

# TAX RETURN FILING INSTRUCTIONS

FORM 990-PF

**FOR THE YEAR ENDING**  
DECEMBER 31, 2023

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**PREPARED FOR:**

THE DAVID ROCKEFELLER FUND, INC.  
475 RIVERSIDE DRIVE 900  
NEW YORK, NY 10115

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**PREPARED BY:**

PKF O'CONNOR DAVIES ADVISORY, LLC  
500 MAMARONECK AVENUE, SUITE 301  
HARRISON, NY 10528-1633

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**AMOUNT DUE OR REFUND:**

AN OVERPAYMENT OF \$7,526. THE ENTIRE OVERPAYMENT HAS BEEN APPLIED TO THE ESTIMATED TAX PAYMENTS.

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**MAKE CHECK PAYABLE TO:**

NO AMOUNT IS DUE.

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**MAIL TAX RETURN AND CHECK (IF APPLICABLE) TO:**

NOT APPLICABLE

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**RETURN MUST BE MAILED ON OR BEFORE:**

NOT APPLICABLE

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**SPECIAL INSTRUCTIONS:**

THIS RETURN HAS QUALIFIED FOR ELECTRONIC FILING. THE RETURN HAS BEEN TRANSMITTED ELECTRONICALLY TO THE IRS AND NO FURTHER ACTION IS REQUIRED.

PLEASE NOTE THAT THE FORM 990-PF RETURN CONTAINS EXCESS DISTRIBUTION CARRYOVER OF \$17,661,095. THIS MAY BE APPLIED TO TAX YEAR 2024 AND SUBSEQUENT YEARS.

Form **990-PF**

Department of the Treasury  
Internal Revenue Service

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation  
Do not enter social security numbers on this form as it may be made public.  
Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No. 1545-0047

**2023**

Open to Public Inspection

For calendar year **2023** or tax year beginning , and ending

|  |   |  |
|--|---|--|
| Name of foundation<br><b>THE DAVID ROCKEFELLER FUND, INC.</b>  |   | <b>A Employer identification number</b><br>13-3533359  |
| Number and street (or P.O. box number if mail is not delivered to street address)<br><b>475 RIVERSIDE DRIVE</b>  | Room/suite<br>900   | <b>B Telephone number</b><br>212-812-4300  |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>NEW YORK, NY 10115</b>  |   | <b>C</b> If exemption application is pending, check here ... <input type="checkbox"/>  |
| <b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |   | <b>D 1.</b> Foreign organizations, check here ..... <input type="checkbox"/><br><b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ..... <input type="checkbox"/> |
| <b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation   |   | <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ... <input type="checkbox"/>   |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br>\$ <b>63,250,282.</b>   | <b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d), must be on cash basis.) | <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ... <input type="checkbox"/>  |

| <b>Part I Analysis of Revenue and Expenses</b><br><small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small> |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| <b>Revenue</b>  | <b>1</b> Contributions, gifts, grants, etc., received .....                                | 60,000.                            |                           | N/A                     |   |
|   | <b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B |                                    |                           |                         |   |
|   | <b>3</b> Interest on savings and temporary cash investments .....                          | 75,114.                            | 75,114.                   |                         | STATEMENT 1   |
|   | <b>4</b> Dividends and interest from securities .....                                      | 974,421.                           | 1,052,011.                |                         | STATEMENT 2   |
|   | <b>5a</b> Gross rents .....  |                                    |                           |                         |   |
|   | <b>b</b> Net rental income or (loss) .....   |                                    |                           |                         |   |
|   | <b>6a</b> Net gain or (loss) from sale of assets not on line 10 .....                      | 2,384,647.                         |                           |                         |   |
|   | <b>b</b> Gross sales price for all assets on line 6a .....                                 | 29,341,492.                        |                           |                         |   |
|   | <b>7</b> Capital gain net income (from Part IV, line 2) .....                              |                                    | 2,535,668.                |                         |   |
|   | <b>8</b> Net short-term capital gain .....   |                                    |                           |                         |   |
|   | <b>9</b> Income modifications .....  |                                    |                           |                         |   |
|   | <b>10a</b> Gross sales less returns and allowances .....                                   |                                    |                           |                         |   |
| <b>b</b> Less: Cost of goods sold .....   |  |                                    |                           |                         |   |
| <b>c</b> Gross profit or (loss) .....   |  |                                    |                           |                         |   |
| <b>11</b> Other income .....  | 99,244.  | 3,600.                             |                           | STATEMENT 3             |   |
| <b>12 Total.</b> Add lines 1 through 11 .....   | 3,593,426.   | 3,666,393.                         |                           |                         |   |
| <b>Operating and Administrative Expenses</b>  | <b>13</b> Compensation of officers, directors, trustees, etc. ....                         | 409,263.                           | 36,088.                   |                         | 373,175.  |
|   | <b>14</b> Other employee salaries and wages .....  | 170,105.                           | 5,496.                    |                         | 166,705.  |
|   | <b>15</b> Pension plans, employee benefits .....   | 208,508.                           | 14,470.                   |                         | 215,800.  |
|   | <b>16a</b> Legal fees ..... STMT 4   | 22,056.                            | 0.                        |                         | 7,549.  |
|   | <b>b</b> Accounting fees ..... STMT 5  | 36,220.                            | 16,628.                   |                         | 10,438.   |
|   | <b>c</b> Other professional fees ..... STMT 6  | 321,236.                           | 222,453.                  |                         | 99,324.   |
|   | <b>17</b> Interest .....   |                                    | 1,992.                    |                         |   |
|   | <b>18</b> Taxes ..... STMT 7   | 41,388.                            | 26,145.                   |                         | 0.  |
|   | <b>19</b> Depreciation and depletion .....   | 4,021.                             | 0.                        |                         |   |
|   | <b>20</b> Occupancy .....  | 26,892.                            | 1,500.                    |                         | 29,033.   |
|   | <b>21</b> Travel, conferences, and meetings .....  | 42,299.                            | 0.                        |                         | 44,364.   |
|   | <b>22</b> Printing and publications .....  | 745.                               | 0.                        |                         | 1,166.  |
|   | <b>23</b> Other expenses ..... STMT 8  | 315,879.                           | 223,955.                  |                         | 108,914.  |
|   | <b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 .....       | 1,598,612.                         | 548,727.                  |                         | 1,056,468.  |
|   | <b>25</b> Contributions, gifts, grants paid .....  | 4,533,875.                         |                           |                         | 4,262,391.  |
| <b>26 Total expenses and disbursements.</b> Add lines 24 and 25 .....   | 6,132,487.   | 548,727.                           |                           | 5,318,859.              |   |
| <b>27</b> Subtract line 26 from line 12:  |  |                                    |                           |                         |   |
| <b>a</b> Excess of revenue over expenses and disbursements ...  | -2,539,061.  |                                    |                           |                         |   |
| <b>b Net investment income</b> (if negative, enter -0-) .....   |  | 3,117,666.                         |                           |                         |   |
| <b>c Adjusted net income</b> (if negative, enter -0-) .....   |  |                                    | N/A                       |                         |   |

Form **8868**  
(Rev. January 2024)

**Application for Extension of Time To File an Exempt Organization  
Return or Excise Taxes Related to Employee Benefit Plans**

OMB No. 1545-0047

Department of the Treasury  
Internal Revenue Service

**File a separate application for each return.  
Go to [www.irs.gov/Form8868](http://www.irs.gov/Form8868) for the latest information.**

**Electronic filing (e-file).** You can electronically file Form 8868 to request up to a 6-month extension of time to file any of the forms listed below except for Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts. An extension request for Form 8870 must be sent to the IRS in a paper format (see instructions). For more details on the electronic filing of Form 8868, visit [www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits](http://www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits).

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-TE and Form 8879-TE for payment instructions.

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

**Part I - Identification**

|                      |   |   |
|----------------------|---|---|
| <b>Type or Print</b> | Name of exempt organization, employer, or other filer, see instructions.<br><b>THE DAVID ROCKEFELLER FUND, INC.</b>   | Taxpayer identification number (TIN)<br><b>13-3533359</b> |
|                      | Number, street, and room or suite no. If a P.O. box, see instructions.<br><b>475 RIVERSIDE DRIVE, 900</b>             |   |
|                      | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br><b>NEW YORK, NY 10115</b> |   |

Enter the Return Code for the return that this application is for (file a separate application for each return) **04**

| Application Is For                       | Return Code | Application Is For                | Return Code |
|--|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ                  | 01          | Form 4720 (other than individual) | 09          |
| Form 4720 (individual)                   | 03          | Form 5227                         | 10          |
| Form 990-PF                              | 04          | Form 6069                         | 11          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 8870                         | 12          |
| Form 990-T (trust other than above)      | 06          | Form 5330 (individual)            | 13          |
| Form 990-T (corporation)                 | 07          | Form 5330 (other than individual) | 14          |
| Form 1041-A                              | 08          |                                   |             |

• After you enter your Return Code, complete either Part II or Part III. Part III, including signature, is applicable only for an extension of time to file Form 5330.

• If this application is for an extension of time to file Form 5330, you must enter the following information.

Plan Name \_\_\_\_\_  
 Plan Number \_\_\_\_\_  
 Plan Year Ending (MM/DD/YYYY) \_\_\_\_\_

**Part II - Automatic Extension of Time To File for Exempt Organizations (see instructions)**

The books are in the care of **LEXI FISHER**  
**475 RIVERSIDE DRIVE - NEW YORK, NY 10115**

Telephone No. **212-812-4300** Fax No. \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four-digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and TINs of all members the extension is for.

**1** I request an automatic 6-month extension of time until **NOVEMBER 15**, 20 **24**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
 calendar year 20 **23** or  
 tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_

**2** If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  
 Change in accounting period

|   |           |    |         |
|---|-----------|----|---------|
| <b>3a</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.   | <b>3a</b> | \$ | 42,700. |
| <b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | <b>3b</b> | \$ | 50,862. |
| <b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.              | <b>3c</b> | \$ | 0.      |

**For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

Form **8868** (Rev. 1-2024)

| Part II Balance Sheets      |   | Attached schedules and amounts in the description column should be for end-of-year amounts only. |                | Beginning of year     | End of year |             |
|-----------------------------|---|--|----------------|-----------------------|-------------|-------------|
|                             |   | (a) Book Value   | (b) Book Value | (c) Fair Market Value |             |             |
| Assets                      | 1   | Cash - non-interest-bearing  |                | 408,494.              | 229,329.    | 229,329.    |
|                             | 2   | Savings and temporary cash investments   |                | 1,478,373.            | 1,498,909.  | 1,498,909.  |
|                             | 3   | Accounts receivable  |                |                       |             |             |
|                             |   | Less: allowance for doubtful accounts  |                |                       |             |             |
|                             | 4   | Pledges receivable   | 9,436,709.     |                       |             |             |
|                             |   | Less: allowance for doubtful accounts  |                | 9,300,916.            | 9,436,709.  | 9,436,709.  |
|                             | 5   | Grants receivable  |                |                       |             |             |
|                             | 6   | Receivables due from officers, directors, trustees, and other disqualified persons               |                |                       |             |             |
|                             | 7   | Other notes and loans receivable   |                |                       |             |             |
|                             |   | Less: allowance for doubtful accounts  |                |                       |             |             |
|                             | 8   | Inventories for sale or use  |                |                       |             |             |
|                             | 9   | Prepaid expenses and deferred charges  |                | 16,560.               | 18,821.     | 18,821.     |
|                             | 10a   | Investments - U.S. and state government obligations  | STMT 10        | 4,472,514.            | 2,731,763.  | 2,731,763.  |
|                             | b   | Investments - corporate stock  | STMT 11        | 16,812,708.           | 19,096,336. | 19,096,336. |
|                             | c   | Investments - corporate bonds  | STMT 12        | 1,267,364.            | 1,038,718.  | 1,038,718.  |
|                             | 11  | Investments - land, buildings, and equipment: basis  |                |                       |             |             |
|                             | Less: accumulated depreciation  |  |                |                       |             |             |
| 12                          | Investments - mortgage loans  |  |                |                       |             |             |
| 13                          | Investments - other   | STMT 13  | 26,110,026.    | 29,127,600.           | 29,127,600. |             |
| 14                          | Land, buildings, and equipment: basis   | 30,667.  |                |                       |             |             |
|                             | Less: accumulated depreciation  | STMT 14  | 24,582.        | 10,105.               | 6,085.      |             |
| 15                          | Other assets (describe STATEMENT 15)  |  | 74,279.        | 66,012.               | 66,012.     |             |
| 16                          | <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)                        |  | 59,951,339.    | 63,250,282.           | 63,250,282. |             |
| Liabilities                 | 17  | Accounts payable and accrued expenses  |                | 161,077.              | 151,996.    |             |
|                             | 18  | Grants payable   |                | 810,000.              | 1,080,000.  |             |
|                             | 19  | Deferred revenue   |                |                       |             |             |
|                             | 20  | Loans from officers, directors, trustees, and other disqualified persons                         |                |                       |             |             |
|                             | 21  | Mortgages and other notes payable  |                |                       |             |             |
|                             | 22  | Other liabilities (describe TAXES PAYABLE)   |                | 90,557.               | 164,467.    |             |
| 23                          | <b>Total liabilities</b> (add lines 17 through 22)  |  | 1,061,634.     | 1,396,463.            |             |             |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30. |  |                |                       |             |             |
|                             | 24  | Net assets without donor restrictions  |                | 48,094,077.           | 51,451,211. |             |
|                             | 25  | Net assets with donor restrictions   |                | 10,795,628.           | 10,402,608. |             |
|                             | Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.          |  |                |                       |             |             |
|                             | 26  | Capital stock, trust principal, or current funds   |                |                       |             |             |
|                             | 27  | Paid-in or capital surplus, or land, bldg., and equipment fund                                   |                |                       |             |             |
|                             | 28  | Retained earnings, accumulated income, endowment, or other funds                                 |                |                       |             |             |
| 29                          | <b>Total net assets or fund balances</b>  |  | 58,889,705.    | 61,853,819.           |             |             |
| 30                          | <b>Total liabilities and net assets/fund balances</b>   |  | 59,951,339.    | 63,250,282.           |             |             |

Part III Analysis of Changes in Net Assets or Fund Balances

|   |   |   |             |
|---|---|---|-------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 29<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 58,889,705. |
| 2 | Enter amount from Part I, line 27a  | 2 | -2,539,061. |
| 3 | Other increases not included in line 2 (itemize) SEE STATEMENT 9  | 3 | 5,577,085.  |
| 4 | Add lines 1, 2, and 3   | 4 | 61,927,729. |
| 5 | Decreases not included in line 2 (itemize) DEFERRED FEDERAL EXCISE TAX PROVISION  | 5 | 73,910.     |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29   | 6 | 61,853,819. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) |  | (b) How acquired<br>P - Purchase<br>D - Donation   | (c) Date acquired<br>(mo., day, yr.)  | (d) Date sold<br>(mo., day, yr.) |
|---|--|--|---|----------------------------------|
| <b>1a PUBLICLY TRADED SECURITIES</b>  |  |  |   |                                  |
| <b>b LIBRA FOSSIL FUEL FREE FUND, LP</b>  |  | P  |   |                                  |
| <b>c ROCKEFELLER ALTERNATIVE STRATEGIES FUND, (LP)</b>  |  | P  |   |                                  |
| <b>d ROCKEFELLER ACCESS FUND 06-I, LLC</b>  |  | P  |   |                                  |
| <b>e NEW ENERGY CAPITAL INFRASTRUCTURE CREDIT FUND II LP</b>  |  | P  |   |                                  |
| (e) Gross sales price   | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale  | (h) Gain or (loss)<br>((e) plus (f) minus (g))  |                                  |
| <b>a</b>  |  |  | 2,195,583.  |                                  |
| <b>b</b>  |  |  | 347,058.  |                                  |
| <b>c</b>  |  |  | 383.  |                                  |
| <b>d</b>  |  |  | -2,400.   |                                  |
| <b>e</b>  |  |  | -4,956.   |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.  |  |  |   |                                  |
| (i) FMV as of 12/31/69  | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any  | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |                                  |
| <b>a</b>  |  |  | 2,195,583.  |                                  |
| <b>b</b>  |  |  | 347,058.  |                                  |
| <b>c</b>  |  |  | 383.  |                                  |
| <b>d</b>  |  |  | -2,400.   |                                  |
| <b>e</b>  |  |  | -4,956.   |                                  |
| <b>2 Capital gain net income or (net capital loss)</b>  |  | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 ..... }                                |   | <b>2</b> 2,535,668.              |
| <b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b>  |  | { If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in<br>Part I, line 8 ..... } |   | <b>3</b> N/A                     |

**Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)**

|   |           |          |         |
|---|-----------|----------|---------|
| <b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions) |           | <b>1</b> | 43,336. |
| <b>b</b> All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations, enter 4% (0.04) of Part I, line 12, col. (b) .....  |           |          |         |
| <b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) .....   | <b>2</b>  | 0.       |         |
| <b>3</b> Add lines 1 and 2 .....  | <b>3</b>  | 43,336.  |         |
| <b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) .....   | <b>4</b>  | 0.       |         |
| <b>5 Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- .....  | <b>5</b>  | 43,336.  |         |
| <b>6 Credits/Payments:</b>  |           |          |         |
| <b>a</b> 2023 estimated tax payments and 2022 overpayment credited to 2023 .....  | <b>6a</b> | 50,862.  |         |
| <b>b</b> Exempt foreign organizations - tax withheld at source .....  | <b>6b</b> | 0.       |         |
| <b>c</b> Tax paid with application for extension of time to file (Form 8868) .....  | <b>6c</b> | 0.       |         |
| <b>d</b> Backup withholding erroneously withheld .....  | <b>6d</b> | 0.       |         |
| <b>7</b> Total credits and payments. Add lines 6a through 6d .....  | <b>7</b>  | 50,862.  |         |
| <b>8</b> Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached .....  | <b>8</b>  | 0.       |         |
| <b>9 Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> .....   | <b>9</b>  |          |         |
| <b>10 Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> .....  | <b>10</b> | 7,526.   |         |
| <b>11</b> Enter the amount of line 10 to be: <b>Credited to 2024 estimated tax</b> 7,526. <b>Refunded</b> .....   | <b>11</b> | 0.       |         |

**Part VI-A Statements Regarding Activities**

|    |   | Yes | No |
|----|---|-----|----|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? .....  |     | X  |
| 1b | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition .....<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| 1c | Did the foundation file Form 1120-POL for this year? .....  |     | X  |
|    | d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. \$ _____ 0. (2) On foundation managers. \$ _____ 0.  |     |    |
|    | e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ _____ 0.   |     |    |
| 2  | Has the foundation engaged in any activities that have not previously been reported to the IRS? .....   |     | X  |
|    | If "Yes," attach a detailed description of the activities.  |     |    |
| 3  | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes .....  |     | X  |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? .....   | X   |    |
| 4b | If "Yes," has it filed a tax return on Form 990-T for this year? .....  | X   |    |
| 5  | Was there a liquidation, termination, dissolution, or substantial contraction during the year? .....  |     | X  |
|    | If "Yes," attach the statement required by General Instruction T.   |     |    |
| 6  | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? .....            | X   |    |
| 7  | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV .....  | X   |    |
| 8a | Enter the states to which the foundation reports or with which it is registered. See instructions. _____<br>NY  |     |    |
| 8b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .....   | X   |    |
| 9  | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2023 or the tax year beginning in 2023? See the instructions for Part XIII. If "Yes," complete Part XIII .....   |     | X  |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses .....  |     | X  |
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions .....  |     | X  |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions ..... SEE STATEMENT 16 SEE STATEMENT 17   | X   |    |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? .....   | X   |    |
|    | Website address WWW.DRFUND.ORG  |     |    |
| 14 | The books are in care of LEXI FISHER Telephone no. 212-812-4300<br>Located at 475 RIVERSIDE DRIVE, NEW YORK, NY ZIP+4 10115   |     |    |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ..... <input type="checkbox"/><br>and enter the amount of tax-exempt interest received or accrued during the year ..... 15 N/A  |     |    |
| 16 | At any time during calendar year 2023, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? .....   |     | X  |
|    | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country  |     |    |

**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|  | Yes   | No  |
|--|-------|-----|
| <b>1a</b> During the year, did the foundation (either directly or indirectly):   |       |     |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? .....   | 1a(1) | X   |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? .....   | 1a(2) | X   |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? .....   | 1a(3) | X   |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? .....   | 1a(4) | X   |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? .....  | 1a(5) | X   |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) .....  | 1a(6) | X   |
| <b>b</b> If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions .....  | 1b    | X   |
| <b>c</b> Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>  |       |     |
| <b>d</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2023? .....   | 1d    | X   |
| <b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  |       |     |
| <b>a</b> At the end of tax year 2023, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2023? .....  | 2a    | X   |
| If "Yes," list the years _____, _____, _____, _____  |       |     |
| <b>b</b> Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) .....   | 2b    | N/A |
| <b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. _____, _____, _____, _____   |       |     |
| <b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? .....   | 3a    | X   |
| <b>b</b> If "Yes," did it have excess business holdings in 2023 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2023.) ..... | 3b    | N/A |
| <b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? .....  | 4a    | X   |
| <b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2023? .....   | 4b    | X   |

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**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

|  | Yes | No |
|--|-----|----|
| <b>5a</b> During the year, did the foundation pay or incur any amount to:  |     |    |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  |     | X  |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  |     | X  |
| (3) Provide a grant to an individual for travel, study, or other similar purposes?   |     | X  |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions  | X   |    |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  |     | X  |
| <b>b</b> If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions |     | X  |
| <b>c</b> Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>  |     |    |
| <b>d</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 19   | X   |    |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d).   |     |    |
| <b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  |     | X  |
| <b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.  |     | X  |
| <b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?   |     | X  |
| <b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A   |     |    |
| <b>8</b> Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?  |     | X  |

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, and foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 18     |   | 409,263.                                  | 126,579.  | 0.                                    |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000           | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| CRISTINA FERNANDEZ - 475 RIVERSIDE DRIVE, SUITE 900, NEW YORK, NY 10115 | PROGRAM OFFICER<br>40.00                                  | 90,100.          | 25,916.   | 660.                                  |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

**Total** number of other employees paid over \$50,000 ..... 0



**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000                    | (b) Type of service   | (c) Compensation |
|--|-----------------------|------------------|
| ROCKEFELLER CAPITAL MANAGEMENT<br>45 ROCKEFELLER PLZ, FL 5, NEW YORK, NY 10111 | INVESTMENT MANAGEMENT | 365,677.         |
|  |                       |                  |
|  |                       |                  |
|  |                       |                  |
|  |                       |                  |
|  |                       |                  |
|  |                       |                  |
|  |                       |                  |
|  |                       |                  |

**Total** number of others receiving over \$50,000 for professional services ..... 0

**Part VIII-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1<br>SEE STATEMENT 20  | 52,666.  |
| 2  |          |
| 3  |          |
| 4  |          |

