCUS ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

TOTAL GRANT PAYMENTS MADE IN 2020 TO ENERGIZE THE HIP HOP COMMUNITY AS A WAY TO BUILD CLIMATE MOVEMENT POWER AND PROMOTE CIVIC ENGAGEMENT.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

JOLT ACTION

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO BUILD LONG-TERM LEADERSHIP OF LATINX YOUTH, GIVING THEM THE ABILITY TO SHAPE THE CONVERSATION ON CLIMATE JUSTICE.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

LEAGUE OF CONSERVATION VOTERS

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO BUILD POWER THROUGH EDUCATION THAT WILL CREATE A WORLD WITH CLEAN AIR, CLEAN WATER, PUBLIC LANDS, AND A SAFE CLIMATE THAT ARE PROTECTED BY A JUST AND EQUITABLE DEMOCRACY.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

MAKE THE ROAD ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO BUILD POWER THROUGH EDUCATION FOR JUSTICE IN LATINX AND IMMIGRANT COMMUNITIES AND WORKING CLASS COMMUNITIES OF COLOR.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

MICHIGAN CIVIC ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO IMPROVE ALIGNMENT ACROSS NATIONAL, STATE, AND LOCAL PARTNERS TO FOCUS ON TOP PRIORITIES FOR ELIMINATING DISPARITIES IN CIVIC PARTICIPATION.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

MINNESOTA YOUTH COLLECTIVE

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO ENGAGE YOUNG PEOPLE IN CIVIC ENGAGEMENT AND LEADERSHIP DEVELOPMENT.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

NEW FLORIDA MAJORITY

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO EDUCATE TO BUILD POWER AND INCREASE THE CIVIC ENGAGEMENT OF MARGINALIZED AND EXCLUDED CONSTITUENCIES TOWARD AN INCLUSIVE, EQUITABLE, AND JUST FLORIDA.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

ORGANIZE PENNSYLVANIA

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO AMPLIFY VOICES AND PRIORITIZE LEADERSHIP DEVELOPMENT IN COMMUNITIES THAT ARE UNDERPAID AND UNDERSERVED IN THE CURRENT ECONOMY, SPECIFICALLY THE ONE PA AMBASSADOR PROGRAM.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

PODER

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO TRANSFORM POLICING AND IMMIGRANT DETENTION THROUGH EDUCATING ARIZONA COMMUNITIES OF COLOR IN CIVIC ENGAGEMENT STRATEGIES THAT BUILD POWER.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

PROGRESSIVE MULTIPLIER ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO SUPPORT CHARITABLE ORGANIZATIONS IN ENGAGING IN EFFECTIVE COMMUNITY OUTREACH AND REVENUE GENERATION.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

SIXTEEN THIRTY FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

TOTAL GRANT PAYMENTS MADE IN 2020, TO SUPPORT EDUCATIONAL COMPONENTS OF THE CLIMATE EQUITY ACTION FUND, WHICH ADVOCATES FOR CLIMATE AND CLEAN ENERGY POLICIES.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

THE NORTH FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO SUPPORT DEMOCRACY DOCKET ACTION FUND IN FIGHTING SUPPRESSIVE VOTING LAWS AND PRACTICES IN COURT WITH A PARTICULAR FOCUS ON THOSE LAWS AND PRACTICES THAT WILL HAVE THE GREATEST IMPACT ON MINORITY AND YOUNG VOTERS.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

THE VOTER PROJECT

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO ENSURE THAT EACH PENNSYLVANIA VOTER IS ABLE TO CAST A BALLOT IN A SAFE, SECURE, CONVENIENT WAY.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

TIDES ADVOCACY

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO SUPPORT DETROIT ACTION IN CHALLENGING THE ROOT CAUSE OF POVERTY, ADVANCING JUSTICE, AND PROMOTING HUMAN DEVELOPMENT THROUGH NEIGHBORHOOD-DRIVEN CIVIC ENGAGEMENT.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

TIDES ADVOCACY

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO SUPPORT FLORIDA RIGHTS RESTORATION COALITION

GENERAL EXPLANATION	STATEMENT 22
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FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

990-PF, PART XV, LINE 2 - INFORMATION REGARDING CONTRIBUTIONS, GRANT, GIF

EXPLANATION:

THE DAVID ROCKEFELLER FUND CURRENTLY HAS THREE PRIMARY PROGRAM AREAS: ARTS, CRIMINAL JUSTICE, AND ENVIRONMENT.

THE ARTS PROGRAM FOCUSES ON CULTIVATING, SHOWCASING AND DISSEMINATING THE STORIES OF INNOVATIVE ART FOR SOCIAL CHANGE; CRIMINAL JUSTICE SUPPORTS BOLD NEW IDEAS AND POLICY INITIATIVES FOR TRANSFORMING U.S. DETENTION AND INCARCERATION POLICY; AND ENVIRONMENT SUPPORTS NEW IDEAS, INITIATIVES AND POLICY BREAKTHROUGHS IN SUPPORT OF BIPARTISAN U.S. CLIMATE LEADERSHIP.

IN ADDITION TO THE PROGRAM AREAS OUTLINED ABOVE, THE FUND SUPPORTED A NUMBER OF CHARITABLE INITIATIVES DESIGNED TO ENCOURAGE TRUSTEES' INDIVIDUAL CHARITABLE GIVING.