**Part VIII-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|--------|
| 1 N/A   |        |
| 2   |        |
| 3 All other program-related investments. See instructions.  |        |
| <b>Total.</b> Add lines 1 through 3 .....   | 0.     |

**Part IX Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |   |    |             |
|---|---|----|-------------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |   |    |             |
| a   | Average monthly fair market value of securities .....   | 1a | 49,813,367. |
| b   | Average of monthly cash balances .....  | 1b | 3,115,235.  |
| c   | Fair market value of all other assets (see instructions) .....  | 1c |             |
| d   | <b>Total</b> (add lines 1a, b, and c) .....   | 1d | 52,928,602. |
| e   | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) .....       | 1e | 0.          |
| 2   | Acquisition indebtedness applicable to line 1 assets .....  | 2  | 0.          |
| 3   | Subtract line 2 from line 1d .....  | 3  | 52,928,602. |
| 4   | Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions) ..... | 4  | 793,929.    |
| 5   | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 .....                                       | 5  | 52,134,673. |
| 6   | <b>Minimum investment return.</b> Enter 5% (0.05) of line 5 .....   | 6  | 2,606,734.  |

**Part X Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here  and do not complete this part.)

|    |  |    |            |
|----|--|----|------------|
| 1  | Minimum investment return from Part IX, line 6 .....   | 1  | 2,606,734. |
| 2a | Tax on investment income for 2023 from Part V, line 5 .....  | 2a | 43,336.    |
| b  | Income tax for 2023. (This does not include the tax from Part V.) .....  | 2b |            |
| c  | Add lines 2a and 2b .....  | 2c | 43,336.    |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1 .....                                    | 3  | 2,563,398. |
| 4  | Recoveries of amounts treated as qualifying distributions .....  | 4  | 0.         |
| 5  | Add lines 3 and 4 .....  | 5  | 2,563,398. |
| 6  | Deduction from distributable amount (see instructions) .....   | 6  | 0.         |
| 7  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1 ..... | 7  | 2,563,398. |

**Part XI Qualifying Distributions** (see instructions)

|  |   |    |            |
|--|---|----|------------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: |   |    |            |
| a  | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 .....                             | 1a | 5,318,859. |
| b  | Program-related investments - total from Part VIII-B .....  | 1b | 0.         |
| 2  | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes ..... | 2  |            |
| 3  | Amounts set aside for specific charitable projects that satisfy the:  |    |            |
| a  | Suitability test (prior IRS approval required) .....  | 3a |            |
| b  | Cash distribution test (attach the required schedule) .....   | 3b |            |
| 4  | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part XII, line 4 .....              | 4  | 5,318,859. |

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**Part XII** Undistributed Income (see instructions)

|   | (a)<br>Corpus | (b)<br>Years prior to 2022 | (c)<br>2022 | (d)<br>2023 |
|---|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2023 from Part X, line 7 .....  |               |                            |             | 2,563,398.  |
| <b>2</b> Undistributed income, if any, as of the end of 2023:   |               |                            |             |             |
| <b>a</b> Enter amount for 2022 only .....   |               |                            | 0.          |             |
| <b>b</b> Total for prior years:   |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2023:   |               |                            |             |             |
| <b>a</b> From 2018 .....  | 593,750.      |                            |             |             |
| <b>b</b> From 2019 .....  | 1,621,017.    |                            |             |             |
| <b>c</b> From 2020 .....  | 8,473,946.    |                            |             |             |
| <b>d</b> From 2021 .....  | 1,946,749.    |                            |             |             |
| <b>e</b> From 2022 .....  | 2,863,922.    |                            |             |             |
| <b>f</b> Total of lines 3a through e .....  | 15,499,384.   |                            |             |             |
| <b>4</b> Qualifying distributions for 2023 from Part XI, line 4: \$ 5,318,859.  |               |                            |             |             |
| <b>a</b> Applied to 2022, but not more than line 2a ...   |               |                            | 0.          |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions) ...  |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions) .....  | 0.            |                            |             |             |
| <b>d</b> Applied to 2023 distributable amount .....   |               |                            |             | 2,563,398.  |
| <b>e</b> Remaining amount distributed out of corpus   | 2,755,461.    |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2023 (If an amount appears in column (d), the same amount must be shown in column (a).) .....  | 0.            |                            |             | 0.          |
| <b>6</b> Enter the net total of each column as indicated below:   |               |                            |             |             |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 .....  | 18,254,845.   |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b .....   |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed ..... |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions .....   |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2022. Subtract line 4a from line 2a. Taxable amount - see instr. ...  |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2023. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2024 .....  |               |                            |             | 0.          |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) .....       | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2018 not applied on line 5 or line 7 .....   | 593,750.      |                            |             |             |
| <b>9</b> Excess distributions carryover to 2024. Subtract lines 7 and 8 from line 6a .....  | 17,661,095.   |                            |             |             |
| <b>10</b> Analysis of line 9:   |               |                            |             |             |
| <b>a</b> Excess from 2019 ...   | 1,621,017.    |                            |             |             |
| <b>b</b> Excess from 2020 ...   | 8,473,946.    |                            |             |             |
| <b>c</b> Excess from 2021 ...   | 1,946,749.    |                            |             |             |
| <b>d</b> Excess from 2022 ...   | 2,863,922.    |                            |             |             |
| <b>e</b> Excess from 2023 ...   | 2,755,461.    |                            |             |             |

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2023, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2023, (b) 2022, (c) 2021, (d) 2020, (e) Total. Rows include 2a-e (Qualifying distributions) and 3a-d (Alternative tests).

Part XIV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 21

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XIV** **Supplementary Information** (continued)

| <b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>  |   |                                |   |                      |
|--|---|--------------------------------|---|----------------------|
| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution<br>**  | Amount               |
| Name and address (home or business)  |   |                                |   |                      |
| <b>a Paid during the year</b>  |   |                                |   |                      |
| ACTION FOR SAFETY AND JUSTICE<br>1787 TRIBUTE RD., SUITE K<br>SACRAMENTO, CA 95815     | N/A   | NC                             | TO EDUCATE THE PUBLIC ON PUBLIC SAFETY SOLUTIONS FOR REDUCING MASS CRIMINALIZATION AND INCARCERATION. | 105,000.             |
| ALLGO<br>701 TILLERY ST. BOX 4<br>AUSTIN, TX 78702                                     | N/A   | PC                             | STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING SUPPORT.  | 40.                  |
| ALLIANCE OF FAMILIES FOR JUSTICE<br>8 W. 126TH STREET, 3RD FLOOR<br>NEW YORK, NY 10027 | N/A   | PC                             | SEE STATEMENT   | 10,000.              |
| ALLIANCE OF FAMILIES FOR JUSTICE<br>8 W. 126TH STREET, 3RD FLOOR<br>NEW YORK, NY 10027 | N/A   | PC                             | SEE STATEMENT   | 2,000.               |
| AMALGAMATED CHARITABLE FOUNDATION<br>1825 K STREET NW<br>WASHINGTON, DC 20006          | N/A   | PC                             | DEMOCRACY ALLIANCE POOLED FUND, A NETWORK OF DONORS COMMITTED TO DEMOCRACY REFORM.                    | 20,000.              |
| <b>Total</b>   | SEE CONTINUATION SHEET(S)   |                                |   | <b>3a</b> 4,262,391. |
| <b>b Approved for future payment</b>   |   |                                |   |                      |
| CENTER FOR ARTISTIC ACTIVISM<br>86 CHURCH STREET - 500<br>LEEDS, NY 12451              | N/A   | PC                             | SEE STATEMENT   | 75,000.              |
| CENTER FOR ARTISTIC ACTIVISM<br>86 CHURCH STREET - 500<br>LEEDS, NY 12451              | N/A   | PC                             | SEE STATEMENT   | 50,000.              |
| CLIMATE EMERGENCY ADVOCATES<br>529 MAIN STREET, SUITE 200<br>CHARLESTOWN, MA 02129     | N/A   | NC                             | SEE STATEMENT   | 75,000.              |
| <b>Total</b>   | SEE CONTINUATION SHEET(S)   |                                |   | <b>3b</b> 1,080,000. |



**Part XVI Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

|     |  | Yes | No |
|-----|--|-----|----|
| 1   | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |     |    |
| a   | Transfers from the reporting foundation to a noncharitable exempt organization of:   |     |    |
| (1) | Cash   | X   |    |
| (2) | Other assets   |     | X  |
| b   | Other transactions:  |     |    |
| (1) | Sales of assets to a noncharitable exempt organization   |     | X  |
| (2) | Purchases of assets from a noncharitable exempt organization   |     | X  |
| (3) | Rental of facilities, equipment, or other assets   |     | X  |
| (4) | Reimbursement arrangements   |     | X  |
| (5) | Loans or loan guarantees   |     | X  |
| (6) | Performance of services or membership or fundraising solicitations   |     | X  |
| c   | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   |     | X  |
| d   | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |    |

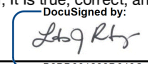
| (a) Line no. | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|--------------|---------------------|---|--|
| A1           | 105,000.            | ACTION FOR SAFETY AND JUSTICE                 | SEE STATEMENT 22   |
| A1           | 80,000.             | PODER   |  |
| A1           | 105,000.            | CLIMATE EMERGENCY ADVOCATES                   |  |
| A1           | 55,000.             | GAMALIEL FAITH AND DEMOCRACY CAMPAIGN         |  |
| A1           | 105,000.            | LEAGUE OF CONSERVATION VOTERS                 |  |
| A1           | 55,000.             | LUCHA   |  |
| A1           | 255,000.            | MICHIGAN CIVIC ACTION FUND                    |  |
| A1           | 35,000.             | NEW LEFT ACCELERATOR                          |  |
| A1           | 80,000.             | PROGRESSIVE MULTIPLIER ACTION FUND            |  |
| A1           | 80,000.             | TIDES ADVOCACY                                |  |
| A1           | 130,000.            | CLIMATE EQUITY ACTION FUND                    |  |
| A1           | 25,000.             | COMMISSION SHIFT ACTION                       |  |
| A1           | 55,000.             | ONE FOR DEMOCRACY                             |  |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?  Yes  No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A                      |                          |                                 |

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

DocuSigned by:  11/13/2024

Signature of officer or trustee: \_\_\_\_\_ Date: 11/13/2024 Title: CHIEF EXECUTIVE OFFICER

May the IRS discuss this return with the preparer shown below? See instr.  Yes  No

| Paid Preparer Use Only | Print/Type preparer's name | Preparer's signature  | Date     | Check <input type="checkbox"/> if self-employed | PTIN         |
|------------------------|----------------------------|---|----------|---|--------------|
|                        | JOSEPH L. ALI, CPA         | JOSEPH L. ALI, CPA  | 11/13/24 |   | P02093808    |
|                        | Firm's name                | PKF O'CONNOR DAVIES ADVISORY, LLC                           |          | Firm's EIN                                      | 87-3231666   |
|                        | Firm's address             | 500 MAMARONECK AVENUE, SUITE 301<br>HARRISON, NY 10528-1633 |          | Phone no.                                       | 914-381-8900 |

**Part XIV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)                                      | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount            |
|---|--|--------------------------------------|---|-------------------|
| AMALGAMATED CHARITABLE FOUNDATION<br>1825 K STREET NW<br>WASHINGTON, DC 20006         | N/A  | PC                                   | STAFF MATCHING GRANT TO BE USED FOR ORGANIZING<br>RESILIENCE PROJECT'S FIONA COMMUNITY RESPONSE FUND.   | 500.              |
| AUSTIN COMMUNITY FOUNDATION<br>4315 GUADALUPE STREET, SUITE 300<br>AUSTIN, TX 78751   | N/A  | PC                                   | STAFF MATCHING GRANT TO BE USED FOR LAS COMADRES<br>FUND.   | 100.              |
| BE THE MATCH FOUNDATION<br>500 N 5TH ST<br>MINNEAPOLIS, MN 55401                      | N/A  | PC                                   | STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING<br>SUPPORT.   | 200.              |
| BIODIVERSITY FUNDERS GROUP<br>PO BOX 29361<br>SAN FRANCISCO, CA 94129-0361            | N/A  | PC                                   | CLIMATE AND ENERGY FUNDERS GROUP, A NETWORK OF<br>FUNDERS ADDRESSING GLOBAL CLIMATE CHANGE BY<br>SUPPORTING A VARIETY OF STRATEGIES.  | 5,000.            |
| BORDER WORKERS UNITED<br>13371-11 EASTLAKE BLVD, STE 104 # 503<br>EL PASO, TX 79928   | N/A  | PC                                   | VECINOS POR EL BIENESTAR POR LA COMUNIDAD COSTERA<br>PROJECT, A COMMUNITY EFFORT TO PROTECT PUBLIC AND<br>ENVIRONMENTAL HEALTH BY LIMITING FOSSIL FUEL<br>EXPANSION IN THE RIO GRANDE VALLEY. | 50,000.           |
| BRAVE NEW FILMS<br>13101 WASHINGTON BLVD. #119<br>LOS ANGELES, CA 90066               | N/A  | PC                                   | TO CREATE AND DISTRIBUTE SHORT DOCUMENTARY FILMS<br>FOCUSED ON CIVIC ENGAGEMENT.  | 30,000.           |
| BROADWAY ADVOCACY COALITION<br>165 WEST 46TH STREET, SUITE 1105<br>NEW YORK, NY 10036 | N/A  | PC                                   | ARTS IN ACTION FESTIVAL - A CONVENING OF<br>ORGANIZATIONS AND INDIVIDUALS WORKING AT THE<br>INTERSECTION OF ARTS AND ADVOCACY TO ADVANCE<br>OPPORTUNITIES TO CO-CONSTRUCT A MORE JUST FUTURE. | 8,000.            |
| <b>Total from continuation sheets</b>   |  |                                      |   | <b>4,125,351.</b> |



**Part XIV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|--|---------|
| BROOKLYN ARTS EXCHANGE<br>421 5TH AVE<br>BROOKLYN, NY 11215                       | N/A  | PC                                   | TO CONTINUE NATIONAL EXPANSION OF EMERGENYC, A SUPPORT NETWORK FOR EMERGING ARTIST-ACTIVISTS.  | 55,000. |
| BROOKLYN TECH ALUMNI FOUNDATION<br>P.O. BOX 26608<br>BROOKLYN, NY 11202-6608      | N/A  | PC                                   | STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING SUPPORT.   | 100.    |
| BUSINESS CAPACITY DEVELOPMENT ALLIANCE<br>147 PRINCE STREET<br>BROOKLYN, NY 11201 | N/A  | PC                                   | SUPPORT FOR CANARY IMPACT FUND (PARTICIPATORY GRANTMAKING COMMITTEE) LEADERSHIP PARTICIPATION IN NATIONAL CONVENING DEDICATED TO ENDING MASS INCARCERATION.                              | 1,800.  |
| BUSINESS CAPACITY DEVELOPMENT ALLIANCE<br>147 PRINCE STREET<br>BROOKLYN, NY 11201 | N/A  | PC                                   | CANARY IMPACT FUND PARTICIPATORY GRANTMAKING COMMITTEE.  | 55,000. |
| CASA, INC.<br>8151 15TH AVE.<br>HYATTSVILLE, MD 20873                             | N/A  | PC                                   | TO PROVIDE EDUCATION ON CLIMATE JUSTICE ISSUES IN PENNSYLVANIA.  | 50,000. |
| CENTER FOR ARTISTIC ACTIVISM<br>86 CHURCH STREET - 500<br>LEEDS, NY 12451         | N/A  | PC                                   | UNSTOPPABLE VOTERS, A TRAINING AND MENTORING PROGRAM THAT EDUCATES ARTISTS AND ACTIVISTS ON RESEARCH-BASED TOOLS TO DESIGN AND IMPLEMENT EFFECTIVE PRO-VOTING RIGHTS CREATIVE CAMPAIGNS. | 75,000. |
| CENTER FOR CULTURAL POWER<br>1330 BROADWAY 3RD FLOOR<br>OAKLAND, CA 94612         | N/A  | PC                                   | TO ENGAGE YOUNG PEOPLE IN MOVEMENTS USING ARTS AND CULTURE FOR SOCIAL JUSTICE AT THE NATIONAL, STATE, AND LOCAL LEVELS.  | 55,000. |
| <b>Total from continuation sheets</b>   |  |                                      |  |         |

**Part XIV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|---|----------|
| CENTER FOR DISASTER PHILANTHROPY<br>1201 CONNECTICUT AVENUE NW, SUITE 300<br>WASHINGTON, DC 20036 | N/A  | PC                                   | STAFF MATCHING GRANT TO BE USED FOR UKRAINE<br>HUMANITARIAN CRISIS FUND.  | 200.     |
| CLIMATE EMERGENCY ADVOCATES<br>529 MAIN STREET, SUITE 200<br>CHARLESTOWN, MA 02129                | N/A  | NC                                   | TO SUPPORT STRATEGIC COMMUNICATIONS AND DIGITAL MEDIA<br>CAMPAIGNS TO ADVANCE CLIMATE ADVOCACY FOR RENEWABLE<br>ENERGY SOLUTIONS.   | 105,000. |
| CLIMATE EQUITY ACTION FUND<br>1100 13TH STREET, NW, SUITE 800<br>WASHINGTON, DC 20005             | N/A  | NC                                   | TO STRENGTHEN ORGANIZATIONAL INFRASTRUCTURE IN THE<br>MIDST OF AN ONGOING TRANSITION TO AN INDEPENDENT<br>ENTITY DEDICATED TO BUILDING LONG-TERM POWER TO<br>ENSURE AN EQUITABLE CLEAN ENERGY FUTURE.   | 130,000. |
| COMMISSION SHIFT ACTION<br>212 FLORES AVENUE<br>LAREDO, TX 78040                                  | N/A  | NC                                   | TO DEVELOP THE ORGANIZATIONAL INFRASTRUCTURE AND<br>COMMUNICATIONS CAPACITY NECESSARY TO ADVANCE THE GOAL<br>OF BUILDING PUBLIC SUPPORT TO HOLD THE RAILROAD<br>COMMISSION OF TEXAS (RRC) ACCOUNTABLE TO ITS MISSION<br>IN A SHIFTING ENERGY LANDSCAPE. | 25,000.  |
| COMMISSION SHIFT<br>212 FLORES AVENUE<br>LAREDO, TX 78040   | N/A  | PC                                   | TO REFORM OIL AND GAS OVERSIGHT BY BUILDING PUBLIC<br>SUPPORT TO HOLD THE RAILROAD COMMISSION OF TEXAS<br>(RRC) ACCOUNTABLE TO ITS MISSION IN A SHIFTING ENERGY<br>LANDSCAPE.   | 50,000.  |
| COMMON DEFENSE EDUCATION FUND<br>251 W 30TH STREET, 5TH FLOOR<br>NEW YORK, NY 10001               | N/A  | PC                                   | VETERANS FOR CLIMATE JUSTICE, A NATIONAL CAMPAIGN OF<br>U.S. MILITARY VETERANS WORKING TO RAISE PUBLIC<br>AWARENESS OF THE CLIMATE CRISIS AND BUILD PUBLIC<br>SUPPORT FOR CLEAN RENEWABLE ENERGY.   | 33,000.  |
| CRITICAL RESISTANCE<br>P.O. BOX 22780<br>OAKLAND, CA 94609-2301                                   | N/A  | PC                                   | TO BUILD AN INTERNATIONAL MOVEMENT TO END THE PRISON<br>INDUSTRIAL COMPLEX.   | 60,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|---|----------|
| CROATAN INSTITUTE<br>PO BOX 2044<br>DURHAM, NC 27702  | N/A  | PC                                   | FOR RURAL BEACON INITIATIVE'S FREE UNION FARM<br>REGENERATIVE SUSTAINABILITY HUB AND SEED SANCTUARY<br>PROJECT.   | 100,000. |
| DREAM.ORG<br>436 14TH ST, SUITE 920<br>OAKLAND, CA 94612                                      | N/A  | PC                                   | EMPATHY NETWORK - PROVIDE ISSUE EDUCATION TO STATE<br>AND FEDERAL POLICYMAKERS WITH THE GOAL OF BRINGING<br>ABOUT POWERFUL CHANGES TO THE JUSTICE SYSTEM. | 62,500.  |
| EKVN-YEFOLECV<br>P.O. BOX 148<br>WEOGUFKA, AL 35183   | N/A  | PC                                   | ECOVILLAGE THAT ADVANCES THE IMPLEMENTATION OF<br>REGENERATIVE ECOLOGICAL PRACTICES.  | 20,000.  |
| ELLA BAKER CENTER FOR HUMAN RIGHTS<br>1419 34TH AVENUE, SUITE 202<br>OAKLAND, CA 94601-3050   | N/A  | PC                                   | TO BUILD COLLABORATIVE STRATEGIES TO ADVANCE JUSTICE<br>SYSTEM REFORM AND INCREASE POLICE ACCOUNTABILITY.   | 10,000.  |
| ENVIRONMENTAL GRANTMAKERS ASSOCIATION<br>475 RIVERSIDE DRIVE, SUITE 960<br>NEW YORK, NY 10115 | N/A  | PC                                   | MEMBERSHIP ASSOCIATION OF FOUNDATIONS AND GIVING<br>PROGRAMS THAT PROMOTES EFFECTIVE ENVIRONMENTAL<br>PHILANTHROPY.                                       | 7,000.   |
| FAIR COUNT<br>464 BOULEVARD SE<br>ATLANTA, GA 30312   | N/A  | PC                                   | TO BUILD CIVIC POWER FOR AN EQUITABLE ELECTORATE AND<br>CLIMATE MOVEMENT IN THE STATE OF GEORGIA.   | 45,000.  |
| FLORIDA RIGHTS RESTORATION COALITION, INC.<br>4081 LB MCLEOD RD, SUITE C<br>ORLANDO, FL 32811 | N/A  | PC                                   | TO ENGAGE IN A STRATEGIC PLANNING PROCESS TO<br>STRENGTHEN OPERATIONS.  | 25,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|---|----------|
| GAMALIEL FAITH AND DEMOCRACY CAMPAIGN<br>5401 S. CORNELL AVENUE, SUITE 301<br>CHICAGO, IL 60615 | N/A  | NC                                   | TO SCALE THE TRANSFORMATIONAL JUSTICE IN ACTION<br>CAMPAIGN'S IN-PRISON OUTREACH PROGRAM.   | 55,000.  |
| GEORGE MASON UNIVERSITY FOUNDATION<br>4400 UNIVERSITY DRIVE - MS 1A3<br>FAIRFAX, VA 22030       | N/A  | PC                                   | REPUBLICEN.ORG - MOBILIZE SUPPORT FOR BIPARTISAN<br>CLIMATE SOLUTIONS.  | 55,000.  |
| GEORGIA ALLIANCE EDUCATION FUND<br>PO BOX 77971<br>ATLANTA, GA 30357                            | N/A  | PC                                   | STATEWIDE COALITION OF COMMUNITY ORGANIZING AND CIVIC<br>ENGAGEMENT INITIATIVES.  | 255,000. |
| GLOBAL GREENGRANTS FUND<br>2840 WILDERNESS PLACE, SUITE A<br>BOULDER, CO 80301                  | N/A  | PC                                   | AGROECOLOGY FUND - ADDRESSES THE IMPACTS OF CLIMATE<br>CHANGE BY ADVANCING A TRANSFORMATIONAL REDESIGN OF<br>GLOBAL FOOD SYSTEMS TOWARDS GREATER SUSTAINABILITY<br>AND JUSTICE. | 100,000. |
| GRANTMAKERS IN THE ARTS<br>522 COURTLANDT AVENUE<br>BRONX, NY 10451-5008                        | N/A  | PC                                   | TO SUPPORT OPERATING AND CONFERENCE FOR NETWORK OF<br>DONORS COMMITTED TO EFFECTIVE ARTS PHILANTHROPY.  | 10,000.  |
| HI-ARTS<br>215 EAST 99TH STREET<br>NEW YORK, NY 10029   | N/A  | PC                                   | COMMUNITY AND CIVIC ENGAGEMENT THROUGH THE ARTS.  | 55,000.  |
| HIP HOP CAUCUS EDUCATION FUND<br>529 14TH ST., NW, SUITE 952<br>WASHINGTON, DC 20045            | N/A  | PC                                   | TO ENGAGE YOUNG PEOPLE IN CIVIC ENGAGEMENT AND<br>CLIMATE JUSTICE ACTIVISM THROUGH STORYTELLING AND HIP<br>HOP CULTURE.   | 50,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|---|----------|
| HISPANIC FEDERATION<br>55 EXCHANGE PLACE, SUITE 501<br>NEW YORK, NY 10005               | N/A  | PF                                   | STAFF MATCHING GIFT TO SUPPORT DOMINICAN REPUBLIC AND PUERTO RICO DISASTER RELIEF.  | 100.     |
| HOPEWELL FUND<br>1201 CONNECTICUT AVE, SUITE 300<br>WASHINGTON, DC 20036                | N/A  | PC                                   | THE MOVEMENT INSTITUTE - A PLATFORM TO SCALE TECHNOLOGICAL AND INTER-ORGANIZATIONAL INFRASTRUCTURE IN SUPPORT OF MOVEMENT GROUPS.   | 70,000.  |
| LA PEA, INC.<br>227 CONGRESS AVENUE<br>AUSTIN, TX 78701                                 | N/A  | PC                                   | UVALDE LOVE PROJECT - A TRAUMA-INFORMED ART THERAPY INITIATIVE INTENDED TO CULTIVATE COMMUNITY CARE AND HEALING.  | 20,000.  |
| LEAGUE OF CONSERVATION VOTERS<br>740 15TH ST NW, 7TH FLOOR<br>WASHINGTON, DC 20005      | N/A  | NC                                   | CHISPA PROGRAM, ADVANCING CLIMATE SOLUTIONS AND ENVIRONMENTAL JUSTICE.  | 105,000. |
| LUCHA<br>5716 N. 19TH AVENUE<br>PHOENIX, AZ 85015                                       | N/A  | NC                                   | TO EDUCATE COMMUNITIES IN ARIZONA ABOUT LOCAL ECONOMIC AND SOCIAL ISSUES.   | 55,000.  |
| METROPOLITAN YMCA OF THE ORANGES<br>304 SOUTH LIVINGSTON AVENUE<br>LIVINGSTON, NJ 07039 | N/A  | PC                                   | STAFF MATCHING GRANT TO BE USED FOR SOUTH MOUNTAIN YMCA.  | 125.     |
| MICHIGAN CIVIC ACTION FUND<br>28342 DARTMOUTH STREET<br>MADISON HEIGHTS, MI 48071       | N/A  | NC                                   | TO PROVIDE EDUCATION ABOUT CIVIC ENGAGEMENT OPPORTUNITIES AND PUBLIC POLICY ISSUES IN MICHIGAN, AND PROVIDE TECHNICAL ASSISTANCE INFRASTRUCTURE FOR STATE-BASED MOVEMENT PARTNER ORGANIZATIONS. | 255,000. |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|--|----------|
| MIGHTY EARTH<br>1150 CONNECTICUT AVE. NW, SUITE 800<br>WASHINGTON, DC 20036                    | N/A  | PC                                   | TO TRANSFORM GLOBAL MARKETS TO PROTECT HUMAN RIGHTS<br>AND ELIMINATE COMMODITY-DRIVEN DEFORESTATION.   | 250,000. |
| MINNEAPOLIS FOUNDATION<br>80 S 8TH STREET, SUITE 800<br>MINNEAPOLIS, MN 55402                  | N/A  | PC                                   | RE-AMP NETWORK'S CAMPAIGN TO EDUCATE THE PUBLIC ABOUT<br>EQUITABLE FARM BILL IMPLEMENTATION.   | 50,000.  |
| MONTCLAIR EARLY CHILDHOOD CORPORATION<br>49 ORANGE ROAD<br>MONTCLAIR, NJ 07042                 | N/A  | PC                                   | STAFF MATCHING GRANT TO BE USED FOR LULU'S FUND.   | 500.     |
| MYELODYSPLASTIC SYNDROMES FOUNDATION<br>4573 SOUTH BROAD ST., SUITE 150<br>YARDVILLE, NJ 08620 | N/A  | PC                                   | STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING<br>SUPPORT.  | 126.     |
| NEW LEFT ACCELERATOR<br>850 LAUREL ST<br>ALAMEDA, CA 94501                                     | N/A  | NC                                   | EMPOWER & PROTECT ARIZONA, AN EQUITY-INFORMED<br>CAPACITY-BUILDING PROGRAM PROVIDING TECHNICAL<br>ASSISTANCE TO ARIZONA-BASED ORGANIZATIONS ENGAGED IN<br>POWER-BUILDING WORK. | 35,000.  |
| NEW VENTURE FUND<br>1201 CONNECTICUT AVE. NW, SUITE 300<br>WASHINGTON, DC 20036                | N/A  | PC                                   | ILLUMINATIVE PROJECT'S NATIVE ARTISTS AND<br>STORYTELLERS FUND.  | 105,000. |
| NEW YORK FOUNDATION<br>150 W 30TH STREET, SUITE 1401<br>NEW YORK, NY 10001                     | N/A  | PF                                   | YOUTH ORGANIZING & CULTURE CHANGE FUND, A PILOT<br>PROGRAM TO INCREASE FUNDING AND SUPPORTS FOR YOUTH<br>ORGANIZING AND CULTURAL STRATEGIES ACROSS THE<br>COUNTRY.             | 80,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |  |          |

**Part XIV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|---|--|--------------------------------------|---|----------|
| NEXTGEN EDUCATION FUND<br>548 MARKET STREET #98097<br>SAN FRANCISCO, CA 94104       | N/A  | PC                                   | TO EDUCATE, EMPOWER, AND ENCOURAGE CIVIC ENGAGEMENT AND COMMUNITY LEADERSHIP AMONG YOUNG ADULTS AND CULTIVATE YOUTH ORGANIZING IN SUPPORT OF CLIMATE JUSTICE.   | 55,000.  |
| ONE FOR DEMOCRACY<br>107 GRAND STREET, 7TH FLOOR<br>NEW YORK, NY 10013              | N/A  | NC                                   | TO STRENGTHEN THE INFRASTRUCTURE NEEDED FOR DEMOCRACY, AND ULTIMATELY COMMUNITIES, TO THRIVE.   | 55,000.  |
| PHILANTHROPY NEW YORK<br>320 EAST 43RD STREET<br>NEW YORK, NY 10017                 | N/A  | PC                                   | GENERAL OPERATING SUPPORT FOR LOCAL CONVENER OF GRANTMAKING ORGANIZATIONS THAT WORKS TO STRENGTHEN TIES AMONG FUNDERS AND DEVELOP FORWARD-THINKING PROGRAMING TO ADVANCE A MORE EQUITABLE PHILANTHROPIC SECTOR. | 3,200.   |
| PODER<br>PO BOX 234064415, N MARYVALE PKWY<br>PHOENIX, AZ 85063                     | N/A  | NC                                   | TO EDUCATE ARIZONA COMMUNITIES ABOUT CIVIC ENGAGEMENT AND OPPORTUNITIES TO ADVANCE THEIR HEALTH AND SAFETY AT THE LOCAL AND STATE LEVEL.  | 80,000.  |
| PRIVATE EQUITY STAKEHOLDER PROJECT<br>2513 N CENTRAL PARK AVE<br>CHICAGO, IL 60647  | N/A  | PC                                   | TO MONITOR AND DRAW ATTENTION TO PRIVATE EQUITY INVESTMENTS IN FOSSIL FUEL ASSETS.  | 150,000. |
| PROGRESSIVE MULTIPLIER ACTION FUND<br>2733 WOODLEY PLACE NW<br>WASHINGTON, DC 20008 | N/A  | NC                                   | TO SUPPORT NONPROFIT VOTER ENGAGEMENT AND CLIMATE JUSTICE GROUPS IN THE IMPLEMENTATION OF INDEPENDENT, SCALABLE REVENUE GENERATION TECHNIQUES.  | 80,000.  |
| PROTECT OUR WINTERS<br>4676 BROADWAY STREET<br>BOULDER, CO 80304                    | N/A  | PC                                   | TO MOBILIZE THE OUTDOOR SPORT ENTHUSIAST COMMUNITY TO TAKE ACTION IN SUPPORT OF CLIMATE AND CLEAN ENERGY SOLUTIONS.   | 55,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|---|----------|
| RESTOREHER<br>211 GREEN VALLEY ROAD<br>FAYETTEVILLE, GA 30214                                    | N/A  | PC                                   | NATIONAL NETWORK ADVOCATING FOR IMPROVED CONDITIONS OF CONFINEMENT AND JUSTICE SYSTEM TRANSFORMATION IN THE U.S. SOUTH AND BEYOND.  | 55,000.  |
| ROCKEFELLER PHILANTHROPY ADVISORS<br>6 WEST 48TH STREET, FLOOR 10<br>NEW YORK, NY 10036-1802     | N/A  | PC                                   | EQUATION CAMPAIGN'S BROWNSVILLE ECOSYSTEM DEVELOPMENT PROJECT - SUPPORT THE DEVELOPMENT OF A COLLABORATIVE, NETWORKED GRASSROOTS MOVEMENT ECOSYSTEM ORGANIZING TO BLOCK NEW FOSSIL FUEL INFRASTRUCTURE IN THE RIO GRANDE VALLEY REGION. | 100,000. |
| SIERRA CLUB FOUNDATION<br>2101 WEBSTER STREET, STE. 1250<br>OAKLAND, CA 94612                    | N/A  | PC                                   | BEYOND DIRTY FUELS CAMPAIGN - COORDINATION OF REGIONAL AND NATIONAL ADVOCACY EFFORTS AGAINST THE LINE 5 PIPELINE'S OPERATIONS.  | 50,000.  |
| SOCIAL AND ENVIRONMENTAL ENTREPRENEURS<br>23564 CALABASAS ROAD, SUITE 201<br>CALABASAS, CA 91302 | N/A  | PC                                   | NATIONAL ALIANZA FOR LATINX YOUTH JUSTICE - AN INTERGENERATIONAL NETWORK THAT BUILDS THE LEADERSHIP CAPACITY OF THOSE WHO HAVE BEEN IMPACTED BY MASS INCARCERATION.   | 20,000.  |
| SOCIAL AND ENVIRONMENTAL ENTREPRENEURS<br>23564 CALABASAS ROAD, SUITE 201<br>CALABASAS, CA 91302 | N/A  | PC                                   | FUNDERS FOR JUSTICE - MEMBERSHIP ASSOCIATION OF DONORS COMMITTED TO CRIMINAL LEGAL SYSTEM TRANSFORMATION.   | 2,500.   |
| SOCIAL AND ENVIRONMENTAL ENTREPRENEURS<br>23564 CALABASAS ROAD, SUITE 201<br>CALABASAS, CA 91302 | N/A  | PC                                   | FORMERLY INCARCERATED, CONVICTED PEOPLE AND FAMILIES MOVEMENT - NATIONAL NETWORK OF CIVIL AND HUMAN RIGHTS ORGANIZATIONS WORKING TO END MASS INCARCERATION.   | 80,000.  |
| TEXANS CARE FOR CHILDREN<br>1016 LA POSADA DR #240<br>AUSTIN, TX 78752                           | N/A  | PC                                   | STAFF MATCHING GRANT TO BE USED FOR FOUNDER'S FUND.   | 200.     |

**Total from continuation sheets** .....



**Part XIV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

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|--|--|--------------------------------------|---|----------|
| TEXAS CIVIL RIGHTS PROJECT<br>1405 MONTOPOLIS DR.<br>AUSTIN, TX 78741              | N/A  | PC                                   | TO PROTECT THE RIGHT TO PROTEST AND SERVE THE SOCIAL JUSTICE MOVEMENT IN TEXAS WITH LEGAL REPRESENTATION AND CREATIVE STRATEGIES.   | 100,000. |
| TEXAS PRISONS COMMUNITY ADVOCATES, INC.<br>P.O. BOX 1974<br>FULTON, TX 78358       | N/A  | PC                                   | ADVOCACY NETWORK DEDICATED TO ADVANCING SAFE AND HUMANE CONDITIONS WITHIN TEXAS PRISONS.  | 10,000.  |
| THE GATHERING FOR JUSTICE<br>121 WEST 36TH STREET, SUITE 251<br>NEW YORK, NY 10018 | N/A  | PC                                   | TO CONDUCT INTERSECTIONAL CULTURAL ORGANIZING AND ADVOCACY TOWARD JUSTICE SYSTEM TRANSFORMATION, AND ENGAGE IN COLLABORATIONS TO BENEFIT THE BROADER NATIONAL JUSTICE ADVOCACY FIELD. | 10,000.  |
| THEATRE OF THE OPPRESSED NYC<br>758 8TH AVENUE, SUITE 300<br>NEW YORK, NY 10036    | N/A  | PC                                   | GENERAL OPERATING GRANT TO SUSTAIN THEATRICAL ACTIVITIES AND ARTISTIC PRACTICE TO ENGAGE LOCAL NEW YORK CITY COMMUNITIES IN SOCIAL CHANGE.  | 15,000.  |
| TIDES ADVOCACY<br>P.O. BOX 29229<br>SAN FRANCISCO, CA 94129                        | N/A  | NC                                   | RESILIENT DEMOCRACY ACTION FUND - EFFORT TO BUILD THE TECHNOLOGICAL CAPACITY OF CIVIC ENGAGEMENT ORGANIZATIONS.   | 80,000.  |
| TIDES CENTER<br>PO BOX 889385<br>LOS ANGELES, CA 90088-9385                        | N/A  | PC                                   | COMMUNITY JUSTICE EXCHANGE PROJECT'S NATIONAL BAIL FUND NETWORK.  | 10,000.  |
| TIDES FOUNDATION<br>1012 TORNEY AVENUE<br>SAN FRANCISCO, CA 94129-1755             | N/A  | PC                                   | MASS LIBERATION FUND - TRAINING AND SUPPORT TO ORGANIZATIONS WORKING TO ADVANCE DECARCERATION NATIONWIDE.   | 55,000.  |
| <b>Total from continuation sheets</b>  |  |                                      |   |          |

**Part XIV** Supplementary Information (continued)

**3a Grants and Contributions Paid During the Year**

| Recipient<br>Name and address (home or business)  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| UNKITAWA<br>816 CENTRAL AVE N<br>KENT, WA 98032   | N/A  | PC                                   | MOVEMENT INFRASTRUCTURE SERVICES FOR CLIMATE JUSTICE ORGANIZATIONS WORKING TO PROTECT THE ENVIRONMENT AND ENSURE THE WELLBEING OF THEIR COMMUNITIES.  | 125,000. |
| URBAN BUSH WOMEN<br>138 SOUTH OXFORD STREET, 4B<br>BROOKLYN, NY 11217                       | N/A  | PC                                   | TO PROMOTE DANCE PROGRAMMING THAT DEEPENS AND EXPANDS COMMUNITY ORGANIZING.   | 55,000.  |
| USCAN (U.S. CLIMATE ACTION NETWORK)<br>700 12TH STREET NW SUITE 700<br>WASHINGTON, DC 20005 | N/A  | PC                                   | ARM IN ARM GRASSROOTS CAMPAIGN TO CATALYZE A LONG-TERM MOVEMENT TO ADDRESS INTERCONNECTED CLIMATE, ECONOMIC, HEALTH, AND RACIAL JUSTICE CRISES.   | 80,000.  |
| W. HAYWOOD BURNS INSTITUTE<br>475 14TH STREET, SUITE 800<br>OAKLAND, CA 94612               | N/A  | PC                                   | TO ADVANCE A STRUCTURAL WELL-BEING FRAMEWORK THAT REDUCES DISPARITIES IN THE CRIMINAL LEGAL SYSTEM AND INCREASES COMMUNITY PARTICIPATION IN THE FORMULATION AND DELIVERY OF JUSTICE SERVICES. | 55,000.  |
| WINDWARD FUND<br>1828 L STREET NW, SUITE 300-A<br>WASHINGTON, DC 20036                      | N/A  | PC                                   | HIVE FUND FOR CLIMATE AND GENDER JUSTICE - INVESTS IN THE EXPERTISE AND LONG-TERM SUCCESS OF ORGANIZATIONS BUILDING POWER TO ADDRESS INTERSECTING CLIMATE, GENDER, AND RACIAL JUSTICE CRISES. | 80,000.  |
| WOMEN FOR AFGHAN WOMEN<br>158-24 73RD AVE., 2ND FLOOR<br>FRESH MEADOWS, NY 11366            | N/A  | PC                                   | STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING SUPPORT.  | 100.     |
| WORLD CENTRAL KITCHEN<br>200 MASSACHUSETTS AVE NW, 7TH FLOOR<br>WASHINGTON, DC 20001        | N/A  | PC                                   | STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING SUPPORT.  | 100.     |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV Supplementary Information** (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)                                    | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution  | Amount          |
|---|--|--------------------------------------|--|-----------------|
| CLIMATE EMERGENCY ADVOCATES<br>529 MAIN STREET, SUITE 200<br>CHARLESTOWN, MA 02129  | N/A  | NC                                   | TO SUPPORT STRATEGIC COMMUNICATIONS AND DIGITAL MEDIA CAMPAIGNS TO ADVANCE CLIMATE ADVOCACY FOR RENEWABLE ENERGY SOLUTIONS.  | 50,000.         |
| COMMON DEFENSE EDUCATION FUND<br>251 W 30TH STREET, 5TH FLOOR<br>NEW YORK, NY 10001 | N/A  | PC                                   | VETERANS FOR CLIMATE JUSTICE, A NATIONAL CAMPAIGN OF U.S. MILITARY VETERANS WORKING TO RAISE PUBLIC AWARENESS OF THE CLIMATE CRISIS AND BUILD PUBLIC SUPPORT FOR CLEAN RENEWABLE ENERGY. | 25,000.         |
| COMMON DEFENSE EDUCATION FUND<br>251 W 30TH STREET, 5TH FLOOR<br>NEW YORK, NY 10001 | N/A  | PC                                   | VETERANS FOR CLIMATE JUSTICE, A NATIONAL CAMPAIGN OF U.S. MILITARY VETERANS WORKING TO RAISE PUBLIC AWARENESS OF THE CLIMATE CRISIS AND BUILD PUBLIC SUPPORT FOR CLEAN RENEWABLE ENERGY. | 25,000.         |
| DREAM.ORG<br>436 14TH ST, SUITE 920<br>OAKLAND, CA 94612                            | N/A  | PC                                   | EMPATHY NETWORK - PROVIDE ISSUE EDUCATION TO STATE AND FEDERAL POLICYMAKERS WITH THE GOAL OF BRINGING ABOUT POWERFUL CHANGES TO THE JUSTICE SYSTEM.                                      | 50,000.         |
| LUCHA<br>5716 N. 19TH AVENUE<br>PHOENIX, AZ 85015                                   | N/A  | NC                                   | TO EDUCATE COMMUNITIES IN ARIZONA ABOUT LOCAL ECONOMIC AND SOCIAL ISSUES.  | 50,000.         |
| LUCHA<br>5716 N. 19TH AVENUE<br>PHOENIX, AZ 85015                                   | N/A  | NC                                   | TO EDUCATE COMMUNITIES IN ARIZONA ABOUT LOCAL ECONOMIC AND SOCIAL ISSUES.  | 50,000.         |
| NEW LEFT ACCELERATOR<br>850 LAUREL ST<br>ALAMEDA, CA 94501                          | N/A  | NC                                   | EMPOWER & PROTECT ARIZONA, AN EQUITY-INFORMED CAPACITY-BUILDING PROGRAM PROVIDING TECHNICAL ASSISTANCE TO ARIZONA-BASED ORGANIZATIONS ENGAGED IN POWER-BUILDING WORK.                    | 50,000.         |
| <b>Total from continuation sheets</b>   |  |                                      |  | <b>880,000.</b> |

**Part XIV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)                              | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount   |
|---|--|--------------------------------------|---|----------|
| NEW LEFT ACCELERATOR<br>850 LAUREL ST<br>ALAMEDA, CA 94501                    | N/A  | NC                                   | EMPOWER & PROTECT ARIZONA, AN EQUITY-INFORMED CAPACITY-BUILDING PROGRAM PROVIDING TECHNICAL ASSISTANCE TO ARIZONA-BASED ORGANIZATIONS ENGAGED IN POWER-BUILDING WORK. | 50,000.  |
| NEW VENTURE FUND<br>1828 L STREET NW, SUITE 300-A<br>WASHINGTON, DC 20036     | N/A  | PC                                   | ILLUMINATIVE PROJECT'S NATIVE ARTISTS AND STORYTELLERS FUND.  | 100,000. |
| NEXTGEN EDUCATION FUND<br>548 MARKET STREET #98097<br>SAN FRANCISCO, CA 94104 | N/A  | PC                                   | TO EDUCATE, EMPOWER, AND ENCOURAGE CIVIC ENGAGEMENT AND COMMUNITY LEADERSHIP AMONG YOUNG ADULTS AND CULTIVATE YOUTH ORGANIZING IN SUPPORT OF CLIMATE JUSTICE.         | 50,000.  |
| NEXTGEN EDUCATION FUND<br>548 MARKET STREET #98097<br>SAN FRANCISCO, CA 94104 | N/A  | PC                                   | TO EDUCATE, EMPOWER, AND ENCOURAGE CIVIC ENGAGEMENT AND COMMUNITY LEADERSHIP AMONG YOUNG ADULTS AND CULTIVATE YOUTH ORGANIZING IN SUPPORT OF CLIMATE JUSTICE.         | 50,000.  |
| ONE FOR DEMOCRACY<br>107 GRAND STREET, 7TH FLOOR<br>NEW YORK, NY 10013        | N/A  | NC                                   | TO STRENGTHEN THE INFRASTRUCTURE NEEDED FOR DEMOCRACY, AND ULTIMATELY COMMUNITIES, TO THRIVE.   | 50,000.  |
| PODER<br>PO BOX 234064415, N MARYVALE PKWY<br>PHOENIX, AZ 85063               | N/A  | NC                                   | TO EDUCATE ARIZONA COMMUNITIES ABOUT CIVIC ENGAGEMENT AND OPPORTUNITIES TO ADVANCE THEIR HEALTH AND SAFETY AT THE LOCAL AND STATE LEVEL.                              | 50,000.  |
| PODER<br>PO BOX 234064415, N MARYVALE PKWY<br>PHOENIX, AZ 85063               | N/A  | NC                                   | TO EDUCATE ARIZONA COMMUNITIES ABOUT CIVIC ENGAGEMENT AND OPPORTUNITIES TO ADVANCE THEIR HEALTH AND SAFETY AT THE LOCAL AND STATE LEVEL.                              | 50,000.  |
| <b>Total from continuation sheets</b>   |  |                                      |   |          |

**Part XIV** Supplementary Information (continued)

**3b Grants and Contributions Approved for Future Payment**

| Recipient<br>Name and address (home or business)                       | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount  |
|--|--|--------------------------------------|---|---------|
| TIDES FOUNDATION<br>1012 TORNEY AVENUE<br>SAN FRANCISCO, CA 94129-1755 | N/A  | PC                                   | MASS LIBERATION FUND - TRAINING AND SUPPORT TO ORGANIZATIONS WORKING TO ADVANCE DECARCERATION NATIONWIDE.   | 50,000. |
| TIDES FOUNDATION<br>1012 TORNEY AVENUE<br>SAN FRANCISCO, CA 94129-1755 | N/A  | PC                                   | MASS LIBERATION FUND - TRAINING AND SUPPORT TO ORGANIZATIONS WORKING TO ADVANCE DECARCERATION NATIONWIDE.   | 50,000. |
| WINDWARD FUND<br>1828 L STREET NW, SUITE 300-A<br>WASHINGTON, DC 20036 | N/A  | PC                                   | HIVE FUND FOR CLIMATE AND GENDER JUSTICE - INVESTS IN THE EXPERTISE AND LONG-TERM SUCCESS OF ORGANIZATIONS BUILDING POWER TO ADDRESS INTERSECTING CLIMATE, GENDER, AND RACIAL JUSTICE CRISES. | 80,000. |
|  |  |                                      |   |         |
|  |  |                                      |   |         |
|  |  |                                      |   |         |
|  |  |                                      |   |         |
|  |  |                                      |   |         |
|  |  |                                      |   |         |
|  |  |                                      |   |         |
|  |  |                                      |   |         |
|  |  |                                      |   |         |
| <b>Total from continuation sheets</b>                                  |  |                                      |   |         |

**Part XIV** Supplementary Information

**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

NAME OF RECIPIENT - ALLIANCE OF FAMILIES FOR JUSTICE

#SHUTDOWNATTICA CAMPAIGN, WHICH SEEKS TO ADVOCATE FOR THE PERMANENT

CLOSURE OF THE ATTICA CORRECTIONAL FACILITY; EDUCATE THE BROADER PUBLIC

ABOUT THE CONDITIONS AND HUMAN RIGHTS ABUSES IN NEW YORK STATE PRISONS

AND JAILS; AND ADVOCATE FOR BROADER DECARCERATION GOALS AND IMPROVED

CONDITIONS OF CONFINEMENT.

NAME OF RECIPIENT - ALLIANCE OF FAMILIES FOR JUSTICE

#SHUTDOWNATTICA CAMPAIGN, WHICH SEEKS TO ADVOCATE FOR THE PERMANENT

CLOSURE OF THE ATTICA CORRECTIONAL FACILITY; EDUCATE THE BROADER PUBLIC

ABOUT THE CONDITIONS AND HUMAN RIGHTS ABUSES IN NEW YORK STATE PRISONS

AND JAILS; AND ADVOCATE FOR BROADER DECARCERATION GOALS AND IMPROVED

CONDITIONS OF CONFINEMENT.

**Part XIV** Supplementary Information

**3b Grants and Contributions Approved for Future Payment Continuation of Purpose of Grant or Contribution**

NAME OF RECIPIENT - CENTER FOR ARTISTIC ACTIVISM

UNSTOPPABLE VOTERS, A TRAINING AND MENTORING PROGRAM THAT EDUCATES

ARTISTS AND ACTIVISTS ON RESEARCH-BASED TOOLS TO DESIGN AND IMPLEMENT

EFFECTIVE PRO-VOTING RIGHTS CREATIVE CAMPAIGNS.

NAME OF RECIPIENT - CENTER FOR ARTISTIC ACTIVISM

UNSTOPPABLE VOTERS, A TRAINING AND MENTORING PROGRAM THAT EDUCATES

ARTISTS AND ACTIVISTS ON RESEARCH-BASED TOOLS TO DESIGN AND IMPLEMENT

EFFECTIVE PRO-VOTING RIGHTS CREATIVE CAMPAIGNS.

NAME OF RECIPIENT - CLIMATE EMERGENCY ADVOCATES

TO SUPPORT STRATEGIC COMMUNICATIONS AND DIGITAL MEDIA CAMPAIGNS TO

ADVANCE CLIMATE ADVOCACY FOR RENEWABLE ENERGY SOLUTIONS.

**Schedule B**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

Attach to Form 990, 990-EZ, or 990-PF.  
Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2023**

Name of the organization

THE DAVID ROCKEFELLER FUND, INC.

Employer identification number

13-3533359

Organization type (check one):

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).

For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990) (2023)



|  |  |
|--|--|
| Name of organization<br><br>THE DAVID ROCKEFELLER FUND, INC. | Employer identification number<br><br>13-3533359 |
|--|--|

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|---|----------------------------|---|
| 1          | MARGARET DULANY<br><br>475 RIVERSIDE DRIVE, SUITE 900<br><br>NEW YORK, NY 10115             | \$ 50,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 2          | MICHAEL AND REBECCA LAMBERT<br><br>475 RIVERSIDE DRIVE, SUITE 900<br><br>NEW YORK, NY 10115 | \$ 10,000.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
|            | _____<br><br>_____<br><br>_____   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
|            | _____<br><br>_____<br><br>_____   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
|            | _____<br><br>_____<br><br>_____   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
|            | _____<br><br>_____<br><br>_____   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |

|  |  |
|--|--|
| Name of organization<br><br>THE DAVID ROCKEFELLER FUND, INC. | Employer identification number<br><br>13-3533359 |
|--|--|

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(See instructions.) | (d)<br>Date received |
|------------------------------|--|---|----------------------|
|                              |  | \$ _____  | _____                |
|                              |  | \$ _____  | _____                |
|                              |  | \$ _____  | _____                |
|                              |  | \$ _____  | _____                |
|                              |  | \$ _____  | _____                |
|                              |  | \$ _____  | _____                |
|                              |  | \$ _____  | _____                |

|  |  |
|--|--|
| Name of organization<br><br>THE DAVID ROCKEFELLER FUND, INC. | Employer identification number<br><br>13-3533359 |
|--|--|

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I                     | (b) Purpose of gift | (c) Use of gift                          | (d) Description of how gift is held |
|---|---------------------|--|-------------------------------------|
|   |                     |  |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
| (e) Transfer of gift                    |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
| (e) Transfer of gift                    |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
| (e) Transfer of gift                    |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |
| (e) Transfer of gift                    |                     |  |                                     |
| Transferee's name, address, and ZIP + 4 |                     | Relationship of transferor to transferee |                                     |
|   |                     |  |                                     |
|   |                     |  |                                     |

Form **2220**  
Department of the Treasury  
Internal Revenue Service

# Underpayment of Estimated Tax by Corporations

OMB No. 1545-0123

Attach to the corporation's tax return. FORM 990-PF

**2023**

Go to [www.irs.gov/Form2220](http://www.irs.gov/Form2220) for instructions and the latest information.

|   |   |
|---|---|
| Name<br><b>THE DAVID ROCKEFELLER FUND, INC.</b> | Employer identification number<br><b>13-3533359</b> |
|---|---|

**Note:** Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38, on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

**Part I Required Annual Payment**

|  |           |           |         |
|--|-----------|-----------|---------|
| 1 Total tax (see instructions) .....   |           | <b>1</b>  | 43,336. |
| 2 a Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1 .....   | <b>2a</b> |           |         |
| b Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method .....  | <b>2b</b> |           |         |
| c Credit for federal tax paid on fuels (see instructions) .....  | <b>2c</b> |           |         |
| d Total. Add lines 2a through 2c .....   |           | <b>2d</b> |         |
| 3 Subtract line 2d from line 1. If the result is less than \$500, <b>do not</b> complete or file this form. The corporation does not owe the penalty .....   |           | <b>3</b>  | 43,336. |
| 4 Enter the tax shown on the corporation's 2022 income tax return. See instructions. <b>Caution:</b> If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5 ..... |           | <b>4</b>  | 8,688.  |
| 5 <b>Required annual payment.</b> Enter the <b>smaller</b> of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3 .....  |           | <b>5</b>  | 8,688.  |

**Part II Reasons for Filing** - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it does not owe a penalty. See instructions.

- 6  The corporation is using the adjusted seasonal installment method.
- 7  The corporation is using the annualized income installment method.
- 8  The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

**Part III Figuring the Underpayment**

|   | (a)               | (b)      | (c)      | (d)      |
|---|-------------------|----------|----------|----------|
| 9 <b>Installment due dates.</b> Enter in columns (a) through (d) the 15th day of the 4th ( <b>Form 990-PF filers:</b> Use 5th month), 6th, 9th, and 12th months of the corporation's tax year .....   | <b>9</b> 05/15/23 | 06/15/23 | 09/15/23 | 12/15/23 |
| 10 <b>Required installments.</b> If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column ..... | 2,031.            | 2,031.   | 3,926.   | 35,348.  |
| 11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions .....  | 25,562.           | 4,100.   | 3,900.   | 17,300.  |
| <b>Complete lines 12 through 18 of one column before going to the next column.</b>  |                   |          |          |          |
| 12 Enter amount, if any, from line 18 of the preceding column .....   |                   | 23,531.  | 25,600.  | 25,574.  |
| 13 Add lines 11 and 12 .....  |                   | 27,631.  | 29,500.  | 42,874.  |
| 14 Add amounts on lines 16 and 17 of the preceding column .....   |                   |          |          |          |
| 15 Subtract line 14 from line 13. If zero or less, enter -0- .....  | 25,562.           | 27,631.  | 29,500.  | 42,874.  |
| 16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0- .....  |                   | 0.       | 0.       |          |
| 17 <b>Underpayment.</b> If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18 .....   |                   |          |          |          |
| 18 <b>Overpayment.</b> If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column .....  | 23,531.           | 25,600.  | 25,574.  |          |

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

For Paperwork Reduction Act Notice, see separate instructions.

Form 2220 (2023)

**Part IV Figuring the Penalty**

|  | (a)          | (b) | (c) | (d) |
|--|--------------|-----|-----|-----|
| <b>19</b> Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier.<br><b>(C corporations with tax years ending June 30 and S corporations:</b> Use 3rd month instead of 4th month.<br><b>Form 990-PF and Form 990-T filers:</b> Use 5th month instead of 4th month.) See instructions ..... <b>19</b> |              |     |     |     |
| <b>20</b> Number of days from due date of installment on line 9 to the date shown on line 19 .....   | <b>20</b>    |     |     |     |
| <b>21</b> Number of days on line 20 after 4/15/2023 and before 7/1/2023 .....  | <b>21</b>    |     |     |     |
| <b>22</b> Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 7\% (0.07)}{365}$ ...   | <b>22</b> \$ | \$  | \$  | \$  |
| <b>23</b> Number of days on line 20 after 6/30/2023 and before 10/1/2023 .....   | <b>23</b>    |     |     |     |
| <b>24</b> Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 7\% (0.07)}{365}$ ...   | <b>24</b> \$ | \$  | \$  | \$  |
| <b>25</b> Number of days on line 20 after 9/30/2023 and before 1/1/2024 .....  | <b>25</b>    |     |     |     |
| <b>26</b> Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 8\% (0.08)}{365}$ ...   | <b>26</b> \$ | \$  | \$  | \$  |
| <b>27</b> Number of days on line 20 after 12/31/2023 and before 4/1/2024 .....   | <b>27</b>    |     |     |     |
| <b>28</b> Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 8\% (0.08)}{366}$ ...   | <b>28</b> \$ | \$  | \$  | \$  |
| <b>29</b> Number of days on line 20 after 3/31/2024 and before 7/1/2024 .....  | <b>29</b>    |     |     |     |
| <b>30</b> Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{366}$ .....   | <b>30</b> \$ | \$  | \$  | \$  |
| <b>31</b> Number of days on line 20 after 6/30/2024 and before 10/1/2024 .....   | <b>31</b>    |     |     |     |
| <b>32</b> Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{366}$ .....   | <b>32</b> \$ | \$  | \$  | \$  |
| <b>33</b> Number of days on line 20 after 9/30/2024 and before 1/1/2025 .....  | <b>33</b>    |     |     |     |
| <b>34</b> Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{366}$ .....   | <b>34</b> \$ | \$  | \$  | \$  |
| <b>35</b> Number of days on line 20 after 12/31/2024 and before 3/16/2025 .....  | <b>35</b>    |     |     |     |
| <b>36</b> Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$ .....   | <b>36</b> \$ | \$  | \$  | \$  |
| <b>37</b> Add lines 22, 24, 26, 28, 30, 32, 34, and 36 .....   | <b>37</b> \$ | \$  | \$  | \$  |
| <b>38 Penalty.</b> Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 34; or the comparable line for other income tax returns .....   | <b>38</b> \$ |     |     | 0.  |

\* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at [www.irs.gov](http://www.irs.gov). You can also call 800-829-4933 to get interest rate information.

Schedule A Adjusted Seasonal Installment Method and Annualized Income Installment Method

See instructions.

Form 1120-S filers: For lines 1, 2, 3, and 21, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.

Part I Adjusted Seasonal Installment Method

Caution: Use this method only if the base period percentage for any 6 consecutive months is at least 70%. See instructions.

Table with 5 columns: (a) First 3 months, (b) First 5 months, (c) First 8 months, (d) First 11 months. Rows include taxable income for various periods (1a-1c, 3a-3c), calculations (4-6), and tax amounts (7-19).

**Part II Annualized Income Installment Method**

|  |     | (a)                      | (b)                      | (c)                      | (d)                      |
|--|-----|--------------------------|--------------------------|--------------------------|--------------------------|
|  |     | First <u>2</u><br>months | First <u>3</u><br>months | First <u>6</u><br>months | First <u>9</u><br>months |
| 20 Annualization periods (see instructions) .....  | 20  |                          |                          |                          |                          |
| 21 Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items .....                                 | 21  | 97,392.                  | 146,089.                 | 383,081.                 | 2,737,506.               |
| 22 Annualization amounts (see instructions) .....  | 22  | 6.000000                 | 4.000000                 | 2.000000                 | 1.333330                 |
| 23a Annualized taxable income. Multiply line 21 by line 22 ...   | 23a | 584,352.                 | 584,356.                 | 766,162.                 | 3,649,999.               |
| b Extraordinary items (see instructions) .....   | 23b |                          |                          |                          |                          |
| c Add lines 23a and 23b .....  | 23c | 584,352.                 | 584,356.                 | 766,162.                 | 3,649,999.               |
| 24 Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 1, or comparable line of corporation's return ..... | 24  | 8,122.                   | 8,123.                   | 10,650.                  | 50,735.                  |
| 25 Enter any alternative minimum tax (trusts only) for each payment period. See instructions .....   | 25  |                          |                          |                          |                          |
| 26 Enter any other taxes for each payment period. See instr. ....  | 26  |                          |                          |                          |                          |
| 27 Total tax. Add lines 24 through 26 .....  | 27  | 8,122.                   | 8,123.                   | 10,650.                  | 50,735.                  |
| 28 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions .....                                     | 28  |                          |                          |                          |                          |
| 29 Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0- .....  | 29  | 8,122.                   | 8,123.                   | 10,650.                  | 50,735.                  |
| 30 Applicable percentage .....   | 30  | 25%                      | 50%                      | 75%                      | 100%                     |
| 31 Multiply line 29 by line 30 .....   | 31  | 2,031.                   | 4,062.                   | 7,988.                   | 50,735.                  |

**Part III Required Installments**

|   |    | 1st installment  | 2nd installment | 3rd installment | 4th installment |
|---|----|--|-----------------|-----------------|-----------------|
|   |    | <b>Note:</b> Complete lines 32 through 38 of one column before completing the next column. |                 |                 |                 |
| 32 If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the <b>smaller</b> of the amounts in each column from line 19 or line 31 ..... | 32 | 2,031.   | 4,062.          | 7,988.          | 50,735.         |
| 33 Add the amounts in all preceding columns of line 38. See instructions .....  | 33 |  | 2,031.          | 4,062.          | 7,988.          |
| 34 <b>Adjusted seasonal or annualized income installments.</b> Subtract line 33 from line 32. If zero or less, enter -0- ...  | 34 | 2,031.   | 2,031.          | 3,926.          | 42,747.         |
| 35 Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. <b>Note:</b> "Large corporations," see the instructions for line 10 for the amounts to enter .....   | 35 | 2,172.   | 19,496.         | 10,834.         | 10,834.         |
| 36 Subtract line 38 of the preceding column from line 37 of the preceding column .....  | 36 |  | 141.            | 17,606.         | 24,514.         |
| 37 Add lines 35 and 36 .....  | 37 | 2,172.   | 19,637.         | 28,440.         | 35,348.         |
| 38 <b>Required installments.</b> Enter the <b>smaller</b> of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions .....  | 38 | 2,031.   | 2,031.          | 3,926.          | 35,348.         |

Form 2220 (2023)

\*\* ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                  | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| CASH                    | 73,946.                     | 73,946.                         |                               |
| SELF-HELP MONEY MARKET  | 1,168.                      | 1,168.                          |                               |
| TOTAL TO PART I, LINE 3 | 75,114.                     | 75,114.                         |                               |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE   | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|--|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| APERIO   | 242,446.        | 0.                            | 242,446.                    | 242,446.                          |                               |
| CRA QUALIFIED<br>INVESTMENT FUND                       | 116,218.        | 0.                            | 116,218.                    | 116,218.                          |                               |
| LIBRA FOSSIL FUEL<br>FREE FUND, LP                     | 277,253.        | 0.                            | 277,253.                    | 264,968.                          |                               |
| NEC FUND VI<br>(OFFSHORE), L.P.                        | 0.              | 0.                            | 0.                          | 10,714.                           |                               |
| NECICF II AIV2, LP                                     | 0.              | 0.                            | 0.                          | 19,027.                           |                               |
| NEW ENERGY CAPITAL<br>INFRASTRUCTURE                   | 0.              | 0.                            | 0.                          | 57,963.                           |                               |
| OTHER FIXED INCOME                                     | 96,603.         | 0.                            | 96,603.                     | 96,603.                           |                               |
| PAX WORLD GLOBAL<br>ENVIRONMENTAL<br>MARKETS FUND      | 50,779.         | 0.                            | 50,779.                     | 50,779.                           |                               |
| REAL ASSETS  | 25,365.         | 0.                            | 25,365.                     | 25,365.                           |                               |
| ROCKEFELLER ACCESS<br>FUND 06-I, LLC                   | 1,237.          | 0.                            | 1,237.                      | 3,407.                            |                               |
| ROCKEFELLER<br>ALTERNATIVE<br>STRATEGIES FUND,<br>(LP) | 553.            | 0.                            | 553.                        | 554.                              |                               |
| TIAA-CREF CORE<br>IMPACT                               | 21,259.         | 0.                            | 21,259.                     | 21,259.                           |                               |
| XPONANCE   | 142,708.        | 0.                            | 142,708.                    | 142,708.                          |                               |
| TO PART I, LINE 4                                      | 974,421.        | 0.                            | 974,421.                    | 1,052,011.                        |                               |



THE DAVID ROCKEFELLER FUND, INC.

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| FORM 990-PF                           | OTHER INCOME                |                                   | STATEMENT 3                   |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
| ORDINARY INCOME THROUGH K-1S          | 95,651.                     | 7.                                |                               |
| OTHER INVESTMENT INCOME               | 3,593.                      | 3,593.                            |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 99,244.                     | 3,600.                            |                               |

| FORM 990-PF                | LEGAL FEES                   |                                   |                               | STATEMENT 4                   |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| LEGAL FEES - GENERAL       | 22,056.                      | 0.                                |                               | 7,549.                        |
| TO FM 990-PF, PG 1, LN 16A | 22,056.                      | 0.                                |                               | 7,549.                        |

| FORM 990-PF                  | ACCOUNTING FEES              |                                   |                               | STATEMENT 5                   |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| OTHER                        | 1,395.                       | 0.                                |                               | 1,090.                        |
| AUDIT AND TAX SERVICES       | 34,825.                      | 16,628.                           |                               | 9,348.                        |
| TO FORM 990-PF, PG 1, LN 16B | 36,220.                      | 16,628.                           |                               | 10,438.                       |

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 6

| DESCRIPTION  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| PROGRAM CONSULTANTS<br>INVESTMENT ADVISORY<br>COMMITTEE STIPENDS | 76,700.                      | 0.                                |                               | 77,750.                       |
| INVESTMENT MANAGEMENT FEES                                       | 4,000.                       | 4,000.                            |                               | 0.                            |
| CONSULTANTS' FEES -<br>BENEFIT RESOURCES                         | 201,153.                     | 201,153.                          |                               | 0.                            |
| INFORMATION & TECHNOLOGY<br>SERVICES                             | 8,756.                       | 0.                                |                               | 8,582.                        |
| CUSTODY FEES   | 13,327.                      | 0.                                |                               | 12,992.                       |
|  | 17,300.                      | 17,300.                           |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16C                                     | 321,236.                     | 222,453.                          |                               | 99,324.                       |

FORM 990-PF

TAXES

STATEMENT 7

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| FEDERAL EXCISE TAX          | 41,388.                      | 0.                                |                               | 0.                            |
| FOREIGN TAXES THROUGH K-1S  | 0.                           | 26,145.                           |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 41,388.                      | 26,145.                           |                               | 0.                            |

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

FORM 990-PF

OTHER EXPENSES

STATEMENT 8

| DESCRIPTION                                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| TELEPHONE & INTERNET                         | 1,124.                       | 63.                               |                               | 1,235.                        |
| INSURANCE                                    | 18,373.                      | 1,025.                            |                               | 17,348.                       |
| GEN. OFFICE EXP: DUES &<br>MEMBERSHIPS       | 15,377.                      | 10,347.                           |                               | 8,967.                        |
| GEN. OFFICE EXP: MAINTENANCE<br>& REPAIRS    | 23,756.                      | 1,326.                            |                               | 26,254.                       |
| GENERAL OFFICE EXP: OTHER                    | 46,708.                      | 135.                              |                               | 45,531.                       |
| PORTFOLIO DEDUCTIONS THROUGH<br>PARTNERSHIPS | 154,330.                     | 164,524.                          |                               | 0.                            |
| FOREIGN TAXES AND ADR FEES                   | 45,331.                      | 45,331.                           |                               | 0.                            |
| GEN. OFFICE EXP: PAYROLL<br>FEES             | 8,078.                       | 1,204.                            |                               | 6,874.                        |
| FILING FEES                                  | 1,750.                       | 0.                                |                               | 1,750.                        |
| MISCELLANEOUS GENERAL                        | 1,052.                       | 0.                                |                               | 955.                          |
| <b>TO FORM 990-PF, PG 1, LN 23</b>           | <b>315,879.</b>              | <b>223,955.</b>                   |                               | <b>108,914.</b>               |

FORM 990-PF

OTHER INCREASES IN NET ASSETS OR FUND BALANCES

STATEMENT 9

| DESCRIPTION                                   | AMOUNT            |
|---|-------------------|
| UNREALIZED GAIN ON INVESTMENTS                | 5,441,292.        |
| CONTRA-REVENUE DISCOUNT                       | 135,793.          |
| <b>TOTAL TO FORM 990-PF, PART III, LINE 3</b> | <b>5,577,085.</b> |

THE DAVID ROCKEFELLER FUND, INC.

13-353359

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 10

| DESCRIPTION   | U.S. GOV'T | OTHER GOV'T | BOOK VALUE | FAIR MARKET VALUE |
|---|------------|-------------|------------|-------------------|
| ALABAMA FEDERAL AID HIGHWAY FINANCE AUTH 2.65% DUE 09/01/37               |            | X           | 19,744.    | 19,744.           |
| CALIFORNIA STATE OF 2.65% DUE 04/01/26                                    |            | X           | 105,631.   | 105,631.          |
| CALIFORNIA THE REGENTS OF THE UNIVERSITY 2.889% DUE 05/15/25              |            | X           | 82,723.    | 82,723.           |
| HAWAII STATE 0.893% DUE 08/01/26  |            | X           | 82,360.    | 82,360.           |
| NEW YORK DORMITORY AUTHORITY STATE 2.738% DUE 03/15/26                    |            | X           | 120,652.   | 120,652.          |
| NEW YORK STATE ENVIRONMENTAL FACILITIES 3.916% DUE 06/15/36               |            | X           | 32,110.    | 32,110.           |
| NEW YORK STATE URBAN DEVELOPMENT CORP 3.25% DUE 03/15/25                  |            | X           | 73,515.    | 73,515.           |
| NORTH CAROLINA BOARD OF GOVERNORS 3.847% DUE 12/01/34 CALL @ 100 11/30/34 |            | X           | 28,431.    | 28,431.           |
| OREGON STATE 4.721% DUE 05/01/42 CALL @ 100 04/30/32                      |            | X           | 38,472.    | 38,472.           |
| TEXAS CITY OF LAREDO, 1.05% DUE 02/15/25                                  |            | X           | 81,477.    | 81,477.           |
| TEXAS STATE A&M UNIVERSITY REVENUES 2.906% DUE 05/15/2048                 |            | X           | 43,367.    | 43,367.           |
| FEDERAL FARM CREDIT BANKS 0.46% DUE 08/19/24 CALL @ 100 11/19/21          | X          |             | 34,013.    | 34,013.           |
| FEDERAL FARM CREDIT BANKS 0.82% DUE 05/27/26 CALL @ 100 10/02/23          | X          |             | 11,043.    | 11,043.           |
| FEDERAL FARM CREDIT BANKS 5.06% DUE 12/01/26 CALL @ 100 12/01/25          | X          |             | 21,207.    | 21,207.           |
| FEDERAL HOME LOAN BANK 0.55% DUE 09/30/24 CALL @ 100 09/30/23             | X          |             | 14,496.    | 14,496.           |
| TREASURY BILL 0.00% DUE 01/04/2024  | X          |             | 49,985.    | 49,985.           |
| U.S. TREASURY N/B 0.75% DUE 12/31/23                                      | X          |             | 73,000.    | 73,000.           |
| US TREASURY N/B 0.25% DUE 08/31/25  | X          |             | 89,644.    | 89,644.           |
| US TREASURY N/B 0.75% DUE 03/31/26  | X          |             | 16,706.    | 16,706.           |
| US TREASURY N/B 0.75% DUE 11/15/24  | X          |             | 121,595.   | 121,595.          |
| US TREASURY N/B 1.25% DUE 05/15/2050                                      | X          |             | 15,717.    | 15,717.           |
| US TREASURY N/B 1.25% DUE 11/30/26  | X          |             | 162,800.   | 162,800.          |
| US TREASURY N/B 1.50% DUE 02/15/25  | X          |             | 120,640.   | 120,640.          |

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

|  |   |          |          |
|--|---|----------|----------|
| US TREASURY N/B 1.625% DUE<br>09/30/2026 | X | 42,210.  | 42,210.  |
| US TREASURY N/B 1.875% DUE 02/28/29      | X | 27,226.  | 27,226.  |
| US TREASURY N/B 2.25% DUE 03/31/24       | X | 73,448.  | 73,448.  |
| US TREASURY N/B 2.25% DUE 12/31/24       | X | 73,154.  | 73,154.  |
| US TREASURY N/B 2.50% DUE<br>02/15/2045  | X | 28,134.  | 28,134.  |
| US TREASURY N/B 2.625% DUE<br>03/31/2025 | X | 20,505.  | 20,505.  |
| US TREASURY N/B 2.625% DUE 04/15/25      | X | 207,816. | 207,816. |
| US TREASURY N/B 2.75% DUE<br>02/15/2028  | X | 48,791.  | 48,791.  |
| US TREASURY N/B 2.75% DUE 08/15/32       | X | 10,093.  | 10,093.  |
| US TREASURY N/B 2.75% DUE 08/15/42       | X | 12,213.  | 12,213.  |
| US TREASURY N/B 3.00% DUE 06/30/24       | X | 72,236.  | 72,236.  |
| US TREASURY N/B 3.00% DUE<br>09/30/2025  | X | 74,263.  | 74,263.  |
| US TREASURY N/B 3.375% DUE 05/15/33      | X | 10,579.  | 10,579.  |
| US TREASURY N/B 3.50% DUE 02/15/33       | X | 4,858.   | 4,858.   |
| US TREASURY N/B 3.875% DUE 01/15/26      | X | 153,856. | 153,856. |
| US TREASURY N/B 3.875% DUE 03/31/25      | X | 73,358.  | 73,358.  |
| US TREASURY N/B 4.25% DUE 09/30/24       | X | 73,621.  | 73,621.  |
| US TREASURY N/B 4.375% DUE 08/15/26      | X | 191,351. | 191,351. |
| US TREASURY N/B 4.375% DUE 08/15/43      | X | 22,540.  | 22,540.  |
| US TREASURY N/B 4.625% DUE 06/30/25      | X | 72,183.  | 72,183.  |

|  |  |                          |                          |
|--|--|--------------------------|--------------------------|
| TOTAL U.S. GOVERNMENT OBLIGATIONS                |  | <u>2,023,281.</u>        | <u>2,023,281.</u>        |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS |  | <u>708,482.</u>          | <u>708,482.</u>          |
| TOTAL TO FORM 990-PF, PART II, LINE 10A          |  | <u><u>2,731,763.</u></u> | <u><u>2,731,763.</u></u> |

|                    |                        |                     |
|--------------------|------------------------|---------------------|
| <u>FORM 990-PF</u> | <u>CORPORATE STOCK</u> | <u>STATEMENT 11</u> |
|--------------------|------------------------|---------------------|

| <u>DESCRIPTION</u>                               | <u>BOOK VALUE</u> | <u>FAIR MARKET VALUE</u> |
|--|-------------------|--------------------------|
| 3M CO.   | 21,536.           | 21,536.                  |
| ABB LTD. - SPON ADR                              | 67,779.           | 67,779.                  |
| ABBOTT LABORATORIES                              | 60,979.           | 60,979.                  |
| ABBVIE INC.                                      | 79,810.           | 79,810.                  |
| ABFSAMBEV SA - ADR                               | 7,616.            | 7,616.                   |
| ABRDN PHYSICAL PRECIOUS METALS BASKET SHARES ETF | 1,150,493.        | 1,150,493.               |
| ACCENTURE PLC                                    | 98,606.           | 98,606.                  |
| ADIDAS AG - SPONSORED ADR                        | 31,715.           | 31,715.                  |
| ADOBE INC  | 67,416.           | 67,416.                  |
| ADVANCED MICRO DEVICES INC.                      | 63,976.           | 63,976.                  |
| ADVANTEST CORPORATION - ADR                      | 20,385.           | 20,385.                  |
| AES CORPORATION                                  | 3,869.            | 3,869.                   |
| AGEAS - SPONSORED ADR                            | 13,788.           | 13,788.                  |
| AGILENT TECHNOLOGIES, INC.                       | 22,245.           | 22,245.                  |
| AIA GROUP LTD. SPON ADR                          | 29,886.           | 29,886.                  |
| AIR LIQUIDE - UNSPON ADR                         | 15,658.           | 15,658.                  |
| AIR PRODUCTS AND CHEMICALS, INC.                 | 3,012.            | 3,012.                   |
| AIRBNB INC - CLASS A                             | 9,258.            | 9,258.                   |

THE DAVID ROCKEFELLER FUND, INC.13-353359

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| AKAMAI TECHNOLOGIES, INC.  | 6,391.     | 6,391.     |
| AKZO NOBEL N.V. - SPON ADR                                       | 33,288.    | 33,288.    |
| ALBEMARLE CORPORATION  | 11,703.    | 11,703.    |
| ALCOA CORP   | 3,570.     | 3,570.     |
| ALIBABA GROUP HOLDING LTD.                                       | 79,060.    | 79,060.    |
| ALLSTATE CORPORATION   | 6,299.     | 6,299.     |
| ALPHABET INC. - CLASS A  | 320,589.   | 320,589.   |
| ALPHABET INC. - CLASS C  | 290,598.   | 290,598.   |
| AMAZON.COM INC   | 549,567.   | 549,567.   |
| AMDOCS LIMITED   | 6,328.     | 6,328.     |
| AMERICAN EXPRESS CO.   | 34,283.    | 34,283.    |
| AMERICAN INTERNATIONAL GROUP                                     | 7,588.     | 7,588.     |
| AMERICAN WATER WORKS CO., INC.                                   | 22,834.    | 22,834.    |
| AMERIPRISE FINANCIAL, INC.                                       | 11,015.    | 11,015.    |
| AMETEK INC   | 65,791.    | 65,791.    |
| AMGEN INC.   | 48,099.    | 48,099.    |
| AMPHENOL CORP CLASS A  | 19,132.    | 19,132.    |
| ANALOG DEVICES, INC.   | 31,968.    | 31,968.    |
| ANGLO AMERICAN PLATINUM LTD - SPON ADR                           | 10,451.    | 10,451.    |
| ANGLOGOLD ASHANTI PLC.   | 7,140.     | 7,140.     |
| ANHEUSER-BUSCH INBEV SPONS ADR                                   | 30,113.    | 30,113.    |
| AON PLC  | 18,916.    | 18,916.    |
| APOLLO GLOBAL MANAGEMENT INC.                                    | 7,828.     | 7,828.     |
| APPLE INC.   | 1,089,142. | 1,089,142. |
| APPLIED MATERIALS INC  | 43,759.    | 43,759.    |
| APTIV PLC  | 13,907.    | 13,907.    |
| ARAMARK  | 29,898.    | 29,898.    |
| ARCHER DANIELS MIDLAND CO.                                       | 12,783.    | 12,783.    |
| ARISTA NETWORKS, INC.  | 10,833.    | 10,833.    |
| ASE TECHNOLOGY HOLDING - ADR                                     | 34,544.    | 34,544.    |
| ASSOCIATED BRITISH FOODS PLC - ADR                               | 7,786.     | 7,786.     |
| ATLAS COPCO AB-SPONSORED ADR A                                   | 68,599.    | 68,599.    |
| ATLISSIAN CORPORATION CLASS A                                    | 6,898.     | 6,898.     |
| ATMOS ENERGY CORPORATION   | 6,375.     | 6,375.     |
| AUO CORP   | 15,656.    | 15,656.    |
| AUSTRALIA AND NEW ZEALAND BANKING GROUP LIMITED<br>SPONSORED ADR | 50,810.    | 50,810.    |
| AUTODESK, INC.   | 19,478.    | 19,478.    |
| AUTOMATIC DATA PROCESSING  | 29,820.    | 29,820.    |
| AUTOZONE INC.  | 25,856.    | 25,856.    |
| AVERY DENNISON CORP.   | 13,343.    | 13,343.    |
| AVIVA PLC  | 14,362.    | 14,362.    |
| AXA SA - SPONSORED ADR   | 41,771.    | 41,771.    |
| BAE SYSTEMS PLC - SPON ADR                                       | 20,352.    | 20,352.    |
| BAIDU.COM, INC.  | 19,054.    | 19,054.    |
| BAKER HUGHES CO.   | 10,527.    | 10,527.    |
| BALL CORPORATION   | 16,508.    | 16,508.    |
| BANCO BILBAO VIZCAYA ARGENTARIA S.A. ADR                         | 33,525.    | 33,525.    |
| BANCO BRADESCO SPON ADR  | 6,734.     | 6,734.     |
| BANCO DE CHILE - ADR   | 6,739.     | 6,739.     |
| BANCO DO BRASIL S.A.- SPONSORED ADR                              | 5,735.     | 5,735.     |
| BANCO SANTANDER - CHILE - ADR                                    | 7,718.     | 7,718.     |
| BANCO SANTANDER, S.A.  | 40,059.    | 40,059.    |
| BANCOLOMBIA S.A. SPONSORED ADR                                   | 14,123.    | 14,123.    |
| BANK OF AMERICA CORPORATION                                      | 63,367.    | 63,367.    |
| BANK OF NEW YORK MELLON CORPORATION                              | 11,815.    | 11,815.    |
| BARCLAYS PLC-SPONS ADR   | 18,778.    | 18,778.    |
| BAXTER INT'L INC.  | 7,693.     | 7,693.     |

THE DAVID ROCKEFELLER FUND, INC.13-3533359

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| BAYER AG SPONSORED ADR                         | 21,035.  | 21,035.  |
| BAYERISCHE MOTOREN WERKE (BMW) - SPONS ADR     | 36,699.  | 36,699.  |
| BECTON DICKINSON & CO.                         | 22,189.  | 22,189.  |
| BEIGENE, LTD. - ADR                            | 4,509.   | 4,509.   |
| BEST BUY CO., INC.                             | 4,775.   | 4,775.   |
| BIOGEN IDEC INC.                               | 13,456.  | 13,456.  |
| BLACKSTONE INC                                 | 17,412.  | 17,412.  |
| BLOCK INC                                      | 11,912.  | 11,912.  |
| BNP PARIBAS - ADR                              | 64,061.  | 64,061.  |
| BOC HONG KONG HOLDINGS - SPONSORED ADR         | 13,837.  | 13,837.  |
| BOEING CO.                                     | 59,430.  | 59,430.  |
| BOOKING HOLDINGS INC                           | 31,925.  | 31,925.  |
| BORGWARNER, INC.                               | 3,944.   | 3,944.   |
| BOSTON SCIENTIFIC CO.                          | 26,361.  | 26,361.  |
| BRAMBLES LIMITED - SPONSORED ADR               | 24,885.  | 24,885.  |
| BRISTOL MYERS SQUIBB CO.                       | 30,427.  | 30,427.  |
| BROADCOM INC.                                  | 83,719.  | 83,719.  |
| BROADRIDGE FINANCIAL SOLUTIONS LLC             | 10,288.  | 10,288.  |
| BROWN-FORMAN CORPORATION - CL B                | 4,168.   | 4,168.   |
| BUNGE GLOBAL SA                                | 6,360.   | 6,360.   |
| BUNZL PLC-SPONSORED ADR                        | 18,914.  | 18,914.  |
| BURBERRY GROUP PLC SPON ADR                    | 12,148.  | 12,148.  |
| C.H. ROBINSON WORLDWIDE INC.                   | 8,985.   | 8,985.   |
| CADENCE DESIGN SYSTEMS, INC.                   | 19,883.  | 19,883.  |
| CANADIAN PACIFIC KANSAS CITY LIMITED           | 89,259.  | 89,259.  |
| CANON INC. - SPONSORED ADR                     | 39,245.  | 39,245.  |
| CAPITAL ONE FINANCIAL CORP.                    | 13,112.  | 13,112.  |
| CARDINAL HEALTH, INC.                          | 9,374.   | 9,374.   |
| CARLSBERG A/S - SPONSORED ADR                  | 12,337.  | 12,337.  |
| CARREFOUR SA - SPONSORED ADR                   | 12,522.  | 12,522.  |
| CATERPILLAR INC.                               | 50,855.  | 50,855.  |
| CBOE GLOBAL MARKETS INC                        | 10,535.  | 10,535.  |
| CDW CORPORATION OF DELAWARE                    | 6,138.   | 6,138.   |
| CELANESE CORP SER - A                          | 8,545.   | 8,545.   |
| CEMEX S.A. DE C.V. SPONS ADR                   | 5,270.   | 5,270.   |
| CEMIG SA -SPONSORED ADR                        | 8,939.   | 8,939.   |
| CENSORA INC                                    | 11,296.  | 11,296.  |
| CENTENE CORPORATION                            | 5,417.   | 5,417.   |
| CENTERPOINT ENERGY INC.                        | 10,942.  | 10,942.  |
| CHARTER COMMUNICATIONS INC. CLASS A SHARES     | 13,604.  | 13,604.  |
| CHENIERE ENERGY, INC.                          | 5,463.   | 5,463.   |
| CHIPOTLE MEXICAN GRILL                         | 16,009.  | 16,009.  |
| CHR. HANSEN HOLDING A/S - SPONSORED ADR        | 22,573.  | 22,573.  |
| CHUBB LIMITED                                  | 35,708.  | 35,708.  |
| CHUNGHWA TELECOM LTD ADR                       | 44,852.  | 44,852.  |
| CHURCH & DWIGHT CO., INC.                      | 9,929.   | 9,929.   |
| CIGNA CORPORATION                              | 28,148.  | 28,148.  |
| CINTAS CORP                                    | 19,888.  | 19,888.  |
| CISCO SYSTEMS, INC.                            | 87,551.  | 87,551.  |
| CITIGROUP INC.                                 | 33,282.  | 33,282.  |
| CMS ENERGY CORPORATION                         | 7,839.   | 7,839.   |
| COCA COLA COMPANY                              | 81,441.  | 81,441.  |
| COCA-COLA EUROPACIFIC PARTNERS PLC             | 12,347.  | 12,347.  |
| COCA-COLA FEMSA, S.A. DE C.V. - SP ADR         | 7,571.   | 7,571.   |
| COLGATE-PALMOLIVE                              | 24,471.  | 24,471.  |
| COLOPLAST A/S - UNSPONSORED ADR                | 10,481.  | 10,481.  |
| COMCAST CORPORATION - CL A                     | 14,471.  | 14,471.  |
| COMMONWEALTH BANK OF AUSTRALIA UNSPONSORED ADR | 101,028. | 101,028. |

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| COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO - ADR | 6,564.   | 6,564.   |
| COMPASS GROUP PLC - SPONSORED ADR                           | 26,976.  | 26,976.  |
| CONSOLIDATED EDISON   | 39,845.  | 39,845.  |
| CONSTELLATION BRANDS, INC.                                  | 4,593.   | 4,593.   |
| CONSTELLATION ENERGY CORPORATION                            | 6,195.   | 6,195.   |
| CONTINENTAL AG - SPON ADR                                   | 26,964.  | 26,964.  |
| CORTEVA INC.  | 10,255.  | 10,255.  |
| COSAN S.A   | 9,506.   | 9,506.   |
| COSTCO WHOLESALE CORPORATION                                | 95,712.  | 95,712.  |
| COUPANG INC - CLASS A                                       | 11,268.  | 11,268.  |
| COVESTRO AG - ADR   | 19,955.  | 19,955.  |
| CSL LIMITED - SPONSORED ADR                                 | 67,339.  | 67,339.  |
| CSX CORPORATION   | 43,129.  | 43,129.  |
| CUMMINS ENGINE INC.   | 17,489.  | 17,489.  |
| CVS HEALTH CORPORATION                                      | 25,109.  | 25,109.  |
| DAIICHI SANKYO COMPANY LTD                                  | 20,458.  | 20,458.  |
| DAIMLER TRUCK HOLDING AG                                    | 19,205.  | 19,205.  |
| DANAHER CORPORATION   | 20,821.  | 20,821.  |
| DANONE S.A. SPONSORED ADR                                   | 23,155.  | 23,155.  |
| DANSKE BANK A/S - SPONSORED ADR                             | 12,894.  | 12,894.  |
| DAQO NEW ENERGY CORPORATION ADR                             | 4,761.   | 4,761.   |
| DASSAULT SYSTEMS S.A.- ADR                                  | 46,226.  | 46,226.  |
| DBS GROUP HOLDINGS - SPON ADR                               | 50,022.  | 50,022.  |
| DEERE & COMPANY   | 39,587.  | 39,587.  |
| DEUTSCHE POST AG SPONSORED ADR                              | 35,724.  | 35,724.  |
| DEUTSCHE TELEKOM AG-SPON ADR                                | 39,863.  | 39,863.  |
| DNB ASA   | 24,855.  | 24,855.  |
| DOLLAR GENERAL CORP.  | 7,069.   | 7,069.   |
| DOLLAR TREE, INC.   | 11,222.  | 11,222.  |
| DOMINION ENERGY INC   | 10,340.  | 10,340.  |
| DOMINO'S PIZZA, INC.  | 7,008.   | 7,008.   |
| DOVER CORP.   | 5,383.   | 5,383.   |
| DOW INC.  | 12,229.  | 12,229.  |
| DR. REDDY'S LABORATORIES LIMITED - ADR                      | 22,683.  | 22,683.  |
| DTE ENERGY COMPANY  | 14,665.  | 14,665.  |
| DUPONT DE NEMOURS INC                                       | 17,155.  | 17,155.  |
| E.ON AG SPON ADR  | 46,295.  | 46,295.  |
| EASTMAN CHEMICAL COMPANY                                    | 7,006.   | 7,006.   |
| EATON CORPORATION PLC                                       | 34,437.  | 34,437.  |
| EBAY INC.   | 12,214.  | 12,214.  |
| ECOLAB INC.   | 46,612.  | 46,612.  |
| EDISON INTERNATIONAL  | 25,379.  | 25,379.  |
| EDP - ENERGIAS DE PORTUGAL, S.A.                            | 38,815.  | 38,815.  |
| EDWARDS LIFESCIENCES CORPORATION                            | 17,080.  | 17,080.  |
| ELECTRONIC ARTS INC.  | 5,062.   | 5,062.   |
| ELEVANCE HEALTH INC   | 35,839.  | 35,839.  |
| ELI LILLY & CO.   | 118,333. | 118,333. |
| ENEL CHILE SA ADR   | 7,951.   | 7,951.   |
| ENGIE - SPONSORED ADR                                       | 40,428.  | 40,428.  |
| ENPHASE ENERGY INC.   | 3,964.   | 3,964.   |
| ERICSSON (LM) TEL - SP ADR                                  | 20,853.  | 20,853.  |
| ETSY, INC.  | 7,213.   | 7,213.   |
| EVERSOURCE ENERGY   | 37,217.  | 37,217.  |
| EXELON CORPORATION  | 17,627.  | 17,627.  |
| EXPEDITORS INTERNATIONAL OF WASHINGTON, INC.                | 8,650.   | 8,650.   |
| EXPERIAN PLC - SPONS ADR                                    | 25,137.  | 25,137.  |
| FACTSET RESEARCH SYSTEMS, INC.                              | 7,633.   | 7,633.   |



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| FASTENAL COMPANY                                      | 11,335.  | 11,335.  |
| FEDEX CORPORATION                                     | 27,321.  | 27,321.  |
| FIDELITY NATIONAL INFORMATION                         | 10,032.  | 10,032.  |
| FIRST SOLAR, INC.                                     | 15,850.  | 15,850.  |
| FIRSTENERGY CORP.                                     | 17,194.  | 17,194.  |
| FISERV, INC.  | 12,487.  | 12,487.  |
| FLEX LTD  | 5,513.   | 5,513.   |
| FMC CORPORATION                                       | 11,286.  | 11,286.  |
| FORD MOTOR COMPANY                                    | 32,681.  | 32,681.  |
| FORTESCUE METALS - ADR                                | 25,977.  | 25,977.  |
| FORTINET INC.   | 5,151.   | 5,151.   |
| FORTIVE CORPORATION                                   | 11,486.  | 11,486.  |
| FULL TRUCK ALLIANCE CO LTD                            | 4,942.   | 4,942.   |
| GALLAGHER (ARTHUR J.) & CO.                           | 6,971.   | 6,971.   |
| GARMIN LIMITED  | 6,298.   | 6,298.   |
| GE HEALTHCARE TECHNOLOGIES INC                        | 11,907.  | 11,907.  |
| GENERAL ELECTRIC CO.                                  | 53,222.  | 53,222.  |
| GENERAL MILLS, INC.                                   | 8,794.   | 8,794.   |
| GENERAL MOTORS CO.                                    | 52,874.  | 52,874.  |
| GENUINE PARTS COMPANY                                 | 7,479.   | 7,479.   |
| GILEAD SCIENCES INC.                                  | 30,460.  | 30,460.  |
| GLAXOSMITHKLINE PLC - ADR                             | 24,719.  | 24,719.  |
| GOLD FIELDS LTD SPONSORED ADR                         | 9,573.   | 9,573.   |
| GOLDMAN SACHS GROUP, INC.                             | 38,577.  | 38,577.  |
| GRAINGER W W INC.                                     | 16,574.  | 16,574.  |
| GRUPO AEROPORTUARIO DEL - ADR                         | 11,389.  | 11,389.  |
| GRUPO AEROPORTUARIO DEL SURESTE S.A. DE C.V. -<br>ADR | 12,948.  | 12,948.  |
| GRUPO FINANCIERO BANORTE SAB SPONSORED ADR            | 11,247.  | 11,247.  |
| HALEON PLC  | 7,942.   | 7,942.   |
| HANG SENG BANK- ADR                                   | 16,146.  | 16,146.  |
| HASBRO, INC.  | 4,085.   | 4,085.   |
| HCA HEALTHCARE INC.                                   | 4,872.   | 4,872.   |
| HEINEKEN NV- SPN ADR                                  | 20,749.  | 20,749.  |
| HENKEL AG & CO/S ADR                                  | 22,635.  | 22,635.  |
| HERSHEY FOODS CORP.                                   | 9,322.   | 9,322.   |
| HEWLETT PACKARD ENTERPRISE COMPANY                    | 6,656.   | 6,656.   |
| HILTON WORLDWIDE HOLDINGS INC.                        | 4,188.   | 4,188.   |
| HITACHI, LTD. - ADR                                   | 47,130.  | 47,130.  |
| HOME DEPOT INC.                                       | 127,530. | 127,530. |
| HONDA MOTOR CO., LTD                                  | 65,869.  | 65,869.  |
| HONEYWELL INTERNATIONAL INC.                          | 65,430.  | 65,430.  |
| HOYA CORPORATION                                      | 33,994.  | 33,994.  |
| HP INC.   | 12,457.  | 12,457.  |
| HSBC HOLDINGS PLC - SPONS ADR                         | 63,526.  | 63,526.  |
| HUMANA INC.   | 19,228.  | 19,228.  |
| HUSQVARNA AB  | 14,911.  | 14,911.  |
| ICICI BANK LIMITED - SPON ADR                         | 39,622.  | 39,622.  |
| ICON PLC  | 5,661.   | 5,661.   |
| IDEXX LABORATORIES INC.                               | 17,207.  | 17,207.  |
| ILLINOIS TOOL WORKS INC.                              | 26,194.  | 26,194.  |
| ILLUMINA, INC.  | 7,519.   | 7,519.   |
| IMPALA PLATINUM - SPONSORED ADR                       | 5,604.   | 5,604.   |
| INFINEON TECHNOLOGIES AG - ADR                        | 43,482.  | 43,482.  |
| ING GROEP N.V. - SPONSORED ADR                        | 22,921.  | 22,921.  |
| INGERSOLL-RAND INC.                                   | 12,297.  | 12,297.  |
| INTEL CORP.   | 69,948.  | 69,948.  |
| INTERCONTINENTALEXCHANGE INC.                         | 11,302.  | 11,302.  |

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| INTERNATIONAL CONSOLIDATED AIRLINES GROUP SA -<br>SPONSORED ADR | 6,778.   | 6,778.   |
| INTERNATIONAL FLAVORS & FRAGRANCES INC.                         | 14,332.  | 14,332.  |
| INTESA SANPAOLO ADR   | 81,087.  | 81,087.  |
| INT'L BUSINESS MACHINES CORP.                                   | 53,481.  | 53,481.  |
| INTUIT CORP.  | 40,627.  | 40,627.  |
| INTUITIVE SURGICAL, INC.  | 15,856.  | 15,856.  |
| INVESCO DB AGRICULTURE FUND                                     | 547,743. | 547,743. |
| ITAU UNIBANCO HOLDINGS SA - PREF ADR                            | 15,693.  | 15,693.  |
| J.B. HUNT TRANSPORT SERVICES, INC.                              | 11,785.  | 11,785.  |
| J.P. MORGAN CHASE & CO.   | 138,121. | 138,121. |
| JACK HENRY & ASSOCIATES, INC.                                   | 6,536.   | 6,536.   |
| JACOBS SOLUTIONS INC  | 7,009.   | 7,009.   |
| JAMES HARDIE INDUSTRIES - SP ADR                                | 11,601.  | 11,601.  |
| JBS S.A.- ADR   | 6,689.   | 6,689.   |
| JD.COM INC - ADR  | 19,010.  | 19,010.  |
| JOHNSON & JOHNSON   | 175,079. | 175,079. |
| JOHNSON CONTROLS INTERNATIONAL PLC                              | 14,295.  | 14,295.  |
| JOHNSON MATTHEY PLC - SPON ADR                                  | 12,215.  | 12,215.  |
| JUNIPER NETWORKS, INC.  | 5,100.   | 5,100.   |
| JUST EAT TAKEAWAY SPONSORED ADR                                 | 13,383.  | 13,383.  |
| KB FINANCIAL GROUP INC. - ADR                                   | 39,467.  | 39,467.  |
| KERRY GROUP PLC - SPONS ADR                                     | 10,498.  | 10,498.  |
| KEURIG DR PEPPER INC  | 10,496.  | 10,496.  |
| KEYSIGHT TECHNOLOGIES INC.                                      | 12,886.  | 12,886.  |
| KIMBERLY-CLARK CORP   | 9,478.   | 9,478.   |
| KIRIN BREWERY CO - SPON ADR                                     | 23,610.  | 23,610.  |
| KKR & CO INC - CLASS A  | 11,682.  | 11,682.  |
| KLA CORPORATION   | 8,720.   | 8,720.   |
| KOMATSU LTD. - SPONS ADR  | 29,724.  | 29,724.  |
| KONINKLIJKE AHOLD DELHAIZE NV - ADR                             | 16,904.  | 16,904.  |
| KONINKLIJKE KPN NV - SP ADR                                     | 11,142.  | 11,142.  |
| KROGER CO.  | 9,599.   | 9,599.   |
| KUBOTA CORPORATION - SPONS ADR                                  | 28,829.  | 28,829.  |
| KYOCERA CORPORATION - SPONS ADR                                 | 40,802.  | 40,802.  |
| LAM RESEARCH CORPORATION  | 33,680.  | 33,680.  |
| LAS VEGAS SANDS CORP.   | 8,612.   | 8,612.   |
| LEGAL & GENERAL GROUP PLC SPONSORED ADR                         | 14,299.  | 14,299.  |
| LEIDOS HOLDINGS INC.  | 7,902.   | 7,902.   |
| LENOVO GROUP LIMITED ADR  | 15,999.  | 15,999.  |
| LG DISPLAY CO LTD SPONS ADR                                     | 25,185.  | 25,185.  |
| LIBERTY GLOBAL, LTD. - SERIES C                                 | 6,673.   | 6,673.   |
| LINDE PLC.  | 9,036.   | 9,036.   |
| LIVE NATION ENTERTAINMENT INC                                   | 4,867.   | 4,867.   |
| LLOYDS TSB GROUP PLC - SP ADR                                   | 12,316.  | 12,316.  |
| LONDON STOCK EXCHANGE GROUP PLC - UNSPON ADR                    | 24,070.  | 24,070.  |
| LOWE'S COMPANIES  | 72,996.  | 72,996.  |
| LULULEMON ATHLETICA INC.  | 21,985.  | 21,985.  |
| LYNAS RARE EARTHS LIMITED                                       | 7,013.   | 7,013.   |
| LYONDELLBASELL INDUSTRIES NV                                    | 8,557.   | 8,557.   |
| MACQUARIE GROUP LTD - ADR                                       | 56,950.  | 56,950.  |
| MARRIOTT INTERNATIONAL CL A                                     | 4,059.   | 4,059.   |
| MARSH MCLENNAN & CO.  | 26,905.  | 26,905.  |
| MASCO CORPORATION   | 7,435.   | 7,435.   |
| MASTERCARD INC - CLASS A  | 108,760. | 108,760. |
| MCDONALD'S CORPORATION  | 100,813. | 100,813. |
| MCKESSON HBOC INC.  | 23,612.  | 23,612.  |
| MEDTRONIC, PLC  | 10,462.  | 10,462.  |

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|---|----------|----------|
| MERCADOLIBRE INC.   | 4,715.   | 4,715.   |
| MERCK & CO. INC.  | 86,889.  | 86,889.  |
| MERCK KGAA - SPONSORED ADR                                | 13,985.  | 13,985.  |
| META PLATFORMS INC  | 140,876. | 140,876. |
| METTLER-TOLEDO INTERNATIONAL                              | 4,852.   | 4,852.   |
| MGM RESORTS INTERNATIONAL                                 | 4,557.   | 4,557.   |
| MICROCHIP TECHNOLOGY INC.                                 | 15,691.  | 15,691.  |
| MICRON TECHNOLOGY, INC.                                   | 17,324.  | 17,324.  |
| MICROSOFT CORP.   | 990,489. | 990,489. |
| MITSUBISHI UFJ FINANCIAL GROUP, INC. - ADR                | 48,371.  | 48,371.  |
| MIZUHO FINANCIAL GROUP ADR                                | 33,048.  | 33,048.  |
| MONSTER BEVERAGE CORPORATION                              | 6,740.   | 6,740.   |
| MOODY'S CORPORATION                                       | 18,747.  | 18,747.  |
| MORGAN STANLEY  | 37,487.  | 37,487.  |
| MOTOROLA SOLUTIONS, INC.                                  | 16,281.  | 16,281.  |
| MOWI ASA - SPONSORED ADR                                  | 10,525.  | 10,525.  |
| MSCI INC.   | 14,141.  | 14,141.  |
| NASDAQ STOCK MARKET INC.                                  | 7,791.   | 7,791.   |
| NATIONAL AUSTRALIA BANK LTD - SP ADR                      | 58,196.  | 58,196.  |
| NATIONAL GRID PLC - SP ADR                                | 60,783.  | 60,783.  |
| NATWEST GROUP PLC   | 12,093.  | 12,093.  |
| NEDBANK GROUP LTD - SPONS ADR                             | 20,033.  | 20,033.  |
| NESTLE SA-SPONS ADR FOR REG                               | 127,887. | 127,887. |
| NETAPP, INC.  | 7,670.   | 7,670.   |
| NETEASE.COM INC. - ADR                                    | 13,136.  | 13,136.  |
| NETFLIX INC.  | 76,927.  | 76,927.  |
| NEW ORIENTAL EDUCATION & TECHNOLOGY GRP<br>SPONSORED- ADR | 7,255.   | 7,255.   |
| NEWS CORPORATION- CLASS A                                 | 6,555.   | 6,555.   |
| NEXTERA ENERGY, INC.                                      | 6,985.   | 6,985.   |
| NICE SYSTEMS LTD. - SPONS ADR                             | 18,754.  | 18,754.  |
| NIDEC CORPORATION - SPON ADR                              | 22,209.  | 22,209.  |
| NIKE INC. - CL B  | 78,062.  | 78,062.  |
| NIO INC ADR   | 8,707.   | 8,707.   |
| NIPPON TELEGRAPH & TELEPHONE -ADR                         | 21,996.  | 21,996.  |
| NIPPON YUSEN KABUSHIKI KAISHA                             | 15,173.  | 15,173.  |
| NISSAN MOTORS CO., LTD. - SPON ADR                        | 19,851.  | 19,851.  |
| NOKIA - ADR A   | 13,276.  | 13,276.  |
| NOMURA HOLDINGS, INC. - ADR                               | 27,976.  | 27,976.  |
| NORDEA BANK ABP - SPONSORED ADR                           | 33,267.  | 33,267.  |
| NORSK HYDRO AS SPON ADR                                   | 19,880.  | 19,880.  |
| NORTHERN TRUST CORPORATION                                | 7,257.   | 7,257.   |
| NORTHROP GRUMMAN CORPORATION                              | 33,238.  | 33,238.  |
| NOVARTIS AG - ADR   | 92,993.  | 92,993.  |
| NOVO NORDISK A/S-SPONS ADR                                | 105,105. | 105,105. |
| NUCOR CORPORATION   | 8,876.   | 8,876.   |
| NVIDIA CORPORATION  | 248,600. | 248,600. |
| NXP SEMICONDUCTORS NV                                     | 12,632.  | 12,632.  |
| OCADO GROUP PLC - SPONSORED ADR                           | 4,047.   | 4,047.   |
| OLD DOMINION FREIGHT LINE, INC.                           | 9,728.   | 9,728.   |
| OMNICOM GROUP   | 7,094.   | 7,094.   |
| OMRON CORPORATION - SPON ADR                              | 24,913.  | 24,913.  |
| ON SEMICONDUCTOR CORPORATION                              | 5,764.   | 5,764.   |
| ONEOK, INC.   | 3,581.   | 3,581.   |
| ORACLE CORPORATION  | 40,485.  | 40,485.  |
| ORIX CORPORATION - SPON ADR                               | 30,445.  | 30,445.  |
| ORKLA ASA SPON ADR  | 14,945.  | 14,945.  |
| PACCAR INC.   | 37,498.  | 37,498.  |

THE DAVID ROCKEFELLER FUND, INC.13-353359

|  |          |          |
|--|----------|----------|
| PACKAGING CORP OF AMERICA                        | 5,539.   | 5,539.   |
| PALO ALTO NETWORKS, INC.                         | 24,770.  | 24,770.  |
| PANASONIC HOLDING CORP ADR                       | 24,639.  | 24,639.  |
| PARKER-HANNIFIN CORPORATION                      | 5,989.   | 5,989.   |
| PAYCHEX, INC.                                    | 14,293.  | 14,293.  |
| PAYPAL HOLDINGS INC.                             | 28,556.  | 28,556.  |
| PEARSON PLC - SPONSORED ADR                      | 9,254.   | 9,254.   |
| PEPSICO, INC                                     | 81,693.  | 81,693.  |
| PERNOD-RICARD - SPONS ADR                        | 32,582.  | 32,582.  |
| PFIZER INC.                                      | 46,755.  | 46,755.  |
| PG&E CORPORATION                                 | 12,044.  | 12,044.  |
| PING AN INSURANCE - ADR                          | 15,920.  | 15,920.  |
| PLDT INC- UNSPONSORED ADR                        | 13,308.  | 13,308.  |
| PNC FINANCIAL SERVICES GROUP                     | 8,362.   | 8,362.   |
| POOL CORP  | 7,177.   | 7,177.   |
| POSCO - ADR                                      | 42,704.  | 42,704.  |
| PPG INDUSTRIES, INC.                             | 17,497.  | 17,497.  |
| PROCTER & GAMBLE CO.                             | 86,166.  | 86,166.  |
| PROGRESSIVE CORPORATION                          | 17,202.  | 17,202.  |
| PROSUS NV - SPONSORED ADR                        | 16,023.  | 16,023.  |
| PRUDENTIAL PLC - ADR                             | 37,783.  | 37,783.  |
| PT TELEKOMUNIKASI INDONESIA PERSERO TBK SPON ADR | 15,456.  | 15,456.  |
| PUBLICIS GROUPE SA - ADR                         | 35,495.  | 35,495.  |
| QBE INSURANCE GROUP-SPN ADR                      | 20,660.  | 20,660.  |
| QORVO INC.                                       | 5,405.   | 5,405.   |
| QUALCOMM INC.                                    | 62,625.  | 62,625.  |
| QUANTA SERVICES, INC.                            | 9,064.   | 9,064.   |
| RAKUTEN GROUP, INC- ADR                          | 14,018.  | 14,018.  |
| RECKITT BENCKISER GROUP PLC- SPONS ADR           | 18,260.  | 18,260.  |
| REGENERON PHARMACEUTICALS                        | 28,984.  | 28,984.  |
| RELX PLC - SPONSORED ADR                         | 47,473.  | 47,473.  |
| RENTOKIL INITIAL PLC - ADR                       | 10,700.  | 10,700.  |
| REPUBLIC SERVICES INC. CL A                      | 18,470.  | 18,470.  |
| REVVITY, INC.                                    | 5,793.   | 5,793.   |
| RIO TINTO PLC - SPONSORED ADR                    | 3,723.   | 3,723.   |
| ROBERT HALF INC.                                 | 5,979.   | 5,979.   |
| ROCHE HOLDING AG - SPONS ADR                     | 71,119.  | 71,119.  |
| ROCKWELL AUTOMATION INC                          | 14,282.  | 14,282.  |
| ROLLINS, INC.                                    | 6,638.   | 6,638.   |
| ROLLS-ROYCE HOLDINGS PLC - SPON ADR              | 13,563.  | 13,563.  |
| ROSS STORES, INC.                                | 18,683.  | 18,683.  |
| S&P GLOBAL INC                                   | 67,840.  | 67,840.  |
| SALESFORCE INC.                                  | 106,045. | 106,045. |
| SANDOZ GROUP AG                                  | 5,890.   | 5,890.   |
| SANDVIK AB - SPONSORED ADR                       | 44,162.  | 44,162.  |
| SANOFI-AVENTIS - ADR                             | 46,050.  | 46,050.  |
| SAP AG - SPONSORED ADR                           | 83,788.  | 83,788.  |
| SCHLUMBERGER LTD.                                | 23,782.  | 23,782.  |
| SCHWAB (CHARLES) CORP.                           | 7,086.   | 7,086.   |
| SEAGATE TECHNOLOGY HOLADING PLC                  | 7,513.   | 7,513.   |
| SEKISUI HOUSE SPON ADR                           | 36,392.  | 36,392.  |
| SEMPRA   | 38,710.  | 38,710.  |
| SENDAS DISTRIBUIDORA S.A. - SPON ADR             | 5,196.   | 5,196.   |
| SERVICENOW INC                                   | 35,325.  | 35,325.  |
| SHINHAN FINANCIAL GROUP CO., LTD. - ADR          | 45,585.  | 45,585.  |
| SHISEIDO COMPANY, LIMITED SPON ADR               | 13,999.  | 13,999.  |
| SIBANYE-STILLWATER LTD - ADR                     | 9,068.   | 9,068.   |
| SIEMENS AG-SPONS ADR                             | 82,035.  | 82,035.  |

THE DAVID ROCKEFELLER FUND, INC.13-3533359

|  |          |          |
|--|----------|----------|
| SINGAPORE TELECOMMUNICATIONS LIMITED ADR                 | 34,932.  | 34,932.  |
| SKANSKA AB   | 15,829.  | 15,829.  |
| SKYWORKS SOLUTIONS, INC.                                 | 5,059.   | 5,059.   |
| SMITH & NEPHEW PLC ADR                                   | 10,421.  | 10,421.  |
| SNAP-ON INC.   | 5,488.   | 5,488.   |
| SOCIEDAD QUIMICA Y MINERA DE CHILE SA SPONSORED ADR      | 8,310.   | 8,310.   |
| SOCIETE GENERALE-SPONSORED ADR                           | 28,590.  | 28,590.  |
| SODEXO-SPONSORED ADR                                     | 23,703.  | 23,703.  |
| SONIC HEALTHCARE SPONSORED ADR                           | 25,078.  | 25,078.  |
| SONY GROUP CORPORATION - SPONS ADR                       | 55,204.  | 55,204.  |
| SPARK NEW ZEALAND - SPONSORED ADR                        | 37,546.  | 37,546.  |
| SSE PLC-SPN ADR  | 47,680.  | 47,680.  |
| STANLEY BLACK & DECKER INC.                              | 6,867.   | 6,867.   |
| STARBUCKS CORPORATION                                    | 46,757.  | 46,757.  |
| STATE STREET CORP.                                       | 7,436.   | 7,436.   |
| STEEL DYNAMICS, INC.                                     | 29,171.  | 29,171.  |
| STERIS PLC   | 3,737.   | 3,737.   |
| STORA ENSO OYJ - SPONS ADR                               | 29,155.  | 29,155.  |
| STRYKER CORPORATION                                      | 31,743.  | 31,743.  |
| SUMITOMO MITSUI FINANCIAL GROUP, INC SPON ADR            | 40,501.  | 40,501.  |
| SUN HUNG KAI PROPERTIES SPONSORED ADR                    | 35,392.  | 35,392.  |
| SUZANO SA - ADR  | 5,919.   | 5,919.   |
| SWEDBANK AB - SPONSORED ADR                              | 14,772.  | 14,772.  |
| SWISS RE LTD-SPN ADR                                     | 31,798.  | 31,798.  |
| SYNOPSIS   | 19,567.  | 19,567.  |
| SYSCO CORPORATION  | 9,946.   | 9,946.   |
| T. ROWE PRICE GROUP INC.                                 | 9,261.   | 9,261.   |
| TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD. - SP ADR | 243,464. | 243,464. |
| TAKEDA PHARMACEUTICAL CO LTD-SPN ADR                     | 28,397.  | 28,397.  |
| TAKE-TWO INTERACTIVE SOFTWARE, INC.                      | 5,472.   | 5,472.   |
| TARGET CORPORATION                                       | 30,763.  | 30,763.  |
| TDK CORP - ADR   | 31,704.  | 31,704.  |
| TE CONNECTIVITY LIMITED                                  | 13,348.  | 13,348.  |
| TECHTRONIC INDUSTRIES COMPANY LIMITED                    | 13,722.  | 13,722.  |
| TELEDYNE TECHNOLOGIES INCORPORATED                       | 5,802.   | 5,802.   |
| TELEFONICA BRASIL- ADR                                   | 6,050.   | 6,050.   |
| TELEFONICA S.A. - ADR                                    | 11,103.  | 11,103.  |
| TELENOR ASA-ADR  | 12,719.  | 12,719.  |
| TENARIS SA - ADR   | 14,425.  | 14,425.  |
| TERADYNE INC.  | 9,658.   | 9,658.   |
| TESCO PLC - SPONSORED ADR                                | 14,943.  | 14,943.  |
| TESLA INC.   | 286,746. | 286,746. |
| TEVA PHARMACEUTICAL INDUSTRIES LTD. ADR                  | 3,978.   | 3,978.   |
| TEXAS INSTRUMENTS INC.                                   | 42,956.  | 42,956.  |
| THE CLOROX COMPANY                                       | 9,554.   | 9,554.   |
| THE ESTEE LAUDER COMPANIES INC. CLASS A                  | 11,261.  | 11,261.  |
| THE INTERPUBLIC GROUP OF COMPANIES, INC.                 | 5,092.   | 5,092.   |
| THE MOSAIC COMPANY                                       | 9,040.   | 9,040.   |
| THE SHERWIN-WILLIAMS COMPANY                             | 32,126.  | 32,126.  |
| THE TJX COMPANIES, INC.                                  | 42,121.  | 42,121.  |
| THE TRAVELERS COMPANIES, INC.                            | 11,239.  | 11,239.  |
| THE WALT DISNEY COMPANY                                  | 55,709.  | 55,709.  |
| THERMO FISHER SCIENTIFIC INC.                            | 69,533.  | 69,533.  |
| T-MOBILE US, INC.  | 27,096.  | 27,096.  |
| TOKIO MARINE HOLDING INC ADR                             | 39,897.  | 39,897.  |
| TOYOTA MOTOR CORPORATION - SPON ADR                      | 159,357. | 159,357. |

THE DAVID ROCKEFELLER FUND, INC.13-3533359

|                                    |          |          |
|------------------------------------|----------|----------|
| TRACTOR SUPPLY COMPANY             | 13,762.  | 13,762.  |
| TRANE TECHNOLOGIES PLC             | 34,390.  | 34,390.  |
| TRANSDIGM GROUP, INC.              | 6,070.   | 6,070.   |
| TREASURY WINE ESTATES - ADR        | 14,826.  | 14,826.  |
| TRIMBLE INC                        | 5,054.   | 5,054.   |
| TRIP.COM GROUP LTD ADR             | 11,739.  | 11,739.  |
| UBER TECHNOLOGIES INC              | 42,237.  | 42,237.  |
| ULTA BEAUTY INC                    | 7,350.   | 7,350.   |
| UNI-CHARM CORPORATION - SPONS ADR  | 26,183.  | 26,183.  |
| UNILEVER PLC - SPONSORED ADR       | 47,510.  | 47,510.  |
| UNION PACIFIC CORP.                | 29,966.  | 29,966.  |
| UNITED MICROELECTRONICS ADR        | 28,053.  | 28,053.  |
| UNITED OVERSEAS BANK LTD - SP ADR  | 30,599.  | 30,599.  |
| UNITED PARCEL SERVICE CLASS B      | 39,308.  | 39,308.  |
| UNITED RENTALS, INC.               | 17,776.  | 17,776.  |
| UNITED UTILITIES GROUP - ADR       | 23,113.  | 23,113.  |
| UNITEDHEALTH GROUP INC.            | 155,835. | 155,835. |
| US BANCORP                         | 12,768.  | 12,768.  |
| V.F. CORPORATION                   | 7,708.   | 7,708.   |
| VALEO SE SPON ADR                  | 32,732.  | 32,732.  |
| VEOLIA ENVIRONMENT ADR             | 34,279.  | 34,279.  |
| VERALTO CORP                       | 82.      | 82.      |
| VERISK ANALYTICS, INC.             | 17,198.  | 17,198.  |
| VERIZON COMMUNICATIONS             | 35,627.  | 35,627.  |
| VERTEX PHARMACEUTICALS INC.        | 13,427.  | 13,427.  |
| VESTIS CORP                        | 14,777.  | 14,777.  |
| VIPSHOP HOLDINGS LTD. ADR          | 5,239.   | 5,239.   |
| VISA INC - CLASS A SHARES          | 123,927. | 123,927. |
| VODACOM GROUP LTD - SPONS ADR      | 8,274.   | 8,274.   |
| VODAFONE GROUP PLC - SP ADR        | 7,404.   | 7,404.   |
| VULCAN MATERIALS COMPANY           | 7,037.   | 7,037.   |
| WABTEC CORPORATION                 | 11,548.  | 11,548.  |
| WALGREENS BOOTS ALLIANCE INC       | 4,334.   | 4,334.   |
| WAL-MART DE MEXICO SA DE CV ADR    | 17,437.  | 17,437.  |
| WALMART INC                        | 74,096.  | 74,096.  |
| WASTE MANAGEMENT, INC.             | 70,386.  | 70,386.  |
| WATERS CORPORATION                 | 9,548.   | 9,548.   |
| WEG S.A.                           | 7,549.   | 7,549.   |
| WEST PHARMACEUTICAL SERVICES, INC. | 9,507.   | 9,507.   |
| WESTERN DIGITAL CORPORATION        | 6,913.   | 6,913.   |
| WH GROUP LIMITED - SPONSORED ADR   | 10,363.  | 10,363.  |
| WHIRLPOOL CORPORATION              | 4,384.   | 4,384.   |
| WIPRO LTD - ADR                    | 36,812.  | 36,812.  |
| WOLTERS KLUWER NV - SPONS ADR      | 25,366.  | 25,366.  |
| WOORI FINANCIAL SPONSORED ADR-W/I  | 34,662.  | 34,662.  |
| WORKDAY INC.- CLASS A              | 12,423.  | 12,423.  |
| WPP PLC ADR                        | 13,415.  | 13,415.  |
| XPENG INC - ADR                    | 3,837.   | 3,837.   |
| XYLEM INC.                         | 6,862.   | 6,862.   |
| YAMAHA CORPORATION - ADR           | 14,073.  | 14,073.  |
| YARA INTERNATIONAL ASA-ADR         | 2,975.   | 2,975.   |
| YUM! BRANDS, INC.                  | 27,569.  | 27,569.  |
| ZIMMER BIOMET HOLDINGS, INC.       | 7,302.   | 7,302.   |
| ZOETIS INC.                        | 21,119.  | 21,119.  |
| ZURICH INSURANCE GROUP AG - ADR    | 47,161.  | 47,161.  |

THE DAVID ROCKEFELLER FUND, INC.

13-353359

TOTAL TO FORM 990-PF, PART II, LINE 10B

19,096,336.

19,096,336.

FORM 990-PF

CORPORATE BONDS

STATEMENT 12

| <u>DESCRIPTION</u>   | <u>BOOK VALUE</u> | <u>FAIR MARKET VALUE</u> |
|--|-------------------|--------------------------|
| AMAZON.COM, INC. 3.15% DUE 08/22/27 CALL @ 100<br>05/21/27                     | 14,430.           | 14,430.                  |
| AMAZON.COM, INC. 4.60% DUE 12/01/25 CALL @ 100<br>06/01/23                     | 23,071.           | 23,071.                  |
| APPLE INC. 3.35% DUE 08/08/32 CALL @ 100<br>02/08/24                           | 5,646.            | 5,646.                   |
| AT&T INC. 1.70% DUE 03/25/26 CALL @ 100 03/25/23                               | 22,463.           | 22,463.                  |
| AT&T INC. 5.539% DUE 02/20/26  | 50,012.           | 50,012.                  |
| BANK OF AMERICA CORP 4.244% DUE 04/24/2038 CALL<br>@ 100 04/24/2037            | 15,390.           | 15,390.                  |
| BANK OF AMERICA CORPORATION VAR% DUE 09/25/25<br>CALL @ 100 09/25/24           | 71,425.           | 71,425.                  |
| BRUNSWICK CORP 0.85% 08/18/2024  | 47,409.           | 47,409.                  |
| CITIGROUP INC. VAR% DUE 01/25/26 CALL @ 100<br>01/25/25                        | 48,052.           | 48,052.                  |
| CITIGROUP INC. VAR% DUE 10/27/28 CALL @ 100<br>10/27/27                        | 20,823.           | 20,823.                  |
| CVS HEALTH CORP 3.25% DUE 08/15/2029 CALL @ 100<br>05/15/2029                  | 15,848.           | 15,848.                  |
| FIFTH THIRD BANCORP VAR% DUE 10/27/28 CALL @ 100<br>10/26/27                   | 7,267.            | 7,267.                   |
| GENERAL MOTORS COMPANY 6.125% DUE 10/01/25 CALL<br>@ 100 05/12/24              | 47,641.           | 47,641.                  |
| GOLDMAN SACHS GROUP INC 3.272% DUE 09/29/2025<br>CALL @ 100 09/29/2024         | 35,395.           | 35,395.                  |
| HOME DEPOT INC 5.875% DUE 12/16/2036   | 24,648.           | 24,648.                  |
| INTERNATIONAL BUSINESS MACHINES CORP 3.45% DUE<br>02/19/26 CALL @ 100 08/19/23 | 43,845.           | 43,845.                  |
| JPMORGAN CHASE & CO. VAR% DUE 07/25/33 CALL @<br>100 07/24/32                  | 22,777.           | 22,777.                  |
| JPMORGAN CHASE & CO. VAR% DUE 08/09/25 CALL @<br>100 08/08/24                  | 48,487.           | 48,487.                  |
| MORGAN STANLEY VAR% DUE 01/21/33 CALL @ 100<br>01/21/32                        | 16,201.           | 16,201.                  |
| MORGAN STANLEY VAR% DUE 04/28/26 CALL @ 100<br>04/28/25                        | 48,040.           | 48,040.                  |
| ORACLE CORPORATION 2.65% DUE 07/15/26 CALL @ 100<br>04/14/26                   | 48,380.           | 48,380.                  |
| PFIZER INVESTMENT ENTERPRISES 4.45% DUE 05/19/26<br>CALL @ 100 04/19/2026      | 29,927.           | 29,927.                  |
| PFIZER INVESTMENT ENTERPRISES 4.75% DUE 05/19/33<br>CALL @ 100 02/19/2033      | 3,007.            | 3,007.                   |
| SIMON PROPERTY GROUP LP 3.375% DUE 06/15/2027<br>CALL @ 100 03/15/2027         | 15,339.           | 15,339.                  |
| THE GOLDMAN SACHS GROUP, INC. VAR% DUE 04/22/32<br>CALL @ 100 04/21/31         | 15,976.           | 15,976.                  |

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

|   |             |             |
|---|-------------|-------------|
| THE KROGER CO 3.50% DUE 02/01/26 CALL @ 100<br>07/15/23                         | 51,635.     | 51,635.     |
| THE PNC FINANCIAL SERVICES GROUP, INC. 5.582%<br>DUE 06/12/29                   | 7,147.      | 7,147.      |
| THE PNC FINANCIAL SERVICES GROUP, INC. VAR% DUE<br>01/26/27 CALL @ 100 01/26/26 | 45,657.     | 45,657.     |
| TRUIST FINANCIAL CORPORATION 1.20% DUE 08/05/25<br>CALL @ 100 07/03/25          | 48,808.     | 48,808.     |
| TRUIST FINANCIAL CORPORATION 6.047R% DUE<br>06/08/27 CALL @ 100 06/07/26        | 7,125.      | 7,125.      |
| TWDC ENTERPRISES 18 CORP. 1.85% DUE 07/30/26<br>CALL @ 100 01/12/23             | 48,647.     | 48,647.     |
| U.S. BANCORP VAR% DUE 01/27/33 CALL @ 100<br>01/27/32                           | 8,353.      | 8,353.      |
| VERIZON COMMUNICATIONS INC. 0.85% DUE 11/20/25<br>CALL @ 100 11/20/22           | 47,375.     | 47,375.     |
| VERIZON COMMUNICATIONS INC. 2.65% DUE 11/20/40<br>CALL @ 100 05/20/40           | 21,688.     | 21,688.     |
| WELLS FARGO & COMPANY VAR% DUE 05/19/25 CALL @<br>100 05/19/24                  | 10,784.     | 10,784.     |
|   | <hr/>       | <hr/>       |
| TOTAL TO FORM 990-PF, PART II, LINE 10C   | 1,038,718.  | 1,038,718.  |
|   | <hr/> <hr/> | <hr/> <hr/> |



## THE DAVID ROCKEFELLER FUND, INC.

13-3533359

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 13

| DESCRIPTION   | VALUATION METHOD | BOOK VALUE  | FAIR MARKET VALUE |
|---|------------------|-------------|-------------------|
| JANUS HENDERSON MORTGAGE BACKED ETF                 | FMV              | 107,729.    | 107,729.          |
| ALEXANDRIA REAL ESTATE EQUITIES, INC.               | FMV              | 6,846.      | 6,846.            |
| AMERICAN TOWER CORPORATION                          | FMV              | 25,258.     | 25,258.           |
| AVALONBAY COMMUNITIES, INC.                         | FMV              | 11,420.     | 11,420.           |
| BRITISH LAND COMPANY PLC - SPON ADR                 | FMV              | 50,682.     | 50,682.           |
| CBRE GROUP, INC.                                    | FMV              | 19,828.     | 19,828.           |
| CROWN CASTLE INTERNATIONAL CORP.                    | FMV              | 8,409.      | 8,409.            |
| EQUINIX, INC.                                       | FMV              | 10,470.     | 10,470.           |
| EQUITY LIFESTYLE PROPERTIES, INC                    | FMV              | 8,324.      | 8,324.            |
| EQUITY RESIDENTIAL PROPERTIES TRUST                 | FMV              | 6,177.      | 6,177.            |
| ESSEX PROPERTY TRUST, INC.                          | FMV              | 5,207.      | 5,207.            |
| EXTRA SPACE STORAGE INC.                            | FMV              | 9,459.      | 9,459.            |
| HEALTHPEAK PROPERTIES INC                           | FMV              | 6,098.      | 6,098.            |
| INVITATION HOMES INC                                | FMV              | 8,186.      | 8,186.            |
| IRON MOUNTAIN INC.                                  | FMV              | 18,825.     | 18,825.           |
| KE HOLDINGS INC ADR                                 | FMV              | 9,288.      | 9,288.            |
| MID-AMERICA APARTMENT COMMUNITIES, INC.             | FMV              | 7,530.      | 7,530.            |
| PROLOGIS INC.                                       | FMV              | 36,924.     | 36,924.           |
| PUBLIC STORAGE INC.                                 | FMV              | 33,550.     | 33,550.           |
| REALTY INCOME CORPORATION                           | FMV              | 13,838.     | 13,838.           |
| SBA COMMUNICATIONS CORPORATION                      | FMV              | 9,640.      | 9,640.            |
| SIMON PROPERTY GROUP, INC.                          | FMV              | 7,845.      | 7,845.            |
| SUN COMMUNITIES, INC.                               | FMV              | 6,415.      | 6,415.            |
| UDR, INC.   | FMV              | 6,165.      | 6,165.            |
| VANGUARD MORTGAGE BACKED SECURITIES ETF             | FMV              | 161,472.    | 161,472.          |
| VENTAS, INC.  | FMV              | 6,978.      | 6,978.            |
| VICI PROPERTIES INC                                 | FMV              | 8,321.      | 8,321.            |
| WELLTOWER OP INC                                    | FMV              | 12,984.     | 12,984.           |
| CRA QUALIFIED INVESTMENT FUND                       | FMV              | 5,082,000.  | 5,082,000.        |
| PAX WORLD GLOBAL ENVIRONMENTAL MARKETS FUND         | FMV              | 6,889,500.  | 6,889,500.        |
| NEW ENERGY CAPITAL FUND VI (OFFSHORE) LP            | FMV              | 1,188,845.  | 1,188,845.        |
| NEW ENERGY CAPITAL INFRASTRUCTURE CREDIT FUND II LP | FMV              | 1,089,478.  | 1,089,478.        |
| ROCKEFELLER ALTERNATIVE STRATEGIES FUND,            | FMV              | 10,604.     | 10,604.           |
| ROCKEFELLER ACCESS FUND 06-I, LLC                   | FMV              | 135,993.    | 135,993.          |
| LIBRA FOSSIL FUEL FREE FUND, L.P.                   | FMV              | 14,107,312. | 14,107,312.       |
| TOTAL TO FORM 990-PF, PART II, LINE 13              |                  | 29,127,600. | 29,127,600.       |

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 14

| DESCRIPTION                        | COST OR OTHER BASIS | ACCUMULATED DEPRECIATION | BOOK VALUE |
|------------------------------------|---------------------|--------------------------|------------|
| OFFICE EQUIPMENT                   | 11,462.             | 10,683.                  | 779.       |
| COMPUTER EQUIPMENT & SOFTWARE      | 19,205.             | 13,899.                  | 5,306.     |
| TOTAL TO FM 990-PF, PART II, LN 14 | 30,667.             | 24,582.                  | 6,085.     |

FORM 990-PF OTHER ASSETS STATEMENT 15

| DESCRIPTION                      | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
|----------------------------------|----------------------------|------------------------|-------------------|
| ACCRUED INVESTMENT RECEIVABLE    | 45,636.                    | 54,850.                | 54,850.           |
| RECOVERABLE TAXES PAID           | 24,250.                    | 8,162.                 | 8,162.            |
| AFFILIATE/STAFF RECEIVABLES      | 4,393.                     | 3,000.                 | 3,000.            |
| TO FORM 990-PF, PART II, LINE 15 | 74,279.                    | 66,012.                | 66,012.           |

FORM 990-PF EXPLANATION CONCERNING PART VI-A, LINE 12 QUALIFYING DISTRIBUTION STATEMENT STATEMENT 16

EXPLANATION

THE FOUNDATION TREATED DISTRIBUTIONS TO "THE DAVID ROCKEFELLER FUND TRUSTEE DISCRETIONARY GIVING 4TH & 5TH GENERATIONS" DONOR ADVISED FUND AT ROCKEFELLER PHILANTHROPY ADVISORS ("DONOR ADVISED FUND") AS A QUALIFYING DISTRIBUTION BECAUSE THE IRS HAS CLASSIFIED THIS DONOR ADVISED FUND AS A PUBLIC CHARITY. HOWEVER, WE NOTE THAT THE FOUNDATION COULD HAVE MET ITS PAYOUT REQUIREMENT EVEN IF IT HAD NOT TREATED THE DISTRIBUTIONS TO THE DONOR ADVISED FUND AS A QUALIFYING DISTRIBUTION.

FORM 990-PF EXPLANATION CONCERNING PART VI-A, LINE 12 SECTION 170(C)(2)(B) STATEMENT STATEMENT 17

EXPLANATION

THE FOUNDATION HAS MADE AND WILL, FROM TIME TO TIME, CONTINUE TO MAKE REQUESTS TO THE DONOR ADVISED FUND TO MAKE DISTRIBUTIONS IN FURTHERANCE OF THE FOUNDATION'S CHARITABLE PURPOSES, WHICH ARE DESCRIBED IN CODE 170 (C) (2) (B).

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

FORM 990-PF

PART VII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 18

| NAME AND ADDRESS  | TITLE AND<br>AVRG HRS/WK | COMPEN-<br>SATION | EMPLOYEE<br>BEN PLAN CONTRIB | EXPENSE<br>ACCOUNT |
|---|--------------------------|-------------------|------------------------------|--------------------|
| JAMIE BENNETT<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115     | DIRECTOR<br>2.50         | 2,000.            | 0.                           | 0.                 |
| CHRIS LINDSTROM<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115   | DIRECTOR<br>1.00         | 0.                | 0.                           | 0.                 |
| MINDY FULLILOVE<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115   | DIRECTOR<br>2.00         | 1,000.            | 0.                           | 0.                 |
| KAYTURA FELIX<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115     | DIRECTOR<br>1.00         | 2,000.            | 0.                           | 0.                 |
| NILI GILBERT<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115      | DIRECTOR<br>5.00         | 2,000.            | 0.                           | 0.                 |
| ADAM GROWALD<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115      | DIRECTOR<br>1.50         | 0.                | 0.                           | 0.                 |
| CHRISTINE HEENAN<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115  | DIRECTOR<br>1.50         | 2,000.            | 0.                           | 0.                 |
| MICHAEL LAMBERT<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115   | DIRECTOR<br>1.50         | 0.                | 0.                           | 0.                 |
| MAX MILLER<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115        | DIRECTOR<br>1.50         | 0.                | 0.                           | 0.                 |
| MICHAEL QUATTRONE<br>475 RIVERSIDE DRIVE, SUITE 900<br>NEW YORK, NY 10115 | DIRECTOR<br>2.50         | 0.                | 0.                           | 0.                 |

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

|                                |          |    |    |    |
|--------------------------------|----------|----|----|----|
| ARIANA ROCKEFELLER             | DIRECTOR |    |    |    |
| 475 RIVERSIDE DRIVE, SUITE 900 | 1.50     | 0. | 0. | 0. |
| NEW YORK, NY 10115             |          |    |    |    |

|                                |          |    |    |    |
|--------------------------------|----------|----|----|----|
| CLAY ROCKEFELLER               | DIRECTOR |    |    |    |
| 475 RIVERSIDE DRIVE, SUITE 900 | 3.00     | 0. | 0. | 0. |
| NEW YORK, NY 10115             |          |    |    |    |

|                                |          |    |    |    |
|--------------------------------|----------|----|----|----|
| SUSAN ROCKEFELLER              | DIRECTOR |    |    |    |
| 475 RIVERSIDE DRIVE, SUITE 900 | 1.50     | 0. | 0. | 0. |
| NEW YORK, NY 10115             |          |    |    |    |

|                                |                |    |    |    |
|--------------------------------|----------------|----|----|----|
| CAMILLA ROCKEFELLER            | DIRECTOR/CHAIR |    |    |    |
| 475 RIVERSIDE DRIVE, SUITE 900 | 3.00           | 0. | 0. | 0. |
| NEW YORK, NY 10115             |                |    |    |    |

|                                |                         |          |         |    |
|--------------------------------|-------------------------|----------|---------|----|
| LOURDES RODRIGUEZ              | CHIEF EXECUTIVE OFFICER |          |         |    |
| 475 RIVERSIDE DRIVE, SUITE 900 | 40.00                   | 225,000. | 63,495. | 0. |
| NEW YORK, NY 10115             |                         |          |         |    |

|                                |                                   |          |         |    |
|--------------------------------|-----------------------------------|----------|---------|----|
| LEXI FISHER                    | SECRETARY/CHIEF OPERATING OFFICER |          |         |    |
| 475 RIVERSIDE DRIVE, SUITE 900 | 40.00                             | 169,608. | 61,387. | 0. |
| NEW YORK, NY 10115             |                                   |          |         |    |

|                                |           |        |        |    |
|--------------------------------|-----------|--------|--------|----|
| LEAH D'ANGELO                  | TREASURER |        |        |    |
| 475 RIVERSIDE DRIVE, SUITE 900 | 1.00      | 5,655. | 1,697. | 0. |
| NEW YORK, NY 10115             |           |        |        |    |

|   |          |          |  |    |
|---|----------|----------|--|----|
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VII | 409,263. | 126,579. |  | 0. |
|---|----------|----------|--|----|

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT  
PART VI-B, LINE 5D

STATEMENT 19

GRANTEE'S NAME

ACTION FOR SAFETY AND JUSTICE

GRANTEE'S ADDRESS

1787 TRIBUTE RD., SUITE K  
SACRAMENTO, CA 95815

GRANT AMOUNT

200,000.

DATE OF GRANT

06/21/22

AMOUNT EXPENDED

200,000.

PURPOSE OF GRANT

TO EDUCATE THE PUBLIC ON PUBLIC SAFETY SOLUTIONS FOR REDUCING MASS  
CRIMINALIZATION AND INCARCERATION.

DATES OF REPORTS BY GRANTEE

8/30/23 & 9/16/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

BRAVE NEW FILMS ACTION FUND

GRANTEE'S ADDRESS

11213 WASHINGTON PLACE  
CULVER CITY, CA 90230

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 25,000.             | 06/21/22             | 25,000.                |

PURPOSE OF GRANT

TO CREATE AND DISTRIBUTE SHORT DOCUMENTARY FILMS FOCUSED ON CIVIC ENGAGEMENT.

DATES OF REPORTS BY GRANTEE

3/3/23 & 2/29/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

CLIMATE EMERGENCY ADVOCATES

GRANTEE'S ADDRESS

529 MAIN STREET, SUITE 200  
CHARLESTOWN, MA 02129

GRANT AMOUNT

100,000.

DATE OF GRANT

11/10/22

AMOUNT EXPENDED

100,000.

PURPOSE OF GRANT

TO CONDUCT STRATEGIC COMMUNICATIONS AND DIGITAL MEDIA CAMPAIGNS TO EDUCATE YOUNG CLIMATE ACTIVISTS.

DATES OF REPORTS BY GRANTEE

8/17/23 & 8/21/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

GEN-Z FOR CHANGE

GRANTEE'S ADDRESS

850 NEW BURTON ROAD, SUITE 201  
DOVER, DE 19904

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 50,000.             | 12/09/22             | 50,000.                |

PURPOSE OF GRANT

TO EDUCATE AND ENGAGE ACTIVISTS LEVERAGING THE POWER OF SOCIAL MEDIA TO DRIVE BOLD U.S. CLIMATE STRATEGY.

DATES OF REPORTS BY GRANTEE

2/27/23 & 2/27/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.



THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

HIP HOP CAUCUS ACTION FUND

GRANTEE'S ADDRESS

20 F ST. NW, 7TH FLOOR  
WASHINGTON, DC 20001

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 150,000.            | 11/11/21             | 150,000.               |

PURPOSE OF GRANT

TO ENGAGE THE PUBLIC ON ENVIRONMENTAL JUSTICE THROUGH MEDIA.

DATES OF REPORTS BY GRANTEE

10/19/22, 3/27/23 & 2/27/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

LEAGUE OF CONSERVATION VOTERS

GRANTEE'S ADDRESS

740 15TH ST NW, 7TH FLOOR  
WASHINGTON, DC 20005

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 100,000.            | 06/21/22             | 100,000.               |

PURPOSE OF GRANT

CHISPA PROGRAM, ADVANCING CLIMATE SOLUTIONS AND ENVIRONMENTAL JUSTICE.

DATES OF REPORTS BY GRANTEE

2/28/23 & 3/7/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

MICHIGAN CIVIC ACTION FUND

GRANTEE'S ADDRESS

28342 DARTMOUTH STREET  
MADISON HEIGHTS, MI 48071

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 500,000.            | 11/11/21             | 500,000.               |

PURPOSE OF GRANT

TO PROVIDE EDUCATION ABOUT PUBLIC POLICY ISSUES IN MICHIGAN.

DATES OF REPORTS BY GRANTEE

2/28/22, 3/16/23 & 2/28/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

NEW LEFT ACCELERATOR

GRANTEE'S ADDRESS

850 LAUREL ST  
ALAMEDA, CA 94501

GRANT AMOUNT

30,000.

DATE OF GRANT

11/10/22

AMOUNT EXPENDED

30,000.

PURPOSE OF GRANT

EMPOWER & PROTECT ARIZONA - AN EQUITY-INFORMED CAPACITY-BUILDING PROGRAM PROVIDING TECHNICAL ASSISTANCE TO ARIZONA-BASED ORGANIZATIONS ENGAGED IN POWER-BUILDING WORK.

DATES OF REPORTS BY GRANTEE

2/28/23 & 2/28/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

NEW YORK FOUNDATION

GRANTEE'S ADDRESS

150 W 30TH STREET, SUITE 1401  
NEW YORK, NY 10001

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 150,000.            | 11/10/22             | 150,000.               |

PURPOSE OF GRANT

YOUTH ORGANIZING & CULTURE CHANGE FUND - A PILOT PROGRAM TO INCREASE FUNDING AND SUPPORTS FOR YOUTH ORGANIZING AND CULTURAL STRATEGIES ACROSS THE COUNTRY.

DATES OF REPORTS BY GRANTEE

5/1/23 & 2/29/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

ONE FOR DEMOCRACY

GRANTEE'S ADDRESS

107 GRAND STREET 7TH FLOOR  
NEW YORK, NY 10013

GRANT AMOUNT

200,000.

DATE OF GRANT

06/22/21

AMOUNT EXPENDED

150,000.

PURPOSE OF GRANT

TO STRENGTHEN THE INFRASTRUCTURE NEEDED FOR DEMOCRACY, AND ULTIMATELY COMMUNITIES, TO THRIVE.

DATES OF REPORTS BY GRANTEE

2/28/22, 2/24/23 & 2/26/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

TIDES ADVOCACY

GRANTEE'S ADDRESS

PO BOX 29903  
SAN FRANCISCO, CA 94129

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 75,000.             | 06/21/22             | 75,000.                |

PURPOSE OF GRANT

TO SUPPORT EDUCATIONAL ACTIVITIES OF THE RESILIENT DEMOCRACY ACTION FUND,  
AN EFFORT TO BUILD THE TECHNOLOGICAL CAPACITY OF LOCAL CIVIC ENGAGEMENT  
ORGANIZATIONS.

DATES OF REPORTS BY GRANTEE

3/6/23 & 3/26/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

ACTION FOR SAFETY AND JUSTICE

GRANTEE'S ADDRESS

1787 TRIBUTE RD., SUITE K  
SACRAMENTO, CA 95815

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 5,000.              | 06/21/23             | 5,000.                 |

PURPOSE OF GRANT

TO EDUCATE THE PUBLIC ON PUBLIC SAFETY SOLUTIONS FOR REDUCING MASS CRIMINALIZATION AND INCARCERATION. (THIS REPRESENTS AN ADDENDUM TO THE PREVIOUSLY APPROVED GRANT INSTALLMENT.)

DATES OF REPORTS BY GRANTEE

9/16/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.



THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

CLIMATE EQUITY ACTION FUND

GRANTEE'S ADDRESS

1100 13TH STREET, NW, SUITE 800  
WASHINGTON, DC 20005

GRANT AMOUNT

130,000.

DATE OF GRANT

06/21/23

AMOUNT EXPENDED

72,042.

PURPOSE OF GRANT

TO STRENGTHEN ORGANIZATIONAL INFRASTRUCTURE IN THE MIDST OF AN ONGOING  
TRANSITION TO AN INDEPENDENT ENTITY DEDICATED TO BUILDING LONG-TERM POWER  
TO ENSURE AN EQUITABLE CLEAN ENERGY FUTURE.

DATES OF REPORTS BY GRANTEE

2/28/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

CLIMATE EMERGENCY ADVOCATES

GRANTEE'S ADDRESS

529 MAIN STREET, SUITE 200  
CHARLESTOWN, MA 02129

GRANT AMOUNT

230,000.

DATE OF GRANT

11/10/23

AMOUNT EXPENDED

130,000.

PURPOSE OF GRANT

TO SUPPORT STRATEGIC COMMUNICATIONS AND DIGITAL MEDIA CAMPAIGNS TO ADVANCE CLIMATE ADVOCACY FOR RENEWABLE ENERGY SOLUTIONS.

DATES OF REPORTS BY GRANTEE

8/21/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

COMMISSION SHIFT ACTION

GRANTEE'S ADDRESS

212 FLORES AVENUE  
LAREDO, TX 78040

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 25,000.             | 12/14/23             | 6,000.                 |

PURPOSE OF GRANT

TO DEVELOP THE ORGANIZATIONAL INFRASTRUCTURE AND COMMUNICATIONS CAPACITY NECESSARY TO ADVANCE THE GOAL OF BUILDING PUBLIC SUPPORT TO HOLD THE RAILROAD COMMISSION OF TEXAS (RRC) ACCOUNTABLE TO ITS MISSION IN A SHIFTING ENERGY LANDSCAPE.

DATES OF REPORTS BY GRANTEE

2/28/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

GAMALIEL FAITH AND DEMOCRACY CAMPAIGN

GRANTEE'S ADDRESS

5401 S. CORNELL AVENUE, SUITE 301  
CHICAGO, IL 60615

GRANT AMOUNT

55,000.

DATE OF GRANT

06/21/23

AMOUNT EXPENDED

30,000.

PURPOSE OF GRANT

TO SCALE THE TRANSFORMATIONAL JUSTICE IN ACTION CAMPAIGN'S IN-PRISON  
OUTREACH PROGRAM.

DATES OF REPORTS BY GRANTEE

3/5/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

LEAGUE OF CONSERVATION VOTERS

GRANTEE'S ADDRESS

740 15TH ST NW, 7TH FLOOR  
WASHINGTON, DC 20005

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 105,000.            | 06/21/23             | 52,500.                |

PURPOSE OF GRANT

CHISPA PROGRAM, ADVANCING CLIMATE SOLUTIONS AND ENVIRONMENTAL JUSTICE.

DATES OF REPORTS BY GRANTEE

3/7/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

LUCHA

GRANTEE'S ADDRESS

5716 N. 19TH AVENUE  
PHOENIX, AZ 85015

GRANT AMOUNT

155,000.

DATE OF GRANT

11/10/23

AMOUNT EXPENDED

0.

PURPOSE OF GRANT

TO EDUCATE COMMUNITIES IN ARIZONA ABOUT LOCAL ECONOMIC AND SOCIAL ISSUES.

DATES OF REPORTS BY GRANTEE

2/29/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

MICHIGAN CIVIC ACTION FUND

GRANTEE'S ADDRESS

28342 DARTMOUTH STREET  
MADISON HEIGHTS, MI 48071

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 255,000.            | 11/10/23             | 177,492.               |

PURPOSE OF GRANT

TO PROVIDE EDUCATION ABOUT CIVIC ENGAGEMENT OPPORTUNITIES AND PUBLIC POLICY ISSUES IN MICHIGAN, AND PROVIDE TECHNICAL ASSISTANCE INFRASTRUCTURE FOR STATE-BASED MOVEMENT PARTNER ORGANIZATIONS.

DATES OF REPORTS BY GRANTEE

2/28/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

NEW LEFT ACCELERATOR

GRANTEE'S ADDRESS

850 LAUREL ST  
ALAMEDA, CA 94501

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 135,000.            | 11/10/23             | 4,158.                 |

PURPOSE OF GRANT

EMPOWER & PROTECT ARIZONA, AN EQUITY-INFORMED CAPACITY-BUILDING PROGRAM PROVIDING TECHNICAL ASSISTANCE TO ARIZONA-BASED ORGANIZATIONS ENGAGED IN POWER-BUILDING WORK.

DATES OF REPORTS BY GRANTEE

2/29/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.



THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

NEW YORK FOUNDATION

GRANTEE'S ADDRESS

150 W 30TH STREET, SUITE 1401  
NEW YORK, NY 10001

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 5,000.              | 11/10/23             | 5,000.                 |

PURPOSE OF GRANT

YOUTH ORGANIZING & CULTURE CHANGE FUND, A PILOT PROGRAM TO INCREASE FUNDING AND SUPPORTS FOR YOUTH ORGANIZING AND CULTURAL STRATEGIES ACROSS THE COUNTRY. (THIS REPRESENTS AN ADDENDUM TO THE PREVIOUSLY APPROVED GRANT INSTALLMENT.)

DATES OF REPORTS BY GRANTEE

2/29/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

ONE FOR DEMOCRACY

GRANTEE'S ADDRESS

107 GRAND STREET, 7TH FLOOR  
NEW YORK, NY 10013

GRANT AMOUNT

5,000.

DATE OF GRANT

06/21/23

AMOUNT EXPENDED

5,000.

PURPOSE OF GRANT

TO STRENGTHEN THE INFRASTRUCTURE NEEDED FOR DEMOCRACY, AND ULTIMATELY COMMUNITIES, TO THRIVE. (THIS REPRESENTS AN ADDENDUM TO THE PREVIOUSLY APPROVED GRANT INSTALLMENT.)

DATES OF REPORTS BY GRANTEE

2/26/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

PODER

GRANTEE'S ADDRESS

PO BOX 234064415, N MARYVALE PKWY  
PHOENIX, AZ 85063

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 180,000.            | 11/10/23             | 44,000.                |

PURPOSE OF GRANT

TO EDUCATE ARIZONA COMMUNITIES ABOUT CIVIC ENGAGEMENT AND OPPORTUNITIES TO ADVANCE THEIR HEALTH AND SAFETY AT THE LOCAL AND STATE LEVEL.

DATES OF REPORTS BY GRANTEE

3/4/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

PROGRESSIVE MULTIPLIER ACTION FUND

GRANTEE'S ADDRESS

2733 WOODLEY PLACE NW  
WASHINGTON, DC 20008

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 80,000.             | 06/21/23             | 80,000.                |

PURPOSE OF GRANT

TO SUPPORT NONPROFIT VOTER ENGAGEMENT AND CLIMATE JUSTICE GROUPS IN THE IMPLEMENTATION OF INDEPENDENT, SCALABLE REVENUE GENERATION TECHNIQUES.

DATES OF REPORTS BY GRANTEE

8/30/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

GRANTEE'S NAME

TIDES ADVOCACY

GRANTEE'S ADDRESS

P.O. BOX 29229  
SAN FRANCISCO, CA 94129

| <u>GRANT AMOUNT</u> | <u>DATE OF GRANT</u> | <u>AMOUNT EXPENDED</u> |
|---------------------|----------------------|------------------------|
| 80,000.             | 07/19/23             | 68,000.                |

PURPOSE OF GRANT

RESILIENT DEMOCRACY ACTION FUND - EFFORT TO BUILD THE TECHNOLOGICAL CAPACITY OF CIVIC ENGAGEMENT ORGANIZATIONS.

DATES OF REPORTS BY GRANTEE

3/26/24

ANY DIVERSION BY GRANTEE

TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 20

ACTIVITY ONE

DURING 2023, 3 MEMBERS OF THE STAFF OF DAVID ROCKEFELLER FUND PROVIDED TECHNICAL ASSISTANCE TO CHARITABLE ORGANIZATIONS, AND 2 MEMBERS OF THE STAFF SERVED ON 2 CHARITABLE ORGANIZATIONS' BOARDS. 1 MEMBER OF THE STAFF SERVED ON TOTAL OF 2 COMMITTEES, PUBLIC COMMISSIONS, OR TASK FORCES IN ORDER TO PROVIDE TECHNICAL ASSISTANCE.

EXPENSES

TO FORM 990-PF, PART VIII-A, LINE 1

52,666.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XIV, LINES 2A THROUGH 2D

STATEMENT 21

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LEXI FISHER  
475 RIVERSIDE DRIVE, SUITE 900  
NEW YORK, NY 10115

TELEPHONE NUMBER

NAME OF GRANT PROGRAM

212-812-4300

ARTS, JUSTICE AND CLIMATE - SEE STATEMENT 23

EMAIL ADDRESS

LOI@DRFUND.ORG

FORM AND CONTENT OF APPLICATIONS

THE DAVID ROCKEFELLER FUND WEBSITE STATES 1-2 PAGE LETTER OF INTENT SHOULD BE SUBMITTED TO LOI@DRFUND.ORG

ANY SUBMISSION DEADLINES

THE DAVID ROCKEFELLER FUND ACCEPTS UNSOLICITED REQUESTS ON A ROLLING BASIS.

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE DAVID ROCKEFELLER FUND ONLY ACCEPTS UNSOLICITED REQUESTS THAT FIT ITS THREE KEY PROGRAM AREAS (ARTS, JUSTICE AND CLIMATE)

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

990-PF

INVOLVEMENT WITH NONCHARITABLE ORGANIZATIONS  
PART XVI, LINE 1, COLUMN (D)

STATEMENT 22

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

ACTION FOR SAFETY AND JUSTICE

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO EDUCATE THE PUBLIC ON PUBLIC SAFETY SOLUTIONS FOR REDUCING MASS CRIMINALIZATION AND INCARCERATION.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

PODER

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO EDUCATE ARIZONA COMMUNITIES ABOUT CIVIC ENGAGEMENT AND OPPORTUNITIES TO ADVANCE THEIR HEALTH AND SAFETY AT THE LOCAL AND STATE LEVEL.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

CLIMATE EMERGENCY ADVOCATES

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO SUPPORT STRATEGIC COMMUNICATIONS AND DIGITAL MEDIA CAMPAIGN TO ADVANCE CLIMATE ADVOCACY FOR RENEWABLE ENERGY SOLUTIONS.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

GAMALIEL FAITH AND DEMOCRACY CAMPAIGN

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO SCALE UP THE TRANSFORMATIONAL JUSTICE IN ACTION CAMPAIGN'S IN-PRISON OUTREACH PROGRAM.



THE DAVID ROCKEFELLER FUND, INC.

13-3533359

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

LEAGUE OF CONSERVATION VOTERS

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT FOR THE CHISPA PROGRAM TO ADVANCE CLIMATE SOLUTIONS AND ENVIRONMENTAL JUSTICE.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

LUCHA

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO EDUCATE COMMUNITIES IN ARIZONA ABOUT LOCAL ECONOMIC AND SOCIAL ISSUES.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

MICHIGAN CIVIC ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO PROVIDE EDUCATION ABOUT CIVIC ENGAGEMENT OPPORTUNITIES AND PUBLIC POLICY ISSUES IN MICHIGAN, AND PROVIDE TECHNICAL ASSISTANCE INFRASTRUCTURE FOR STATE-BASED MOVEMENT PARTNER ORGANIZATIONS.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

NEW LEFT ACCELERATOR

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO EMPOWER & PROTECT ARIZONA - AN EQUITY-INFORMED CAPACITY-BUILDING PROGRAM PROVIDING TECHNICAL ASSISTANCE TO ARIZONA-BASED ORGANIZATIONS ENGAGED IN POWER-BUILDING WORK.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

PROGRESSIVE MULTIPLIER ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO SUPPORT NONPROFIT VOTER ENGAGEMENT AND CLIMATE JUSTICE GROUPS IN THE IMPLEMENTATION OF INDEPENDENT, SCALABLE REVENUE GENERATION TECHNIQUES.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

TIDES ADVOCACY

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO SUPPORT RESILIENT DEMOCRACY ACTION FUND - EFFORT TO BUILD THE TECHNOLOGICAL CAPACITY OF CIVIC ENGAGEMENT ORGANIZATIONS.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

CLIMATE EQUITY ACTION FUND

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT TO STRENGTHEN ORGANIZATIONAL INFRASTRUCTURE IN THE MIDST OF AN ONGOING TRANSITION TO AN INDEPENDENT ENTITY DEDICATED TO BUILDING LONG-TERM POWER TO ENSURE AN EQUITABLE CLEAN ENERGY FUTURE.

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

COMMISSION SHIFT ACTION

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT TO DEVELOP THE ORGANIZATIONAL INFRASTRUCTURE AND COMMUNICATIONS CAPACITY NECESSARY TO ADVANCE THE GOAL OF BUILDING PUBLIC SUPPORT TO HOLD THE RAILROAD COMMISSION OF TEXAS (RRC) ACCOUNTABLE TO ITS MISSION IN A SHIFTING ENERGY LANDSCAPE.

THE DAVID ROCKEFELLER FUND, INC.

13-3533359

NAME OF NONCHARITABLE EXEMPT ORGANIZATION

ONE FOR DEMOCRACY

DESCRIPTION OF TRANSFERS, TRANSACTIONS, AND SHARING ARRANGEMENTS

GRANT PAYMENT TO STRENGTHEN THE INFRASTRUCTURE NEEDED FOR DEMOCRACY, AND ULTIMATELY COMMUNITIES, TO THRIVE.

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GENERAL EXPLANATION

STATEMENT 23

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FORM/LINE IDENTIFIER AND DESCRIPTION/RETURN REFERENCE

990-PF, PART XIV, LINE 2 - REGARDING GRANT PROGRAMS

EXPLANATION:

THE DAVID ROCKEFELLER FUND CURRENTLY HAS THREE PRIMARY PROGRAM AREAS: ARTS, CLIMATE, AND JUSTICE.

THE ARTS PROGRAM FOCUSES ON CULTIVATING, SHOWCASING, AND DISSEMINATING THE STORIES OF INNOVATIVE ART FOR SOCIAL CHANGE; CLIMATE SUPPORTS AND SUSTAINS BOLD, SCIENCE-BASED U.S. LEADERSHIP ON CLIMATE SOLUTIONS; AND JUSTICE SUPPORTS BOLD NEW IDEAS AND POLICY INITIATIVES FOR TRANSFORMING U.S. DETENTION AND INCARCERATION POLICY.

IN ADDITION TO THE PROGRAM AREAS OUTLINED ABOVE, THE FUND SUPPORTED A NUMBER OF CHARITABLE INITIATIVES DESIGNED TO ENCOURAGE TRUSTEES' INDIVIDUAL CHARITABLE GIVING.